
Status: This version of this provision is prospective.

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Official Listing of Securities) (Transitional Provisions) Order 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

STATUTORY INSTRUMENTS

2001 No. 2957

The Financial Services and Markets Act 2000 (Official Listing of Securities) (Transitional Provisions) Order 2001

PROSPECTIVE

Applications for listing

4.—(1) This article applies to—

- (a) applications made pursuant to section 143 of the 1986 Act for the admission to the official list of Part IV securities; and
- (b) applications for the admission to the official list, otherwise than in accordance with Part IV of the 1986 Act, of non-Part IV securities.

(2) Where an application to which this article applies (“the original application”) was made before commencement, in accordance with the procedure applicable to such an application, but was not finally determined before commencement, it is to be treated as if it were an application made under section 75(1) for admission of the securities in question to the official list in accordance with Part VI.

(3) For the purposes of subsection (1) of section 76 (time within which an application for listing is to be determined)—

- (a) the application is to be treated as received by the competent authority on the day on which the original application was received by the Authority, and
- (b) the reference in paragraph (b) of that subsection to further information which the competent authority requires the applicant to provide in connection with the application is to be treated as including further information which, before commencement, the Authority required the applicant to furnish in connection with the original application.

Commencement Information

II Art. 4 comes into force in accordance with art. 1

Status:

This version of this provision is prospective.

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Official Listing of Securities) (Transitional Provisions) Order 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- [art. 4 coming into force by S.I. 2001/2957 art. 1](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- [Order power to modify conferred by 2023 c. 29 s. 3 Sch. 1 Pt. 2](#)
- [Order revoked by 2023 c. 29 Sch. 1 Pt. 2](#)