## **SCHEDULE 4**

Regulation 9

## FEES FOR APPROVALS AND SURVEILLANCE INSPECTIONS

- 1. On the making of an application under regulation 9 to the competent authority for the approval of a person as an approved person there shall be payable by the applicant in connection with the performance by or on behalf of the competent authority of its functions in relation to that application, a fee or fees to be determined in accordance with paragraphs 2 to 5.
- **2.** On receipt of the application referred to in paragraph 1 the competent authority shall prepare and send to the applicant an estimate of the cost to it of the work necessary for the determination of the application, and subject to paragraph 4, the amount so estimated shall be the amount of the initial fee payable and shall be paid forthwith to the competent authority.
- **3.** On determination of the application referred to in paragraph 1 the competent authority shall prepare and send to the applicant a detailed statement of the work carried out for the determination of the application and of the cost reasonably incurred by it in carrying out that work or in having it carried out on its behalf.
- **4.** If the cost so stated in accordance with paragraph 3 differs from the amount estimated in accordance with paragraph 2—
  - (a) where it is greater, the amount of the difference shall be the amount of the final fee payable and shall be paid forthwith; and
  - (b) where it is less, the initial fee shall be re-determined accordingly and the amount of the difference shall be paid forthwith to the applicant by the competent authority.
- 5. In estimating or stating the cost of carrying out any work the competent authority may determine the cost of employing an officer for any period on work appropriate to his grade by reference to the average cost to it of employing officers of his grade for that period.
- **6.** Following a surveillance inspection of an approved person in accordance with regulation 9(13), the competent authority shall prepare and send to that person a statement of the cost of the performance by or on behalf of the comptent authority of its functions in relation to that inspection, and the amount so stated shall be the fee payable for that inspection and shall be paid forthwith.