
STATUTORY INSTRUMENTS

1997 No. 470

PENSIONS

**The Personal Pension Schemes
(Appropriate Schemes) Regulations 1997**

Made - - - - - *25th February 1997*
Laid before Parliament *4th March 1997*
Coming into force *6th April 1997*

**THE PERSONAL PENSION SCHEMES
(APPROPRIATE SCHEMES) REGULATIONS 1997**

1. Citation, commencement and interpretation
 2. Forms of schemes which may be appropriate schemes
 3. Persons who and bodies which may establish schemes
 4. Requirements in respect of an application for an appropriate scheme certificate
 5. Issue of appropriate scheme certificates
 6. Requirement to give the Secretary of State information
 - 6A Provision of information for purposes of contracting-out
 7. Cancellation, variation and surrender of an appropriate scheme certificate
 8. Applications for the variation of, and to surrender, appropriate scheme certificates
 9. Cancellation of an appropriate scheme certificate
 10. Notice under section 44(1) of the 1993 Act
 11. Notice under section 44(2) of the 1993 Act
 12. Circumstances in which minimum contributions are not to be paid
 13. Manner of payment of minimum contributions
 14. Allocation of minimum contributions
 15. Calculation or estimation of earnings
 16. Calculation of minimum contributions
 17. Adjustment of amount of minimum contributions
 18. Cancellation of membership of interest-bearing account-funded appropriate personal pension schemes
 19. Transitional provision in respect of earnings
 20. Revocations
- Signature

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Personal Pension Schemes (Appropriate Schemes) Regulations 1997. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULE 1 — KINDS OF UNIT TRUST SCHEME THAT MAY BE AN APPROPRIATE SCHEME

PART I — KINDS OF UNIT TRUST SCHEME

1. KINDS OF UNIT TRUST SCHEME THAT MAY BE AN APPROPRIATE SCHEME
2. KINDS OF UNIT TRUST SCHEME THAT MAY BE AN APPROPRIATE SCHEME
3. KINDS OF UNIT TRUST SCHEME THAT MAY BE AN APPROPRIATE SCHEME
4. KINDS OF UNIT TRUST SCHEME THAT MAY BE AN APPROPRIATE SCHEME

PART II — DEFINITIONS

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SCHEDULE 2 — INFORMATION TO BE INCLUDED IN NOTICE

1. INFORMATION TO BE INCLUDED IN NOTICE
2. INFORMATION TO BE INCLUDED IN NOTICE
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8. INFORMATION TO BE INCLUDED IN NOTICE
9. INFORMATION TO BE INCLUDED IN NOTICE

SCHEDULE 3 — REVOCATIONS

Explanatory Note

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Personal Pension Schemes (Appropriate Schemes) Regulations 1997. Any changes that have already been made by the team appear in the content and are referenced with annotations.

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Changes and effects yet to be applied to :

- reg.8 amended by [S.I. 2000/2975 reg 4](#)
- reg.10(2) amended by [S.I. 1997/3038 reg.12](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- Act cert. functs. trans. (pt.prosp.) by [1999 c. 2 s.1\(2\)Sch.2](#)

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 8(2)(c) and word inserted by [S.I. 2000/2975 reg. 4\(a\)](#)
- reg. 8(2A) inserted by [S.I. 2000/2975 reg. 4\(b\)](#)