

SCHEDULES

SCHEDULE 3

Section 3(2)(a)

SCOPE OF SCHEME REGULATIONS: SUPPLEMENTARY MATTERS

- 1 Eligibility and admission to membership.
This includes—
 - (a) specifying who, of the persons in relation to whom the scheme regulations may be made, is eligible for membership;
 - (b) conditions of eligibility.
- 2 The benefits which must or may be paid under the scheme.
Those benefits may include—
 - (a) pensions and other benefits on leaving service to which the scheme relates (whether before, at or after normal pension age);
 - (b) benefits payable on death (in service or otherwise);
 - (c) compensation payments (including for death, injury or redundancy);
 - (d) discretionary payments and concessions.
- 3 The persons to whom benefits under the scheme are payable.
Those persons may include—
 - (a) active, deferred and pensioner members of the scheme;
 - (b) pension credit members of the scheme;
 - (c) widows, widowers, surviving civil partners and surviving dependants.
- 4 The conditions subject to which benefits are payable.
- 5 The assignment of benefits, including restrictions on assignment.
- 6 The forfeiture or suspension of benefits.
- 7 The recovery of overpaid benefits.
- 8 The exclusion of double recovery of compensation or damages.
This includes—
 - (a) exclusion or modification of rights to compensation or damages in respect of any matter in a case where benefits are paid under the scheme in respect of the same matter;
 - (b) exclusion or modification of rights to benefits under the scheme where compensation or damages are received in respect of the same matter from another source.
- 9 Contributions, including—
 - (a) the making of contributions by employers and members;
 - (b) contribution rates;
 - (c) interest on late payment of contributions;

Status: This is the original version (as it was originally enacted).

- (d) the return of contributions (with or without interest).
- 10 The payment or receipt of transfer values or other lump sum payments for the purpose of creating or restoring rights to benefits (under the scheme or otherwise).
- 11 Pension funds (for schemes which have them).
- This includes the administration, management and winding-up of any pension funds.
- 12 The administration and management of the scheme, including—
- (a) the giving of guidance or directions by the responsible authority to the scheme manager (where those persons are different);
 - (b) the person by whom benefits under the scheme are to be provided;
 - (c) the provision or publication of information about the scheme.
- 13 The delegation of functions under scheme regulations, including—
- (a) delegation of functions by the scheme manager or responsible authority;
 - (b) further delegation of functions by any delegatee.
- 14 The payment by an employer of—
- (a) any costs relating to the administration of the scheme;
 - (b) any costs incurred because of a failure by the employer to comply with the employer's obligations under the scheme;
 - (c) interest relating to payments to be made by virtue of this paragraph.
- 15 The resolution of disputes and appeals (including the referral to a court of law of questions of law which under the scheme fall to be determined by the responsible authority).