
STATUTORY INSTRUMENTS

2001 No. 3649

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act 2000
(Consequential Amendments and Repeals) Order 2001

Made - - - - 9th November 2001

Laid before Parliament 9th November 2001

Coming into force in accordance with article 1

THE FINANCIAL SERVICES AND MARKETS ACT 2000
(CONSEQUENTIAL AMENDMENTS AND REPEALS) ORDER 2001

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390. Revocation of exception of communications by authorised persons or
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391. Investment of sums standing to the credit of any trust fund

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393. Interpretation of the 1988 Regulations
394. Meaning of “liable schemes”
395. Securing of liability for protected rights: prescribed requirements

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396. Exemption where the creditor is an authorised deposit taker

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397. Interpretation of the 1989 Regulations

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399. Revocation of the 1989 Regulations

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400. Further meaning of inability to pay debts where the company is a deposit
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401. Limitation on power to make administration order
402. Petition for winding-up by the Department
403. Powers to apply Parts 2 to 7 to former authorised institutions

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404. Investment of sums collected

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405. Interpretation of the 1990 Regulations

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407. Loan instruments issued by local authorities

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408. Interpretation of the 1990 Regulations
409. Revocation of spent provision
410. Enforcement of the 1990 Regulations

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411. Revocation of the 1990 Regulations

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412. Meaning of “the reference banks”

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415. Meaning of “relevant investment”

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416. Access to medical reports: “insurance purposes”

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417. Accounting requirements for authorised deposit takers not required to prepare accounts under Part VII of the Companies Act 1985

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418. Revocation of spent provision

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419. Meaning of “the reference banks”

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420. Actionable contravention giving rise to loss

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421. Assets of Serbia and Montenegro: definition of “investments”

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- The Child Support (Arrears, Interest and Adjustment of
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424. Meaning of “the reference banks”
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425. Meaning of “the reference banks”
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426. Meaning of “the reference banks”
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427. Meaning of “the reference banks”
428. Prescribed investments
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429. Meaning of “the reference banks”
- The Local Authorities (Funds) (Wales) Regulations 1992 (S.I. 1992/2929)*
430. Meaning of “the reference banks”
431. Prescribed investments
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432. Interpretation of the 1992 Order
- The Insurance Companies (Amendment) Regulations 1993 (S.I. 1993/174)*
433. Revocation of the 1993 Regulations
- The Iraq (United Nations) (Sequestration of Assets) Order 1993 (S.I. 1993/1244)*
434. Interpretation of the 1993 Order
435. Winding up of an authorised deposit taker or former authorised institution
- The Insurance Companies (Cancellation) Regulations 1993 (S.I. 1993/1327)*
436. Revocation of the 1993 Regulations

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437. Revocation of unnecessary provision

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438. Interpretation of the 1993 Regulations
439. Meaning of “relevant financial business”
440. Accounts with deposit takers which constitute evidence of identity
441. Responsibility of principal for record-keeping by appointed representative
442. Meaning of references to “supervisory authorities” in the 1993 Regulations
443. Persons who must disclose information indicating engagement in money laundering

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444. Interpretation of article 12: assets of Libya

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445. Interpretation of article 12: assets of Libya

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446. Interpretation of article 12: assets of Libya

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447. Interpretation of article 12: assets of Libya

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448. Interpretation of the Schedule to the 1993 Order

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449. Revocation of the 1993 Regulations

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450. Interpretation of the 1993 Regulations: qualifying bodies

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451. Investment of additional voluntary contributions
452. Persons from whom pensions must be purchased

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453. Interpretation of the 1994 Regulations
454. Requirements for recognition of overseas investment exchanges

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455. Revocation of unnecessary provision

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456. Assets of Haiti: definition of “investments”

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457. Assets of Haiti: definition of “investments”

The Haiti (United Nations Sanctions) (Channel Islands) Order 1994 (S.I. 1994/1325)

458. Assets of Haiti: definition of “investments”

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459. Assets of Haiti: definition of “investments”

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460. Revocation of the 1994 Regulations

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461. Revocation of references to person no longer performing regulatory functions

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462. Interpretation of the 1994 Regulations
463. General representatives

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464. Revocation of the 1994 Regulations

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465. Meaning of “policy holder”
466. Notes to annual accounts of friendly societies

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467. Winding up of an insolvent partnership which is an authorised person
468. Partnerships which are authorised persons: administration orders
469. Authorised deposit takers and former authorised institutions: restriction on presentation of bankruptcy petition by partners
470. Disqualification of a member of an insolvent partnership after investigation

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471. Interpretation of the 1994 Regulations

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472. Meaning of “securities”

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473. Meaning of “securities”

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474. Assets of persons connected with Bosnian Serb controlled areas: definition of “investments”

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475. Assets of persons connected with Bosnian Serb controlled areas: definition of “investments”

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476. Assets of persons connected with Bosnian Serb controlled areas: definition of “investments”

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477. Interpretation of the 1994 Regulations

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478. Interpretation of the Trust Deed and Superannuation Scheme

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479. Interpretation of the Appendix to the 1994 Regulations

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480. Interpretation of the 1994 Regulations: authorised deposit taker

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481. Revocation of the 1994 Regulations

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482. Revocation of the 1994 Regulations

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483. Actionable contraventions
484. Meaning of “reference banks”

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485. Interest on unpaid levies

*The Local Government Changes For England (Property Transfer
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486. Interest on unpaid sums

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488. Purchase of retirement benefits from an authorised insurer

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489. Interpretation of the 1995 Order

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490. Revocation of spent provision

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491. Meaning of “the reference banks”
492. Meaning of “insurance company”
493. Actionable loss

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494. Interpretation of the 1995 Regulations
495. Information to be supplied on request
496. Information in explanatory literature
497. Information in advertisements
498. Enforcement
499. Other provisions

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500. Interpretation of the 1995 Order: meaning of “insurer”

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501. Interpretation of the 1995 Regulations
502. Investments to which Part II of the 1995 Regulations applies
503. Listing rules
504. Exemptions
505. Specified kinds of investments
506. Exceptions
507. Contraventions by an authorised person
508. Maximum penalties
509. Miscellaneous and Supplementary
510. Interpretation of Schedule 1
511. Application of Part VI of the Financial Services and Markets Act 2000 to a recognised European document
512. Revocation of spent provision

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513. Revocation of the 1995 Regulations

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514. Meaning of “reference banks”

The Local Authorities (Precepts) (Wales) Regulations 1995 (S.I. 1995/2562)

515. Meaning of “the reference banks”

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- 516. Meaning of “collective investment scheme”
- 517. Information to be provided in the case of a common deposit fund

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- 518. Meaning of “the reference banks”

The National Parks Authorities (Levies) (Wales) Regulations 1995 (S.I. 1995/3019)

- 519. Meaning of “the reference banks”

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- 520. Interpretation of the 1995 Regulations

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- 521. Prescribed requirements applying to insurers

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- 522. Revocation of the 1996 Regulations

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- 523. Revocation of the 1996 Regulations

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- 524. Interpretation of the 1996 Regulations

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- 525. Interpretation of the 1996 Regulations

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- 526. Prescribed conditions relating to an insurer

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- 527. Revocation of the 1996 Regulations

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- 528. Relevant occupational pension schemes
- 529. Notification by fund managers of any conflict of interest
- 530. Prescribed circumstances: accounts with exempt deposit-takers
- 531. Meaning of “deposit-taker”

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532. Interpretation of the 1996 Regulations

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533. Qualifications of contractors

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534. Revocation of the 1996 Order

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535. Interpretation of the 1996 Order

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536. Provision of information

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537. Revocation of spent provision

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538. Provision of information

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539. Exclusions from jurisdiction

540. Payment of interest on late paid benefit

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541. Interest on unpaid levies

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542. Revocation of the 1996 Regulations

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543. Maintenance of files for registered societies

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544. Interpretation of the 1996 Regulations

545. Restrictions on employer-related investments

546. Investments to which restrictions on employer-related investments do not
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547. Loans that become employer-related

548. Exemptions from section 35 of the 1995 Act

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549. Capital receipts of debt-free authorities

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550. Forms of scheme which may be appropriate schemes

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551. Interpretation of the 1997 Order

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552. Interpretation of the 1997 Regulations

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553. Requirements applying to policies of insurance and annuity contracts

*The Social Security (Recovery of Benefits) (Northern
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554. Payments by insurers

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555. Interpretation of the 1997 Regulations
556. Interpretation of regulations 5 and 6 of, and Schedule 2A to, the 1997
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557. Revenue restrictions
558. Prescribed persons to whom information may be provided

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559. Persons to whom information may be supplied

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560. Persons to whom information may be provided

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561. Interest on unpaid levies

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562. Interest on unpaid levies

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563. Interest on late payment of certain benefits

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564. Transfer of business: prescribed matters for transfer statements and transfer
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- 565. Group accounts: subsidiary undertakings
- 566. Interpretation of Schedules to the 1998 Regulations

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- 567. Meaning of “securities”
- 568. Borrowing powers
- 569. Insurance by the NCIS Service Authority against accidents to members

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- 570. Interpretation of the 1998 Order

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- 571. Assets of UNITA or persons connected with UNITA: definition of “investments”

The Angola (United Nations Sanctions) (Channel Islands) Order 1998 (S.I. 1998/1756)

- 572. Assets of UNITA or persons connected with UNITA: definition of “investments”

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- 573. Assets of UNITA or persons connected with UNITA: definition of “investments”

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- 574. Interpretation of the 1998 Regulations: general
- 575. Definition of “investment”
- 576. Definition of “investment manager”
- 577. Limits on investments
- 578. Definition of “open-ended investment company”

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- 579. Revocation of the 1998 Regulations

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- 580. Interpretation of the 1998 Order

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- 581. Meaning of “qualifying lending institution”

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- 582. Revocation of references to former tribunals

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583. Functions of the Financial Services Authority

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584. Revocation of the 1999 Regulations

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586. Restrictions on persons taking part in proceedings of the governing body or their committees

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588. Interpretation of regulation 24 of the 2000 Regulations

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591. Qualifying arrangements

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592. Interpretation of the 2000 Regulations

593. Means of assuring pension credit benefit

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594. Insurance companies that may provide safeguarded rights by way of annuities

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595. Interpretation of the 2000 Regulations: general

596. Interpretation of regulation 8

597. Meaning of “proper advice”

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598. Interpretation of the 2000 Regulations: “reference banks”

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599. Construction of the 2000 Order—“relevant institution”

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600. Construction of the 2000 Order—“relevant institution”

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601. Construction of the 2000 Order—“relevant institution”

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602. Revocation of reference to Policyholders Protection Board

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603. Revocation of references to person no longer performing regulatory functions

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604. Meaning of “bank”

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605. Meaning of “bank”

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606. Construction of the 2001 Order—“relevant institution”

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607. Construction of the 2001 Order—“relevant institution”

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608. Construction of the 2001 Order—“relevant institution”

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609. Meaning of “bank”

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610. Interpretation of the Trust Deed—meaning of “authorised insurance company”
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