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## STATUTORY INSTRUMENTS

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### 2001 No. 544

## FINANCIAL SERVICES AND MARKETS

### The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001

*Approved by both Houses of Parliament*

*Made - - - - 26th February 2001*

*Laid before Parliament 27th February 2001*

*Coming into force in accordance with article 2*

### THE FINANCIAL SERVICES AND MARKETS ACT 2000 (REGULATED ACTIVITIES) ORDER 2001

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**Changes to legislation:** The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 is up to date with all changes known to be in force on or before 13 February 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

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7. A national, regional or local government or body or other...
8. A holding company.
9. An employee participation scheme or employee savings scheme.
10. A securitisation special purpose entity.



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11. An AIFM, the registered office of which is not in...

Explanatory Note

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**Changes and effects yet to be applied to :**

- Sch. 2 Pt. 2 words substituted by [S.I. 2018/1403 reg. 4\(4\)\(b\)](#) (This amendment not applied to legislation.gov.uk. Reg. 4(4)(b) substituted immediately before IP completion day by S.I. 2019/710, regs. 1(2), 16(2)(b)(ii))
- Sch. 2 Pt. 2 words substituted by [S.I. 2018/1403 reg. 4\(4\)\(c\)\(iv\)](#) (This amendment not applied to legislation.gov.uk. Reg. 4(4)(c)(iv) substituted immediately before IP completion day by S.I. 2019/710, regs. 1(2), 16(2)(b)(iii))
- art. 3(1) words omitted by [S.I. 2023/548 art. 2\(2\)\(a\)\(i\)](#)
- art. 3(1) words omitted by [S.I. 2023/548 art. 2\(2\)\(a\)\(ii\)](#)
- art. 3(1) words omitted by [S.I. 2023/548 art. 2\(2\)\(b\)](#)
- art. 3(1A)(a) words omitted by [S.I. 2023/548 art. 2\(3\)\(a\)](#)
- art. 3(1A)(b) words omitted by [S.I. 2023/548 art. 2\(3\)\(b\)](#)
- art. 7(2)(b) word inserted by [S.I. 2019/710 reg. 6\(1\)\(a\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Reg. 6 substituted immediately before IP completion day by S.I. 2020/1342, reg. 1(3), Sch. para. 19)
- art. 7(2)(d)(e) omitted by [S.I. 2019/710 reg. 6\(1\)\(a\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. Reg. 6 substituted immediately before IP completion day by S.I. 2020/1342, reg. 1(3), Sch. para. 19)
- art. 7(3)(b) word inserted by [S.I. 2019/710 reg. 6\(1\)\(b\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Reg. 6 substituted immediately before IP completion day by S.I. 2020/1342, reg. 1(3), Sch. para. 19)
- art. 7(3)(d) and word omitted by [S.I. 2019/710 reg. 6\(1\)\(b\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. Reg. 6 substituted immediately before IP completion day by S.I. 2020/1342, reg. 1(3), Sch. para. 19)
- art. 24A omitted by [S.I. 2019/632 reg. 126](#) (This amendment not applied to legislation.gov.uk. Reg. 126 omitted immediately before IP completion day by virtue of S.I. 2020/1385, regs. 1(4), 57(3)(a))
- art. 24B omitted by [S.I. 2019/632 reg. 127](#) (This amendment not applied to legislation.gov.uk. Reg. 127 omitted immediately before IP completion day by virtue of S.I. 2020/1385, regs. 1(4), 57(3)(b))
- art. 72J omitted by [S.I. 2023/548 art. 2\(4\)](#)
- art. 82A omitted by [S.I. 2019/632 reg. 154](#) (This amendment not applied to legislation.gov.uk. Reg. 154 omitted immediately before IP completion day by virtue of S.I. 2020/1385, regs. 1(4), 57(3)(c))
- art. 82B(1) words inserted by [S.I. 2019/632 reg. 155\(2\)](#) (This amendment not applied to legislation.gov.uk. Reg. 155(2) omitted immediately before IP completion day by virtue of S.I. 2020/1385, regs. 1(4), 57(3)(d))

**Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:**

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 3 Pt. 1 para. 2(c) words substituted by [S.I. 2023/548 art. 2\(5\)](#)