
STATUTORY INSTRUMENTS

2006 No. 3385

FINANCIAL SERVICES AND MARKETS

**The Financial Services and Markets Act 2000 (EEA
Passport Rights) (Amendment) Regulations 2006**

Made - - - - 18th December 2006

Laid before Parliament 18th December 2006

*Coming into force in accordance with
regulation 1(2)*

**THE FINANCIAL SERVICES AND MARKETS ACT 2000 (EEA
PASSPORT RIGHTS) (AMENDMENT) REGULATIONS 2006**

1. Citation, commencement and interpretation
2. Amendment of the principal Regulations
3. In regulation 1(2), at the end, insert— ; “tied agent”...
4. In regulation 2(2)— (a) at the end of sub-paragraph (b)...
5. In regulation 3— (a) in paragraph (2)—
6. In regulation 4— (a) in the heading for “Investment firms,...
7. After regulation 4 insert— Investment firms: changes to branch details...
8. In regulation 5— (a) in the heading for “Investment firms...
9. After regulation 5 insert— Investment firms: changes to services (1) An investment firm which is providing services in the...
10. In regulation 11— (a) in the heading omit “investment firms,”;...
11. After regulation 11 insert— UK investment firms: changes to branch...
12. In regulation 12— (a) in the heading omit “investment firms...
13. After regulation 12 insert— UK investment firms: changes to services...
14. In regulation 18(1) after “11(1),” insert “11A(1),” and after “12(1),”...
15. Transitional and saving provisions

Signature

Explanatory Note