#### STATUTORY INSTRUMENTS

### 1990 No. 2517

## **PATENTS**

The Patents (Fees) (No. 2) Rules 1990

Made - - - -

10th December 1990

Laid before Parliament

13th December 1990

Coming into force

7th January 1991

The Secretary of State, in exercise of the powers conferred upon him by section 123(1), (2) and (3) of, and paragraph 14 of Schedule 4 to, the Patents Act 1977(a), of the power conferred upon him by the Department of Trade and Industry (Fees) Order 1988(b), and of all other powers enabling him in that behalf, after consultation with the Council on Tribunals pursuant to section 10(1) of the Tribunals and Inquiries Act 1971(c) and with the consent of the Treasury pursuant to subsection (4) of the said section 123, hereby makes the following Rules: –

- 1.—(1) These Rules may be cited as the Patents (Fees) (No. 2) Rules 1990 and shall come into force on 7th January 1991.
  - (2) The Patents (Fees) Rules 1990(d) are hereby revoked.
- 2. These Rules shall be construed as one with the Patent Rules 1990(e) and, to the extent that they continue to apply in relation to existing patents and existing applications, to the Patents Rules 1968(f).
- 3. The fees to be paid in respect of any matters arising under the Patents Act 1949(g) or the Patents Act 1977 shall be those specified in the Schedule to these Rules; and in any case where a form specified in the Schedule as the corresponding form in relation to any matter is required by the Patents Rules 1968 or the Patents Rules 1990 to be used, that form shall be accompanied by the fee specified in respect of that matter or, where payment may be made within a prescribed period of time after the form has been filed, the fee so specified shall be paid within that period.

Hesketh
Minister of State,
Department of Trade and Industry

5th December 1990

We consent to the making of these rules.

John Major
Norman Lamont
Two of the Lords Commissioners
of Her Majesty's Treasury

10th December 1990

<sup>(</sup>a) 1977 c.37. (b) S.I. 1988/93, as amended by S.I. 1990/1473, which was made under section 102 of the Finance (No. 2) Act 1987 (c.51). The relevant provisions of that Order are article 7 and Part IV of Schedule 1. (c) 1971 c.62. (d) S.I. 1990/1697. (e) S.I. 1990/2384. (f) S.I. 1968/1389, which was revoked by, except as provided for by rule 124 of, S.I. 1978/216, which was itself revoked, except for rule 124 and Schedule 4 (savings), by S.I. 1982/717. The latter instrument was revoked by rule 123 of S.I. 1990/2384, which also amends rule 124 of S.I. 1978/216. (g) 1949 c.87.

#### Rule 3

# **SCHEDULE**

# **FEES PAYABLE**

#### PART A

(In this Part of this Schedule, any reference to a section is a reference to that section of the Patents Act 1977 and any reference to a rule is a reference to that rule of the Patents Rules 1990.)

Number of corresponding Patents Form	Item	Amoun
		£
1/77	On request for the grant of a patent (the filing fee)	15
2/77	On reference under section 8(1), 12(1) or 37(1)	32
3/77	On application under section 8(5) or 37(3) for authorisation by comptroller	32
4/77	On request for directions under section 10 or 12(4)	32
5/77	On reference under section 11(5) or 38(5) to determine the question of a licence	32
6/77	On application to comptroller under section 13(1) and/or 13(3)	24
7/77	Statement of inventorship and of right to the grant of a patent	
3/77	On request for certificate authorising release of sample of micro- organism	11
8A/77	On notice of intention to restrict availability of samples of micro- organism to expert	11
8B/77	On request for certificate authorising release of sample of micro- organism to export	11
9/77	On request for preliminary examination and search, request for further search under section 17(6) or payment for a supplementary search under section 17(8)	105
10/77	On request for substantive examination	120
11/77	On request to amend application before grant	27
12/77	*On payment of renewal fee (and additional fee for late payment) -	
	Before the expiration of the 4th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 5th year	94
	Before the expiration of the 5th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 6th year	100
	Before the expiration of the 6th year from the date of filing of the application for the patent or, in the case of an existing patent, the	
	Before the expiration of the 7th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 8th year	108
	Before the expiration of the 8th year from the date of filing of the application for the patent or, in the case of an existing patent, the	
	Before the expiration of the 9th year from the date of filing of the application for the patent or, in the case of an existing patent, the	130
	date of the patent and in respect of the 10th year  Before the expiration of the 10th year from the date of filing of the	142
	application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 11th year	158
	Before the expiration of the 11th year from the date of filing of the application for the patent or, in the case of an existing patent, the	172

#### SCHEDULE: Part A - continued

Number of corresponding Patents Form	Item	Amount
	Before the expiration of the 12th year from the date of filing of the	£
	application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 13th year	194
	Before the expiration of the 13th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 14th year	214
	Before the expiration of the 14th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 15th year	238
	Before the expiration of the 15th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 16th year	262
	Before the expiration of the 16th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 17th year	286
	Before the expiration of the 17th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 18th year	308
	Before the expiration of the 18th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 19th year	342
	Before the expiration of the 19th year from the date of filing of the application for the patent or, in the case of an existing patent, the date of the patent and in respect of the 20th year	378
	Additional fee for late payment of a renewal fee under section 25(4)-	
	Not exceeding one month	20
	Each succeeding month	20
14/77	On application to amend specification after grant of a patent	56
15/77	On notice of opposition to amendment of specification after grant	24
16/77	On application for the restoration of a patent	114
17/77	Additional fee on the application for restoration of a patent	114
18/77	On offer to surrender a patent	_
19/77	On notice of opposition to offer to surrender a patent	24
20/77	On request for alteration of a name, for each patent or application	3
21/77	On application to register or to give notice of a transaction, instrument or event affecting the rights in a patent or application for a patent	24
	On each application covering more than one patent or application for a patent, the devolution of title being the same as in the first patent or application for a patent. For each additional request	3
22/77	On request for the correction of an error in the register or in any connected document	17
23/77	On request for miscellaneous informationEach	3
24/77	On request for certificate of the comptroller -	
	(a) rubber stamp impression	3
	(b) Signed and sealed certificate	12
25/77	On application for entry of order or direction of court in the register	_
26/77	On application for compensation by employee	32
27/77	On application under section 41(8)	32

# SCHEDULE: Part A - continued

Number of corresponding Patents Form	Item	Amoun
28/77	On application by proprietor for entry to be made in the register to	12
20/77	the effect that licences under the patent are to be available as of right.	13 56
29/77 20/77	On application for settlement of terms of licence of right	30
30/77	On application by proprietor under section 47(1) for cancellation of entry in the register	24
31/77	On application under section 47(3) by person interested for cancellation of entry in the register	24
32/77	On notice of opposition to an application under section 47(1) or 47(3) for cancellation of entry in the register	24
33/77	On application under section 48(1) for a compulsory licence or entry in the register	56
34/77	On application by Crown in case of monopoly or merger	56
35/77	On notice of opposition to application made under section 48 or 51	24
36/77	On reference to the comptroller of a dispute as to infringement	56
37/77	On application for declaration of non-infringement	56
38/77	On application for the revocation of a patent	24
39/77	On application to register copy of entry made in European Register of Patents	10
10/77	On payment of filing fee upon conversion of European patent application to an application under the Act	15
<b>1</b> 1/77	On request for conversion of European patent application	15
13/77	On request for publication of translation	10
14/77	On application to the comptroller for an international application to be treated as an application under the Act	15
45/77	On application for order for evidence to be obtained in the United Kingdom	32
<b>4</b> 7/77	On request for correction of error or mistake	34
<b>\$</b> 8/77	On opposition to request for correction of error or mistake	24
19/77	On request for information relating to a patent or application	8
50/77	On request for extension of time or period under rule 110(3)Each	114
51/77	On appointment or change of agent	_
52/77	On request for extension of time or period under rule 110(4)	114
53/77	Additional fee for extension of time or period under rule 110(6)	114
54/77	On filing of translation of European Patent (UK) under section 77(6)(a)	28
55/77	On filing of translation of an amended European Patent (UK) under section 77(6)(b)	28
56/77	On request for publication of translation of claims of application for European Patent (UK) filed under section 78(7)	28
57/77	On request for publication of corrected translation of European Patent (UK) or application for European Patent (UK) filed under section 80(3)	28
58/77	On declaration that licences of right shall not extend to excepted uses	_
_	National fee (section 89A(3))	15
	As Receiving Office or International Preliminary Examining Authority under the Patent Co-operation Treaty –	
_	Transmittal fee (rule 118(1))	15
_	Preliminary examination fee (rule 120)	55
_	Additional fee (rule 121)	55

<sup>\*</sup> One half of these fees if entry in the register "Licences of Right".

#### PART B

(In this Part of this Schedule -

- (1) any reference to a section is a reference to that section of the Patents Act 1949; and
- (2) any reference to a rule is a reference to that rule of the Patents Rules 1968, as it continues to apply by virtue of rule 124 of the Patents Rules 1978(a).)

Number of corresponding Patents Form	Item	Amou
1 Add	Application for grant of patent of addition in lieu of independent patent	29
7	For extension of time under rule 30, 33 or 50 -	
	Not exceeding one month	16
	Each succeeding month	16
8	On application for result of search made under sections 7 and 8	3
9	On application under section 9(2) for deletion of reference	-
13	On notice that hearing before the comptroller will be attended	_
14	On request under section 16(3)	8
15	On claim under section 16(4)	8
16	On application for extension of the period under section 16(5)	11
17	On application for a certificate under section 16(8)	21
18	On claim under section 17(1) for application to proceed in name of claimants	24
19	On application for directions under section 17(5)	29
20	On request for sealing of a patent	20
21	On application for extension of the period for requesting the sealing of a patent under section 19(3) –	
	Not exceeding one month	16
	Each succeeding month	16
22	On application for extension of the period for requesting the sealing of a patent under section 19(4) –	
	Not exceeding one month	16
	Each succeeding month	16
23	On application under section 20 for amendment of a patent	56
32	On application under section 28 for sealing of a patent	29
33	On opposition to application under section 28	24
34	Additional fee for sealing under section 28	74
35	On application to amend specification after acceptance	48
36	On notice of opposition to amendment	24
38	On application to amend an application for a patent	24
39	On application for revocation of a patent under section 33	24
43	On application for settlement of terms of licence under patent endorsed "Licences of Right"	56
44	On application by patentee for cancellation of endorsement of patent "Licences of Right"	56
46	On notice of opposition to cancellation of endorsement of patent "Licences of Right"	56
53	On application under section 55(1) for directions of comptroller	56
54	On application under section 55(2) for directions of comptroller	56
55	On application under section 56(1) to determine dispute	56

#### SCHEDULE: Part B continued

Number of corresponding Patents Form	Item	Amount
63	On application for entry in the register of claim to a licence under a patent extended under section 23, 24 or 25	
64	On request to comptroller to correct a clerical error	34
65	On notice of opposition to the correction of a clerical error	24
68	For duplicate of patent	24
69	On application for entry of order of court in the register	_

#### **EXPLANATORY NOTE**

(This note is not part of the Rules)

Upon consolidation, with modifications, of the Patents Rules 1982, (S.I. 1982/717 as amended), by the Patents Rules 1990 (S.I. 1990/2384), ("the 1990 Rules"), these Rules revoke and replace the Patents (Fees) Rules 1990. They come into force on 7 January 1991, the date upon which the 1990 Rules come into force.

With regard to the fees prescribed under the Patents (Fees) Rules 1990 (effective from 10 September 1990), Form 13/77 (payment of additional fee under section 25(4) of the Patents Act 1977) is deleted and its function and associated fee incorporated into Form 12/77. A further fee of £105 is introduced on Form 9/77 for a supplementary search under section 17(8) of the Patents Act 1977, in addition to two new fees (£11 each), which are prescribed in relation to Forms 8A/77 and 8B/77 (samples of micro-organisms) as a result of revisions contained in the 1990 Rules.