2002 No. 1093

The Radioactive Material (Road Transport) Regulations 2002

PART I

CITATION, COMMENCEMENT AND APPLICATION

Citation and commencement

1. These Regulations may be cited as the Radioactive Material (Road Transport) Regulations 2002 and shall come into force on 1st May 2002.

Interpretation etc

2.—(1) These Regulations give effect to an international agreement on the provision of an acceptable level of control of the radiation, criticality and thermal hazards to persons, property and the environment associated with the transport of radioactive material comprised in the Regulations for the Safe Transport of Radioactive Material (1996 Edition (Revised)) published by the International Atomic Energy Authority ("IAEA 1996")(1) and as adapted by the European Agreement concerning the international carriage of dangerous goods by road ("ADR")(2) with any amendments up to 1st July 2001, and by Council Directive 96/29/EURATOM(3) and Commission Directive 2001/7/EC(4).

(2) In these Regulations—

"1996 Regulations" means the Radioactive Material (Road Transport) (Great Britain) Regulations 1996(5);

"A1" means the activity value of special form radioactive material specified in Table 1 in Schedule 1 or calculated in accordance with regulation 29;

"A₂" means the activity value of radioactive material (other than special form radioactive material) specified in Table 1 in Schedule 1 or calculated in accordance with regulation 29;

"accident conditions of transport" means conditions of transport involving more than minor mishap;

"ADR journey" has the meaning given in regulation 4(3);

"the Act" means the Radioactive Material (Road Transport) Act 1991(6);

"carrier" means any person (including a government) undertaking the transport of radioactive material and includes carriers for hire or reward and on their own account whether under contract or not;

⁽¹⁾ No. TS-R-1 (ST-1, Revised) (ISBN 92-0-100500-8).

^{(2) 2001 (}ISBN 92-1-139069-9).

⁽³⁾ O.J. L159, 29.6.96, p.1. (4) O.J. L30, 1.2.01, p.43.

S.I. 1996/1350. (5)

^{(6) 1991} c. 27 as amended as respects section 1(1)(a) by the Radioactive Material (Road Transport) (Great Britain) (Definition of Radioactive Material) Order 2002 1092.

"competent authority" has the meaning given in regulation 14(2);

"compliance assurance" means a systematic programme of measures applied by the Secretary of State aimed at ensuring that the provisions of these Regulations are met in practice and which is more fully described in regulation 18;

"confinement system" means the assembly of fissile material and packaging components specified by the designer and agreed by the competent authority as intended to preserve criticality safety;

"consignee" means any person (including a government) that receives a consignment;

"consignment" means any package, or load of radioactive material, presented by a consignor for transport;

"consignor" means any person (including a government) that prepares a consignment for transport and is named as consignor in the transport documents, or a freight forwarder acting as agent for such a person;

"containment system" means the assembly of components of the packaging specified by the designer as intended to retain the radioactive material during transport;

"contamination" means the presence of a radioactive substance on a surface in quantities in excess of 0.4 Bq/cm² for beta and gamma emitters and low toxicity alpha emitters or in excess of 0.04 Bq/cm² for all other alpha emitters;"non-fixed contamination" means contamination that can be removed from a surface during routine conditions of transport and "fixed contamination" means contamination other than non-fixed contamination;

"contracting party country" has the meaning given in regulation 4(8);

"conveyance", in relation to road transport, means any mechanically propelled vehicle (including an articulated vehicle) intended or adapted for use on roads and, for the purposes of these Regulations, each trailer or semi-trailer forming part of a larger vehicle shall be treated as a separate conveyance;

"Criticality Safety Index" (or "CSI") assigned to a package, overpack or freight container containing fissile material, means a number that is used to provide control over the accumulation of packages, overpacks or freight containers containing fissile material and is determined in accordance with regulation 45;

"the Dangerous Goods Recommendations" means the eleventh revised edition of "The United Nations Recommendations on the Transport of Dangerous Goods" prepared by the United Nations Committee of Experts on the Transport of Dangerous Goods as published by HMSO;

"depleted uranium" means uranium containing a lesser mass percentage of uranium-235 than in natural uranium;

"design" means the description of special form radioactive material, low dispersible radioactive material, package or packaging which enables that item to be fully identified; the description may include specifications, engineering drawings, reports demonstrating compliance with regulatory requirements and other relevant documentation;

"driver" means the driver of any conveyance;

"enriched uranium" means uranium containing a greater mass percentage of uranium-235 than in natural uranium;

"excepted package" means a package meeting the requirements of Part V of Schedule 8 and to which the controls set out in regulations 41 and 42 apply;

"exclusive use" has the meaning given in regulation 20(2);

"fissile material" means uranium-233, uranium-235, plutonium-239, plutonium-241 (or any combination thereof) but does not include unirradiated natural uranium, unirradiated depleted

uranium, or natural uranium or depleted uranium, either of which has been irradiated in thermal reactors only;

"fissile package" means a package meeting the requirements of paragraphs 4 to 10 of Part XIV of Schedule 8;

"freight container" means an article of transport equipment designed to facilitate the transport of goods (either packaged or unpackaged) by one or more modes of transport without intermediate reloading, which is of a permanent enclosed character, rigid and strong enough for repeated use, and fitted with devices facilitating its handling particularly in transfer between conveyances and from one mode of transport to another; and a "small freight container" is a freight container that has either an overall outer dimension of less than 1.5m or an internal volume of not more than 3m3 and any other freight container is a "large freight container";

"goods compartment" means a part of a conveyance intended or adapted for the transport of goods or burden;

"Great Britain journey" has the meaning given in regulation 4(2);

"industrial package" means a package, being either-

- (a) an industrial package of Type 1 (Type IP-1) meeting the requirements of Part VI of Schedule 8;
- (b) an industrial package of Type 2 (Type IP-2) meeting the requirements of Part VII of Schedule 8;
- (c) an industrial package of Type 3 (Type IP-3) meeting the requirements of Part VIII of Schedule 8.

"intermediate bulk container (IBC)" means a portable packaging that-

- (a) has a capacity of not more than $3m^3$;
- (b) is designed for mechanical handling;
- (c) is resistant to the stresses produced in handling and transport (as determined by performance tests); and
- (d) is designed to conform to the standards in the chapter on Recommendations on Intermediate Bulk Containers (IBCs) of the Dangerous Goods Recommendations;

"the ISO classification document" means the International Organization for Standardization document, "Sealed radioactive sources – Classification" published by the British Standards Institution (BSI) and HMSO (Reference No.ISO 2919: 1980 (E));

"the ISO freight containers document" means the International Organization for Standardization document, "Series 1 Freight Containers – Specifications and Testing – Part 1: General Cargo Containers" published by the BSI and HMSO (Reference No. ISO 1496:1-1990(E));

"the ISO leak test document" means the International Organization for Standardization document, "Radiation Protection – Sealed Radioactive Sources – Leak Test Methods" published by the BSI and HMSO (Reference No. ISO 9978:1992 (E));

"low dispersible radioactive material" means either a solid radioactive material or a solid radioactive material in a sealed capsule that has limited dispersibility and is not in powder form;

"low specific activity material" (or "LSA material") means radioactive material that by its nature has a limited specific activity or for which limits of estimated average specific activity (disregarding external shielding materials surrounding the radioactive material) apply, and such material has the following categories—

(a) "LSA-I" meaning LSA material comprising—

- (i) ores containing uranium or thorium or mixtures of uranium and thorium (and concentrates of such ores) and other ores containing naturally occurring radionuclides that are intended to be processed for the use of these radionuclides;
- (ii) solid unirradiated natural uranium or depleted uranium or natural thorium or their solid or liquid compounds or mixtures;
- (iii) radioactive material for which the A₂ value is unlimited, excluding fissile material in quantities not excepted under paragraph 3 of Part XIV of Schedule 8; or
- (iv) other radioactive material in which the activity is distributed throughout and the estimated average specific activity does not exceed 30 times the values for activity concentration specified in regulation 28(c) and or calculated in accordance with regulation 29, excluding fissile material in quantities not excepted under paragraph 3 of Part XIV of Schedule 8;
- (b) "LSA-II" meaning LSA material comprising—
 - (i) water with tritium concentration up to 0.8 TBq/L; or
 - (ii) other material in which the activity is distributed throughout and the estimated average specific activity does not exceed 10^{-4} A₂/g for solids and gases, and 10^{-5} A₂/g for liquids;
- (c) "LSA-III" meaning LSA material comprising solids (such as consolidated wastes and activated materials), excluding powders in which—
 - (i) the radioactive material is distributed throughout a solid or a collection of solid objects, or is essentially uniformly distributed in a solid compact binding agent (such as concrete, bitumen and ceramic,);
 - (ii) the radioactive material is relatively insoluble, or is intrinsically contained in a relatively insoluble matrix and which is of such a nature that if the entire contents of a package containing the material were subjected to the test specified in Part I of Schedule 8 the activity in the water used in the test would not, at the end of the test, exceed 0.1 A₂; and
 - (iii) the estimated average specific activity of the solid, excluding any shielding material, does not exceed $2 \times 10^{-3} \text{ A}_2/\text{g}$;

"low toxicity alpha emitter" means-

- (a) natural uranium;
- (b) depleted uranium;
- (c) natural thorium;
- (d) uranium-235;
- (e) uranium-238;
- (f) thorium-232;
- (g) thorium-228;
- (h) thorium-230;

when contained in ores or physical and chemical concentrates; or

(i) alpha emitters with a half-life of less than 10 days;

"maximum normal operating pressure" means the maximum pressure above atmospheric pressure at mean sea level that would develop in the containment system in a period of one year under the conditions of temperature and solar radiation corresponding to environmental conditions during transport, in the absence of venting, external cooling by an ancillary system or operational controls;

"multilateral approval" has the meaning given in regulation 14(1)(a);

"natural uranium" means chemically separated uranium containing the naturally occurring distribution of uranium isotopes;

"naturally occurring distribution of uranium isotopes" means approximately 99.28% uranium-238 and 0.72% uranium-235 by mass, but including a very small mass percentage of uranium-234;

"non-ADR journey" has the meaning given in regulation 4(4);

"normal conditions of transport" means conditions of transport involving minor mishaps;

"the Northern Ireland Regulations" means regulations for the time being in force for Northern Ireland under an Order in Council under paragraph 1(1)(b) of Schedule 1 to the Northern Ireland Act 1974(7) that contains the statement specified in section 8 of the Act;

"overpack" means an enclosure (such as a box or bag) that is used by a single consignor to facilitate as a handling unit a consignment of one or more packages for convenience of handling, stowage and transport;

"package" means the packaging (together with its radioactive contents) as presented for transport and may be of the following types—

- (a) excepted package;
- (b) industrial package Type 1 (Type IP-1);
- (c) industrial package Type 2 (Type IP-2);
- (d) industrial package Type 3 (Type IP-3);
- (e) Type A package;
- (f) Type B(U) package;
- (g) Type B(M) package; or
- (h) Type C package.

"packaging" means the assembly of components necessary to enclose the radioactive contents completely and may be a box, a drum or similar receptacle, or a freight container, tank or intermediate bulk container; in particular, packaging may consist of one or more receptacles, absorbent materials, spacing structures, radiation shielding and service equipment for filling, emptying, venting and pressure relief, and devices for cooling, absorbing mechanical shocks, handling and tie-down and thermal insulation and service devices integral to the package;

"personnel compartment" means a driver's compartment in a conveyance or a part of a conveyance intended or adapted for the carriage of persons in the conveyance;

"quality assurance programme" means a systematic programme of controls and inspections by any organisation or body involved in the transport of radioactive material that is aimed at providing adequate confidence that the standard of safety prescribed in these Regulations is achieved in practice and is more fully described in regulation 18;

"radiation level" means the corresponding dose rate expressed in mSv per hour;

"radiation protection programme" means the programme to provide adequate consideration of radiation protection measures more fully described in regulation 24;

"radioactive contents" means radioactive material together with any contaminated or activated solids, liquids and gases within the packaging;

⁽**7**) 1974 c. 28.

"road" means a road within the meaning providing adequate confidence that the standard of safety prescribed in these regulations is achieved in practice and which of section 192(1) of the Road Traffic Act 1988(8);

"routine conditions of transport" means conditions of transport that are incident free;

"shipment" means the specific movement of a consignment from origin to destination where that movement includes transport in Great Britain;

"special arrangement" means those provisions approved by the Secretary of State under which consignments that do not satisfy all the applicable requirements of these Regulations may be transported;

"special form radioactive material" means either an indispersible solid radioactive material or a sealed capsule containing radioactive material that meet the requirements set out in Part II of Schedule 8;

"specific activity" means, in relation to a radionuclide, the activity per unit mass of that nuclide and, in relation to a material, the activity per unit mass or volume of the material in which the radionuclides are essentially uniformly distributed;

"surface contaminated object" or "SCO" means a solid object that is not itself radioactive but which has radioactive material distributed on its surfaces and being one of the following—

- (a) SCO-I, a solid object on which—
 - (i) the non-fixed contamination on the accessible surface averaged over 300 cm² (or the area of the surface if less than 300cm²) does not exceed 4 Bq/cm² for beta and gamma emitters and low toxicity alpha emitters, or 0.4 Bq/cm² for all other alpha emitters; and
 - (ii) the fixed contamination on the accessible surface, averaged over 300 cm² (or the area of the surface if less than 300cm²) does not exceed 4 × 104 Bq/cm² for beta and gamma emitters and low toxicity alpha emitters, or 4 × 103 Bq/cm² for all other alpha emitters; and
 - (iii) the non-fixed contamination plus the fixed contamination on the inaccessible surface, averaged over 300 cm^2 (or the area of the surface if less than 300 cm^2) does not exceed $4 \times 104 \text{ Bq/cm}^2$ for beta and gamma emitters and low toxicity alpha emitters, or $4 \times 103 \text{ Bq/cm}^2$ for all other alpha emitters;
- (b) SCO-II, a solid object on which either the fixed or non-fixed contamination on the surface exceeds the applicable limits specified for SCO-I in (a) above and on which—
 - (i) the non-fixed contamination on the accessible surface averaged over 300 cm² (or the area of the surface if less than 300 cm²) does not exceed 400 Bq/cm² for beta and gamma emitters and low toxicity alpha emitters, or 40 Bq/cm² for all other alpha emitters; and
 - (ii) the fixed contamination on the accessible surface, averaged over 300 cm² (or the area of the surface if less than 300 cm²) does not exceed 8×10^5 Bq/cm² for beta and gamma emitters and low toxicity alpha emitters, or 8×10^4 Bq/cm² for all other alpha emitters; and
 - (iii) the non-fixed contamination plus the fixed contamination on the inaccessible surface averaged over 300 cm^2 (or the area of the surface if less than 300 cm^2) does

⁽**8**) 1988 c. 52.

not exceed 8×10^5 Bq/cm² for beta and gamma emitters and low toxicity alpha emitters, or 8×10^4 Bq/cm² for all other alpha emitters;

"tank" means a portable tank, a road tank vehicle or a receptacle and may also mean a tank container, each of which has a capacity of not less than 450 litres to contain liquids, powders, granules, slurries or solids which are loaded as gas or liquid and subsequently solidified, and not less than 1000 litres to contain gases; and a "tank container" means a vessel which is capable of being carried on land or on sea and of being loaded and discharged without the need of removal of its structural equipment, possessing stabilising members and tie-down attachments external to the shell, and capable of being lifted when full;

"Transport Index (TI)" means a number that is used to provide control over radiation exposure and is determined in accordance with regulation 44 and assigned to a package, overpack, or freight container, or to unpackaged LSA-I or SCO-I;

"transport of a consignment" has the meaning given in regulation 4(5);

"unilateral approval" has the meaning given in regulation 14(1)(b);

"unirradiated thorium" means thorium containing not more than 10-7 g of uranium-233 per gram of thorium-232;

"unirradiated uranium" means uranium containing not more than 2 kBq of plutonium per gram of uranium-235, not more than 9 MBq of fission products per gram of uranium-235 and not more than 5mg of uranium-236 per gram of uranium-235;

(3) Unless the context otherwise requires, any other expressions used in these Regulations that are also used in IAEA 1996 or ADR have the meaning given to them in those Regulations or in that Agreement.

(4) In these Regulations, unless the context otherwise requires, any reference to-

- (a) a numbered regulation or a numbered Schedule is a reference to the regulation or Schedule bearing that number in these Regulations;
- (b) a numbered paragraph is a reference to the paragraph bearing that number in the regulation or Schedule in which the reference appears; and
- (c) a Part is a reference to a Part in these Regulations.

Continued applicability of other Regulations

3. Radioactive material that is also to be transported by any other mode of transport must meet the requirements of the Regulations that are applicable to that mode of transport.

Scope of Regulations

4.—(1) Subject to regulation 5 (non-application of regulations), these Regulations apply to the transport of a consignment—

- (a) that is performed by a conveyance;
- (b) where the journey is commenced after these Regulations come into force;
- (c) where the journey involved is by road; and
- (d) where the journey is either
 - (i) a Great Britain journey,
 - (ii) an ADR journey, or
 - (iii) a non-ADR journey.
- (2) A "Great Britain journey" is one that takes place entirely within Great Britain.

(3) An "ADR journey" is one where the entire journey takes place only in Great Britain and in the territory of a contracting party country.

(4) A "non-ADR journey" is one where any stage of the journey takes place in Great Britain but any other stage of the journey takes place in the territory of a country that is not a contracting party country.

(5) The "transport of a consignment" means the specific movement of a consignment from origin to destination and includes

- (a) any stops necessitated by transport conditions;
- (b) any period spent by the consignment in the vehicle by reason of traffic conditions before, during or after movement of the consignment;
- (c) any intermediate temporary storage of the consignment in order to change either the mode or means of transport (but only where the transport documents showing the place of dispatch and the place of reception are presented on request and the consignment is not opened during the storage otherwise than for checking by a competent authority); and
- (d) all operations and conditions associated with and involved in the movement of that consignment, including—
 - (i) the design, manufacture and maintenance and repair of packaging,
 - (ii) the preparation, consigning, loading, carriage (including in-transit storage), unloading and receipt at the final destination of loads of radioactive material and packages,
 - (iii) the routine, normal and accident conditions of transport by road encountered in carriage and in storage during transit, and
 - (iv) the transport by road that is incidental to the use of the radioactive material.

(6) "Performed by a conveyance" includes a shipment that involves several different conveyances.

- (7) "Journey" includes a journey—
 - (a) that is performed in stages by different modes of transport (carriage by sea, rail, inland waterway or air),
 - (b) that is performed by one carrier or by successive carriers or under a single contract of carriage or a series of contracts of carriage, or
 - (c) where a section of it is subject to other international rules.

(8) "contracting party country" means a country that, at the time the relevant journey is commenced, is a party to ADR.

Non-application of Regulations

5.—(1) These Regulations do not apply to or in relation to—

- (a) radioactive material that is an integral part of the means of transport;
- (b) radioactive material moved within an establishment that is subject to appropriate safety regulations in force there and where the movement does not involve the use of public roads;
- (c) radioactive material implanted into the body of a person (whether alive or dead) or of a live animal for diagnosis or treatment;
- (d) radioactive material in consumer products if those products have been approved by the relevant regulatory authority and have then been sold to a consumer:
- (e) natural material and ores containing naturally occurring radionuclides that are not intended to be processed for use of those radionuclides if, but only if, the activity concentration of

such material or ores does not exceed 10 times the values specified in respect of exempt material in column (4) of Table 1 in Schedule 1; and

- (f) radioactive material where
 - (i) the activity concentration does not exceed the value specified in paragraph (c) of regulation 28 (basic radionuclide values), or
 - (ii) the total activity in the consignment does not exceed the value specified in paragraph (d) of regulation 28 (basic radionuclide values).

(2) When the transport of a consignment of radioactive material is made on behalf of a Department of the Government of the United Kingdom or is made in connection with the execution of a contract entered into with any such Department, these Regulations do not apply to the transport of that material if it forms part of an instrument of war or if it is required for research into the development or production of any such instrument (or part of such an instrument) or if it is produced in the course of or in connection with such a development or production.

(3) When the transport of a consignment of radioactive material is made on behalf of a visiting force or is made in connection with the execution of a contract made with any such force, these Regulations do not apply to the transport of that material if it is, or forms part of, an instrument of war; a "visiting force" is any such body, contingent or detachment of the forces of any State to which the provisions of the Visiting Forces Act 1952(9) apply by virtue of section 1(1)(a) or section 1(1) (b), and any order in Council made under section 1(2) of that Act.

- (4) These Regulations do not apply to, or in relation to, the transport of a consignment—
 - (a) undertaken by, or under the supervision of, the emergency services (including by breakdown vehicles carrying vehicles that have been involved in accidents or have broken down and which contain radioactive material);
 - (b) in an emergency intended to save human lives or to protect the environment, provided that all measures are taken to ensure that such transport is carried out in complete safety;
 - (c) where the journey involved is an ADR journey and the transport is the subject of a bilateral or multilateral special agreement to which the United Kingdom is a signatory made under the terms of paragraph 3 of article 4 of ADR, to the extent that is necessary to meet the terms of that special agreement; or
 - (d) where the transport conforms with the terms of any derogations approved under article 6(9) and (10) of Council Directive 94/55/EC(10), to the extent that is necessary to meet the terms of that derogation.

Derogations: maritime and air transport

6.—(1) Subject to paragraph (2), where a package does not entirely meet the requirements of these Regulations but it is in conformity with either the International Maritime Dangerous Goods Code ("the IMDG Code")(**11**) or the Technical Instructions for the safe Transport of Dangerous Goods by Air ("the ICAO Technical Instructions")(**12**), that package shall be accepted for carriage where the journey is performed in stages by different modes of transport (including maritime or air carriage) if—

(a) the package (not being marked or labelled in accordance with regulation 48, (responsibilities of consignors)) does bear markings and danger labels in accordance with the requirements of either the IMDG Code or the ICAO Technical Instructions;

⁽**9**) 1952 c. 67.

⁽¹⁰⁾ O.J. No. 319, 12.12.94, p.7.

⁽¹¹⁾ Implementing Chapter VII, Part A, of the International Convention for the Safety of Life at Sea, 1974 (SOLAS Convention), published by the International Maritime Organisation (IMO), London.

⁽¹²⁾ Complementing Annex 18 to the Chicago Convention on International Civil Aviation (Chicago 1944), published by the International Civil Aviation Organisation (ICAO) in Montreal.

- (b) where there is mixed packing within the package, the requirements of the IMDG Code or the ICAO Technical Instructions with respect to mixed packing are complied with;
- (c) for a package involved in transport including maritime carriage, the package (not being marked and labelled in accordance with regulation 48, (responsibilities of consignors)) is marked and labelled in accordance with Chapter 5.3 of the IMDG Code;
- (d) the transport document in respect of the package includes the statement: "Carriage in accordance with 1.1.4.2 of ADR";
- (e) where in the transport of a freight container the stage of carriage by sea is preceded by another mode of transport, a container packing certificate conforming to section 5.4.2 of the IMDG Code is provided with the transport document; and
- (f) where the vehicle involved is placarded as required by paragraph 30 of Schedule 6.

(2) The derogation allowed under paragraph (1) does not apply (and accordingly, these Regulations do apply) to a package containing goods that, although considered as non-radioactive material according to the applicable requirements of the IMDG Code or the ICAO Technical Instructions, are classified as radioactive material according to these Regulations.

Relationship of Regulations to other safety controls

7.—(1) Nothing in these Regulations is to be taken as specifying, or as being a substitution for, controls (including those concerned with the planning of routes or physical safety) which apply to the transport of radioactive material for reasons other than of radiological safety and, accordingly, such controls—

- (a) must still take radiological and non-radiological hazards into account, but
- (b) shall not be taken as detracting from any of the standards of safety provided for in these Regulations.
- (2) For the avoidance of any doubt, in matters—
 - (a) involving the subsidiary risks arising from radioactive material, and
 - (b) relating to the transport of radioactive material with other dangerous goods,

the relevant provisions governing the transport of dangerous goods contained in or under the Health and Safety at Work Act(9)(13) remain applicable in addition to the provisions of these Regulations.

Revocation of 1996 Regulations

8. The 1996 Regulations shall be revoked and, subject to Part II, shall cease to have effect in relation to the transport of radioactive material by road commenced on or after the date these Regulations come into force.

(**13**) 1974 c. 37.