

1986 No. 2187**TRANSPORT****The Railways (Notice of Accidents) Order 1986**

Made - - - 10th December 1986
Coming into Operation 1st January 1987

The Secretary of State for Transport, in exercise of the powers conferred by section 6 of the Regulation of Railways Act 1871(a), as extended by section 13(2) of the Railway Employment (Prevention of Accidents) Act 1900(b) and amended by section 43(1) of the Road and Rail Traffic Act 1933(c), now vested in him(d), and of all other enabling powers, hereby makes the following Order:—

Citation, commencement, revocation

1.—(1) This Order may be cited as the Railways (Notice of Accidents) Order 1986 and shall come into operation on 1st January 1987.

(2) The Railways (Notice of Accidents) Order 1980(e) is hereby revoked.

Interpretation

2. In this Order:—

“1871 Act” means the Regulation of Railways Act 1871;

“1933 Act” means the Road and Rail Traffic Act 1933;

“major injury” means a personal injury involving:

(a) fracture of the skull, spine or pelvis;

(b) fracture of any bone—

(i) in the arm or wrist, but not a bone in the hand; or

(ii) in the leg or ankle, but not a bone in the foot;

(c) amputation of—

(i) a hand or foot; or

(ii) a finger, thumb or toe, or any part thereof if the joint or bone is completely severed;

(d) the loss of sight of an eye, a penetrating injury to an eye, or a chemical or hot metal burn to an eye;

(a) 1871 c.78.

(b) 1900 c.27.

(c) 1933 c.53.

(d) 1919 c.50; S.R.&O. 1919/1440; S.I. 1970/1681, 1979/571 and 1981/238.

(e) S.I. 1980/1941.

- (e) either injury (including burns) requiring immediate medical treatment, or loss of consciousness, resulting in either case from an electric shock from any electrical circuit or equipment, whether or not due to direct contact;
- (f) loss of consciousness resulting from lack of oxygen;
- (g) decompression sickness (unless suffered during an operation to which the Diving Operations at Work Regulations 1981(a) apply) requiring immediate medical treatment;
- (h) either acute illness requiring medical treatment, or loss of consciousness, resulting in either case from the absorption of any substance by inhalation, ingestion or through the skin;
- (i) acute illness requiring medical treatment where there is reason to believe that this resulted from exposure to a pathogen or infected material; or
- (j) any other injury which results in the person injured being admitted immediately into hospital for more than 24 hours;

“minor injury” means a personal injury which is not a major injury and which, in the case of a person who is an employee either of the railway or of a contractor to the railway, prevents that person for more than three days from earning full wages at the work at which he was employed;

“notifiable accident” means an accident of a kind specified in Schedule 1 to this Order;

“railway” means the whole or any portion of a railway or tramway which has been authorised by any special Act of Parliament or by any Certificate or Order under an Act of Parliament;

“running line” means any line which is not a siding and is ordinarily used for the passage of trains;

and any reference to a train includes, where the context so admits, a reference to a locomotive or other power unit and to a vehicle used on a railway.

Kinds of accident requiring notification

3.—(1) The kinds of accidents specified in paragraphs 1 and 2 of Schedule 1 to this Order are those specified in paragraphs (1), (2) and (3) of section 6 of the 1871 Act (as modified by the 1933 Act) as requiring to be reported to the Secretary of State by the railway on which they occur.

(2) The kinds of accidents specified in Schedule 1 to this Order, other than those described in paragraph (1) above, are hereby specified as accidents requiring to be reported to the Secretary of State in accordance with paragraph (4) of section 6 of the 1871 Act by the railway on which they occur.

Arrangements for notification

4. Notice of the following classes of notifiable accident shall be sent by telegraph or telephone to the Secretary of State and followed by a written notice in the form prescribed in article 5:—

(a) S.I. 1981/399.

- (a) any accident which causes the death of any person;
- (b) any accident to a train which causes major injury to any person;
- (c) any accident which is of a serious or unusual character or likely to lead to prolonged disruption of any rail service.

Prescribed forms of notice

5.—(1) The notices (otherwise than by telegraph or telephone) of all notifiable accidents shall be given subject to and in accordance with the provisions of this article.

(2) Every such notice shall be given in the appropriate form or forms set out in Schedule 2 to this Order, or as nearly in that form as circumstances admit, in accordance with the following provisions.

(3) Except where the accident is notifiable solely by reason of being within one of the classes specified in column (2) of the Table to paragraph (4) below—

- (a) for all accidents other than accidents at level crossings Form A shall be the appropriate form, and.
- (b) for all accidents at level crossings Form B shall be the appropriate form.

(4) The forms specified in column (1) in the Table below shall be the appropriate forms for the classes of accidents specified in column (2) of that Table in relation to each such form.

TABLE

(1)	(2)
Form C	Fractured rails.
Form D	Trains becoming divided.
Form E1	Certain non-fatal movement accidents to passengers resulting in minor injury, as indicated on the form.
Form E2	Certain non-fatal non-movement accidents to persons other than railway employees, contractors' employees and trespassers, as indicated on the form.
Form E3	Certain non-fatal non-movement accidents to railway employees and contractors' employees resulting in minor injury, as indicated on the form.
Form F	Certain open-door accidents on trains that do not cause injury to any person, as indicated on the form.

(5) All notices of accidents required to be submitted on Forms A, B, C or D shall be despatched to the Secretary of State by the earliest practicable post and every other form shall be despatched as soon as practicable after the end of the month to which it refers.

(6) All notices submitted on Forms A or B of accidents to railway employees and contractors' employees shall be submitted in triplicate; all other notices shall be submitted in duplicate. The notices shall be dated and signed and addressed to the Railway Inspectorate of the Department of Transport.

John Moore,
Secretary of State for Transport.

10th December 1986.

SCHEDULE 1

Article 3(2)

ACCIDENTS OCCURRING IN THE WORKING OF A RAILWAY WHICH ARE NOTIFIABLE ACCIDENTS

1. *Accidents to persons*

Any accident attended with loss of life or major or minor personal injury to any person whomsoever.

2. *Accidents to passenger trains*

- (a) Any collision where one of the trains is a passenger train;
- (b) any passenger train or any part of a passenger train accidentally leaving the rails.

3. *Accidents not involving passenger trains*

- (a) Any collision on a running line where any vehicle sustains damage as a result of the collision, and any collision in a siding which results in a running line being obstructed;
- (b) any derailment on a running line, except a derailment which occurs during shunting operations and does not obstruct any other running line;
- (c) any derailment in a siding which results in a running line being obstructed.

4. *Accidents involving any kind of train*

- (a) Any case of a train striking a buffer stop, other than in a siding, where damage is caused to the train;
- (b) any case of a train on a running line striking or being struck by any object which causes damage (other than damage consisting solely in the breakage of glass) necessitating immediate temporary or permanent repair or which might have been liable to derail the train;
- (c) any case of a train striking any cattle or horse, whether or not damage is caused to the train, or striking any other animal if, in consequence, damage (other than damage consisting solely in the breakage of glass) is caused to the train necessitating immediate temporary or permanent repair;
- (d) any case of a passenger train, or any other train not fitted with continuous self-applying brakes, becoming divided.

5. *Accidents and incidents at level crossings*

- (a) Any train striking a road vehicle or a gate at a level crossing;
- (b) any train running onto a level crossing when not authorised to do so;
- (c) a failure of the equipment at a level crossing which could endanger users of the road or path crossing the railway.

6. *Accidents involving a locomotive or other power unit or any rolling stock*

Any of the following classes of accident is notifiable if it occurs or is discovered whilst the vehicle is on a running line:—

- (a) the failure of an axle;
- (b) the failure of a wheel or tyre, including a tyre loose on its wheel;
- (c) the failure of a rope or the fastenings thereof in working an incline;
- (d) any fire, severe electrical arcing or fusing in or on any part of a passenger train;
- (e) in the case of any train other than a passenger train, any severe electrical arcing, fusing, or any fire which was extinguished by a fire-fighting service;
- (f) any other failure of any part of a train which is likely to cause an accident to that or any other train or to kill or injure any person.

7. *Accidents involving the permanent way and other works on or connected with a railway*

- (a) The failure of a rail in a running line, which results in a complete fracture through its cross-section or in a piece becoming detached from the rail;
- (b) a buckle of a running line which necessitates the immediate imposition of a speed restriction lower than that currently in force;
- (c) any aircraft or vehicle of any kind landing on, running onto or coming to rest foul of the line, or damaging the line, causing damage which obstructs the line or damaging any railway equipment at a level crossing;
- (d) the runaway of an escalator, lift or passenger conveyer;
- (e) any fire or severe arcing or fusing which seriously affects the functioning of electrical signalling equipment;
- (f) any other fire affecting the permanent way or works of the railway which necessitates the suspension of services over any line, or the closure of any part of a station, signalbox or other premises for a period
 - (i) in the case of a fire affecting any part of the railway below ground, of more than 30 minutes, and
 - (ii) in any other case, of more than 1 hour.

8. *Accidents involving failure of the works on or connected with the railway.*

The following classes of accident are notifiable if they are likely either to cause an accident to a train or to endanger any person:—

- (a) a failure of a tunnel, bridge, viaduct, culvert, station, or other structure or any part thereof including the fixed electrical equipment of an electrified railway;
- (b) a slip of a cutting or of an embankment;
- (c) flooding of the permanent way;
- (d) the striking of a bridge by a road vehicle or its load;
- (e) the failure of any other portion of the permanent way or works not specified above.

SCHEDULE 2

Article 5(2)

FORM A

RETURN OF ANY ACCIDENT NOT AT A LEVEL CROSSING

(To be forwarded in duplicate, or in triplicate if it relates to an accident to a person at work on the railway)

..... Region/Railway Railway ref

Accident at (Place)

on (date) at (time)

Principal paragraph and item of Schedule 1 under which the accident is being reported

Description of line or location at the time of the accident

Description of any other line obstructed by the accident

Casualties:—(use separate sheet if necessary)

Mr Mrs Miss etc.	Name	Status*	Injury	Age	Length of service (Employees only)

*Whether an employee of the Railway, or of a contractor (giving in either case the grade), passenger, trespasser, person on business or other person.

Details of train(s) involved:—

Reporting No.	Time and place of origin and destination	Description/type of train, with Locomotive codes/Unit Classes where applicable	Speed at moment of accident where relevant

Description of accident:—

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

Signature

Date

(For the attention of
the Railway Inspectorate)

FORM B

RETURN OF ANY ACCIDENT AT A LEVEL CROSSING (SIDE 1)

(To be forwarded in duplicate, or in triplicate if it relates to an accident to a person at work on the railway)

..... Region/Railway Railway ref

Accident at Level Crossing

on (date) at (time)

Location:

National grid ref between and (stations etc)

Particulars of Level Crossing:

1. Type of crossing (See glossary below).....
Public/Occupation/Accommodation level crossing*
2. No. of railway tracks over level crossing
3. Permitted speed of trains over crossing
4. Were there any faults with the crossing control or operating equipment after the accident? No/Yes* (Give details in Description of Accident—overleaf)
5. (a) Name of Controlling/Monitoring* Signalbox/Gatebox*
(b) If (a) not relevant, is crossing trainman operated/user worked*
6. If gatebox or user-worked how is warning of trains given?
7. Sighting distances in metres (only those relevant to the accident need be given)
(a) For all crossings: sighting of crossing from Up/Down* train
(b) For unprotected crossings: Sighting of Up/Down* train from road user 2 metres from nearest rail on Up/Down* side
8. Normal position of: gates—across road/across railway*
barriers—raised/lowered*
9. Are gates/barriers interlocked with protecting signals? Yes/No*
- 10 (a) Are there wicket gates? Yes/No*.
(b) If YES, are they lockable? Yes/No*
(c) If YES, were they locked at time of accident? Yes/No*

(*Delete inappropriate words)

Glossary of types of level crossing

Protected Level Crossings

MG: Manned Gates
 MCB: Manually Controlled Barriers
 CCTV: Manually Controlled Barriers
 monitored by Closed-Circuit
 TV
 AHB: Automatic Half-Barriers
 AOCR: Automatic Open Crossing
 (Remotely Monitored)
 AOCL: Automatic Open Crossing
 (Locally Monitored)
 MWL: User-Worked Crossing with
 Miniature Warning Lights

Unprotected Level Crossings

UWG: User-Worked Gates
 UWGT: User-Worked Gates with
 telephone
 OC: Open Crossing
 FR: Footpath crossing

FORM B (SIDE 2)

Casualties:—

Mr Mrs Miss etc.	Name	Status*	Injury	Age	Length of service (Employees only)

* Whether an employee of the Railway, or of a contractor (giving in either case the grade), passenger, trespasser, person on business or other person.

Train Involved:

Reporting No.	Time and place of origin and destination	Description/type of train, with Locomotive codes/Unit Classes where applicable	Speed at moment of accident where relevant

Description of Accident:

Signature

Date

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

(For the attention of
the Railway Inspectorate)

FORM C

REPORT OF A FRACTURED RAIL

(To be forwarded in duplicate)

- Railway Ref
1. Report of fractured bull-head/flat-bottom* rail
 2. Discovered at (place) on (date)
 3. Description of line affected
(eg. Up/Down, Passenger/Freight etc)
 - 4(a) Grade of steel (b) Date of manufacture
 5. Date installed at fracture site
 6. Weight per unit length: (a) When new
(b) At time of fracture
 7. Position of fracture
(eg. Through bolt hole, through weld, running-on end, running-off end)
 8. Had rail previously been used elsewhere? Yes/No*
 9. Was the rail in a length of (a) Continuous Welded Rail*
(b) Long Welded Rail
(c) Jointed Rail.
(d) Switch & Crossing work
 10. Description of fracture:

(*Delete inappropriate words)

Signed

Date

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

(For the attention of
the Railway Inspectorate)

NOTE: When accident results from fractured rail this form should accompany the Form A or Form B as appropriate.

FORM D

REPORT OF A TRAIN ACCIDENTALLY DIVIDED

(To be forwarded in duplicate)

..... Region/Railway Railway ref

1. Division at (place) on (date)

2. Description of train, including motive power and total number of vehicles

.....

Description of Vehicle suffering coupling failure:

3. Position in train

4. Type of vehicle

5. Owner

6. Type of brake in use

7. Type of coupling in use

8. Type of failure:
(mark X in appropriate box)

(a) Uncoupling or release without damage

(b) Pulling-out or breakage of drawbar or hook

(c) Fracture of screw shackle or coupling links

(d) Other (describe)

9. Mode of operation; did division occur during—

(a) Starting

(b) Stopping

(c) In running

10. Cause of failure:

(a) Defective coupling

(b) Incorrectly coupled

(c) Mismanagement by driver

(d) Other (describe)

Signed

Date

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

(For the attention of
the Railway Inspectorate)

NOTE: When accident results from train division this form should accompany the
Form A or Form B as appropriate.

FORM E1

Return of certain* NON-FATAL MOVEMENT ACCIDENTS TO PASSENGERS
resulting in MINOR INJURY during the month of:—

..... 19.....

..... Region/Railway Railway ref

Category	Number of Casualties
Minor injury caused by:— Falling between trains and platforms, or onto platforms, ballast etc—	
300 When entering trains	
301 When alighting from trains	
302 Falling off platforms and being struck or run over by trains	
303 Being struck or run over by trains when crossing lines at stations The opening or closing at stations of carriage doors (other than power-worked doors—see 308)	
304 Causing injury to person on train	
305 Causing injury to person on platform	
306 Falling out of carriages during the running of trains	
307 Leaning out of carriage windows	
308 Power-worked doors on moving or stationary train	
309 Missiles thrown or dropped	
310 Miscellaneous accidents associated with the movement of trains not included in the above and not required to be reported separately	

- *Notes—1. Accidents must be reported separately when caused by a collision or
derailment of a train or by a fire on a train.
2. Accidents that are reported separately must not be included in the monthly
figures.

Signature

Date

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

(For the attention of
the Railway Inspectorate)

FORM E2

Return of certain* NON-FATAL NON-MOVEMENT ACCIDENTS CAUSING MINOR INJURY to persons OTHER THAN RAILWAY EMPLOYEES, CONTRACTORS' EMPLOYEES OR TRESPASSERS during the month of:—

..... 19.....

..... Region/Railway Railway ref

Category	Number of Casualties
<p><i>A. Passengers injured:</i></p> <p>401 When using escalators or passenger conveyors</p> <p>402 By power worked doors of lifts or premises</p> <p>403 When ascending or descending steps at stations</p> <p>404 Falling over packages and other temporary obstructions on platforms</p> <p>405 By falling off platforms onto the lines</p> <p>406 When crossing the line at stations</p> <p>407 By slipping, tripping or falling on the same level</p> <p>408 By miscellaneous accidents not included in the above and not required to be reported separately.</p>	
<p><i>B. Persons on the premises on business associated with the railway, suffering:</i></p> <p>441 Any non-movement accident</p>	
<p><i>C. Persons not on business but justifiably on the premises, suffering:</i></p> <p>451 Any non-movement accident</p>	

*Notes: 1. The following classes of accident must be reported separately on Forms A or B:

- (a) contact with live electrical equipment;
- (b) when struck by wheeled equipment other than passenger self-help trolleys;
- (c) when due to the carelessness of employees of the railway or of a contractor to the railway;
- (d) when involving circumstances of exceptional or unusual character.

2. Accidents which are reported separately must not be included in the monthly figures.

Signature

Date

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

(For the attention of
the Railway Inspectorate)

FORM E3

Return of certain* NON-FATAL NON-MOVEMENT ACCIDENTS to RAILWAY EMPLOYEES and CONTRACTORS' EMPLOYEES resulting in MINOR INJURY during the month of:—

..... 19.....

..... Region/Railway Railway ref

Category	Number of Casualties	
	Railway Employees	Contractors' Employees
411. Contact with or being trapped by moving machinery or material being machined.		
412. Struck by moving, including flying or falling, object, other than rails (see 424)		
413. Struck by moving vehicle (other than rail).		
414. Struck against something fixed or stationary.		
415. Injured whilst handling, lifting or carrying, other than rails (see 424)		
417. Fall of 2 metres in height or less, except from a rail vehicle (see 418)		
418. Fall of 2 metres in height or less from stationary rail vehicle.		
419. Slip, trip or fall on same level.		
420. Trapped by something collapsing or overturning.		
421. Burnt or scalded, other than by electrical or chemical agents. (See Notes 1(b) and (e))		
422. Using power-driven hand tools.		
423. Using unpowered hand tools.		
424. Injured during the handling of rails by manual or mechanical means.		
431. Assaulted whilst on duty.		
432. Miscellaneous accidents not included in the above and not required to be reported separately.		

- *Notes: 1. Minor injuries resulting from non-movement accidents must be reported on Forms A or B separately when caused by:
- (a) fall of more than 2 metres in height;
 - (b) live electrical apparatus, whether or not actual contact occurs;
 - (c) lack of oxygen;
 - (d) explosion;
 - (e) exposure to a harmful substance.
2. Injuries that are reported separately must not be included in the monthly figures.

Signature

Date

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

(For the attention of
the Railway Inspectorate)

FORM F

Return of certain* OPEN DOOR ACCIDENTS NOT CAUSING INJURY TO ANY PERSON during the month of:—

..... 19.....

..... Region/Railway Railway ref

Category	Number of Cases, by cause:						
	A04	A08	B03	C03	C05	D01	TOTAL
Collisions between a train and an open door on a train on an adjacent line:							
110A where either of the trains is a passenger train							
111A where neither of the trains is a passenger train.							
151A Collisions between an open door on any train and a lineside object.							

Key to Cause Classifications:—

- A04—Guard of train at fault.
- A08—Other Operations Dept. staff at fault.
- B03—Rolling stock defect.
- C03—Caused by the public but not maliciously.
- C05—Caused by malicious action.
- D01—Other cause or not determined.

*NOTE: Accidents that are reported separately must not be included in the monthly figures.

Signature

Date

The Permanent Secretary
Department of Transport
2 Marsham Street
LONDON SW1P 3EB

(For the attention of
the Railway Inspectorate)

EXPLANATORY NOTE

(This Note is not part of the Order.)

This Order specifies certain classes of accidents on railways and railway premises which are to be reported to the Secretary of State for Transport and contains provisions relating to the form and manner in which railway accidents are to be reported. The Order applies to statutory railways and tramways. It supersedes the Railways (Notice of Accidents) Order 1980.

The most significant change is the revised definition of a “major injury” which is made to correspond with that used in the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 1985 (S.I. 1985/2023).

The list of notifiable accidents in Schedule 1 has been reformulated, omitting occurrences which are not sufficiently serious to warrant the requirement of being reported.

As a consequence, the number of Forms of Notice set out in Schedule 2 has been reduced by two. Changes have been made to the style and wording of the Forms of Notice set out in Schedule 2 but no fundamental changes have been made to the information required to be provided in them.

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