
STATUTORY INSTRUMENTS

2004 No. 3368

**SOCIAL SECURITY
TAX CREDITS
FAMILY LAW
CHILD SUPPORT**

**The Social Security, Child Support and Tax Credits
(Decisions and Appeals) Amendment Regulations 2004**

Made - - - - 20th December 2004

Coming into force in accordance with regulation 1

Whereas a draft of this Instrument was laid before Parliament in accordance with section 80(1) of the Social Security Act 1998^{M1}, and paragraph 20(4) of Schedule 7 to the Child Support, Pensions and Social Security Act 2000^{M2}, and approved by a resolution of each House of Parliament;

Now, therefore, the Secretary of State for Work and Pensions in exercise of the powers conferred by section 4 (2) and (3) of the Vaccine Damage Payments Act 1979^{M3}, section 20(4), (5) and (6) of the Child Support Act 1991^{M4}, sections 5(1)(a) and (h), 6(1)(a) and (h), 189(1), (4) and (6) and 191 of the Social Security Administration Act 1992^{M5}, section 11(5) of the Social Security (Recovery of Benefits) Act 1997^{M6}, sections 7(6)(a), 12(2), 16(1), 79(1), (4), (6) and (7) and 84 of, and paragraphs 1 to 4 of Schedule 5 to, the Social Security Act 1998^{M7} and section 68 of, and paragraphs 6(2)(e), 10(1), 20(1) and (3) and 23(1) of Schedule 7 to, the Child Support, Pensions and Social Security Act 2000^{M8} and of all other powers enabling him in that behalf, after consultation with the Council on Tribunals in accordance with section 8 of the Tribunals and Inquiries Act 1992^{M9}, after agreement by the Social Security Advisory Committee that the proposals to make these Regulations should not be referred to it^{M10}, and so far as they concern housing benefit and council tax benefit after consultation with organisations appearing to the Secretary of State to be representative of the authorities concerned^{M11}, hereby makes the following Regulations:

Marginal Citations

M1 1998 c. 14.

M2 2000 c. 19.

M3 1979 c. 17; section 4 was substituted by the Social Security Act 1998, section 46.

Status: Point in time view as at 03/11/2008.

Changes to legislation: There are currently no known outstanding effects for the The Social Security, Child Support and Tax Credits (Decisions and Appeals) Amendment Regulations 2004. (See end of Document for details)

- M4** 1991 c. 48; section 20 was substituted by the Social Security Act 1998, section 42 and was further substituted by the Child Support, Pensions and Social Security Act 2000 (c. 19), section 10.
- M5** 1992 c. 5; section 6(1) was substituted by the Local Government Finance Act 1992 (c. 14), Schedule 9, paragraph 12(1)(a); section 191 is cited because of the meaning it gives to “prescribe”.
- M6** 1997 c. 27.
- M7** Section 12(2) was substituted by the Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), Schedule 7, paragraph 25; the powers in sections 16(1) and paragraphs 1 to 4 and 6 of Schedule 5, which are exercised in these Regulations in respect of Tax Credits, are those which have been applied and modified by S.I. 2002/2926 under powers contained in section 63(8) of the Tax Credits Act 2002 (c. 21); section 84 is cited because of the meaning it gives to “prescribe”.
- M8** Paragraph 23(1) is cited because of the meaning it gives to “prescribed”.
- M9** 1992 c. 53.
- M10** See the Social Security Administration Act 1992, sections 172 and 173(1)(b) and Schedule 7, paragraph 9.
- M11** See the Social Security Administration Act 1992, section 176(1)(a).

Citation and commencement

1. These Regulations may be cited as the Social Security, Child Support and Tax Credits (Decisions and Appeals) Amendment Regulations 2004 and shall come into force on the day after the day on which they are made.

Amendment of the Social Security and Child Support (Decisions and Appeals) Regulations 1999

2.—(1) The Social Security and Child Support (Decisions and Appeals) Regulations 1999^{M12} shall be amended in accordance with this regulation.

(2) In regulation 1(3) (interpretation) the definition of “misconceived appeal” shall be omitted.

(3) In regulation 25^{M13} (other persons with a right of appeal) for “section 12(2)(b)”^{M14} there shall be substituted “ section 12(2) ”.

(4) [F1In regulation 36^{M15} (composition of appeal tribunals)—

(a) in paragraph (2) for “, (8) and (9)” substitute “ and (8) ”,

(b) in paragraph (5) for “, (3) or (9)” substitute “ or (3) ”, and

(c) omit paragraph (9) (misconceived appeals).]

(5) [F1In regulation 39 (directions concerning oral hearings) for the heading and paragraphs (1) to (4) substitute—

“Choice of hearing

39.—(1) Where an appeal or a referral is made to an appeal tribunal the appellant and any other party to the proceedings shall notify the clerk to the appeal tribunal, on a form approved by the Secretary of State, whether he wishes to have an oral hearing of the appeal or whether he is content for the appeal or referral to proceed without an oral hearing.

(2) Except in the case of a referral, the form shall include a statement informing the appellant that, if he does not notify the clerk to the appeal tribunal as required by paragraph (1) within the period specified in paragraph (3), the appeal may be struck out in accordance with regulation 46(1).

(3) Notification in accordance with paragraph (1)—

- (a) if given by the appellant or a party to the proceedings other than the Secretary of State, must be sent or given to the clerk to the appeal tribunal within 14 days of the date on which the form is issued to him; or
 - (b) if given by the Secretary of State, must be sent or given to the clerk—
 - (i) in the case of an appeal, within 14 days of the date on which the form is issued to the appellant; or
 - (ii) in the case of a referral, on the date of referral, or within such longer period as the clerk may direct.
- (4) Where an oral hearing is requested in accordance with paragraphs (1) and (3) the appeal tribunal shall hold an oral hearing unless the appeal is struck out under regulation 46(1).”.]
- (6) ^{F1}In regulation 46 (appeals which may be struck out)—
- (a) in paragraph (1)—
 - (i) at the end of sub-paragraph (b) omit “or”,
 - (ii) in sub-paragraph (c) omit “subject to regulation 39(4),” and after “struck out” add “; or”, and
 - (iii) after sub-paragraph (c) add—
 - “(d) for failure of the appellant to notify the clerk to the appeal tribunal, in accordance with regulation 39, whether or not he wishes to have an oral hearing of his appeal.”, and
 - (b) omit paragraph (4) (misconceived appeals).]
- (7) ^{F1}In regulation 47 ^{M16} (reinstatement of struck out appeals)—
- (a) in paragraph (1) for “46(1)(c)” substitute “ 46(1)(d) ”,
 - (b) in paragraph (2)—
 - (i) omit “or 48”, and
 - (ii) omit sub-paragraph (b).]
- (8) Omit regulation 48 (misconceived appeals).
- (9) In Schedule 2 (decisions against which no appeal lies), in paragraph 5 ^{M17} (claims and payments)—
- (a) for sub-paragraph (a) substitute—
 - “(a) regulation 4(3) or (3B) ^{M18} (which partner should make a claim for income support or jobseeker’s allowance);”,
 - (b) omit sub-paragraphs (b), (c), (d) and (e), and
 - (c) for sub-paragraph (bb) ^{M19} substitute—
 - “(bb) regulation 4D(7) ^{M20} (which partner should make a claim for state pension credit);”.

F1 Reg. 2(4)-(7) revoked (3.11.2008) (E+W) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, Sch. 2 (with arts. 3(3) (f), 4)

Marginal Citations

M12 S.I. 1999/991.

M13 Regulation 25 was amended by S.I. 1999/2570 and 2002/1379.

Status: Point in time view as at 03/11/2008.

Changes to legislation: There are currently no known outstanding effects for the The Social Security, Child Support and Tax Credits (Decisions and Appeals) Amendment Regulations 2004. (See end of Document for details)

- M14** Section 12(2) of the [Social Security Act 1998 \(c. 14\)](#) was substituted by the [Social Security Contributions \(Transfer of Functions, etc.\) Act 1999 \(c. 2\)](#), [Schedule 7](#), paragraph 25.
- M15** Regulation 36 was amended by [S.I. 1999/1466](#) and [2000/1596](#).
- M16** Regulation 47 was amended by [S.I. 2000/1596](#) and [2002/1379](#).
- M17** Paragraph 5 was substituted by [S.I. 2002/1379](#) and amended by [S.I. 2003/1581](#).
- M18** Paragraph (3) was amended by [S.I. 1996/2431](#) and paragraph (3B) was inserted by [S.I. 1996/1460](#).
- M19** Sub-paragraph (bb) was inserted by [S.I. 2003/1581](#).
- M20** Regulation 4D was inserted by [S.I. 2002/3019](#).

Amendment of the Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001

3.—(1) The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001 shall be amended in accordance with this regulation.

^{F2}(2)

^{F2}(3)

(4) In the Schedule (decisions against which no appeal lies)—

(a) in paragraph 1 (no right of appeal: exceptions) for sub-paragraph (a) substitute—

“(a) regulations 72 ^{M22} (time and manner in which claims are to be made), 72A (1) ^{M23} and 72B(1) and (4) ^{M24} (date of claim).”, and

(b) in paragraph 2 (no right of appeal: exceptions) for sub-paragraph (a) substitute—

“(a) regulations 62 ^{M25} (time and manner in which claims are to be made), 62A(1) ^{M26} and 62B(1) and (4) ^{M27} (date of claim).”.

F2 [Reg. 3\(2\)\(3\)](#) revoked (3.11.2008) by [Tribunals, Courts and Enforcement Act 2007 \(Transitional and Consequential Provisions\) Order 2008 \(S.I. 2008/2683\)](#), art. 1, [Sch. 2](#)

Marginal Citations

M21 [S.I. 2001/1002](#).

M22 Relevant amendments to regulation 72 were made by [S.I. 1996/2432](#), [2000/897](#), and [2001/1605](#).

M23 Regulation 72A was inserted by [S.I. 1999/1539](#) and amended by [S.I. 2002/1397](#).

M24 Regulation 72B was substituted by [S.I. 2000/897](#).

M25 Relevant amendments to regulation 62 were made by [S.I. 1996/462](#), 1510 and 2432, [2000/897](#), 2001/1605 and 2003 /48, 325 and 1632.

M26 Regulation 62A was inserted by [S.I. 1999/1539](#) and amended by [2000/897](#).

M27 Regulation 62B was substituted by [S.I. 2000/897](#).

Amendment of the Housing Benefit (General) Regulations 1987

^{F3}4.

F3 [Reg. 5](#) revoked (6.3.2006) by [The Housing Benefit and Council Tax Benefit \(Consequential Provisions\) Regulations 2006 \(S.I. 2006/217\)](#), reg. 1(1), [Sch. 1](#) (with regs. 2, 3, [Sch. 3](#), 4)

Amendment of the Council Tax Benefit (General) Regulations 1992

^{F3}5.

F3 Reg. 5 revoked (6.3.2006) by The Housing Benefit and Council Tax Benefit (Consequential Provisions) Regulations 2006 (S.I. 2006/217), reg. 1(1), **Sch. 1** (with regs. 2, 3, Sch. 3, 4)

Amendment of the Tax Credits (Appeals) (No.2) Regulations 2002

^{F4}**6.**

F4 Reg. 6 revoked (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, **Sch. 2**

Signed by authority of the Secretary of State for Work and Pensions.

20th December 2004

Jane Kennedy
Minister of State,
Department for Work and Pensions

Status: Point in time view as at 03/11/2008.

Changes to legislation: There are currently no known outstanding effects for the The Social Security, Child Support and Tax Credits (Decisions and Appeals) Amendment Regulations 2004. (See end of Document for details)

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations amend the Social Security and Child Support (Decisions and Appeals) Regulations 1999 (“the 1999 Regulations”), the Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001 (“the 2001 Regulations”) and the Tax Credits (Appeals) (No.2) Regulations 2002 (“the 2002 Regulations”) in respect of appeals to an appeal tribunal. They make consequential amendments to the Housing Benefit (General) Regulations 1987 and the Council Tax Benefit (General) Regulations 1992.

Regulation 2 amends the 1999 Regulations. Paragraphs (2), (4) and (8) remove the power of appeal tribunals to strike out misconceived appeals. Paragraph (5) provides for a form on which appellants and parties to proceedings must notify the clerk to the appeal tribunal if they want an oral hearing; and it gives mandatory right to an oral hearing after such notification, unless the appeal is struck out. Paragraph (6) allows the tribunal clerk to strike out an appeal if an appellant does not give the notification as prescribed; and he may reinstate the appeal under paragraph (7). Paragraph (9) confirms that there is a right of appeal against a decision that a benefit claim is defective.

Regulation 3 amends the 2001 Regulations in respect of housing benefit and council tax benefit appeals to make similar provision to that made by regulation 2 in respect of other social security benefit appeals.

Regulations 4 and 5 make amendments to the Housing Benefit (General) Regulations 1987 and the Council Tax Benefit (General) Regulations 1992 respectively. The amendments are consequential upon, or supplementary to, the provisions in regulation 3(4) relating to appeals against decisions about defective claims.

Regulation 6 amends the 2002 Regulations in respect of tax credit appeals to make similar provision to that made by regulation 2(5) to (7) in respect of social security benefit appeals.

A regulatory impact assessment has not been produced for this instrument as it has no impact on the costs of business.

Status:

Point in time view as at 03/11/2008.

Changes to legislation:

There are currently no known outstanding effects for the The Social Security, Child Support and Tax Credits (Decisions and Appeals) Amendment Regulations 2004.