

*Status: Point in time view as at 19/12/2007.*

*Changes to legislation: Financial Services and Markets Act 2000, Cross Heading: Giving up right to authorisation is up to date with all changes known to be in force on or before 22 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

## SCHEDULES

### SCHEDULE 3

#### EEA PASSPORT RIGHTS

##### Modifications etc. (not altering text)

**C1** Sch. 3 extended (with modifications) (1.12.2001) by [S.I. 2001/2636, arts. 1\(2\)\(b\), 25-27](#); [S.I. 2001/3538, art. 2\(1\)](#)

Sch. 3 extended (with modifications) (Gibraltar) (5.10.2001 for specified purposes, 1.12.2001 in so far as not already in force) by [S.I. 2001/3084, arts. 1\(1\), 2-4](#) (as amended (16.6.2014) by [The Alternative Investment Fund Managers Order 2014 \(S.I. 2014/1292\), arts. 1\(2\), 3](#) (which amending S.I. is itself amended by [S.I. 2014/1313, arts. 1, 2\(a\)](#)); and as amended (31.12.2020) by [S.I. 2019/589, regs. 1\(3\), 5-9](#) (with [reg. 12](#)) (as amended by [S.I. 2020/1274, regs. 1, 2](#)); [S.I. 2001/3538, art. 2\(1\)](#)

Sch. 3 modified (1.12.2001) by [S.I. 2001/3592, arts. 1\(2\), 114\(3\)\(b\), 128\(3\)\(b\)](#) (with [art. 23\(2\)](#))

## PART II

### EXERCISE OF PASSPORT RIGHTS BY EEA FIRMS

#### *Giving up right to authorisation*

- 18 Regulations may provide that in prescribed circumstances an EEA firm falling within paragraph 5(c) may, on following the prescribed procedure—
- (a) have its qualification for authorisation under this Schedule cancelled; and
  - (b) seek to become an authorised person by applying for a Part IV permission.

##### Commencement Information

**II** Sch. 3 Pt. II para. 18 wholly in force at 1.12.2001; Sch. 3 Pt. II para. 18 not in force at Royal Assent see [s. 431\(2\)](#); Sch. 3 Pt. II para. 18 in force for specified purposes at 25.2.2001 by [S.I. 2001/516, art. 2\(b\) \(c\), Sch. Pts. 2, 3](#); Sch. 3 Pt. I para. 18 in force for specified purposes at 18.6.2001 by [S.I. 2001/1820, art. 2 Sch.](#); Sch. 3 Pt. II para. 18 in force in so far as not already in force at 1.12.2001 by [S.I. 2001/3538, art. 2\(1\)](#)

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