

Status: Point in time view as at 21/07/2019.

Changes to legislation: Financial Services and Markets Act 2000, SCHEDULE 11A is up to date with all changes known to be in force on or before 14 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

SCHEDULES

[^{F1}SCHEDULE 11A

Section [^{F2}85(6A)]

TRANSFERABLE SECURITIES

Textual Amendments

- F1** Sch. 11A inserted (1.7.2005) by [The Prospectus Regulations 2005 \(S.I. 2005/1433\)](#), reg. 2(2), **Sch. 2**
- F2** Word in [Sch. 11A](#) shoulder reference substituted (21.7.2019) by [The Financial Services and Markets Act 2000 \(Prospectus\) Regulations 2019 \(S.I. 2019/1043\)](#), regs. 1(1), **35(4)** (with reg. 40)

^{F3}PART 1

Textual Amendments

- F3** [Sch. 11A Pt. 1](#) omitted (21.7.2019) by virtue of [The Financial Services and Markets Act 2000 \(Prospectus\) Regulations 2019 \(S.I. 2019/1043\)](#), regs. 1(1), **35(2)** (with reg. 40)

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PART 2

- 7 ^{F4}(1)
- (2) [^{F5}The bodies recognised for the purposes of Article 1(2)(e) of the prospectus regulation are]
 - (a) a charity
 - [^{F6}(i) as defined by section 1(1) of the Charities Act 2011, or
 - (ii) within the meaning of] section 35 of the Charities Act (Northern Ireland) 1964 (c. 33 (N.I.));
 - [^{F7}(b) a body entered in the Scottish Charity Register;]
 - (c) a housing association within the meaning of—
 - (i) section 5(1) of the Housing Act 1985 (c. 68),
 - (ii) section 1 of the Housing Associations Act 1985 (c. 69), or

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- (iii) Article 3 of the Housing (Northern Ireland) Order 1992 (S.I. 1992/1725 (N.I. 15));
- [^{F8}(d) a registered society that—
 - (i) is registered under the Co-operative and Community Benefit Societies Act 2014 as a community benefit society,
 - (ii) is a pre-commencement society within the meaning of that Act that was registered in accordance with section 2(2)(a)(ii) of that Act, or
 - (iii) is registered in accordance with section 1(2)(b) of the Industrial and Provident Societies Act (Northern Ireland) 1969;]
- (e) a non-profit making association or body recognised by an EEA State with objectives similar to those of a body falling within any of sub-paragraphs (a) to (d).]

Textual Amendments

F4 Sch. 11A para. 7(1) omitted (21.7.2019) by virtue of [The Financial Services and Markets Act 2000 \(Prospectus\) Regulations 2019 \(S.I. 2019/1043\)](#), regs. 1(1), **35(3)(a)** (with reg. 40)

F5 Words in Sch. 11A para. 7(2) substituted (21.7.2019) by [The Financial Services and Markets Act 2000 \(Prospectus\) Regulations 2019 \(S.I. 2019/1043\)](#), regs. 1(1), **35(3)(b)** (with reg. 40)

F6 Words in Sch. 11A para. 7(2)(a) substituted (14.3.2012) by [Charities Act 2011 \(c. 25\)](#), ss. 354(1)(2), 355, **Sch. 7 para. 86** (with s. 20(2), Sch. 8)

F7 Sch. 11A para. 7(2)(b) substituted (1.4.2006) by [The Charities and Trustee Investment \(Scotland\) Act 2005 \(Consequential Provisions and Modifications\) Order 2006 \(S.I. 2006/242\)](#), arts. 1(3), 5, **Sch. para. 7**

F8 Sch. 11A para. 7(2)(d) substituted (1.8.2014) by [Co-operative and Community Benefit Societies Act 2014 \(c. 14\)](#), s. 154, **Sch. 4 para. 72** (with Sch. 5)

^{F9}8

Textual Amendments

F9 Sch. 11A para. 8 omitted (21.7.2019) by virtue of [The Financial Services and Markets Act 2000 \(Prospectus\) Regulations 2019 \(S.I. 2019/1043\)](#), regs. 1(1), **35(3)(c)** (with reg. 40)

^{F10}9

Textual Amendments

F10 Sch. 11A para. 9 omitted (21.7.2019) by virtue of [The Financial Services and Markets Act 2000 \(Prospectus\) Regulations 2019 \(S.I. 2019/1043\)](#), regs. 1(1), **35(3)(c)** (with reg. 40)

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