

# Health Act 2009

# **2009 CHAPTER 21**

#### PART 1

QUALITY AND DELIVERY OF [F1HEALTH SERVICES] IN ENGLAND

#### **CHAPTER 1**

#### **NHS CONSTITUTION**

## 1 NHS Constitution

- (1) In this Chapter the "NHS Constitution" means—
  - (a) the document entitled "The NHS Constitution" published by the Secretary of State on 21 January 2009, or
  - (b) any revised version of that document published under section 3 or 4.
- (2) In this Chapter the "Handbook" means—
  - (a) the document entitled "The Handbook to the NHS Constitution" published by the Secretary of State on 21 January 2009, or
  - (b) any revised version of that document published under section 5.

# **Commencement Information**

I1 S. 1 in force at 19.1.2010 by S.I. 2010/30, art. 2(a)

# 2 Duty to have regard to NHS Constitution

(1) Each of the bodies listed in subsection (2) must, in performing its [FI health service functions], have regard to the NHS Constitution.

$F^{2}(2)$ The box	dies are—		
<sup>F3</sup> (a)		 	

E4 ...

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11(b)	
[F2(ca)	[F5NHS England];
[ <sup>F6</sup> (cb)	integrated care boards;]
(cc)	local authorities (within the meaning of section 2B of the National Health Service Act 2006);]
(c)	National Health Service trusts;
(d)	Special Health Authorities;
[ <sup>F7</sup> (da)	the National Institute for Health and Care Excellence;]
<sup>F8</sup> (db)	
(e)	NHS foundation trusts;
<sup>F9</sup> (f)	
(g)	the Care Quality Commission.
F10(h)	
$[^{\mathbf{F11}}(i)$	the Health Services Safety Investigations Body.]
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- (3) In subsection (1) [F12a "health service function"] means any function under an enactment which is a function concerned with, or connected to, the provision, commissioning or regulation of [F13health services].
- (4) Each person who—
  - [F14(za) provides health services under arrangements made by [F5NHS England] or [F15an integrated care board] under or by virtue of section 3, 3A, 3B or 4 of, or Schedule 1 to, the National Health Service Act 2006,]
    - (a) provides [F16health services] under a contract, agreement or arrangements made under or by virtue of an enactment listed in subsection (6), F17...
    - (b) provides or assists in providing [F16health services] under arrangements under section 12(1) of the National Health Service Act 2006 (c. 41), [F18 or
    - (c) provides health services under arrangements made by a local authority for the purposes of its functions under or by virtue of section 2B or 6C(1) of, or Schedule 1 to, that Act.]

must, in doing so, have regard to the NHS Constitution.

- (5) Each person who—
  - (a) in pursuance of a contract, agreement or arrangements as mentioned in [F19] subsection (4)(za), (a), (b) or (c)], makes arrangements ("sub-contracting arrangements") for another person to provide or assist in providing [F20] health services], or
  - (b) provides or assists in providing [F20 health services] under sub-contracting arrangements,

must, in doing so, have regard to the NHS Constitution.

- (6) The enactments referred to in subsection (4)(a) are the following provisions of the National Health Service Act 2006—
  - (a) section 83(2)(b) (arrangements made by PCTs for provision of primary medical services);
  - (b) section 84(1) (general medical services contracts);
  - (c) section 92 (other arrangements for the provision of primary medical services);
  - (d) section 100(1) (general dental services contracts);
  - (e) section 107(1) (other arrangements for the provision of primary dental services);

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- (f) section 117(1) (general ophthalmic services contracts);
- (g) section 126(1) (pharmaceutical services);
- (h) section 127(1) (additional pharmaceutical services);
- (i) Schedule 12 (local pharmaceutical services schemes).
- (7) In this Chapter "[F21]health services]" means health services provided in England for the purposes of the health service continued under section 1(1) of the National Health Service Act 2006.
- (8) References in this section to the provision of services include references to the provision of services jointly with another person.

#### **Textual Amendments**

- F1 Words in s. 2(1) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(2); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F2 S. 2(2)(ca)-(cc) inserted (1.10.2012 for specified purposes, 1.4.2013 in so far as not already in force) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(3)(c); S.I. 2012/1831, art. 2(2); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F3 S. 2(2)(a) omitted (1.4.2013) by virtue of Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(3)(a); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F4 S. 2(2)(b) omitted (1.4.2013) by virtue of Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(3)(b); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F5 Words in s. 2 substituted (1.7.2022) by Health and Care Act 2022 (c. 31), s. 186(6), Sch. 1 para. 1(1)(2); S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- **F6** S. 2(2)(cb) substituted (1.7.2022) by Health and Care Act 2022 (c. 31), s. 186(6), **Sch. 4 para. 170(2**); S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- F7 S. 2(2)(da) inserted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 17 para. 13; S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F8 S. 2(2)(db) omitted (1.2.2023) by virtue of The Health and Social Care Information Centre (Transfer of Functions, Abolition and Transitional Provisions) Regulations 2023 (S.I. 2023/98), reg. 1(2), Sch. para. 14 (with reg. 3)
- F9 S. 2(2)(f) omitted (1.7.2022) by virtue of Health and Care Act 2022 (c. 31), s. 186(6), Sch. 5 para. 42; S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- F10 S. 2(2)(h) omitted (1.4.2023) by virtue of The Health Education England (Transfer of Functions, Abolition and Transitional Provisions) Regulations 2023 (S.I. 2023/368), reg. 1(2), Sch. 1 para. 10 (with reg. 7)
- **F11** S. 2(2)(i) inserted (1.10.2023) by Health and Care Act 2022 (c. 31), s. 186(6), **Sch. 15 para. 9**; S.I. 2023/1035, reg. 2(c)
- F12 Words in s. 2(3) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(4); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- **F13** Words in s. 2(3) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), **Sch. 5** para. 174(b); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F14 S. 2(4)(za) inserted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(5)(a); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F15 Words in s. 2(4)(za) substituted (1.7.2022) by Health and Care Act 2022 (c. 31), s. 186(6), Sch. 4 para. 170(3); S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- Words in s. 2(4)(a)(b) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 174(b); S.I. 2013/160, art. 2(2) (with arts. 7-9); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F17 Word in s. 2(4)(a) omitted (1.4.2013) by virtue of Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(5)(b); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F18 S. 2(4)(c) and word inserted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 175(5)(c); S.I. 2013/160, art. 2(2) (with arts. 7-9)

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- **F19** Words in s. 2(5) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), **Sch. 5** para. 175(6); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- **F20** Words in s. 2(5)(a)(b) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), **Sch. 5 para. 174(b)**; S.I. 2013/160, art. 2(2) (with arts. 7-9); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- **F21** Words in s. 2(7) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), **Sch. 5** para. 174(b); S.I. 2013/160, art. 2(2) (with arts. 7-9)

#### **Modifications etc. (not altering text)**

C1 S. 2(1) applied (30.11.2017) by The Greater Manchester Combined Authority (Public Health Functions) Order 2017 (S.I. 2017/1180), arts. 1, 7(1)

#### **Commencement Information**

I2 S. 2 in force at 19.1.2010 by S.I. 2010/30, art. 2(a)

# 3 Availability and review of NHS Constitution

- (1) The Secretary of State must ensure that the NHS Constitution continues to be available to patients, staff and members of the public.
- (2) At least once in any period of 10 years the Secretary of State must carry out a review of the NHS Constitution (referred to in this Chapter as a "10 year review").
- (3) The following must be consulted about the NHS Constitution on a 10 year review—
  - (a) patients and bodies or other persons representing patients,
  - (b) staff and bodies or other persons representing staff,
  - (c) carers,
  - F22(d) .....
    - (e) members of the public,
    - (f) the bodies and persons listed in section 2(2), (4) and (5), and
    - (g) such other persons as the Secretary of State considers appropriate.
- (4) The first 10 year review must be completed not later than 5 July 2018.
- (5) The guiding principles may not be revised as a result of a 10 year review, except in accordance with regulations made by the Secretary of State setting out the revision to be made.
- (6) The Secretary of State must publish the NHS Constitution after any revision made as a result of a 10 year review.
- (7) In this Chapter—

"carers" means persons who, as relatives or friends, care for other persons to whom  $[^{F23}$ health services] are being provided;

"the guiding principles" means—

- (a) the 7 principles described in the NHS Constitution published on 21 January 2009 as "the principles that guide the NHS", or
- (b) any revised version of those principles set out in the NHS Constitution published under this section or section 4;
  - "patients" means persons to whom [F23health services] are being provided; "staff" means—
- (a) persons employed by a body listed in section 2(2) or otherwise working for such a body (whether as or on behalf of a contractor, as a volunteer

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or otherwise) in, or in connection with, the provision, commissioning or regulation of [F23] health services];

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(b) persons employed by a person listed in subsection (4) or (5) of section 2 or otherwise working for such a person (whether as or on behalf of a contractor, as a volunteer or otherwise) in, or in connection with, the provision of [F23 health services] or assistance or the making of arrangements as mentioned in the subsection in question.

F24(	8)	١.																

#### **Textual Amendments**

- F22 S. 3(3)(d) omitted (1.4.2013) by virtue of Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 176(2); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F23 Words in s. 3(7) substituted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 174(c); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- **F24** S. 3(8) omitted (1.4.2013) by virtue of Health and Social Care Act 2012 (c. 7), s. 306(4), **Sch. 5 para.** 176(3); S.I. 2013/160, art. 2(2) (with arts. 7-9)

#### **Commencement Information**

I3 S. 3 in force at 19.1.2010 by S.I. 2010/30, art. 2(a)

#### 4 Other revisions of NHS Constitution

- (1) This section applies to any revision of the NHS Constitution made other than as a result of a 10 year review (including any such revision which revises the guiding principles).
- (2) Before any revision the Secretary of State must undertake appropriate consultation about the proposed revision.
- (3) The persons consulted must include such patients, staff, members of the public and other persons as appear to the Secretary of State to be affected by the proposed revision.
- (4) The guiding principles may not be revised, except in accordance with regulations made by the Secretary of State setting out the revision to be made.
- (5) The Secretary of State must publish the NHS Constitution after any revision.

## **Commencement Information**

I4 S. 4 in force at 19.1.2010 by S.I. 2010/30, art. 2(a)

# 5 Availability, review and revision of Handbook

- (1) The Secretary of State must ensure that the Handbook continues to be available to patients, staff and members of the public.
- (2) At least once in any period of 3 years the Secretary of State must carry out a review of the Handbook.
- (3) The first review must be completed not later than 5 July 2012.

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(4) The Secretary of State must publish the Handbook after any revision (whether made as a result of a review under this section or otherwise).

## **Commencement Information**

I5 S. 5 in force at 19.1.2010 by S.I. 2010/30, art. 2(a)

# 6 Report on effect of NHS Constitution

- (1) The Secretary of State must publish a report every 3 years on how the NHS Constitution has affected patients, staff, carers and members of the public, since the last report was produced under this subsection.
- (2) The first report must be published not later than 5 July 2012.
- (3) The Secretary of State must lay before Parliament a copy of each report under subsection (1).

#### **Commencement Information**

I6 S. 6 in force at 19.1.2010 by S.I. 2010/30, art. 2(a)

# 7 Regulations under section 3 or 4

- (1) The power to make regulations under section 3 or 4 is exercisable by statutory instrument.
- (2) A statutory instrument containing regulations under either of those sections is subject to annulment in pursuance of a resolution of either House of Parliament.

#### **Commencement Information**

I7 S. 7 in force at 19.1.2010 by S.I. 2010/30, art. 2(a)

# **Changes to legislation:**

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# Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 2(6)(ca) inserted by 2022 c. 31 Sch. 3 para. 57(b)
- s. 2(6)(ea) inserted by 2022 c. 31 Sch. 3 para. 57(c)

#### Commencement Orders yet to be applied to the Health Act 2009

Commencement Orders bringing provisions within this Act into force:

S.I. 2011/1255 art. 2 amendment to earlier commencing SI 2010/1068 art. 2