



# Legal Services Act 2007

## 2007 CHAPTER 29

### PART 5

#### ALTERNATIVE BUSINESS STRUCTURES

##### *Regulation of licensed bodies*

#### **99 Disqualification**

- (1) A licensing authority may in accordance with its licensing rules disqualify a person from one or more of the activities mentioned in subsection (2) if—
  - (a) the disqualification condition is satisfied in relation to the person, and
  - (b) the licensing authority is satisfied that it is undesirable for the person to engage in that activity or those activities.
- (2) The activities are—
  - (a) acting as Head of Legal Practice of any licensed body,
  - (b) acting as Head of Finance and Administration of any licensed body,
  - (c) being a manager of any licensed body, or
  - (d) being employed by any licensed body.
- (3) The disqualification condition is satisfied in relation to a person if, in relation to a licensed body licensed by the licensing authority, the person (intentionally or through neglect)—
  - (a) breaches a relevant duty to which the person is subject, or
  - (b) causes, or substantially contributes to, a significant breach of the terms of the licensed body's licence.
- (4) The relevant duties are—
  - (a) the duties imposed on a Head of Legal Practice by section 91,
  - (b) the duties imposed on a Head of Finance and Administration by section 92,
  - (c) the duties imposed by section 176 on regulated persons (within the meaning of that section), and

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*Changes to legislation: There are currently no known outstanding effects for the Legal Services Act 2007, Section 99. (See end of Document for details)*

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(d) the duty imposed on non-authorised persons by section 90.

**Commencement Information**

**II** S. 99 in force at 1.10.2011 by [S.I. 2011/2196](#), [art. 2\(1\)\(c\)](#)

**Changes to legislation:**

There are currently no known outstanding effects for the Legal Services Act 2007, Section 99.