



Financial Services and Markets Act 2000

2000 CHAPTER 8

[^{F1}PART 12A

POWERS EXERCISABLE IN RELATION TO PARENT UNDERTAKINGS

Rules requiring provision of information by parent undertakings

[^{F1}192J Rules requiring provision of information by parent undertakings

- (1) The appropriate regulator may make rules requiring qualifying parent undertakings—
 - (a) to provide to the regulator information of a specified description;
 - (b) to produce to the regulator documents of a specified description.
- (2) The rules may only specify a description of information or documents that is relevant to the exercise by the regulator of its functions.
- (3) The rules may make provision—
 - (a) as to the time within which information must be provided or documents produced;
 - (b) about the form in which any information is to be provided;
 - (c) about the place where any documents are to be produced;
 - (d) requiring information provided to be verified in a specified manner;
 - (e) requiring documents produced to be authenticated in a specified manner.
- (4) “The appropriate regulator” means—
 - (a) in relation to the parent undertaking of a qualifying authorised person who is a PRA-authorised person, the FCA or the PRA;
 - (b) in any other case, the FCA.]

Status: Point in time view as at 01/04/2013.

Changes to legislation: Financial Services and Markets Act 2000, Section 192J is up to date with all changes known to be in force on or before 16 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

Textual Amendments

- F1** Pt. 12A inserted (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by Financial Services Act 2012 (c. 21), **ss. 27, 122(3)** (with **Sch. 20**); S.I. 2013/113, art. 2(1)(b)(c), **Sch. Pts. 2, 3**; S.I. 2013/423, art. 3, **Sch.**

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