



Health and Social Care Act 2012

2012 CHAPTER 7

PART 3

REGULATION OF HEALTH AND ADULT SOCIAL CARE SERVICES

CHAPTER 3

LICENSING

Enforcement

106 Enforcement undertakings

- (1) Monitor may accept an enforcement undertaking from a person if Monitor has reasonable grounds to suspect that the person—
 - (a) has provided, or is providing, a health care service for the purposes of the NHS in breach of the requirement to hold a licence under this Chapter,
 - (b) is a licence holder who has provided, or is providing, a health care service for the purposes of the NHS in breach of a condition of the licence, or
 - (c) is in breach of a requirement imposed by Monitor under section 104.
- (2) In this Chapter, “enforcement undertaking” means an undertaking from a person to take such action of a kind mentioned in subsection (3) as may be specified in the undertaking within such period as may be so specified.
- (3) The specified action must be—
 - (a) action to secure that the breach in question does not continue or recur,
 - (b) action to secure that the position is, so far as possible, restored to what it would have been if the breach in question was not occurring or had not occurred,
 - (c) action (including the payment of a sum of money) to benefit—
 - (i) any other licence holder affected by the breach, or

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to Health and Social Care Act 2012. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details)

- (ii) any commissioner of health care services for the purposes of the NHS which is affected by the breach, or
 - (d) action of such a description as may be prescribed.
- (4) Where Monitor accepts an enforcement undertaking then, unless the person from whom the undertaking is accepted has failed to comply with the undertaking or any part of it—
 - (a) Monitor may not impose on that person any discretionary requirement which it would otherwise have power to impose by virtue of section 105 in respect of the breach to which the undertaking relates, and
 - (b) if the breach to which the undertaking relates falls within subsection (1)(b), Monitor may not revoke that person's licence under section 89(b).
- (5) Where a person from whom Monitor has accepted an enforcement undertaking has failed to comply fully with the undertaking but has complied with part of it, Monitor must take the partial compliance into account in deciding whether—
 - (a) to impose a discretionary requirement on the person in respect of the breach to which the undertaking relates, or
 - (b) if the breach to which the undertaking relates falls within subsection (1)(b), to revoke the person's licence under section 89(b).

Annotations:

Commencement Information

II S. 106 partly in force; s. 106 in force for specified purposes at Royal Assent, see s. 306(1)(d)

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to Health and Social Care Act 2012. Any changes that have already been made by the team appear in the content and are referenced with annotations.

Changes and effects yet to be applied to :

- s. 106(1)(a) coming into force by [S.I. 2014/39 art. 2\(3\)](#)
- s. 106(1)(b)(c)(2)-(5) coming into force by [S.I. 2013/671 art. 2\(3\)](#)
- specified provision(s) amendment to earlier commencing SI 2012/1831 art. 10 by [S.I. 2012/2657 art. 15](#)

Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- Pt. 9 Ch. 1A inserted by [2015 c. 28 s. 2](#)
- s. 58(6)(c) inserted by [2013 c. 32 Sch. 12 para. 103](#)
- s. 73(3A) inserted by [S.I. 2014/892 Sch. 1 para. 189\(5\)](#)
- s. 80(3) inserted by [S.I. 2014/892 Sch. 1 para. 192\(3\)](#)
- s. 101(4A) inserted by [2013 c. 24 Sch. 6 para. 128\(4\)](#)
- s. 105(3A) (3B) inserted by [2013 c. 24 Sch. 14 para. 21](#)
- s. 106(3A) (3B) inserted by [2013 c. 24 Sch. 14 para. 22](#)
- s. 111(2A) inserted by [2014 c. 23 s. 83\(2\)](#)
- s. 120(5A) inserted by [2013 c. 24 Sch. 6 para. 131\(4\)](#)
- s. 142(4A) inserted by [2013 c. 24 Sch. 6 para. 135\(3\)](#)
- s. 251A(7)(a) words substituted by [2018 c. 12 Sch. 19 para. 173\(2\)](#)
- s. 251A(9) inserted by [2018 c. 12 Sch. 19 para. 173\(3\)](#)
- s. 251B inserted by [2015 c. 28 s. 3](#)
- s. 251B(5)(a) words substituted by [2018 c. 12 Sch. 19 para. 174\(2\)](#)
- s. 251B(7) inserted by [2018 c. 12 Sch. 19 para. 174\(3\)](#)
- s. 251C inserted by [2015 c. 28 s. 4](#)
- s. 251C modified (temp.) by [S.I. 2015/1438 reg. 4](#)
- s. 253(1)(ca) inserted by [2014 c. 23 s. 122\(2\)](#)
- s. 261(1A) inserted by [2014 c. 23 s. 122\(3\)](#)
- s. 262A inserted by [2014 c. 23 s. 122\(4\)](#)
- s. 290(3)(ca) inserted by [2014 c. 23 Sch. 5 para. 15\(3\)](#)
- Sch. 8 para. 11(2A) inserted by [2013 c. 24 Sch. 15 para. 49](#)
- Sch. 10 para. 10(2A) inserted by [S.I. 2014/892 Sch. 1 para. 195\(4\)](#)
- Sch. 10 para. 10(3)(aa) inserted by [S.I. 2014/892 Sch. 1 para. 195\(5\)](#)
- Sch. 14 para. 16(1) Sch. 14 para. 16 renumbered as Sch. 14 para. 16(1) by [2014 c. 23 s. 120\(18\)\(e\)](#)
- Sch. 14 para. 24(2A) inserted by [2014 c. 23 s. 84\(8\)](#)
- Sch. 14 para. 4A 4B inserted by [2014 c. 23 s. 120\(18\)\(a\)](#)
- Sch. 14 para. 15(8) inserted by [2014 c. 23 s. 120\(18\)\(d\)](#)
- Sch. 14 para. 16(2)-(4) inserted by [2014 c. 23 s. 120\(18\)\(e\)](#)
- Sch. 14 para. 17(4A) inserted by [2014 c. 23 s. 120\(18\)\(g\)](#)
- Sch. 14 para. 24(2A) inserted by [2014 c. 23 s. 120\(18\)\(h\)](#)
- Sch. 14 para. 24A inserted by [2014 c. 23 s. 120\(18\)\(i\)](#)
- Sch. 14 para. 35(e) and word inserted by [2014 c. 23 s. 120\(18\)\(j\)](#)