
Changes to legislation: Financial Services Act 2012, Paragraph 5 is up to date with all changes known to be in force on or before 22 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 13

AUDITORS AND ACTUARIES

- 5 (1) Section 343 (information given by auditor or actuary: persons with close links) is amended as follows.
- (2) In subsection (1)—
- (a) in paragraph (a), after “authorised person” insert “ or recognised investment exchange, ”, and
 - (b) in paragraph (b), after “authorised person” insert “ or recognised investment exchange ”.
- (3) In subsection (3)—
- (a) for “the Authority”, in the first place, substitute “ a regulator ”,
 - (b) after “authorised person” insert “ or recognised investment exchange ”, and
 - (c) for “the Authority”, in the second place, substitute “ that regulator ”.
- (4) In subsection (4), for “Authority” substitute “ regulator ”.
- (5) in subsection (7), after “authorised person” insert “ or recognised investment exchange ”.
- (6) In subsection (8), after “authorised person” insert “ or recognised investment exchange ”.
- (7) For “the Authority”, in each other place (including the heading), substitute “ a regulator ”.

Commencement Information

II Sch. 13 para. 5 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)