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STATUTORY INSTRUMENTS

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**2001 No. 1201**

**FINANCIAL SERVICES AND MARKETS**

The Financial Services and Markets  
Act 2000 (Exemption) Order 2001

Made - - - - 26th March 2001  
Coming into force In accordance with  
article 1

THE FINANCIAL SERVICES AND MARKETS  
ACT 2000 (EXEMPTION) ORDER 2001

1. Citation and commencement
  2. Interpretation
  3. Persons exempt in respect of any regulated activity other than insurance business
  4. Persons exempt in respect of accepting deposits
  5. Persons exempt in respect of particular regulated activities
  - 5A. Persons exempt in respect of administering a benchmark
  6. Transitional exemption for credit unions
- Signature

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SCHEDULE —

PART I — PERSONS EXEMPT IN RESPECT OF ANY REGULATED  
ACTIVITY OTHER THAN INSURANCE BUSINESS

1. The Bank of England.
2. ....
3. ....
4. ....
5. ....
6. ....
7. The European Investment Bank.
8. The International Bank for Reconstruction and Development.
9. The International Finance Corporation.
10. The International Monetary Fund.
11. The African Development Bank.
12. The Asian Development Bank.

*Changes to legislation:* There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001. (See end of Document for details)

- 13. The Caribbean Development Bank.
- 14. The Inter-American Development Bank.
- 15. The European Bank for Reconstruction and Development.
- 15A Bank for International Settlements.
- 15B Bank of England Asset Purchase Facility Fund Limited
- 15C Covid Corporate Financing Facility Limited.
- 15D UK Infrastructure Bank Limited.

PART II — PERSONS EXEMPT IN RESPECT OF ACCEPTING DEPOSITS

- 16. A municipal bank, that is to say a company which...
- 17. (1) Keesler Federal Credit Union, in so far as...
- 18. A body of persons certified as a school bank by...
- 19. ....
- 20. (1) Any body which by virtue of any enactment...
- 21. The Council of Europe Development Bank.
- 22. A charity, in so far as it accepts deposits—
- 23. The National Children’s Charities Fund in so far as—
- 24. An industrial and provident society, in so far as it...
- 24A .....
- 25. ....

PART III — PERSONS EXEMPT IN RESPECT OF ANY REGULATED ACTIVITY MENTIONED IN ARTICLE 5(1)

- 26. The National Debt Commissioners.
- 27. Partnerships UK.
- 28. The International Development Association.
- 29. The English Tourist Board.
- 30. ....
- 31. VisitScotland.
- 32. The Northern Ireland Tourist Board.
- 33. Scottish Enterprise.
- 33A Invest Northern Ireland.
- 34. The Multilateral Investment Guarantee Agency.
- 34A The Board of the Pension Protection Fund.
- 34B Capital for Enterprise Limited, in so far as in carrying...
- 34C BIS (Postal Services Act 2011) Company Limited.
- 35. A person acting as an official receiver within the meaning...
- 36. ....
- 37. ....
- 38. A person acting as a judicial factor.
- 39. ....

PART IV — PERSONS EXEMPT IN RESPECT OF PARTICULAR REGULATED ACTIVITIES

*Enterprise schemes*

- 40. (1) Any body corporate which has as its principal...

*Employee share schemes in electricity industry shares*

- 41. (1) Each of the persons to whom this paragraph...

*Gas industry*

- 42. (1) Transco plc is exempt from the general prohibition...

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*Trade unions and employers' associations*

43. (1) A trade union or employers' association is exempt...

*Charities*

44. (A1) A charity is exempt from the general prohibition...

*Schemes established under the Trustee Investments Act 1961*

45. A person acting in his capacity as manager or operator...

*Former members of Lloyd's*

46. Any person who ceased to be an underwriting member (within...

*Local authorities*

47. ....

*Social housing*

48. (1) A relevant housing body is exempt from the general...

*Electricity industry*

49. (1) NGC is exempt from the general prohibition in respect...

*Freight forwarders and storage firms*

50. (1) A freight forwarder or storage firm is exempt from...

*Policyholder Advocates*

51. (1) A person acting as a policyholder advocate is exempt...

*Official receivers etc.*

52. (1) A person acting as— (a) . . . . .

*Cycle to work*

53. (1) An employer who provides or makes available to their...

*Tracing agents*

54. (1) A person who takes steps to ascertain the identity...

*Persons who carry on activities for the service of certain documents*

- 54A (1) A person who serves, or takes steps to serve,...

*Persons exercising, or having the right to exercise, the rights of the person who provided credit under a regulated credit agreement*

55. (1) A person within the description in sub-paragraph (3) ("P")...

**Changes to legislation:** There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001. (See end of Document for details)

*Persons exercising, or having the right to exercise, the rights of the person who provided credit under a regulated consumer hire agreement*

56. (1) A person within the description in sub-paragraph (3) (“P”)...

*Student Loans Company*

57. (1) The Student Loans Company Limited is exempt from the...

*Norges Bank*

58. Norges Bank is exempt from the general prohibition in respect...

Explanatory Note

**Changes to legislation:**

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Exemption) Order 2001.