

## SCHEDULE 1

Regulations 1(2), 15

### REGULATIONS REVOKED

- Dual-Use and Related Goods (Export Control) Regulations 1995 (S.I. [1995/271](#)).
- Dual-Use and Related Goods (Export Control) (Suspension) Regulations 1995 (S.I. [1995/441](#)).
- Dual-Use and Related Goods (Export Control) (Suspension No. 2) Regulations 1995 (S.I. [1995/1151](#)).
- Dual-Use and Related Goods (Export Control) (Amendment) Regulations 1995 (S.I. [1995/1424](#)).
- Dual-Use and Related Goods (Export Control) (Amendment No. 2) Regulations 1995 (S.I. [1995/3298](#)).
- Dual-Use and Related Goods (Export Control) (Amendment) Regulations 1996 (S.I. [1996/1124](#)).
- Dual-Use and Related Goods (Export Control) (Amendment No. 2) Regulations 1996 (S.I. [1996/1736](#)).

## SCHEDULE 2

Regulations 4(2)(a), (d)(ii)(aa), 14

### PROHIBITED GOODS — ANY DESTINATION

#### **Interpretations, exclusions and definitions**

1. Where notes are included in any entry of this Schedule they are to be treated as part of the entry.
2. “Software” described by the General Software Note in Annex I to the “Decision” is not specified in this Schedule.
3. References in this Schedule to the General Technology Note are to that Note in Annex I to the “Decision”; and “according to the General Technology Note” means that these Regulations apply to the extent indicated in the General Technology Note.
4. For convenience only, defined terms are printed in quotation marks.
5. In this Schedule:
  - “Annex I” means Annex I to the “Decision”;
  - “basic scientific research” means experimental or theoretical work undertaken principally to acquire new knowledge of the fundamental principles of phenomena or observable facts and not primarily directed towards a specific practical aim or objective;
  - “development” means any activity or phase prior to production and may include or relate to design, design research, design analysis, design concepts, design data, assembly and testing of prototypes, pilot production schemes, the process of transforming design data into a product, configuration design, integration design or layout;
  - “improvised explosive devices” means devices fabricated or intended to be placed in an improvised manner incorporating destructive, lethal, noxious, pyrotechnic or incendiary chemicals, designed to destroy, disfigure or harass; they may incorporate military stores, but are normally devised from non-military components;
  - “microprogramme” means a sequence of elementary instructions, maintained in a special storage, the execution of which is initiated by the introduction of a reference instruction into an instruction register;

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“production” includes all production phases, including construction, production engineering, manufacture, integration, assembly (which includes mounting), inspection, testing and quality assurance;

“production equipment” means tooling, templates, jigs, mandrels, moulds, dies, fixtures, alignment mechanisms, test equipment, other machinery and components therefor, limited to those specially designed or modified for “development” or for one or more phases of “production”;

“production facilities” means equipment and specially designed software therefor integrated into installations for “development” or for one or more phases of “production”;

“programme” means a sequence of instructions to carry out a process in, or convertible into, a form executable by an electronic computer;

“required”, as applied to “technology” means only that portion of “technology” which is peculiarly responsible for achieving or exceeding the specified performance levels, characteristics or functions; such “required” “technology” may be shared by different “goods” and is specified in this Schedule whether or not the “technology” being exported in the particular case is intended to be applied in respect of “goods” specified in this Schedule;

“software” means one or more programmes or microprogrammes fixed in any tangible medium of expression;

“technology” means technology as defined in Annex I to the “Decision” in a tangible form;

“use” means operation, installation (which includes on-site installation), maintenance, checking, repair, overhaul and refurbishing.

CATEGORY 1 — MATERIALS, CHEMICALS, MICROORGANISMS & TOXINS

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*IB*

*Test, Inspection and Production Equipment.*

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1B915

The export of goods specified in this entry is prohibited to any destination except to Member States.

Equipment for the “production”, handling and acceptance testing of “goods” specified in entries 1C011.a, 1C011.b and 1C111 of “Annex I” or in entries ML8a.1., ML8a.2., ML8a.3., ML8a. 5., ML8a.6., ML8a.7., ML8a.18., ML8a.19., ML8a.20., ML8d., ML8e.10., ML8e.11., ML8e.18., ML8e.22., ML8e.29., ML8e.32., ML8e.39. and ML8e.41 in Group I of Part III of Schedule 1 to the Export of Goods (Control) Order 1994, except equipment described in entry ML18a of that Group, and specially designed components therefor.

*Notes:*

1. The only mixers specified in this entry are those which have provision for mixing under vacuum in the range of zero to 13.326

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<i>1B</i> <i>Test, Inspection and Production</i> <i>Equipment.</i>	<hr/> <p>kPa and with temperature control capability of the mixing chamber:</p> <ul style="list-style-type: none"><li>(a) batch mixers having a total volumetric capacity of 110 litres or more and at least one mixing/kneading shaft mounted off centre;</li><li>(b) continuous mixers having two or more mixing/kneading shafts and capability to open the mixing chamber.</li></ul> <p>2. This entry includes fluid energy mills capable of processing ammonium perchlorate, cyclotetramethylene-tetranitramine (HMX) or cyclotrimethylene-trinitramine (RDX).</p> <p>3. This entry does not include “goods” specified in entry 1B115 of “Annex I”.</p> <hr/>
<i>1C</i> <i>Materials.</i>	<hr/> <p>1C950</p> <p>The export of goods specified in this entry is prohibited to any destination except to member States.</p> <p>Mixtures containing any of the chemicals specified in entry 1C350 of “Annex I”.</p> <p><i>Except:</i></p> <p>Mixtures which include any of the controlled chemicals, which:</p> <ul style="list-style-type: none"><li>1. are put up for retail sale and intended for individual personal use or consumption; or</li><li>2. contain the chemical in such a way that it cannot be easily recovered by standard processes.</li></ul> <p>1C991</p> <p>The export of goods specified in this entry is prohibited to any destination.</p> <p>Other explosives and propellants and related substances as follows:</p> <ul style="list-style-type: none"><li>a. Amatol;</li><li>b. Nitrocellulose (containing more than 12.5% nitrogen);</li><li>c. Nitroglycol;</li><li>d. Pentaerythritol tetranitrate (PETN);</li></ul>

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<i>IC</i>	
<i>Materials.</i>	
1C992	<p>e. Picryl chloride;  f. Trinitrophenylmethylnitramine (tetryl);  g. 2, 4, 6-Trinitotoluene (TNT);</p> <p>The export of goods specified in this entry is prohibited to any destination.</p> <p>Vaccines for protection against either of the following:  a. bacillus anthracis; or  b. botulinum toxin.</p>
<i>IE</i>	
<i>Technology.</i>	
1E915	<p>The export of goods specified in this entry is prohibited to any destinations except to Member States.  “Technology” according to the General Technology Note for the “development”, “production” or “use” of equipment specified in entry 1B915 of this Schedule.</p>
1E950	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.  “Technology” “required” for the “development” or “production” of mixtures specified in entry 1C950 of this Schedule.</p>

CATEGORY 3 —ELECTRONICS

<i>3A</i>	
<i>Equipment, Assemblies and Components.</i>	
3A990	<p>The export of goods specified in this entry is prohibited to any destination.</p> <p>Apparatus or devices, other than those specified in entry PL5006 of Group 1 of Part III of Schedule 1 to the Export of Goods (Control) Order 1994 or entries 3A229 to 3A232 of “Annex I” designed for the handling, control, discharging, decoying, jamming, detonation, disruption or detection of explosive devices or “improvised explosive devices”;</p> <p><i>except:</i></p>

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<b>3A</b> <i>Equipment, Assemblies and Components.</i>	
	inspection devices not employing electronic management; X-ray apparatus or devices. For controls on X-ray apparatus or devices see “Annex I”.
<b>3E</b> <i>Technology.</i>	
3E990	The export of goods in this entry is prohibited to any destination. “Technology” “required” for the “use” of “goods” specified in entry 3A990 of this Schedule.

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CATEGORY 5—TELECOMMUNICATIONS AND INFORMATION SECURITY

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<i>Part 1</i> <i>Telecommunications.</i>	
<b>5A1</b> <b>Equipment, Assemblies and Components.</b>	
5A990	The export of goods in this entry is prohibited to any destinations in Iran, Iraq or Libya.  Tropospheric scatter communication equipment using analogue or digital modulation techniques and specially designed components therefor.
5E990	The export of goods specified in this entry is prohibited to any destination in Iran, Iraq or Libya. “Technology” “required” for the “development”, “production” or “use” of “goods” specified in entry 5A990 of this Schedule.

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CATEGORY 8 — MARINE

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<b>8A</b> <i>Equipment, Assemblies and Components.</i>	
8A990	The export of goods specified in this entry is prohibited to any destination in Iran or Iraq.

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8A

*Equipment, Assemblies and Components.*

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Vessels, other than those specified in entry 8A001 of “Annex I”, as follows, and specially designed components therefor:

- a. vessels having special structural features for landing personnel and/or vehicles on a beach;
- b. vessels capable of supporting helicopter operations and maintenance;
- c. vessels capable of submerging;
- d. Vessels, not elsewhere specified in this Schedule or in “Annex I”, with a gross tonnage below 100 including inflatable craft in an inflated or uninflated state;

*except:*

light vessels, fire floats and dredgers.

8E990

The export of goods specified in this entry is prohibited to any destination in Iran or Iraq. “Technology” “required” for the “development”, “production” or “use” of “goods” specified in entry 8A990.

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CATEGORY 9—AIRCRAFT, SPACE VEHICLES,  
PROPULSION SYSTEMS AND RELATED EQUIPMENT

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9A

*Equipment, Assemblies and Components.*

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9A905

The export of goods specified in this entry is prohibited to any destination except to Member States.

Liquid-propellant rocket engines usable in complete rocket systems capable of a range of at least 300 km, other than those specified in entries 9A005 and 9A105 of “Annex I” having a total impulse capacity of 0.841 MNs or greater.

NB: SEE ALSO 9A919 OF THIS SCHEDULE AND 9A119 OF “ANNEX I”.

9A907

The export of goods specified in this entry is prohibited to any destination except to Member States.

Solid-propellant rocket engines, usable in complete rocket systems capable of a range of at least 300 km, other than those specified

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9A	<i>Equipment, Assemblies and Components.</i>
	in entries 9A007 and 9A107 of “Annex I”, having a total impulse capacity of 0.841 MNs or greater.
	NB: SEE ALSO 9A919 IN THIS SCHEDULE AND 9A119 OF “ANNEX I”.
9A919	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>Individual rocket stages as follows:</p> <ul style="list-style-type: none"><li>(a) usable in complete rocket systems capable of a range of at least 300 km, but not specified in entry 9A119 of “Annex I”;</li><li>(b) incorporating “goods” specified in entry 9A905 or 9A907 of this Schedule; or</li><li>(c) incorporating hybrid rocket motors, usable in complete rocket systems capable of a range of at least 300 km, other than those specified in entry 9A109 of “Annex I” having a total impulse capacity of 0.841 MNs or greater.</li></ul> <p><i>Note:</i> This entry does not include “goods” specified in entry 9A119 of “Annex I”.</p>
9A990	<p>The export of goods specified in this entry is prohibited to any destination in Iran, Iraq or Libya.</p> <p>“Aircraft” having a maximum all up weight of 390 kg or more, and aeroengines, and equipment or components designed therefor, other than those specified elsewhere in this Schedule and “Annex I”.</p>
9A991	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>“Aircraft” or steerable parachutes other than those specified in entry ML10 of Group 1 of Part III of Schedule 1 to the Export of Goods (Control) Order 1994, having a maximum all up weight of not more than 390 kg.</p>
9A993	<p>The export of goods specified in this entry is prohibited to any destination in Libya.</p>

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<p>9A Equipment, Assemblies and Components.</p>	
	<p>Equipment for simulating or modelling any function of any “aircraft” or any part of any “aircraft”, specially designed components and specially designed accessories therefor.</p>
<p>9B Test, Inspection and Production Equipment.</p>	
9B915	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>Specially designed “production equipment” for the systems, sub-systems and components specified in entries 9A905, 9A907 and 9A919 of this Schedule.</p> <p><i>Note:</i> This entry does not include “goods” specified in entry 9B115 of “Annex I”.</p>
9B916	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>Specially designed “production facilities” for the systems, sub-systems, and components specified in entries 9A905, 9A907 and 9A919 of this Schedule.</p> <p><i>Note:</i> This entry does not include “goods” specified in entry 9B116 of “Annex I”.</p>
<p>9D Software.</p>	
9D901	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>“Software” specially designed for the “use” of “goods” specified in entry 9B916 of this Schedule.</p> <p><i>Note:</i> This entry does not include “goods” specified in entry 9D101 of “Annex I”.</p>
9D993	<p>The export of goods specified in this entry is prohibited to any destination in Libya.</p> <p>“Software” specially designed or modified for the “use” of “goods” specified in entry 9A993 of this Schedule.</p>



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9E	
Technology.	
9E901	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>“Technology” according to the General Technology Note for the “development” of equipment or “software” specified in entries 9A905, 9A907, 9A919, 9B915, 9B916 and 9D901 of this Schedule.</p> <p><i>Note:</i> This entry does not include “goods” specified in entries 9E001 and 9E101 of “Annex I”.</p>
9E902	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>“Technology” according to the General Technology Note for the “production” of equipment or “software” specified in entries 9A905, 9A907, 9A919, 9B915, 9B916 and 9D901 of this Schedule.</p> <p><i>Note:</i> This entry does not include “goods” specified in entries 9E002 and 9E101 of “Annex I”.</p>
9E903	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>“Technology” according to the General Technology Note for the “use” of equipment or “software” specified in entries 9A905, 9A907, 9A919, 9B915, 9B916 and 9D901 of this Schedule.</p> <p><i>Note:</i> This entry does not include “goods” specified in entry 9E102 of “Annex I”.</p>
9E990	<p>The export of goods specified in this entry is prohibited to any destination in Iran, Iraq or Libya.</p> <p>“Technology” “required” for the “development”, “production” or “use” of equipment or “software” specified in entry 9A990 of this Schedule.</p>
9E991	<p>The export of goods specified in this entry is prohibited to any destination except to Member States.</p> <p>“Technology” “required” for the “development”, “production” or “use”</p>

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<p>9E <i>Technology.</i></p>	<p>of equipment or “software” specified in entry 9A991 of this Schedule.</p>
<p>9E993</p>	<p>The export of goods specified in this entry is prohibited to any destination in Libya. “Technology” “required” for the “development”, “production” or “use” of equipment or “software” specified in entry 9A993 of this Schedule.</p>

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SCHEDULE 3

Regulation 4(2)(d)(i)

PROHIBITED GOODS—MEMBER STATES ONLY

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<p>NOTE:</p>	<p>The goods in this Schedule are fully specified in Annex I to the Decision.</p>
<p>1C239</p>	<p>High Explosives</p>
<p>3A229</p>	<p>Electric detonators, firing sets and high-current pulse generators</p>
<p>3A232</p>	<p>Detonators and multipoint initiation systems.</p>

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