
STATUTORY INSTRUMENTS

2001 No. 3592

The Financial Services and Markets Act 2000 (Transitional Provisions) (Partly Completed Procedures) Order 2001

PART II

AUTHORISATIONS AND RESTRICTIONS UNDER OLD LAW

CHAPTER I

APPLICATIONS, NOTICES OF INTENTION TO REFUSE AND SURRENDERS OF AUTHORISATION

Applications

Applications for variation or cancellation of suspension or restriction

4.—(1) Where an application to which this article applies—

- (a) related to a requirement, prohibition or other matter having effect after commencement as a requirement under section 43; and
- (b) had not been decided before commencement,

it is to be treated as an application made to the Authority under section 44 and as relating to the requirement under section 43.

(2) Where an application to which this article applies—

- (a) related to a requirement, prohibition or other matter having effect after commencement as a requirement under section 196; and
- (b) had not been decided before commencement,

it is to be treated as an application made to the Authority under section 200 and as relating to the requirement under section 196.

(3) The applications to which this article applies are—

- (a) an application under section 28(5) of the Financial Services Act;
- (b) an application under section 69 of that Act;
- (c) an application to a recognised self-regulating organisation or recognised professional body for the variation or withdrawal of a prohibition or requirement imposed by the organisation or body;
- (d) an application for the variation or withdrawal of a limit or condition imposed under section 12 of the Banking Act;
- (e) an application for the variation or revocation of a direction under section 19 of that Act;
- (f) an application under regulation 15(3) of the 2BCD Regulations or regulation 9(3) of the ISD Regulations;

Changes to legislation: There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Transitional Provisions) (Partly Completed Procedures) Order 2001, Section 4. (See end of Document for details)

- (g) an application for the variation or withdrawal of a restriction imposed under regulation 10 of the 2BCD Regulations.

Commencement Information

- II** Art. 4 in force at 1.12.2001, see [art. 1\(2\)](#)

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Transitional Provisions) (Partly Completed Procedures) Order 2001, Section 4.