

Health and Social Care Act 2001

2001 CHAPTER 15

PART 1

NATIONAL HEALTH SERVICE

Health service funding

^{F1} 1	Determination of allotments to and resource limits for Health Authorities and Primary Care Trusts
Texti	ıal Amendments
F1	Ss. 1-4 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)
F12	Payments relating to past performance
Texti	ual Amendments
F1	Ss. 1-4 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)
F13	Supplementary payments to NHS trusts and Primary Care Trusts
3	Supplementary payments to NHS trusts and Frimary Care Trusts

Textual Amendments

F1 Ss. 1-4 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

F14 Public-private partnerships

......

Textual Amendments

F1 Ss. 1-4 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

5 Income generation

In section 7 of the Health and Medicines Act 1988 (c. 49) (additional powers for financing Health Service), after subsection (7) there shall be inserted—

- "(7A) The power specified in paragraph (g) of subsection (2) above includes power for the Secretary of State—
 - (a) to form, or participate in the forming of, companies,
 - (b) to invest in companies (whether by acquiring assets, securities or rights or otherwise), and
 - (c) to provide loans and guarantees and make other kinds of financial provision to or in respect of companies,

where it appears to him that to do so is calculated to facilitate, or to be conducive or incidental to, the exercise of any power conferred by that subsection.

- (7B) In subsection (7A) above "companies" means companies within the meaning of the Companies Act 1985 (c. 6); and that subsection is without prejudice to—
 - (a) the generality of paragraph (g) of subsection (2) above, and
 - (b) any powers of the Secretary of State exercisable otherwise than by virtue of this section."

Commencement Information

I1 S. 5 wholly in force at 12.9.2002; s. 5 not in force at Royal Assent, see s. 70(2); s. 5 in force for W. at 1.7.2002 by S.I. 2002/1475, art. 2(1), Sch. Pt. 1; s. 5 in force for E. at 12.9.2002 by S.I. 2002/2363, art. 3

Terms of employment of health service employees

^{F2} 6	Terms and conditions of employment by health service bodies

Textual Amendments

F2 S. 6 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

Local authority scrutiny of health service provision

7 Functions of overview and scrutiny committees

- (1) In section 21 of the Local Government Act 2000 (c. 22) (overview and scrutiny committees), in subsection (2), after paragraph (e) there shall be inserted—
 - "(f) in the case of the overview and scrutiny committee or committees of an authority to which section 7 of the Health and Social Care Act 2001 applies, to review and scrutinise, in accordance with regulations under that section, matters relating to the health service (within the meaning of that section) in the authority's area, and to make reports and recommendations on such matters in accordance with the regulations."

$F^{3}(2)$																
$F^{3}(3)$																
$F^{3}(4)$																
$F^{3}(5)$																

Textual Amendments

F3 S. 7(2)-(5) repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

Commencement Information

- I2 S. 7 partly in force; s. 7 in force for certain purposes at 11.5.2001, see s. 70(2)
- I3 S. 7 in force at 1.1.2003 for E. by S.I. 2003/53, art. 3(a)
- I4 S. 7 in force at 1.3.2007 in so far as not already in force, immediately before the National Health Service Act 2006 comes into force by S.I. 2006/1407, art. 1(1), Sch. 1 para. 8 (with art. 4)

F ⁴ 8	Joint overvie	w and	scrutiny	committees	etc.
------------------	---------------	-------	----------	------------	------

Textual Amendments

F4 Ss. 8-13 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

^{F4} 9	Overview	and scrutiny	committees:	exempt informa	tion
-----------------	----------	--------------	-------------	----------------	------



Abolition of Medical Practices Committee and National Health Service Tribunal

14	Abolition of Medical Practices Committee
F5	(1)
((2) On the date this section comes into force— (a) all property in the possession of the Medical Practices Committee, and (b) all rights and liabilities to which the Medical Practices Committee is entitled or subject immediately before that date, shall be transferred to the Secretary of State.
F5	(3)
	(4) A certificate issued by the Medical Practices Committee under paragraph 1(3) of Schedule 10 to the [F6National Health Service Act 1977, prior to its repeal by the National Health Service (Consequential Provisions) Act 2006,] before the commencement of this section shall continue to have effect from then on as if it had been issued by the Secretary of State.
	ual Amendments
F5 F6	S. 14(1)(3) repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1) Words in s. 14(4) substituted (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 1 para. 215 (with Sch. 3 Pt. 1)
³⁷ 15	Vacancies for medical practitioners
Texti	ual Amendments
F7	S. 15 repealed (1.4.2004) by 2003 c. 43, s. 199(1)(4), Sch. 14 Pt. 4 ; S.I. 2004/288, art. 6(2)(r) (with art. 7) (as amended by S.I. 2004/866 and S.I. 2005/2925); S.I. 2004/480, art. 5(2)(r) (with arts. 6, 7) (as amended by S.I. 2004/1019 and S.I. 2006/345)
⁷⁸ 16	Abolition of NHS Tribunal
Text	ual Amendments
F8	S. 16 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s.

8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

General and personal medical services, general dental services, general ophthalmic services and pharmaceutical services

Remuneration of general medical practitioners
al Amendments
S. 17 repealed (1.4.2004) by Health and Social Care (Community Health and Standards) Act 2003 (c. 43), s. 199(1)(4), Sch. 14 Pt. 4 ; S.I. 2004/288, art. 6(2)(r) (with art. 7) (as amended by S.I. 2004/866 and S.I. 2005/2925); S.I. 2004/480, art. 5(2)(r) (with arts. 6, 7) (as amended by S.I. 2004/1019 and S.I. 2006/345)
Out of hours medical services
al Amendments
S. 18 repealed (1.4.2004) by Health and Social Care (Community Health and Standards) Act 2003 (c. 43), s. 199(1)(4), Sch. 11 para. 70, Sch. 14 Pt. 4 ; S.I. 2004/288, arts. 5(2)(y), 6(2)(r) (with art. 7) (as amended by S.I. 2004/866 and S.I. 2005/2925); S.I. 2004/480, arts. 4(2)(cc), 5(2)(r) (with arts. 6, 7) (as amended by S.I. 2004/1019 and S.I. 2006/345)
Enhanced criminal record certificates
al Amendments
S. 19 repealed (6.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), Sch. 17 Pt. 2 ; S.I. 2006/378, art. 7(f)
Medical, dental, ophthalmic and pharmaceutical etc. lists
al Amendments
S. 20 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)
Conditional inclusion in medical, dental, ophthalmic and pharmaceutical lists

Textual Amendments

F13 S. 21 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

22 Dental corporations

- [F14(1) The 1977 Act shall be amended as follows.
 - (2) In section 35 (arrangements for general dental services)—
 - (a) in subsection (1)—
 - (i) after "dental practitioners" there shall be inserted " or dental corporations", and
 - (ii) after "dental practitioner" there shall be inserted " or dental corporation",
 - (b) in subsection (2)—
 - (i) after "dental practitioner who" there shall be inserted ", or dental corporation which, ",
 - (ii) after "salary" there shall be inserted " (or, in the case of a dental corporation, a fixed rate of remuneration)", and
 - (iii) in paragraph (b), after "practitioner" there shall be inserted " or corporation", and
 - (c) after subsection (4) there shall be inserted—
 - "(5) In this Act, "dental corporation" means a body corporate which carries on the business of dentistry (within the meaning of section 40 of the Dentists Act 1984 (c. 24))."
 - (3) In section 36 (regulations about general dental services)—
 - (a) in subsection (1)(a), after "dental practitioners" there shall be inserted " and dental corporations",
 - (b) in subsection (1)(b), after "dental practitioner" there shall be inserted " or dental corporation",
 - (c) in subsection (1)(d), after "dental practitioners" there shall be inserted " and dental corporations", and
 - (d) in subsection (3), after "dental practitioner" there shall be inserted " or dental corporation".
 - (4) In section 37 (Dental Practice Board), after subsection (1C) there shall be inserted—
 - "(2) In subsections (1A) and (1B), references to a dental practitioner include references to a dental corporation."
 - (5) In section 128(1) (interpretation), in the appropriate place there shall be inserted—""dental corporation" has the meaning given by section 35(5);".]

Textual Amendments

F14 S. 22 repealed (1.4.2006 for E., 1.4.2006 for W. for specified purposes) by Health and Social Care (Community Health and Standards) Act 2003 (c. 43), s. 199(1)(4), **Sch. 14 Pt. 4**; S.I. 2005/2925, art. 11; S.I. 2006/345, art. 7(2)(1)

Commencement Information

I5 S. 22 wholly in force at. 1.7.2002; s. 22 not in force at Royal Assent see s. 70(2); s. 22 in force for E. at 22.11.2001 by S.I. 2001/3738, arts. 1(3), 2(1), Sch. 1 Pt. I; s. 22 in force for W. at 1.7.2002 by S.I. 2002/1475, art. 2(1), Sch. Pt. 1

F1523 Declaration of financial interests, gifts, etc.

......

Textual Amendments

F15 Ss. 23-25 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

F1524 Supplementary lists

.....

Textual Amendments

F15 Ss. 23-25 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

F1525 Suspension and disqualification of practitioners

Textual Amendments

F15 Ss. 23-25 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

Personal medical services and personal dental services

26 PMS and PDS lists

[F16(1) After section 28D of the 1977 Act there shall be inserted—

"Lists of persons who may perform personal medical services or personal dental services

- (1) The Secretary of State may make regulations providing for the preparation and publication [F17by each Primary Care Trust and] by each Health Authority of one or more lists of—
 - (a) medical practitioners who may perform personal medical services in accordance with section 28C arrangements,

- (b) dental practitioners who may perform personal dental services in accordance with section 28C arrangements.
- (2) Such a list is referred to in this section as a "services list".
- (3) The regulations may, in particular, include provision as to—
 - (a) the [F18Primary Care Trust or] Health Authority to which an application for inclusion in a services list is to be made,
 - (b) the procedure for applying for inclusion, including any information to be supplied to the [F18Primary Care Trust or] Health Authority (whether by the applicant or by arrangement with him),
 - (c) grounds on which the [F18Primary Care Trust or] Health Authority may, or must, refuse a person's application for inclusion in a services list (including his unsuitability for inclusion in such a list), or on which they may defer their decision on the application,
 - (d) requirements with which a person included in a services list must comply (including the declaration of financial interests and of gifts and other benefits),
 - (e) grounds on which a [F18Primary Care Trust or] Health Authority may, or must, suspend or remove a person from a services list, the procedure for doing so, and the consequences of doing so,
 - (f) payments to or in respect of persons who are suspended from a services list (including provision for the amount of the payments, or the method of calculating the amount, to be determined by the Secretary of State or by another person appointed for the purpose by the Secretary of State),
 - (g) the supply to the [F18Primary Care Trust or] Health Authority by an applicant for inclusion in a services list, or by a person included in one, of a criminal conviction certificate under section 112 of the Police Act 1997 (c. 50), a criminal record certificate under section 113 of that Act or an enhanced criminal record certificate under section 115 of that Act,
 - (h) circumstances in which a person included in a services list may not withdraw from it,
 - (i) criteria to be applied in making decisions under the regulations,
 - (j) appeals against decisions of [F19Primary Care Trusts and] Health Authorities under the regulations,
 - (k) the disclosure by a [F18Primary Care Trust or] Health Authority, to prescribed persons or persons of prescribed descriptions, of information of a prescribed description about applicants for inclusion in a services list, refusals of such applications, and suspensions and removals from that list.
- (4) The regulations may, in particular, also provide for—
 - (a) a person's inclusion in a services list to be subject to conditions determined by the [F20Primary Care Trust or] Health Authority,
 - (b) the [F20 Primary Care Trust or] Health Authority to vary the conditions or impose different ones,
 - (c) the consequences of failing to comply with a condition (including removal from the list), and

- (d) the review by the [F20Primary Care Trust or] Health Authority of their decisions made by virtue of regulations under this subsection.
- (5) The imposition of such conditions must be with a view to—
 - (a) preventing any prejudice to the efficiency of the services to which the services list relates; or
 - (b) preventing any acts or omissions of the type described in section 49F(3)(a) below.
- (6) Regulations may provide—
 - (a) that no person may perform personal medical services in accordance with section 28C arrangements unless he is included in a medical list, a supplementary list under section 43D or a services list,
 - (b) that no person may perform personal dental services in accordance with section 28C arrangements unless he is included in a list referred to in section 36(1)(a), a supplementary list under section 43D or a services list.
- (7) Regulations made by virtue of subsection (3)(e) may (but need not) make provision corresponding to anything in sections 49F to 49N below.
- (8) If the regulations provide under subsection (3)(e) or (4) that a [F21Primary Care Trust or] Health Authority may suspend or remove a person from a services list, they must include provision—
 - (a) requiring him to be given notice of any allegation against him;
 - (b) giving him the opportunity of putting his case at a hearing before the [F21Primary Care Trust or] Health Authority make any decision as to his suspension or removal; and
 - (c) requiring him to be given notice of the [F22] decision of the Primary Care Trust or of the Health Authority] and the reasons for it and of any right of appeal under subsection (9) or (10).
- (9) If the regulations provide under subsection (3)(c) or (e) that a [F23Primary Care Trust or] Health Authority may refuse a person's application for inclusion in a services list, or remove a person from one, the regulations must provide for an appeal (by way of redetermination) to the FHSAA against the [F24decision of the Primary Care Trust or of the Health Authority].
- (10) If the regulations make provision under subsection (4), they must provide for an appeal (by way of redetermination) by the person in question to the FHSAA against the [F25] decision of the Primary Care Trust or of the Health Authority]—
 - (a) to impose conditions, or any particular condition,
 - (b) to vary a condition,
 - (c) to remove him from the services list for breach of condition,
 - (d) on any review of an earlier such decision of theirs."
- (2) After section 8 of the National Health Service (Primary Care) Act 1997 (c. 46) there shall be inserted—

"Services Lists

Lists of persons who may perform personal medical services or personal dental services

- (1) The Secretary of State may make regulations providing for the preparation and publication by each Health Authority of one or more lists of—
 - (a) medical practitioners who may perform personal medical services in connection with the provision of such services under a pilot scheme,
 - (b) dental practitioners who may perform personal dental services in connection with the provision of such services under a pilot scheme.
- (2) Such a list is referred to in this section as a "services list".
- (3) The regulations may, in particular, include provision as to—
 - (a) the Health Authority to which an application for inclusion in a services list is to be made,
 - (b) the procedure for applying for inclusion, including any information to be supplied to the Health Authority (whether by the applicant or by arrangement with him),
 - (c) grounds on which the Health Authority may, or must, refuse a person's application for inclusion in a services list (including his unsuitability for inclusion in such a list), or on which they may defer their decision on the application,
 - (d) requirements with which a person included in a services list must comply (including the declaration of financial interests and of gifts and other benefits),
 - (e) grounds on which a Health Authority may, or must, suspend or remove a person from a services list, the procedure for doing so, and the consequences of doing so,
 - (f) payments to or in respect of persons who are suspended from a services list (including provision for the amount of the payments, or the method of calculating the amount, to be determined by the Secretary of State or by another person appointed for the purpose by the Secretary of State),
 - (g) the supply to the Health Authority by an applicant for inclusion in a services list, or by a person included in one, of a criminal conviction certificate under section 112 of the Police Act 1997 (c. 50), a criminal record certificate under section 113 of that Act or an enhanced criminal record certificate under section 115 of that Act,
 - (h) circumstances in which a person included in a services list may not withdraw from it,
 - (i) criteria to be applied in making decisions under the regulations,
 - (i) appeals against decisions of Health Authorities under the regulations,
 - (k) the disclosure by a Health Authority, to prescribed persons or persons of prescribed descriptions, of information of a prescribed description about applicants for inclusion in a services list, refusals of such applications, and suspensions and removals from that list.
- (4) The regulations may, in particular, also provide for—

- (a) a person's inclusion in a services list to be subject to conditions determined by the Health Authority,
- (b) the Health Authority to vary the conditions or impose different ones,
- (c) the consequences of failing to comply with a condition (including removal from the list), and
- (d) the review by the Health Authority of their decisions made by virtue of regulations under this subsection.
- (5) The imposition of such conditions must be with a view to—
 - (a) preventing any prejudice to the efficiency of the services to which the services list relates; or
 - (b) preventing any acts or omissions of the type described in section 49F(3)(a) of the 1977 Act.
- (6) Regulations may provide—
 - (a) that no person may perform personal medical services unless he is included in a medical list, a supplementary list under section 43D of the 1977 Act or a services list,
 - (b) that no person may perform personal dental services unless he is included in a list referred to in section 36(1)(a) of the 1977 Act, a supplementary list under section 43D of that Act or a services list.
- (7) Regulations made by virtue of subsection (3)(e) may (but need not) make provision corresponding to anything in sections 49F to 49N of the 1977 Act.
- (8) If the regulations provide under subsection (3)(e) or (4) that a Health Authority may suspend or remove a person from a services list, they must include provision—
 - (a) requiring him to be given notice of any allegation against him;
 - (b) giving him the opportunity of putting his case at a hearing before the Health Authority make any decision as to his suspension or removal; and
 - (c) requiring him to be given notice of the Health Authority's decision and the reasons for it and of any right of appeal under subsection (9) or (10).
- (9) If the regulations provide under subsection (3)(c) or (e) that a Health Authority may refuse a person's application for inclusion in a services list, or remove a person from one, the regulations must provide for an appeal (by way of redetermination) to the Family Health Services Appeal Authority ("FHSAA") against the Health Authority's decision.
- (10) If the regulations make provision under subsection (4), they must provide for an appeal (by way of redetermination) by the person in question to the FHSAA against the Health Authority's decision—
 - (a) to impose conditions, or any particular condition,
 - (b) to vary a condition,
 - (c) to remove him from the services list for breach of condition,
 - (d) on any review of an earlier such decision of theirs."
- (3) In section 40(3) of the National Health Service (Primary Care) Act 1997 (c. 46) (interpretation) after "sections 28C" there shall be inserted ", 28DA".]

Textual Amendments

- **F16** S. 26 repealed (1.4.2006 for E., 1.4.2006 for W. for specified purposes) by Health and Social Care (Community Health and Standards) Act 2003 (c. 43), s. 199(1)(4), **Sch. 14 Pt. 4**; S.I. 2005/2925, art. 11; S.I. 2006/345, art. 7(2)(1)
- F17 Words in s. 26(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(a); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- F18 Words in s. 26(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(b)(i); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- F19 Words in s. 26(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(b)(ii); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- **F20** Words in s. 26(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(c); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- F21 Words in s. 26(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(d)(i); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- F22 Words in s. 26(1) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(d)(ii); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- F23 Words in s. 26(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(e)(i); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- **F24** Words in s. 26(1) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(e)(ii); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))
- F25 Words in s. 26(1) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 4(3), 42(3), Sch. 3 Pt. 2 para. 16(2)(f); S.I. 2002/2478, art. 3(1) (subject to art. 3(3))

Commencement Information

S. 26 partly in force; s. 26 not in force at Royal Assent, see s. 70(2); s. 26(2) in force for E. at 31.5.2002 by S.I. 2002/1095, art. 2(7) (with transitional provisions in arts. 3, 4) (as amended (26.3.2002) by S.I. 2002/1170, art. 3); s. 26 in force for W. at 1.7.2002 by S.I. 2002/1475, art. 2(1), Sch. Pt. 1

The Family Health Services Appeal Authority

F2627 The Family Health Services Appeal Authority

.....

Textual Amendments

F26 S. 27 repealed (1.3.2007) by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1)

Changes to legislation:

There are currently no known outstanding effects for the Health and Social Care Act 2001, Part 1.