CHAPTER 8

FINANCIAL SERVICES AND MARKETS ACT 2000

PART I

THE REGULATOR

1 The Financial Services Authority.

The Authority’s general duties

2 The Authority’s general duties.

The regulatory objectives

3 Market confidence.

3A Financial stability

4 Public awareness.

5 The protection of consumers.

6 The reduction of financial crime.

Enhancing public understanding of financial matters etc

6A Enhancing public understanding of financial matters etc

Corporate governance

7 Duty of Authority to follow principles of good governance.

Arrangements for consulting practitioners and consumers

8 The Authority’s general duty to consult.

9 The Practitioner Panel.

10 The Consumer Panel.
11 Duty to consider representations by the Panels.

Reviews

12 Reviews.
13 Right to obtain documents and information.

Inquiries

14 Cases in which the Treasury may arrange independent inquiries.
15 Power to appoint person to hold an inquiry.
16 Powers of appointed person and procedure.
17 Conclusion of inquiry.
18 Obstruction and contempt.

PART 1A
THE REGULATORS

CHAPTER 1
THE FINANCIAL CONDUCT AUTHORITY

The Financial Conduct Authority

1A The Financial Conduct Authority

The FCA’s general duties

1B The FCA’s general duties
1C The consumer protection objective
1D The integrity objective
1E The competition objective

Interpretation of terms used in relation to FCA’s general duties

1F Meaning of “relevant markets” in strategic objective
1G Meaning of “consumer”
1H Further interpretative provisions for sections 1B to 1G
1I Meaning of “the UK financial system”

Modifications applying if core activity not regulated by PRA

1IA Modifications applying if core activity not regulated by PRA

Power to amend objectives

1J Power to amend objectives

Recommendations

1JA Recommendations by Treasury in connection with general duties

Guidance about objectives

1K Guidance about objectives
Supervision, monitoring and enforcement

1L Supervision, monitoring and enforcement

Arrangements for consulting practitioners and consumers

1M The FCA’s general duty to consult
1N The FCA Practitioner Panel
1O The Smaller Business Practitioner Panel
1P The Markets Practitioner Panel
1Q The Consumer Panel
1R Duty to consider representations made by the Panels

Reviews

1S Reviews
1T Right to obtain documents and information

CHAPTER 2

THE PRUDENTIAL REGULATION AUTHORITY

The Prudential Regulation Authority

2A The Prudential Regulation Authority
2AB Functions of the PRA

The PRA’s general duties

2B The PRA’s general objective
2C Insurance objective
2D Power to provide for additional objectives
2E Strategy
2F Interpretation of references to objectives
2G Limit on effect of sections 2B to 2D
2H Secondary competition objective and duty to have regard to regulatory principles
2I Guidance about objectives
2J Interpretation of Chapter 2

Supervision

2K Arrangements for supervision of PRA-authorised persons

Arrangements for consulting practitioners

2L The PRA’s general duty to consult
2M The PRA Practitioner Panel
2N Duty to consider representations

Reviews

2O Reviews
2P Right to obtain documents and information
CHAPTER 3

FURTHER PROVISIONS RELATING TO FCA AND PRA

Introductory

3A Meaning of “regulator”

Regulatory principles

3B Regulatory principles to be applied by both regulators

Corporate governance

3C Duty to follow principles of good governance

Relationship between FCA and PRA

3D Duty of FCA and PRA to ensure co-ordinated exercise of functions
3E Memorandum of understanding
3F With-profits insurance policies
3G Power to establish boundary between FCA and PRA responsibilities
3H Parliamentary control of orders under section 3G

Power of PRA to restrain proposed action by FCA

3I Power of PRA to require FCA to refrain from specified action
3J Power of PRA in relation to with-profits policies
3K Revocation of directions under section 3I or 3J
3L Further provisions about directions under section 3I or 3J

Directions relating to consolidated supervision

3M Directions relating to consolidated supervision of groups
3N Revocation of directions under section 3M
3O Further provisions about directions under section 3M
3P Consultation by regulator complying with direction

Co-operation with Bank of England

3Q Co-operation by FCA ... with Bank of England

Arrangements for provision of services

3R Arrangements for provision of services

Enhancing public understanding of financial matters etc.

3S The consumer financial education body

Interpretation

3T Interpretation

PART II

REGULATED AND PROHIBITED ACTIVITIES
The general prohibition

19 The general prohibition.

Requirement for permission

20 Authorised persons acting without permission.

Financial promotion

21 Restrictions on financial promotion.

Regulated activities

22 Regulated activities
22A Designation of activities requiring prudential regulation by PRA
22B Parliamentary control in relation to certain orders under section 22A

Offences

23 Contravention of the general prohibition or section 20(1) or (1A).
23A Parliamentary control in relation to certain orders under section 23
24 False claims to be authorised or exempt.
25 Contravention of section 21.

Enforceability of agreements

26 Agreements made by unauthorised persons.
26A Agreements relating to credit
27 Agreements made through unauthorised persons.
28 Agreements made unenforceable by section 26 or 27: general cases.
28A Credit-related agreements made unenforceable by section 26, 26A or 27
28B Decisions under section 28A: procedure
29 Accepting deposits in breach of general prohibition.
30 Enforceability of agreements resulting from unlawful communications.

PART III

AUTHORISATION AND EXEMPTION

Authorisation

31 Authorised persons.
32 Partnerships and unincorporated associations.

Ending of authorisation

33 Withdrawal of authorisation ....
34 EEA firms.
35 Treaty firms.
36 Persons authorised as a result of paragraph 1(1) of Schedule 5.

Exercise of EEA rights by UK firms

37 Exercise of EEA rights by UK firms.

Exemption

38 Exemption orders.
39 Exemption of appointed representatives.
39A Certain tied agents operating outside United Kingdom

**PART IV**

**PERMISSION TO CARRY ON REGULATED ACTIVITIES**

*Application for permission*

40 Application for permission.
41 The threshold conditions.

*Permission*

42 Giving permission.
43 Imposition of requirements.

*Variation and cancellation of Part IV permission*

44 Variation etc. at request of authorised person.
45 Variation etc. on the Authority’s own initiative.
46 Variation of permission on acquisition of control.
47 Exercise of power in support of overseas regulator.
48 Prohibitions and restrictions.

*Connected persons*

49 Persons connected with an applicant.

*Additional permissions*

50 Authority’s duty to consider other permissions etc.

*Procedure*

51 Applications under this Part.
52 Determination of applications.
53 Exercise of own-initiative power: procedure.
54 Cancellation of Part IV permission: procedure.

*Notification*

54A Notification of ESMA
54B Notification of EBA

*References to the Tribunal*

55 Right to refer matters to the Tribunal.

**PART 4A**

**PERMISSION TO CARRY ON REGULATED ACTIVITIES**

*Application for permission*

55A Application for permission
55B The threshold conditions
55C Power to amend Schedule 6
55D Firms based outside EEA
Variation and cancellation of Part 4A permission

55E Giving permission: the FCA
55F Giving permission: the PRA
55G Giving permission: special cases

55H Variation by FCA at request of authorised person
55I Variation by PRA at request of authorised person
55J Variation or cancellation on initiative of regulator
55K Investment firms: particular conditions that enable cancellation
55KA Insurance undertakings, reinsurance undertakings and third-country insurance undertakings: particular conditions that enable cancellation

Imposition and variation of requirements

55L Imposition of requirements by FCA
55M Imposition of requirements by PRA
55N Requirements under section 55L or 55M: further provisions
55O Imposition of requirements on acquisition of control
55P Prohibitions and restrictions
55PA Assets requirements imposed on insurance undertakings or reinsurance undertakings
55PB Requirements relating to general meetings

Exercise of power in support of overseas regulator

55Q Exercise of power in support of overseas regulator

Connected persons

55R Persons connected with an applicant

Additional permissions

55S Duty of FCA or PRA to consider other permissions

Persons whose interests are protected

55T Persons whose interests are protected

Procedure

55U Applications under this Part
55V Determination of applications
55W Applications under this Part: communications between regulators
55X Determination of applications: warning notices and decision notices
55XA Applications relating to administering a benchmark
55Y Exercise of own-initiative power: procedure
55Z Cancellation of Part 4A permission: procedure

Notification

55Z1 Notification of ESMA
55Z2 Notification of EBA
55Z2A Notification of the European bodies
References to the Tribunal

55Z3 Right to refer matters to the Tribunal

Interpretation

55Z4 Interpretation of Part 4A

PART V

PERFORMANCE OF REGULATED ACTIVITIES

Prohibition orders

56 Prohibition orders.
57 Prohibition orders: procedure and right to refer to Tribunal.
58 Applications relating to prohibitions: procedure and right to refer to Tribunal.

Approval

59 Approval for particular arrangements.
59ZA Senior management functions
59ZB Designated senior management functions
59A Specifying functions as controlled functions: supplementary
59AB Specifying functions as controlled functions: transitional provision
59B Role of FCA in relation to PRA decisions
60 Applications for approval.
60A Vetting of candidates by relevant authorised persons
61 Determination of applications.
62 Applications for approval: procedure and right to refer to Tribunal.
62A Changes in responsibilities of senior managers
63 Withdrawal of approval.
63ZA Variation of senior manager's approval at request of relevant authorised person
63ZB Variation of senior manager's approval on initiative of regulator
63ZC Exercise of power under section 63ZB: procedure
63ZD Statement of policy relating to conditional approval and variation
63ZE Statement of policy: procedure

Performance of controlled functions without approval

63A Power to impose penalties
63B Procedure and right to refer to Tribunal
63C Statement of policy
63D Statement of policy: procedure

Certification of employees

63E Certification of employees by relevant authorised persons
63F Issuing of certificates

...
Conduct of approved persons and others

64A Rules of conduct
64B Rules of conduct: responsibilities of relevant authorised persons
64C Requirement for relevant authorised persons to notify regulator of disciplinary action
66 Disciplinary powers.
66A Misconduct: action by the FCA
66B Misconduct: action by the PRA
67 Disciplinary measures: procedure and right to refer to Tribunal.
68 Publication.
69 Statement of policy.
70 Statements of policy: procedure.

Breach of statutory duty

71 Actions for damages.

"Relevant authorised person"

71A Meaning of “relevant authorised person”

Removal of directors and senior executives and appointment of temporary manager

71B Removal of directors and senior executives
71C Temporary manager
71D Sections 71B and 71C: conditions
71E Temporary manager: further provisions in relation to the appointment
71F Temporary manager: instrument of appointment
71G Right to refer matters to the Tribunal
71H Removal of directors and senior executives and appointment of temporary manager: procedure
71I Sections 71B to 71H: interpretation

PART VI
OFFICIAL LISTING

72 The competent authority.
73 General duty of the competent authority.

Rules

73A Part 6 Rules

The official list

74 The official list.

Listing

75 Applications for listing.
76 Decision on application.
77 Discontinuance and suspension of listing.
78 Discontinuance or suspension: procedure.
78A Discontinuance or suspension at the request of the issuer: procedure
Listing particulars

79 Listing particulars and other documents.
80 General duty of disclosure in listing particulars.
81 Supplementary listing particulars.
82 Exemptions from disclosure.
83 Registration of listing particulars.

Transferable securities: public offers and admission to trading

84 Matters which may be dealt with by prospectus rules
85 Prohibition of dealing etc. in transferable securities without approved prospectus
86 Exempt offers to the public and admissions to trading
87 Election to have prospectus

Approval of prospectus

87A Criteria for approval of prospectus by FCA
87B Exemptions from disclosure
87C Consideration of application for approval
87D Procedure for decision to refuse an application for approval

Transfer of application for approval of a prospectus

87E Transfer by FCA of application for approval
87F Transfer to FCA of application for approval

Final terms

87FA Final terms
87FB Communication of final terms by FCA

Supplementary prospectus

87G Supplementary prospectus

Passporting

87H Prospectus approved in another EEA State
87I Provision of information to host Member State

Transferable securities: powers of FCA

87J Requirements imposed as condition of approval
87JA Power to suspend scrutiny of prospectus
87JB Power to refuse approval of a prospectus
87K Power to suspend, restrict or prohibit offer to the public
87L Power to suspend, restrict or prohibit admission to trading on a regulated market
87LA Power of FCA to suspend or prohibit trading on a trading facility
87M Public censure of issuer
87N Right to refer matters to the Tribunal
87O Procedure under sections 87JA, 87K, 87L and 87LA
87P Exercise of powers at request of competent authority of another EEA State
Rights of investors

87Q Right of investor to withdraw

Registered investors

87R Register of investors

Sponsors

88 Sponsors.
89 Public censure of sponsor.
88A Disciplinary powers: contravention of s.88(3)(c) or (e)
88B Action under s.88A: procedure and right to refer to Tribunal
88C Action under s.88A: statement of policy
88D Statement of policy under s.88C: procedure
88E Powers exercisable to advance operational objectives
88F Action under s.88E: procedure

Transparency obligations

89A Transparency rules
89B Provision of voteholder information
89C Provision of information by issuers of transferable securities
89D Notification of voting rights held by issuer
89E Notification of proposed amendment of issuer's constitution
89F Transparency rules: interpretation etc
89G Transparency rules: other supplementary provisions

Power of FCA to call for information

89H FCA's power to call for information
89I Requirements in connection with call for information
89J Power to call for information: supplementary provisions

Powers exercisable in case of infringement of transparency obligation

89K Public censure of issuer
89L Power to suspend or prohibit trading of securities
89M Procedure under section 89L
89N Right to refer matters to the Tribunal
89NA Voting rights suspension orders

Corporate governance

89O Corporate governance rules

Primary information providers

89P Primary information providers
89Q Disciplinary powers: contravention of s.89P(4)(b) or (d)
89R Action under s.89Q: procedure and right to refer to Tribunal
89S Action under s.89Q: statement of policy
89T Statement of policy under s.89S: procedure
89U Powers exercisable to advance operational objectives
89V Action under s.89U: procedure
89W Storage of regulated information
Compensation for false or misleading statements etc

90 Compensation for statements in listing particulars or prospectus
90ZA Liability for key investor information
90A Liability of issuers in connection with published information
90B Power to make further provision about liability for published information

Penalties

91 Penalties for breach of Part 6 rules
92 Procedure.
93 Statement of policy.
94 Statements of policy: procedure.

Competition

95 Competition scrutiny.

Miscellaneous

96 Obligations of issuers of listed securities.
96A Disclosure of information requirements
96B Disclosure rules: persons responsible for compliance
96C Suspension of trading
97 Appointment by FCA of persons to carry out investigations.
97A Reporting of infringements
98 Advertisements etc. in connection with listing applications.
99 Fees.
100 Penalties.
100A Exercise of powers where UK is host member state
101 Listing rules: general provisions.
102 Exemption from liability in damages.

Interpretative provisions

102A Meaning of “securities” etc.
102B Meaning of “offer of transferable securities to the public” etc.
102C Meaning of “home State” in relation to transferable securities
103 Interpretation of this Part

PART VII

CONTROL OF BUSINESS TRANSFERS

103A Meaning of “the appropriate regulator”
104 Control of business transfers.
105 Insurance business transfer schemes.
106 Banking business transfer schemes.
106A Reclaim fund business transfer scheme
106B Ring-fencing transfer scheme
107 Application for order sanctioning transfer scheme.
108 Requirements on applicants.
109 Scheme reports: insurance business transfer schemes
109A Scheme reports: ring-fencing transfer schemes
110 Right to participate in proceedings.
111 Sanction of the court for business transfer schemes.
112 Effect of order sanctioning business transfer scheme.
112ZA Duty of regulator to provide copy of order
112A Rights to terminate etc.
113 Appointment of actuary in relation to reduction of benefits.
114 Rights of certain policyholders.
114A Notice of transfer of reinsurance contracts

Business transfers outside the United Kingdom

115 Certificates for purposes of insurance business transfers overseas.
116 Effect of insurance business transfers authorised in other EEA States.

Modifications

117 Power to modify this Part.

PART VIII

PROVISIONS RELATING TO MARKET ABUSE

... 

118 Market abuse.
118A Supplementary provision about certain behaviour
118B Insiders
118C Inside information

... 

119 The code.
120 Provisions included in the FCA's code by reference to the City Code.
121 Codes: procedure.
122 Effect of the code.

Powers to require information and supplemental provisions

122A Power to require information from issuers
122B General power to require information
122C Power to require information: supplementary
122D Entry of premises under warrant
122E Retention of documents taken under section 122D
122F Offences

Other administrative powers

122G Publication of information and corrective statements by issuers
122H Publication of corrective statements generally
122HA Publication of corrective statements relating to benchmarks
122I Power to suspend trading in financial instruments
122IA Power to suspend auctioning of auctioned products on a recognised auction platform

Administrative sanctions

123 Power to impose penalties or issue censure
123A Power to prohibit individuals from managing or dealing
123B  Suspending permission to carry on regulated activities etc
123C  Exercise of administrative sanctions

Statement of policy

124  Statement of policy.
125  Statement of policy: procedure.

Procedure

126  Warning notices.
127  Decision notices and right to refer to Tribunal.
127A Consultation with the PRA in relation to administrative sanctions

Miscellaneous

128  Suspension of investigations.
129  Power of court to impose administrative sanctions in cases of market abuse
130  Guidance.
130A Interpretation and supplementary provision
131  Effect on transactions.
131A Protected Disclosures
131AA Reporting of infringements
131AB Interpretation
131AC Meaning of “persons closely associated” in the market abuse regulation
131AD Individual liability in respect of legal persons under Articles 8 and 12 of the market abuse regulation
131AE Liability for contraventions of Article 14 or 15 of the market abuse regulation

PART 8A
SHORT SELLING

Short selling rules

131B  Short selling rules
131C  Short selling rules: definitions etc
131D  Short selling rules: procedure in urgent cases

Power to require information

131E  Power to require information
131F  Power to require information: supplementary
131FA Investigations in support of EEA regulator’s
131FB  Entry of premises under warrant
131FC Retention of documents taken under section 131FB

Breach of short selling regulation etc

131G  Power to impose penalty or issue censure
131H  Procedure and right to refer to Tribunal
131I  Duty on publication of statement
131J  Imposition of penalties under section 131G: statement of policy
131K  Statement of policy: procedure
131L  Offences
PART IX
HEARINGS AND APPEALS

132 The Financial Services and Markets Tribunal.
133 Proceedings: general provision.
133A Proceedings before Tribunal: decision and supervisory notices, etc.
133B Offences

Legal assistance before the Tribunal

134 Legal assistance scheme.
135 Provisions of the legal assistance scheme.
136 Funding of the legal assistance scheme.

Appeals

137 Appeal on a point of law.

PART 9A
RULES AND GUIDANCE

CHAPTER 1
RULE-MAKING POWERS

General rule-making powers of the FCA and the PRA

137A The FCA's general rules
137B FCA general rules: clients' money, right to rescind etc.
137C FCA general rules: cost of credit and duration of credit agreements
137D FCA general rules: product intervention
137E Orders under s.137D(1)(b)
137F Rules requiring participation in benchmark
137FA FCA general rules: disclosure of information about pension scheme transaction costs etc
137FB FCA general rules: disclosure of information about the availability of pensions guidance
137FBA FCA general rules: advice about transferring or otherwise dealing with annuity payments
137FBB FCA general rules: early exit pension charges
137FC FCA rules: disclosure of information about the availability of financial guidance
137FD FCA general rules: charges for claims management services
137G The PRA's general rules
137H General rules about remuneration
137I Remuneration policies: Treasury direction to consider compliance
137J Rules about recovery plans: duty to consult
137K Rules about resolution packs: duty to consult
137L Interpretation of sections 137J and 137K
137M Special provision relating to adequacy of resolution plans
137N Recovery plans and resolution packs: restriction on duty of confidence
Specific rule-making powers

137O Threshold condition code
137P Control of information rules
137Q Price stabilising rules
137R Financial promotion rules
137S Financial promotion rules: directions given by FCA
137SA Rules to recover expenses relating to the Money and Pensions Service
137SB Rules to recover debt advice expenses incurred by the devolved authorities

Supplementary powers

137T General supplementary powers

CHAPTER 2

RULES: MODIFICATION, WAIVER, CONTRAVENTION AND PROCEDURAL PROVISIONS

Modification or waiver of rules

138A Modification or waiver of rules
138B Publication of directions under section 138A

Contravention of rules

138C Evidential provisions
138D Actions for damages
138E Limits on effect of contravening rules

Procedural provisions

138F Notification of rules
138G Rule-making instruments
138H Verification of rules
138I Consultation by the FCA
138J Consultation by the PRA
138K Consultation: mutual societies
138L Consultation: general exemptions
138M Consultation: exemptions for temporary product intervention rules
138N Temporary product intervention rules: statement of policy
138O Statement of policy under section 138N: procedure

CHAPTER 2A

TECHNICAL STANDARDS

138P Technical standards
138Q Standards instruments
138R Treasury approval
138S Application of Chapters 1 and 2
CHAPTER 3

GUIDANCE

139A Power of the FCA to give guidance
139B Notification of FCA guidance to the Treasury

CHAPTER 4

COMPETITION SCRUTINY

140A Interpretation
140B Advice about effect of regulating provision or practice
140C Consultation with regulator
140D Investigation powers of CMA
140E Publication by CMA of section 140B advice
140F Duty of CMA to send report to regulator
140G Duty of regulator to publish response
140H Role of the Treasury

CHAPTER 5

POWER TO MAKE CONSEQUENTIAL AMENDMENTS

141A Power to make consequential amendments of references to rules etc.

PART 9B

RING-FENCING

Introductory

142A “Ring-fenced body”
142B Core activities
142C Core services
142D Excluded activities
142E Power of Treasury to impose prohibitions
142F Orders under section 142A, 142B, 142D or 142E

Ring-fenced bodies not to carry on excluded activities or contravene prohibitions

142G Ring-fenced bodies not to carry on excluded activities or contravene prohibitions

Ring-fencing rules

142H Ring-fencing rules
142I Powers of Treasury in relation to ring-fencing rules
142J Review of ring-fencing rules etc

Group restructuring powers

142K Cases in which group restructuring powers become exercisable
142L Group restructuring powers
142M Procedure: preliminary notices
142N Procedure: warning notice and decision notice
142O References to Tribunal
142P Subsequent variation of requirement or direction
142Q Consultation etc. between regulators
142R Relationship with regulators’ powers under Parts 4A and 12A

Failure of parent undertaking to comply with direction
142S Power to impose penalty or issue censure
142T Procedure and right to refer to Tribunal
142U Duty on publication of statement
142V Imposition of penalties under section 142S: statement of policy

Pension liabilities
142W Pension liabilities
142X Further interpretative provisions for section 142W

Loss-absorbency requirements
142Y Power of Treasury in relation to loss-absorbency requirements

General
142Z Affirmative procedure in relation to certain orders under Part 9B
142Z1 Interpretation of Part 9B

PART X
RULES AND GUIDANCE
CHAPTER I
RULE-MAKING POWERS

138 General rule-making power.
139 Miscellaneous ancillary matters.
139A General rules about remuneration
139B Rules about recovery plans
139C Rules about resolution plans
139D Sections 139B and 139C: interpretation
139E Rules about recovery and resolution plans: supplementary provision
139F Special provision in relation to resolution plans
140 Restriction on managers of certain collective investment schemes.
141 Insurance business rules.
142 Insurance business: regulations supplementing Authority’s rules.
143 Endorsement of codes etc.

Specific rules
144 Price stabilising rules.
145 Financial promotion rules.
146 Money laundering rules.
147 Control of information rules.

Modification or waiver
148 Modification or waiver of rules.
Contravention of rules

149 Evidential provisions.
150 Actions for damages.
151 Limits on effect of contravening rules.

Procedural provisions

152 Notification of rules to the Treasury.
153 Rule-making instruments.
154 Verification of rules.
155 Consultation.
156 General supplementary powers.

CHAPTER II

GUIDANCE

157 Guidance.
158 Notification of guidance to the Treasury.
158A Guidance on outsourcing by investment firms and credit institutions

CHAPTER III

COMPETITION SCRUTINY

159 Interpretation.
160 Reports by OFT.
161 Power of OFT to request information.
162 Consideration by Competition Commission.
163 Role of the Treasury.

PART XI

INFORMATION GATHERING AND INVESTIGATIONS

Powers to gather information

165 Regulators power to require information : authorised persons etc.
165A PRA's power to require information: financial stability
165B Safeguards etc in relation to exercise of power under section 165A
165C Orders under section 165A(2)(d)
166 Reports by skilled persons.
166A Appointment of skilled person to collect and update information

Appointment of investigators

167 Appointment of persons to carry out general investigations.
168 Appointment of persons to carry out investigations in particular cases.

Assistance to overseas regulators

169 Investigations etc. in support of overseas regulator.
169A Support of overseas regulator with respect to financial stability
Conduct of investigations

170 Investigations: general.
171 Powers of persons appointed under section 167.
172 Additional power of persons appointed as a result of section 168(1) or (4).
173 Powers of persons appointed as a result of section 168(2).
174 Admissibility of statements made to investigators.
175 Information and documents: supplemental provisions.
176 Entry of premises under warrant.
176A Retention of documents taken under section 176

Offences

177 Offences.

PART XII

CONTROL OVER AUTHORISED PERSONS

Notices of acquisitions of control over UK authorised persons

178 Obligation to notify the appropriate regulator: acquisitions of control
179 Requirements for section 178 notices
180 Acknowledgment of receipt

Acquiring control and other changes of holding

181 Acquiring control
182 Increasing control
183 Reducing or ceasing to have control
184 Disregarded holdings

Assessment procedure

185 Assessment: general
186 Assessment criteria
187 Approval with conditions
187A Assessment: consultation by PRA with FCA
187B Assessment: consultation by FCA with PRA
187C Variation etc of conditions
188 Assessment: consultation with EC competent authorities
189 Assessment: Procedure
190 Requests for further information
190A Assessment and resolution
191 Duration of approval

Enforcement procedures

191A Objection by the appropriate regulator
191B Restriction notices
191C Orders for sale of shares

Notice of reductions of control of UK authorised persons

191D Obligation to notify the appropriate regulator: dispositions of control
191E Requirements for notices under section 191D
Offences

191F Offences under this Part

Interpretation

191G Interpretation

Miscellaneous

192 Power to change definitions of control etc.

PART 12A

POWERS EXERCISABLE IN RELATION TO PARENT UNDERTAKINGS

Introductory

192A Meaning of “qualifying authorised person”
192B Meaning of “qualifying parent undertaking”

Power of direction

192C Power to direct qualifying parent undertaking
192D Requirements that may be imposed
192E Direction: procedure
192F Consultation between regulators
192G References to Tribunal
192H Statement of policy: directions under section 192C
192I Statement of policy relating to directions: procedure

Rules requiring provision of information by parent undertakings

192J Rules requiring provision of information by parent undertakings

Rules applying to parent undertakings of ring-fenced bodies

192JA Rules applying to parent undertakings of ring-fenced bodies

Rules requiring parent undertakings to facilitate resolution

192JB Rules requiring parent undertakings to facilitate resolution

Failure to comply with direction or breach of rules

192K Power to impose penalty or issue censure
192L Procedure and right to refer to Tribunal
192M Duty on publication of statement
192N Imposition of penalties under section 192K: statement of policy

PART XIII

INCOMING FIRMS: INTERVENTION BY FCA OR PRA

Interpretation

193 Interpretation of this Part.
194 General grounds on which power of intervention is exercisable.
194A Contravention by relevant EEA firm with UK branch of requirement under markets in financial instruments directive: appropriate regulator primarily responsible for securing compliance

194B Contravention by relevant EEA firm of requirement in capital requirements directive or capital requirements regulation

194C Contravention by relevant EEA firm with UK branch of requirement in mortgages directive: appropriate regulator primarily responsible for securing compliance

194D Contravention by relevant EEA firm of requirement in insurance distribution directive: appropriate regulator primarily responsible for securing compliance

195 Exercise of power in support of overseas regulator.

195A Contravention by relevant EEA firm, EEAUCITS or EEAIFM of directive requirements: home state regulator primarily responsible for securing compliance

195B Contravention by relevant EEA firm of requirement in mortgages directive: home state regulator primarily responsible for securing compliance

195C Contravention by relevant EEA firm of requirement in insurance distribution directive: home state regulator primarily responsible for securing compliance

196 The power of intervention.

Exercise of power of intervention

197 Procedure on exercise of power of intervention.

198 Power to apply to court for injunction in respect of certain overseas insurance companies.

199 Additional procedure for EEA firms in certain cases.

199A Management companies: loss of authorisation

Supplemental

200 Rescission and variation of requirements.

201 Effect of certain requirements on other persons

202 Contravention of requirement imposed under this Part.

Powers of Office of Fair Trading

203 Power to prohibit the carrying on of Consumer Credit Act business.

204 Power to restrict the carrying on of Consumer Credit Act business.

PART 13A
ENHANCED SUPERVISION OF FIRMS EXERCISING RIGHTS UNDER THE INSURANCE DISTRIBUTION DIRECTIVE

203A Insurance distribution directive: enhanced supervision of EEA firms by UK regulators

203B Insurance distribution directive: enhanced supervision of UK firms by an EEA regulator

203C Modification or waiver of rules where firm subject to enhanced supervision

203D Publication of directions under section 203C
PART XIV

DISCIPLINARY MEASURES

204A Meaning of “relevant requirement” and “appropriate regulator”
205 Public censure.
206 Financial penalties.
206A Suspending permission to carry on regulated activities etc
207 Proposal to take disciplinary measures.
208 Decision notice.
209 Publication.
210 Statements of policy.
211 Statements of policy: procedure.

PART XV

THE FINANCIAL SERVICES COMPENSATION SCHEME

The scheme manager

212 The scheme manager.

The scheme

213 The compensation scheme.

Provisions of the scheme

214 General.
214A Contingency funding
214B Contribution to costs of special resolution regime
214C Limit on amount of special resolution regime payments
214D Contributions under section 214B: supplementary
215 Rights of the scheme in insolvency
216 Continuity of long-term insurance policies.
217 Insurers in financial difficulties.

Relationship with the regulators

217A Co-operation

Annual plan and report

217B Annual plan
218 Annual report.
218ZA Audit of accounts

Information and documents

218A Regulators’ power to require information
218B Treasury’s power to require information from scheme manager
219 Scheme manager’s power to require information.
220 Scheme manager’s power to inspect information held by liquidator etc.
221 Powers of court where information required.

Miscellaneous

221A Delegation of functions
222  Statutory immunity.
223  Management expenses.
223A  Investing in National Loans Fund
223B  Borrowing from National Loans Fund
223C  Payments in error
224  Scheme manager’s power to inspect documents held by Official Receiver etc.
224ZA  Discharge of functions
224A  Functions under the Banking Act 2009

PART 15A

POWER TO REQUIRE FSCS MANAGER TO ACT IN RELATION TO OTHER SCHEMES

Introduction

224B  Meaning of “relevant scheme” etc

Power to require FSCS manager to act

224C  Power to require FSCS manager to act on behalf of manager of relevant scheme
224D  Cases where FSCS manager may decline to act
224E  Grounds for declining to act

Rules

224F  Rules about relevant schemes

PART XVI

THE OMBUDSMAN SCHEME

The scheme

225  The scheme and the scheme operator.
226  Compulsory jurisdiction.
226A  Consumer credit jurisdiction
227  Voluntary jurisdiction.

Determination of complaints

228  Determination under the compulsory jurisdiction.
229  Awards.
230  Costs.
230A  Reports of determinations

Information

231  Ombudsman’s power to require information.
232  Powers of court where information required.
232A  Scheme operator's duty to provide information to FCA
233  Data protection.

Funding

234  Industry funding.
234A  Funding by consumer credit licensees etc.
Successors to businesses

PART 16A

CONSUMER PROTECTION AND COMPETITION

Super-complaints and references to FCA

234C Complaints by consumer bodies
234D Reference by scheme operator or regulated person
234E Response by FCA
234F Section 234E: exceptions
234G Guidance

Competition

234H Power of FCA to make request to Competition and Markets Authority
234I The FCA's functions under Part 4 of the Enterprise Act 2002
234J The FCA's functions under the Competition Act 1998
234K Duty to consider exercise of powers under Competition Act 1998
234L Provision of information and assistance to a CMA group
234M Function of keeping market under review
234N Exclusion of general duties
234O Supplementary provision

PART XVII

COLLECTIVE INVESTMENT SCHEMES

CHAPTER I

INTERPRETATION

235 Collective investment schemes.
235A Contractual schemes
236 Open-ended investment companies.
237 Other definitions.

CHAPTER II

RESTRICTIONS ON PROMOTION

238 Restrictions on promotion.
239 Single property schemes.
240 Restriction on approval of promotion.
241 Actions for damages.

CHAPTER III

AUTHORISED UNIT TRUST SCHEMES

Applications for authorisation

242 Applications for authorisation of unit trust schemes.
243 Authorisation orders: authorised unit trust schemes.
243A Authorisation orders: authorised money market funds
244 Determination of applications.

**Applications refused**

245 Procedure when refusing an application.

**Certificates**

246 Certificates.

**Rules**

247 Trust scheme rules.
248 Scheme particulars rules.
249 Disciplinary measures
250 Modification or waiver of rules.

**Alterations**

251 Alteration of schemes and changes of manager or trustee.
252 Procedure when refusing approval of a proposal under section 251.
252A Proposal to convert to a non-feeder UCITS

**Exclusion clauses**

253 Avoidance of exclusion clauses.

**Ending of authorisation**

254 Revocation of authorisation order otherwise than by consent.
255 Procedure.
256 Requests for revocation of authorisation order.

**Powers of intervention**

257 Directions.
258 Applications to the court.
258A Winding up or merger of master UCITS
259 Procedure on giving directions under section 257 or 258A and varying them on FCA's own initiative.
260 Procedure: refusal to revoke or vary direction.
261 Procedure: revocation of direction and grant of request for variation.
261A Information for home state regulator
261B Information for feeder UCITS

**CHAPTER 3A**

**AUTHORISED CONTRACTUAL SCHEMES**

**Applications for authorisation**

261C Applications for authorisation of contractual schemes
261D Authorisation orders: authorised contractual schemes
261E Authorised contractual schemes: holding of units
261EA Authorisation orders: authorised money market funds
261F Determination of applications
Applications refused

261G Procedure when refusing an application

Certificates

261H Certificates

Rules

261I Contractual scheme rules
261J Contractual scheme particulars rules
261K Disciplinary measures
261L Modification or waiver of rules

Co-ownership schemes: rights and liabilities of participants

261M Contracts
261N Effect of becoming or ceasing to be a participant
261O Limited liability
261P Segregated liability in relation to umbrella co-ownership schemes

Alterations

261Q Alteration of contractual schemes and changes of operator or depositary
261R Procedure when refusing approval of a proposal under section 261Q
261S Proposal to convert to a non-feeder UCITS

Exclusion clauses

261T Avoidance of exclusion clauses

Ending of authorisation

261U Revocation of authorisation order otherwise than by consent
261V Procedure for revoking authorisation order
261W Requests for revocation of authorisation order

Powers of intervention

261X Directions
261Y Applications to the court
261Z Winding up or merger of master UCITS
261Z1 Procedure on giving directions under section 261X or 261Z and varying them on FCA’s own initiative
261Z2 Procedure: refusal to revoke or vary direction
261Z3 Procedure: revocation of direction and grant of request for variation
261Z4 Information for home state regulator
261Z5 Information for feeder UCITS

CHAPTER IV

OPEN-ENDED INVESTMENT COMPANIES

262 Open-ended investment companies.
263 Amendment of section 716 Companies Act 1985.
CHAPTER V

RECOGNISED OVERSEAS SCHEMES

Schemes constituted in other EEA States

264 Schemes constituted in other EEA States.
265 Representations and references to the Tribunal.
266 Disapplication of rules.
267 Power of FCA to suspend promotion of scheme.
268 Procedure on giving directions under section 267 and varying them on FCA's own initiative.
269 Procedure on application for variation or revocation of direction.

... 

270 Schemes authorised in designated countries or territories.
271 Procedure.

Individually recognised overseas schemes

272 Individually recognised overseas schemes.
273 Matters that may be taken into account.
274 Applications for recognition of individual schemes.
275 Determination of applications.
276 Procedure when refusing an application.
277 Alteration of schemes and changes of operator, trustee or depositary.
277A Regular provision of information relating to compliance with requirements for recognition

... 

278 Rules as to scheme particulars.
279 Revocation of recognition.
280 Procedure.
281 Directions.
282 Procedure on giving directions under section 281 and varying them otherwise than as requested.

Facilities and information in UK

283 Facilities and information in UK.

CHAPTER 5A

MASTER-FEEDER STRUCTURES

283A Master-feeder structures
283B Reports on derivative instruments

CHAPTER VI

INVESTIGATIONS

284 Power to investigate.
PART 17A
TRANSFORMER VEHICLES

284A Transformer vehicles

PART XVIII
RECOGNISED INVESTMENT EXCHANGES, CLEARING HOUSES AND CSDS

CHAPTER I
EXEMPTION

General

285 Exemption for recognised bodies etc.
285A Powers exercisable in relation to recognised bodies
286 Qualification for recognition.

Applications for recognition

287 Application by an investment exchange.
287A Application by an investment exchange: persons connected with an applicant
288 Application by a clearing house.
288A Application by a central securities depository
289 Applications: supplementary.
290 Recognition orders.
290ZA Variation of central counterparty recognition order
290ZB Variation of CSD recognition order
290A Refusal of recognition on ground of excessive regulatory provision
291 Liability in relation to recognised body’s regulatory functions.
292 Overseas investment exchanges and overseas clearing houses.

Publication of information by recognised investment exchange

292A Publication of information by recognised investment exchange

Supervision

293 Notification requirements.
293A Information: compliance with EU requirements
294 Modification or waiver of rules.
295 Notification: overseas investment exchanges and overseas clearing houses.
295A On-site inspection of EEA CSDs
296 Appropriate regulator’s power to give directions.
296A Additional power to direct recognised central counterparties
297 Revoking recognition.
298 Directions and revocation: procedure.
299 Complaints about recognised bodies.
300 Extension of functions of Tribunal.
Power to disallow excessive regulatory provision

300A Power of appropriate regulator to disallow excessive regulatory provision
300B Duty to notify proposal to make regulatory provision
300C Restriction on making provision before appropriate regulator decides whether to act
300D Consideration by appropriate regulator whether to disallow proposed provision
300E Power to disallow excessive regulatory provision: supplementary

Other matters

301 Supervision of certain contracts.

CHAPTER 1A

CONTROL OVER RECOGNISED INVESTMENT EXCHANGE

Notices of acquisitions of control over recognised investment exchanges

301A Obligation to notify the FCA: acquisitions of control
301B Requirements for section 301A notices
301C Acknowledgment of receipt

Acquiring and increasing control

301D Acquiring and increasing control
301E Disregarded holdings

Assessment procedure

301F Assessment: general
301G Assessment: Procedure
301H Duration of approval

Enforcement procedures

301I Objections by the FCA
301J Restriction notices
301K Orders for sale of shares

Offences

301L Offences under this Chapter

Interpretation

301M Interpretation

CHAPTER II

COMPETITION SCRUTINY

302 Interpretation.
Role of Office of Fair Trading
303 Initial report by OFT.
304 Further reports by OFT.
305 Investigations by OFT.

Role of Competition Commission
306 Consideration by Competition Commission.

Role of the Treasury
307 Recognition orders: role of the Treasury.
308 Directions by the Treasury.
309 Statements by the Treasury.
310 Procedure on exercise of certain powers by the Treasury.

CHAPTER III
EXCLUSION FROM THE COMPETITION ACT 1998
311 The Chapter I prohibition.
312 The Chapter II prohibition.

CHAPTER 3A
PASSPORT RIGHTS
EEA market operators in United Kingdom
312A Exercise of passport rights by EEA market operator
312B Removal of passport rights from EEA market operator

Recognised investment exchanges operating in EEA States (other than the United Kingdom)
312C Exercise of passport rights by recognised investment exchange

Interpretation
312D Interpretation of Chapter 3A

CHAPTER 3B
DISCIPLINARY MEASURES
312E Public censure
312F Financial penalties
312FA Central securities depositories: further disciplinary measures
312G Proposal to take disciplinary measures
312H Decision notice
312I Publication
312J Statement of policy
312K Statement of policy: procedure

CHAPTER IV
Interpretation

313 Interpretation of Part XVIII.

PART 18A

SUSPENSION AND REMOVAL OF FINANCIAL INSTRUMENTS FROM TRADING

313A FCA's power to require suspension or removal of financial instruments from trading
313B Suspension or removal of financial instruments from trading: procedure
313BA Procedure following consideration of representations
313BB Revocation of requirements: applications by institutions
313BC Decisions on applications for revocation by institutions
313BD Revocation of requirements: applications by issuers
313BE Decisions on applications for revocation by issuers
313C Notification in relation to suspension or removal of a financial instrument from trading
313CA Suspension or removal of financial instruments from trading: notification and trading on other venues
313CB Suspension or removal of a financial instrument from a trading by a trading venue: FCA duties
313CC Suspension or removal of a financial instrument from trading in another EEA state: FCA duties
313D Interpretation of Part 18A

PART XIX

LLOYD'S

General

314 Regulators' general duty.
314A The PRA's objectives in relation to Lloyd's etc

The Society

315 The Society: regulated activities

Power to apply Act to Lloyd's underwriting

316 Direction by a regulator
317 The core provisions.
318 Exercise of powers through Council.
319 Consultation.

Former underwriting members

320 Former underwriting members.
321 Requirements imposed under section 320.
322 Rules applicable to former underwriting members.

Transfers of business done at Lloyd's

323 Transfer schemes.
Supplemental

324 Interpretation of this Part.

PART XX

PROVISION OF FINANCIAL SERVICES BY MEMBERS OF THE PROFESSIONS

325 FCA’s general duty.
326 Designation of professional bodies.
327 Exemption from the general prohibition.
328 Directions in relation to the general prohibition.
329 Orders in relation to the general prohibition.
330 Consultation.
331 Procedure on making or varying orders under section 329.
332 Rules in relation to persons to whom the general prohibition does not apply.
333 False claims to be a person to whom the general prohibition does not apply.

PART 20A

PENSIONS GUIDANCE

333A Introduction and definitions

Giving of pensions guidance

333B Secretary of State’s role in relation to pensions guidance
333C Giving of pensions guidance
333D Financial assistance to bodies involved in giving pensions guidance

Designation of guidance providers

333E Designation of providers of pensions guidance

Co-operation and information sharing

333F Co-operation and information sharing

False claims when giving pensions guidance

333G Offence of falsely claiming to be giving pensions guidance under arrangements made with Secretary of State

Standards for giving of pensions guidance by designated guidance providers

333H Standards for giving of pensions guidance by designated guidance providers
333I Monitoring of compliance with standards by designated guidance providers
333J Failure by designated guidance providers to comply with standards: FCA recommendations
333K FCA policy on making recommendations under section 333J
333L FCA policy on making recommendations under section 333J: procedure
333M Failure by designated guidance providers to comply with standards: ... directions
Directions to designated guidance providers under section 333M: relationship with power to revoke a designation

FCA's duties and power to give guidance

Funding of pensions guidance

Funding of FCA's pensions guidance costs
Funding of Secretary of State’s pensions guidance costs

PART 20B

ILLEGAL MONEY LENDING

Financial assistance for action against illegal money lending
Funding of action against illegal money lending

PART XXI

MUTUAL SOCIETIES

Friendly societies

The Friendly Societies Commission.
The Registry of Friendly Societies.

Building societies

The Building Societies Commission.
The Building Societies Investor Protection Board.

Industrial and provident societies and credit unions

Industrial and provident societies and credit unions.

Supplemental

Supplemental provisions.

PART XXII

AUDITORS AND ACTUARIES

General duties of regulator

General duties of PRA in relation to auditors
Duty to meet auditors of certain institutions
PRA-authorised persons to which this section applies

Appointment

Information

Access to books etc.
Information given by auditor or actuary to a regulator.
343 Information given by auditor or actuary to a regulator: persons with close links.
344 Duty of auditor or actuary resigning etc. to give notice.

Disciplinary measures
345 Disciplinary measures: FCA
345A Disciplinary measures: PRA
345B Procedure and right to refer to Tribunal
345C Duty on publication of statement
345D Imposition of penalties on auditors or actuaries: statement of policy
345E Statements of policy: procedure

Offence
346 Provision of false or misleading information to auditor or actuary.

PART XXIII
PUBLIC RECORD, DISCLOSURE OF INFORMATION AND CO-OPERATION

The public record
347 The record of authorised persons etc.
347A Duty of PRA to disclose information relevant to the record

Disclosure of information
348 Restrictions on disclosure of confidential information by FCA, PRA etc.
349 Exceptions from section 348.
350 Disclosure of information by the Inland Revenue.
351 Competition information.
351A Disclosure under the UCITS directive
352 Offences.
353 Removal of other restrictions on disclosure.

Information received from Bank of England
353A Information received from Bank of England

Co-operation
354 Authority’s duty to co-operate with others.
354A FCA’s duty to co-operate with others
354B PRA’s duty to co-operate with others
354C PRA’s duty to provide information to Bank of England

Provision of information to ESMA, the Commission and other EEA States
354D Information under the markets in financial instruments directive
354E Competent authorities under the markets in financial instruments directive; designation and co-operation
354F Information under the transparency obligations directive
354G Information under the UCITS directive
354H Information under the Insurance Distribution Directive
Part XXIV

Insolvency

Interpretation

355 Interpretation of this Part.

Voluntary arrangements

356 Powers of FCA and PRA to participate in proceedings: company voluntary arrangements.
357 Powers of FCA and PRA to participate in proceedings: individual voluntary arrangements.
358 Powers of FCA and PRA to participate in proceedings: trust deeds for creditors in Scotland.

Administration orders

359 Administration order
360 Insurers.
361 Administrator’s duty to report to FCA and PRA
362 Powers of FCA and PRA to participate in proceedings.
362A Administrator appointed by company or directors

Receivership

363 Powers of FCA and PRA to participate in proceedings.
364 Receiver’s duty to report to FCA and PRA

Voluntary winding up

365 Powers of FCA and PRA to participate in proceedings.
366 Insurers effecting or carrying out long-term contracts or insurance.

Winding up by the court

367 Winding-up petitions.
368 Winding-up petitions: EEA and Treaty firms.
369 Insurers: service of petition etc. on FCA and PRA.
369A Reclaim funds: service of petition etc on FCA and PRA
370 Liquidator's duty to report to FCA and PRA
371 Powers of FCA and PRA to participate in proceedings.

Bankruptcy

372 Petitions.
373 Insolvency practitioner’s duty to report to FCA and PRA.
374 Powers of FCA or PRA to participate in proceedings.

Provisions against debt avoidance

375 Right of FCA and PRA to apply for an order.

Supplemental provisions concerning insurers

376 Continuation of contracts of long-term insurance where insurer in liquidation.
377 Reducing the value of contracts instead of winding up.
378 Treatment of assets on winding up.
379 Winding-up rules.

**Settlement finality**

379A Power to apply settlement finality regime to payment institutions

**PART XXV**

**INJUNCTIONS AND RESTITUTION**

**Injunctions**

380 Injunctions.
381 Injunctions in cases of market abuse.

**R**estitution orders

382 Restitution orders.
383 Restitution orders in cases of market abuse.

**Restitution required by FCA or PRA**

384 Power of FCA or PRA to require restitution.
385 Warning notices.
386 Decision notices.

**PART XXVI**

**NOTICES**

**Warning notices**

387 Warning notices.

**Decision notices**

388 Decision notices.

**Conclusion of proceedings**

389 Notices of discontinuance.
390 Final notices.

**Publication**

391 Publication.
391A Publication: special provisions relating to the capital requirements directive
391B Publication: special provisions relating to the transparency obligations directive
391C Publication: special provisions relating to the UCITS directive
391D Publication: special provisions relating to the markets in financial instruments directive
391E Publication: special provisions relating to the insurance distribution directive
391F Publication: special provisions relating to the prospectus regulation
Third party rights and access to evidence

392 Application of sections 393 and 394.
393 Third party rights.
394 Access to FCA or PRA material.

The FCA’s and PRA’s procedures

395 The FCA's and PRA's procedures.
396 Statements under section 395: consultation.

PART XXVII
OFFENCES

Miscellaneous offences

397 Misleading statements and practices.
398 Misleading FCA or PRA: residual cases.
399 Misleading the CMA.

Bodies corporate and partnerships

400 Offences by bodies corporate etc.

Institution of proceedings

401 Proceedings for offences.
402 Power of FCA to institute proceedings for certain other offences.
403 Jurisdiction and procedure in respect of offences.

PART XXVIII
MISCELLANEOUS

Consumer redress schemes

404 Consumer redress schemes
404A Rules under s.404: supplementary
404B Complaints to the ombudsman scheme
404C Enforcement
404D Applications to Tribunal to quash rules or provision of rules
404E Meaning of “consumers”
404F Other definitions etc
404G Power to widen the scope of consumer redress schemes

Third countries

405 Directions.
406 Interpretation of section 405.
407 Consequences of a direction under section 405.
408 EFTA firms.
409 Gibraltar.

International obligations

410 International obligations.
Fees to meet Treasury expenses

410A  Fees to meet certain expenses of the Treasury
410B  Directions in pursuance of section 410A

Tax treatment of levies and repayments

411  Tax treatment of levies and repayments.

Gaming contracts

412  Gaming contracts.

Trade-matching and reporting systems

412A  Approval and monitoring of trade-matching and reporting systems
412B  Procedure for approval and suspension or withdrawal of approval

Limitation on powers to require documents

413  Protected items.

Service of notices

414  Service of notices.

Jurisdiction

415  Jurisdiction in civil proceedings.

Powers under the Act

415A  Powers under the Act

Consultation

415B  Consultation in relation to taking certain enforcement action

Removal of certain unnecessary provisions

416  Provisions relating to industrial assurance and certain other enactments.

PART XXIX

INTERPRETATION

417  Definitions.
418  Carrying on regulated activities in the United Kingdom.
419  Carrying on regulated activities by way of business.
419A  Claims management services
419B  Carrying on claims management activity in Great Britain
420  Parent and subsidiary undertaking.
421  Group.
421ZA  Immediate group
421A  Meaning of “participating interest”
422  Controller.
422A  Disregarded holdings
423  Manager.
424  Insurance.
424A Investment firm
425 Expressions relating to authorisation elsewhere in the single market.
425A Consumers: regulated activities etc carried on by authorised persons
425B Consumers: regulated activities carried on by others
425C Qualifying EU provision

PART XXX
SUPPLEMENTAL

426 Consequential and supplementary provision.
427 Transitional provisions.
428 Regulations and orders.
429 Parliamentary control of statutory instruments.
430 Extent.
431 Commencement.
432 Minor and consequential amendments, transitional provisions and repeals.
433 Short title.

SCHEDULES
SCHEDULE 1 — The Financial Services Authority
Part I — GENERAL

Interpretation
1 The Financial Services Authority

Constitution
2 (1) The constitution of the Authority must continue to provide...

Non-executive members of the governing body
3 (1) The Authority must secure— (a) that the majority of...

Functions of the non-executive committee
4 (1) In this paragraph “the committee” means the non-executive committee....

Arrangements for discharging functions
5 (1) The Authority may make arrangements for any of its...

Monitoring and enforcement
6 (1) The Authority must maintain arrangements designed to enable it...

Arrangements for the investigation of complaints
7 (1) The Authority must— (a) make arrangements (“the complaints scheme”)...
Investigation of complaints
8  (1) The Authority is not obliged to investigate a complaint...

Records
9  The Authority must maintain satisfactory arrangements for—

Annual report
10  (1) At least once a year the Authority must make...

Annual public meeting
11  (1) Not later than three months after making a report...

Report of annual meeting
12  Not later than one month after its annual meeting, the...
   Part II — STATUS
13  In relation to any of its functions—

Exemption from requirement of “limited” in Authority’s name
14  The Authority is to continue to be exempt from the...
15  If the Secretary of State is satisfied that any action...
   Part III — PENALTIES AND FEES

Penalties
16  (1) In determining its policy with respect to the amounts...

Fees
17  (1) The Authority may make rules providing for the payment...

Services for which fees may not be charged
18  The power conferred by paragraph 17 may not be used...
   Part IV — MISCELLANEOUS

Exemption from liability in damages
19  (1) Neither the Authority nor any person who is, or...
19A  For the purposes of this Act anything done by an...

Amounts required by rules to be paid to the Authority
19B  Any amount (other than a fee) which is required by...

Disqualification for membership of House of Commons
20  In Part III of Schedule 1 to the House of...

Disqualification for membership of Northern Ireland Assembly
21  In Part III of Schedule 1 to the Northern Ireland...

SCHEDULE 1ZA — The Financial Conduct Authority
PART 1 — GENERAL

Interpretation
1 In this Schedule— “the Bank” means the Bank...

Constitution
2 (1) The constitution of the FCA must provide for the...
2A (1) The term of office of a person appointed as...
3 (1) The terms of service of the appointed members are...
4 (1) The Treasury may remove an appointed member from office—...
5 The validity of any act of the FCA is not...
6 The Bank's Deputy Governor for prudential regulation must not take...

Remuneration
7 The FCA must pay to the appointed members such remuneration...

Arrangements for discharging functions
8 (1) The FCA may make arrangements for any of its...

Records
9 The FCA must maintain satisfactory arrangements for—

Publication of record of meetings of governing body
10 (1) The FCA must publish a record of each meeting...

Annual report
11 (1) At least once a year the FCA must make...

Annual public meeting
12 (1) Not later than 3 months after making a report...

Report of annual meeting
13 Not later than one month after its annual meeting, the...

Accounts and audit
14 (1) The Treasury may— (a) require the FCA to comply...
15 (1) The FCA must send a copy of its annual...

PART 2 — STATUS

Status
16 In relation to any of its functions—

Exemption from requirement for use of “limited” in name of FCA
17 The FCA is to continue to be exempt from the...
18 If the Secretary of State is satisfied that any action...
Penalties

19 In determining its policy with respect to the amounts of...
20 (1) The FCA must in respect of each of its...
21 (1) The FCA must prepare and operate a scheme (“...
22 (1) The scheme details must be published by the FCA...

Fees

23 (1) The FCA may make rules providing for the payment...

Services for which fees may not be charged

24 The power conferred by paragraph 23 may not be used...
PART 4 — MISCELLANEOUS

Exemption from liability in damages

25 (1) None of the following is to be liable in...

Accredited financial investigators

26 For the purposes of this Act anything done by an...

Amounts required by rules to be paid to the FCA

27 Any amount (other than a fee) which is required by...

SCHEDULE 1ZB — The Prudential Regulation Authority
PART 1 — GENERAL

Interpretation

1 In this Schedule— “ the Bank ” means the Bank...

Constitution

2 The constitution of the PRA must provide—
3 The governing body must consist of —
4 The validity of any act of the PRA is not...
5 The chief executive of the FCA must not take part...

Appointed members of governing body

6 The appointed members must be appointed by the court of...
7 Paragraphs 8 to 12 apply to the exercise by the...
8 The court of directors must secure that the majority of...
9 For the purposes of paragraph 8, and for the purposes...
10 The court of directors must have regard to generally accepted...
11 (1) Before appointing a person as an appointed member, the...
12 An employee of the FCA is disqualified for appointment as...
13 The PRA must pay to the Bank the amount of...
14 The court of directors of the Bank may, with the...

Terms of service

15 (1) The terms of service of the members of the...
Arrangements for discharging functions

(1) The PRA may make arrangements for any of its...

Records

The PRA must maintain satisfactory arrangements for—

Budget

(1) The PRA must, for each of its financial years,...

Annual report

(1) At least once a year the PRA must make...

Consultation about annual report

(1) In relation to each report made under paragraph 19,...

Report on consultation

(1) The PRA must publish a report about its consultation...

Accounts and audit

(1) The Treasury may—

Penalties

(1) The PRA must in respect of each of its...

(1) The PRA must prepare and operate a scheme (“...

(1) The scheme details must be published by the PRA...

Fees

(1) The PRA may make rules providing for the payment...

Penalties

(1) The PRA must in respect of each of its...

(1) The PRA must prepare and operate a scheme (“...

(1) The scheme details must be published by the PRA...

Fees

(1) The PRA may make rules providing for the payment...

Penalties

(1) The PRA must in respect of each of its...

(1) The PRA must prepare and operate a scheme (“...

(1) The scheme details must be published by the PRA...

Fees

(1) The PRA may make rules providing for the payment...

Penalties

(1) The PRA must in respect of each of its...

(1) The PRA must prepare and operate a scheme (“...

(1) The scheme details must be published by the PRA...

Fees
Accredited financial investigators

34 For the purposes of this Act anything done by an...

Amounts required by rules to be paid to the PRA

35 Any amount (other than a fee) which is required by...

SCHEDULE 1A — Further provision about the consumer financial education body

Part 1 — General

Ensuring exercise of consumer financial education function etc

1 (1) The FCA must take such steps as are necessary...

Constitution

2 (1) The constitution of the consumer financial education body must...

Status

3 (1) The consumer financial education body is not to be...

Discharge of function by others

4 (1) The consumer financial education body may discharge the consumer...

5 (1) This paragraph applies if the consumer financial education body...

Discharge of functions: considerations

6 In discharging the consumer financial education function the consumer financial...

Relationship with the FCA

6A (1) The consumer financial education body and the FCA must...

6B If the consumer financial education body considers that it has...

Budget

7 (1) The consumer financial education body must adopt an annual...

Annual plan

8 (1) The consumer financial education body must in respect of...

Annual reports

9 (1) At least once a year, the consumer financial education...

Audit of accounts

9A (1) The consumer financial education body must send a copy...

...
Meaning of “the relevant costs”

11 (1) In this Part of this Schedule “the relevant costs” means—

Funding of the relevant costs by authorised persons, payment service providers or electronic money issuers

12 (1) For the purpose of meeting a proportion of the... 

Funding of the relevant costs by consumer credit licensees etc

13 (1) For the purpose of meeting a proportion of the...

Funding by grants or loans etc made by Treasury or Secretary of State

14 (1) The Treasury or the Secretary of State may—

Part 3 — REVIEWS

Reviews of economy etc of the consumer financial education body

15 (1) The FCA may appoint an independent person to conduct...

Right to obtain documents and information

16 (1) A person conducting a review under paragraph 15—

SCHEDULE 2 — Regulated Activities

Part 1 — REGULATED ACTIVITIES: GENERAL

General

1 The matters with respect to which provision may be made...

Dealing in investments

2 (1) Buying, selling, subscribing for or underwriting investments or offering...

Arranging deals in investments

3 Making, or offering or agreeing to make—

Deposit taking

4 Accepting deposits.

Safekeeping and administration of assets

5 (1) Safeguarding and administering assets belonging to another which consist...

Managing investments

6 Managing, or offering or agreeing to manage, assets belonging to...

Investment advice

7 Giving or offering or agreeing to give advice to persons...
Establishing collective investment schemes

8 Establishing, operating or winding up a collective investment scheme, including...

Using computer-based systems for giving investment instructions

9 (1) Sending on behalf of another person instructions relating to...
    Part 1A — REGULATED ACTIVITIES: RECLAIM FUNDS

9A Activities of reclaim funds
    Part II — INVESTMENTS

General

10 The matters with respect to which provision may be made...

Securities

11 (1) Shares or stock in the share capital of a...

Instruments creating or acknowledging indebtedness

12 Any of the following— (a) debentures; (b) debenture stock;

    Government and public securities

13 (1) Loan stock, bonds and other instruments—

    Instruments giving entitlement to investments

14 (1) Warrants or other instruments entitling the holder to subscribe...

Certificates representing securities

15 Certificates or other instruments which confer contractual or property rights—...

Units in collective investment schemes

16 (1) Shares in or securities of an open-ended investment company....

Options

17 Options to acquire or dispose of property.

Futures

18 Rights under a contract for the sale of a commodity...

Contracts for differences

19 Rights under— (a) a contract for differences; or

Contracts of insurance

20 Rights under a contract of insurance, including rights under contracts...

Participation in Lloyd’s syndicates

21 (1) The underwriting capacity of a Lloyd’s syndicate.
Deposits

22 Rights under any contract under which a sum of money...

Loans and other forms of credit

23 (1) Rights under any contract under which one person provides...

Other finance arrangements involving land

23A (1) Rights under any arrangement for the provision of finance...

Contracts for hire of goods

23B (1) Rights under a contract for the bailment or (in...

Rights in investments

24 Any right or interest in anything which is an investment...

PART 2A — REGULATED ACTIVITIES RELATING TO INFORMATION ABOUT PERSONS’ FINANCIAL STANDING

24A General

24B Providing credit reference services

24C Providing credit information services

24D Giving advice to a person other than a body corporate...

PART 2B — REGULATED ACTIVITIES RELATING TO THE SETTING OF BENCHMARKS

24E General

24F Providing information

24G Administration

24H Determining or publishing benchmark or publishing connected information

Part III — SUPPLEMENTAL PROVISIONS

The order-making power

25 (1) An order under section 22(1) or (1A) to (1B)...

Parliamentary control

26 (1) This paragraph applies to any order made under section...

Interpretation

27 (1) In this Schedule— “buying” includes acquiring for valuable consideration;...

SCHEDULE 3 — EEA Passport Rights

Part I — DEFINED TERMS

The single market directives

1 “The single market directives” means— (a) the capital requirements directive...
The banking co-ordination directives

2 “The banking consolidation directive” means Directive 2006/48/EC of the...

The Solvency 2 Directive


The reinsurance directive

3A “The reinsurance directive” means Directive 2005/68/EC of...

The investment services directive

4 . . . . . . . . . . . .

The insurance distribution directive

4A “The insurance distribution directive” means Directive (EU) 2016/97 of the...

The UCITS directive

4B “The UCITS directive” means the Directive of the...

The markets in financial instruments directive

4C “The markets in financial instruments directive” means Directive 2014/65/EU of...

4D The emission allowance auctioning regulation

The alternative investment fund managers directive

4E “The alternative investment fund managers directive” means Directive 2011/61/EU...

The mortgages directive

4F “The mortgages directive” means Directive 2014/17/EU of the European...

EEA firm

5 “EEA firm” means any of the following if it does...

5A In paragraph 5, “relevant office” means—

EEA authorisation

6 “EEA authorisation” means— (a) in relation to an...

EEA right

7 “EEA right” means the entitlement of a person to establish...

7A In paragraph 7, “relevant office” means—
EEA State
8 “EEA State” has the meaning given by Schedule...

Home state regulator
9 “Home state regulator” means the competent authority (within the meaning...

UK firm
10 “UK firm” means a person whose relevant office is in...
10A In paragraph 10, “relevant office” means—

UK investment firm
10B ”UK investment firm” means a UK firm—

Host state regulator
11 “Host state regulator” means the competent authority (within the meaning...

Tied agent
11A ”Tied agent” has the meaning given in Article 4.1.29 of...

Management company
11B “Management company” has the meaning given in Article...

UCITS
11C “UCITS” has the meaning given in Article 1.2...

EEAAIFM
11D “EEA AIFM” means an EEA firm falling within...

Part II — EXERCISE OF PASSPORT RIGHTS BY EEA FIRMS

Firms qualifying for authorisation
12 (1) Once an EEA firm which is seeking to establish...

Establishment
13 (1) If the firm falls within paragraph 5(a), (b), (c)...

Services
14 (1) The service conditions are that—(a) the firm has...

Grant of permission
15 (1) On qualifying for authorisation as a result of paragraph...
15ZA Grant of permission: bidding for emission allowances

Power to restrict permission of management companies
15A Application for approval to manage UCITS
15B Representations and references to the Tribunal
15C Information to home state regulator

Effect of carrying on regulated activity when not qualified for authorisation

16 (1) This paragraph applies to an EEA firm which is...

Continuing regulation of EEA firms

17 Regulations may— (za) require the FCA and the PRA to...

Giving up right to authorisation

18 Regulations may provide that in prescribed circumstances an EEA firm...

Part III — EXERCISE OF PASSPORT RIGHTS BY UK FIRMS

Meaning of “the appropriate UK regulator”

18A In this Part of this Schedule “ the appropriate UK...

Establishment

19 (1) Subject to sub-paragraphs (5ZA), (5ZB), (5A) and...

Services

20 (1) Subject to sub-paragraphs (4D) to (4I), a UK firm...
20ZA Information for host state regulator

Tied agents

20A (1) If a UK investment firm or UK credit institution...
20B Notice of intention to market a UCITS

Notice of intention to market an AIF

20C (1) A full-scope UK AIFM may not exercise in the...

Offence relating to exercise of passport rights

21 (1) If a UK firm which is not an authorised...

Continuing regulation of UK firms

22 (1) Regulations may make such provision as the Treasury consider...
23 (1) Sub-paragraphs (2) and (2A) apply if a UK firm—...
24 (1) Sub-paragraph (2) applies if a UK firm—

Arrangements between FCA and PRA

24A (1) The regulators may make arrangements about—

Information to be included in the public record

25 The FCA must include in the record that it maintains...
26 UK management companies: delegation of functions
27 UK management companies: withdrawal of authorisation
28 Management companies: request for information
Full-scope UK AIFMs: notification of breach by host state regulator

29 If a host state regulator informs the FCA in accordance...

SCHEDULE 4 — Treaty Rights

Definitions

1 (1) In this Schedule— . . . . . . .

Firms qualifying for authorisation

2 Once a Treaty firm which is seeking to carry on...

Exercise of Treaty rights

3 (1) The conditions are that— (a) the firm has received...

Notification between UK regulators

3A Regulations may require the PRA and the FCA to notify...

Permission

4 (1) On qualifying for authorisation under this Schedule, a Treaty...

Notice to UK regulator

5 (1) Sub-paragraph (2) applies to a Treaty firm which—

Offences

6 (1) A person who contravenes paragraph 5(2) is guilty of...

SCHEDULE 5 — Persons Concerned in Collective Investment Schemes

Authorisation

1 (1) A person who for the time being is an...

Permission

2 (1) A person authorised as a result of paragraph 1(1)...

SCHEDULE 6 — Threshold Conditions

PART 1 — INTRODUCTION

1A (1) In this Schedule— “assets” includes contingent assets; “consolidated supervision”...

PART 1B — PART 4A PERMISSION: AUTHORISED PERSONS WHO ARE NOT PRA-AUTHOURISED PERSONS

2A Introduction
2B Location of offices
2C Effective supervision
2D Appropriate resources
2E Suitability
2F Business model
2G Interpretation
PART 1C — PART 4A PERMISSION: CONDITIONS FOR WHICH FCA IS RESPONSIBLE IN RELATION TO PRA-AUTHORISED PERSONS

3A Introduction
3B Effective supervision
3C Appropriate non-financial resources
3D Suitability
3E Business model

PART 1D — PART 4A PERMISSION: CONDITIONS FOR WHICH THE PRA IS RESPONSIBLE IN RELATION TO INSURERS ETC.

4A Introduction
4B Legal status
4C Location of offices
4D Business to be conducted in a prudent manner
4E Suitability
4F Effective supervision

PART 1E — PART 4A PERMISSION: CONDITIONS FOR WHICH THE PRA IS RESPONSIBLE IN RELATION TO OTHER PRA-AUTHORISED PERSONS

5A Introduction
5B Legal status
5C Location of offices
5D Business to be conducted in a prudent manner
5E Suitability
5F Effective supervision

PART 1F — AUTHORISATION UNDER SCHEDULE 3

6A (1) In relation to an EEA firm qualifying for authorisation...

PART 1G — AUTHORISATION UNDER SCHEDULE 4

7A (1) In relation to a person who qualifies for authorisation...

Part II — AUTHORIZATION

Authorisation under Schedule 3

... Authorisation

Part III — ADDITIONAL CONDITIONS

8 (1) If this paragraph applies to the person concerned, he...

9 The Treasury may by order— (a) vary or remove any...

SCHEDULE 7 —
The Authority as Competent Authority for Part VI

General

1 ............

The Authority’s general functions

2 ............

Duty to consult

3 ............
Rules

Statements of policy

Penalties

Fees

Exemption from liability in damages

SCHEDULE 8 —
Transfer of functions under Part VI

The power to transfer

Supplemental

SCHEDULE 9 —

General application of Part VI

The provisions of Part VI apply in relation to a...

References to listing particulars

(1) Any reference to listing particulars is to be read...

General duty of disclosure

(1) In section 80(1), for “section 79” substitute “ section...

Supplementary prospectuses

In section 81(1), for “section 79 and before the commencement...

Exemption from liability for compensation

(1) In paragraphs 1(3) and 2(3) of Schedule 10, for...

Advertisements

In section 98(1), for “If listing particulars are, or are...
Fees

Listing rules made under section 99 may require the payment...

SCHEDULE 10 — Compensation: Exemptions

Statements believed to be true

(1) In this paragraph “statement” means— (a) any untrue or...

Statements by experts

(1) In this paragraph “statement” means a statement included in...

Corrections of statements

(1) In this paragraph “statement” has the same meaning as...

Corrections of statements by experts

(1) In this paragraph “statement” has the same meaning as...

Official statements

A person does not incur any liability under section 90(1)...

False or misleading information known about

A person does not incur any liability under section 90(1)...

Belief that supplementary listing particulars not called for

A person does not incur any liability under section 90(4)...

Meaning of “expert”

“Expert” includes any engineer, valuer, accountant or other person whose...

SCHEDULE 10A — LIABILITY OF ISSUERS IN CONNECTION WITH PUBLISHED INFORMATION

PART 1 — SCOPE OF THIS SCHEDULE

Securities to which this Schedule applies

Published information to which this Schedule applies

PART 2 — LIABILITY IN CONNECTION WITH PUBLISHED INFORMATION

Liability of issuer for misleading statement or dishonest omission

An issuer of securities to which this Schedule applies is...

Liability of issuer for dishonest delay in publishing information

Meaning of dishonesty

Exclusion of certain other liabilities

PART 3 — SUPPLEMENTARY PROVISIONS

Interpretation

SCHEDULE 11 —
The general rule
1 (1) A person offers securities to the public in the...

Exempt offers
2 (1) For the purposes of this Schedule, an offer of...

Offers for business purposes
3 The securities are offered to persons— (a) whose ordinary activities...

Offers to limited numbers
4 (1) The securities are offered to no more than fifty...

Clubs and associations
5 The securities are offered to the members of a club...

Restricted circles
6 (1) The securities are offered to a restricted circle of...

Underwriting agreements
7 The securities are offered in connection with a genuine invitation...

Offers to public authorities
8 (1) The securities are offered to a public authority.

Maximum consideration
9 (1) The total consideration payable for the securities cannot exceed...

Minimum consideration
10 (1) The minimum consideration which may be paid by any...

Securities denominated in euros
11 (1) The securities are denominated in amounts of at least...

Takeovers
12 (1) The securities are offered in connection with a takeover...

Mergers
13 The securities are offered in connection with a merger (within...

Free shares
14 (1) The securities are shares and are offered free of...

Exchange of shares
15 The securities— (a) are shares, or investments of a specified...
Qualifying persons

(1) The securities are issued by a body corporate and...

Convertible securities

(1) The securities result from the conversion of convertible securities...

Charities

The securities are issued by— (a) a charity within the...

Building societies etc.

The securities offered are shares which are issued by, or...

Euro-securities

(1) The securities offered are Euro-securities and no advertisement relating...

Same class securities

The securities are of the same class, and were issued...

Short date securities

The securities are investments of a specified kind with a...

Government and public securities

(1) The securities are investments of a specified kind creating...

Non-transferable securities

The securities are not transferable.

Units in a collective investment scheme

General definitions

For the purposes of this Schedule— “shares” has such meaning...

SCHEDULE 11A — TRANSFERABLE SECURITIES

PART 1

1 Units (within the meaning in section 237(2)) in an open-ended...

2 Non-equity transferable securities issued by (a) the government of an...

3 Shares in the share capital of the central bank of...

4 Transferable securities unconditionally and irrevocably guaranteed by the government, or...

5 (1) Non-equity transferable securities, issued in a continuous or repeated...

6 Non-fungible shares of capital— (a) the main purpose of which...

PART 2

7 (1) Transferable securities issued by a body specified in sub-paragraph...

8 (1) Non-equity transferable securities, issued in a continuous or repeated...

9 (1) Transferable securities included in an offer where the total...
SCHEDULE 11B — CONNECTED PERSONS

PART 1 — MEANING OF “CONNECTED PERSON”

1 Introduction
2 Meaning of “connected person”
3 Family members
4 Associated bodies corporate
5 Control of a body corporate
6 Supplementary provisions

PART 2 — CONNECTED PERSONS: REFERENCES TO AN INTEREST IN SHARES OR DEBENTURES

17 Introduction
18 General provisions
19 Rights to acquire shares
20 Right to exercise or control exercise of rights
21 Bodies corporate
22 Trusts

SCHEDULE 12 — Transfer schemes: certificates

Part I — INSURANCE BUSINESS TRANSFER SCHEMES

1 (1) For the purposes of section 111(2) the appropriate certificates, ...

Certificates as to margin of solvency

2 (1) A certificate under this paragraph is to be given— ...

Certificates as to consultation

3 A certificate under this paragraph is one given by the...

Certificates as to consent

3A A certificate under this paragraph is one given by the...

Certificates as to long-term business

4 A certificate under this paragraph is one given by the...

Certificates as to general business

5 A certificate under this paragraph is one given by the...

Certificates as to legality and as to consent

5A (1) The certificates under this paragraph are to be given— ...

Interpretation of Part I

6 (1) “State of the commitment”, in relation to a commitment...

Part II — BANKING BUSINESS TRANSFER SCHEMES

7 (1) For the purposes of section 111(2) the appropriate certificates, ...

Certificates as to financial resources

8 (1) A certificate under this paragraph is one given by...
Certificates as to consent of home state regulator

9 A certificate under this paragraph is one given by the...
   Part 2A — RECLAIM FUND BUSINESS TRANSFER SCHEMES
9A Certificate as to financial resources
   PART 2B — RING-FENCING TRANSFER SCHEMES
9B Appropriate certificates
9C Certificate as to financial resources
9D Certificate as to consent of home state regulator
   Part III — INSURANCE BUSINESS TRANSFERS EFFECTED OUTSIDE THE
   UNITED KINGDOM

10 (1) This paragraph applies to a proposal to execute under...

SCHEDULE 13 —
   Part I — GENERAL

Interpretation

1 In this Schedule— “panel of chairmen” means the panel established...
   Part II — THE TRIBUNAL

President

2 (1) The Lord Chancellor must appoint one of the members...

Panels

3 (1) The Lord Chancellor must appoint a panel of persons...

Terms of office etc

4 (1) Subject to the provisions of this Schedule, each member...

Remuneration and expenses

5 The Lord Chancellor may pay to any person, in respect...

Staff

6 (1) The Lord Chancellor may appoint such staff for the...
   Part III — CONSTITUTION OF TRIBUNAL
7 (1) On a reference to the Tribunal, the persons to...
   Part IV — TRIBUNAL PROCEDURE
8 For the purpose of dealing with references, or any matter...
9 Rules made by the Lord Chancellor under section 132 may,...

Practice directions

10 The President of the Tribunal may give directions as to...

Evidence

11 (1) The Tribunal may by summons require any person to...

Decisions of Tribunal

12 (1) A decision of the Tribunal may be taken by...
Costs

13 (1) If the Tribunal considers that a party to any...

SCHEDULE 14 — Role of the Competition Commission

Provision of information by Treasury

1 Role of the Competition Commission

Consideration of matters arising on a report

2 Role of the Competition Commission
   Investigations under section 162: application of Enterprise Act 2002

2A Role of the Competition Commission
   Section 162: modification of Schedule 7 to the Competition Act...

2B Role of the Competition Commission
   Reports under section 162: further provision

2C Role of the Competition Commission

Applied provisions

3 Role of the Competition Commission

Publication of reports

4 Role of the Competition Commission

SCHEDULE 15 — Information and Investigations: Connected Persons
   Part I — RULES FOR SPECIFIC BODIES

Corporate bodies

1 If the authorised person (“BC”) is a body corporate, a...

Partnerships

2 If the authorised person (“PP”) is a partnership, a person...

Unincorporated associations

3 If the authorised person (“UA”) is an unincorporated association of...

Friendly societies

4 (1) If the authorised person (“FS”) is a friendly society,...

Building societies

5 (1) If the authorised person (“BS”) is a building society,...

Individuals

6 If the authorised person (“IP”) is an individual, a person...

Application to sections 171 and 172

7 For the purposes of sections 171 and 172, if the...
   Part II — ADDITIONAL RULES
8 A person who is, or at the relevant time was,...

SCHEDULE 16 — Prohibitions and Restrictions imposed by OFFICE OF FAIR TRADING

Preliminary
1 In this Schedule— “appeal period” has the same meaning as...

Notice of prohibition or restriction
2 (1) This paragraph applies if the OFT proposes, in relation...

Application to revoke prohibition or restriction
3 (1) This paragraph applies if the OFT proposes to refuse...

Representations to Office of Fair Trading
4 (1) If this paragraph applies to an invitation to submit...

Appeals
5 Section 41 of the Consumer Credit Act 1974 (appeals to...

SCHEDULE 17 — The Ombudsman Scheme
Part I — GENERAL

Interpretation
1 In this Schedule— “ADR Directive” means Directive 2013/11/ EU...

Part II — THE SCHEME OPERATOR

Duty of FCA
2 (1) The FCA must take such steps as are necessary...

Constitution
3 (1) The constitution of the scheme operator must provide for...

Relationship with FCA
3A (1) The scheme operator and the FCA must each take...

The panel of ombudsmen
4 (1) The scheme operator must appoint and maintain a panel...

The Chief Ombudsman
5 (1) The scheme operator must appoint one member of the...

Status
6 (1) The scheme operator is not to be regarded as...
Annual reports
7 (1) At least once a year— (a) the scheme operator...

Audit of accounts
7A (1) The scheme operator must send a copy of its...

Information, advice and guidance
8 The scheme operator may publish such information, guidance or advice...

Budget
9 (1) The scheme operator must, before the start of each...

Annual plan
9A (1) The scheme operator must in respect of each of...

Exemption from liability in damages
10 (1) No person is to be liable in damages for...

Privilege
11 For the purposes of the law relating to defamation, proceedings...
Part III — THE COMPULSORY JURISDICTION

Introduction
12 This Part of this Schedule applies only in relation to...

FCA's ... rules
13 (1) The FCA must make rules providing that a complaint...

The scheme operator’s rules
14 (1) The scheme operator must make rules, to be known...

Fees
15 (1) Scheme rules may require a respondent to pay to...

Enforcement of money awards
16 A money award, including interest, which has been registered in...
Part 3A — THE CONSUMER CREDIT JURISDICTION

Introduction
16A This Part of this Schedule applies only in relation to...

Procedure for complaints etc.
16B (1) Consumer credit rules— (a) must provide that a complaint...
Fees

16C (1) Consumer credit rules may require a respondent to pay...

Enforcement of money awards

16D A money award, including interest, which has been registered in...

Procedure for consumer credit rules

16E (1) If the scheme operator makes any consumer credit rules, ...

Verification of consumer credit rules

16F (1) The production of a printed copy of consumer credit...

Consultation

16G (1) If the scheme operator proposes to make consumer credit...

Part IV — THE VOLUNTARY JURISDICTION

Introduction

17 This Part of this Schedule applies only in relation to...

Terms of reference to the scheme

18 (1) Complaints are to be dealt with and determined under...

Delegation by and to other schemes

19 (1) The scheme operator may make arrangements with a relevant...

Voluntary jurisdiction rules: procedure

20 (1) If the scheme operator makes voluntary jurisdiction rules, it...

Verification of the rules

21 (1) The production of a printed copy of voluntary jurisdiction...

Consultation

22 (1) If the scheme operator proposes to make voluntary jurisdiction...

SCHEDULE 17A — Further provision in relation to exercise of Part 18 functions by Bank of England

Part 1 — CO-OPERATION BETWEEN APPROPRIATE REGULATORS

Memorandum of understanding between appropriate regulators and PRA

1 (1) The appropriate regulators must prepare and maintain a memorandum...

2 (1) The FCA and the PRA must prepare and maintain...

3 The parties to a memorandum under paragraph 1 or 2...

4 The parties to a memorandum under paragraph 1 or 2...

5 The Treasury must lay before Parliament a copy of any...

6 The parties to a memorandum under paragraph 1 or 2...
Notification by FCA of action in relation to recognised clearing houses

7 The FCA must notify the Bank of England of any...
8 The FCA must notify the Bank of England of any...

Part 2 — APPLICATION OF PROVISIONS OF THIS ACT IN RELATION TO
BANK OF ENGLAND

Introduction

9 (1) The provisions of this Act mentioned in this Part...

Rules

10 (1) The following provisions of Part 9A of this Act...

Information gathering and investigations

11 (1) The powers conferred by section 165(1) and (3) (power...
12 The power conferred by section 166 (reports by skilled person)...
13 (1) The powers conferred by section 167 (appointment of persons)...
14 (1) The power conferred by section 168(5) (appointment of persons)...
15 An overseas regulator may, in accordance with section 169, request...
16 The power to give information under section 176(1) (entry of...

Powers in relation to parent undertakings

17 (1) The following provisions of Part 12A of this Act...

Auditors

18 (1) Section 342 (information given by auditor to a regulator)...
19 (1) Section 343 (information given by auditor: person with close...
20 Section 344 (duty of auditor resigning to give notice) applies...
21 Sections 345A to 345E apply to auditors to whom section...

Public record and disclosure of information

22 Section 347 (record of authorised persons, recognised investment
exchanges, etc)...
23 (1) Sections 348 to 350 and 353 (disclosure of information)...

Insolvency

24 (1) The following provisions of Part 24 of this Act...
25 (1) In the case of any regulated activity which is...

Injunctions and restitution

26 (1) The power to make an application under section 380(1)...
27 (1) The power to make an application under section 382(1)...
28 (1) The power conferred by section 384(5) (power of FCA)...

Notices

29 The provisions of Part 26 of this Act (notices) apply...
Offences
30 Section 398 (misleading the FCA: residual cases) applies to information...
31 (1) Section 401 (proceedings for an offence) applies to the...

Records
32 Paragraph 17 of Schedule 1ZB (records) applies in relation to...

Annual report
33 Paragraph 19 of Schedule 1ZB (annual report by PRA) applies...

Notice to Bank of England of preliminary steps
34 (1) An application for an administration order in respect of...

Power to give directions to insolvency practitioner
35 (1) This paragraph applies where a person has been appointed...
36 (1) The Bank of England may, in connection with the...
37 Any fee which is owed to the Bank under paragraph...

SCHEDULE 18 — Mutuals
Part I — FRIENDLY SOCIETIES

The Friendly Societies Act 1974 (c.46)
1 Omit sections 4 (provision for separate registration areas) and 10...
2 In section 7 (societies which may be registered), in subsection...
3 In section 11 (additional registration requirements for societies with branches),...
4 In section 99(4) (punishment of fraud etc and recovery of...

The Friendly Societies Act 1992 (c.40)
5 Omit sections 31 to 36A (authorisation of friendly societies business),...
6 In section 37 (restrictions on combinations of business), omit subsections...
7 Omit sections 38 to 43 (restrictions on business of certain...,
8 Omit sections 44 to 50 (regulation of friendly societies business),...

Part II — FRIENDLY SOCIETIES: SUBSIDIARIES AND CONTROLLED BODIES

Interpretation
9 In this Part of this Schedule— “the 1992 Act” means...

Qualifying bodies
10 (1) Subsections (2) to (5) of section 13 (incorporated friendly...

Bodies controlled by societies
11 In section 13(9) (defined terms), after paragraph (a) insert—
Joint control by societies

12 In section 13(9), after paragraph (c) insert—

Acquisition of joint control

13 In section 13(9), in the words following paragraph (d), after...

Amendment of Schedule 8 to the 1992 Act

14 (1) Schedule 8 to the 1992 Act (provisions supplementing section...

Consequential amendments

15 (1) Section 52 of the 1992 Act is amended as...

References in other enactments

16 References in any provision of, or made under, any enactment...

Part III — BUILDING SOCIETIES

The Building Societies Act 1986 (c.53)

17 Omit section 9 (initial authorisation to raise funds and borrow...
18 Omit Schedule 3 (supplementary provisions about authorisation).

Part IV — INDUSTRIAL AND PROVIDENT SOCIETIES

The Industrial and Provident Societies Act 1965 (c.12)

19 Omit section 8 (provision for separate registration areas for Scotland... 
20 Omit section 70 (scale of fees to be paid in...

Part V — CREDIT UNIONS

The Credit Unions Act 1979 (c.34)

21 In section 6 (minimum and maximum number of members), omit...
22 In section 11 (loans), omit subsections (2) and (6).
23 Omit sections 11B (loans approved by credit unions), 11C (grant...
24 In section 12, omit subsections (4) and (5).
25 In section 14, omit subsections (2), (3), (5) and (6)....
26 In section 28 (offences), omit subsection (2).

SCHEDULE 19 —

Part I — PERSONS AND FUNCTIONS FOR THE PURPOSES OF SECTION 351

1 The Table set out after this paragraph has effect for...

Part II — THE ENACTMENTS

1 The Fair Trading Act 1973
2 The Consumer Credit Act 1974
3 The Estate Agents Act 1979
4 The Competition Act 1980
5 The Telecommunications Act 1984
6 The Airports Act 1986
7 The Gas Act 1986
8 The Control of Misleading Advertisements Regulations 1988
9 The Electricity Act 1989
10 The Broadcasting Act 1990
12 The Electricity (Northern Ireland) Order 1992
13 The Railways Act 1993
14 Part IV of the Airports (Northern Ireland) Order 1994
15 The Gas (Northern Ireland) Order 1996
16 The EC Competition (Articles 88 and 89) Enforcement Regulations 1996...
17 The Unfair Terms in Consumer Contracts Regulations 1999
18 This Act.
19 An enactment specified for the purposes of this paragraph in...

SCHEDULE 20 — Minor and Consequential Amendments

The House of Commons Disqualification Act 1975 (c. 24)
1 In Part III of Schedule 1 to the House of...

The Northern Ireland Assembly Disqualification Act 1975 (c. 25)
2 In Part III of Schedule 1 to the Northern Ireland...

The Civil Jurisdiction and Judgments Act 1982 (c. 27)
3 In paragraph 10 of Schedule 5 to the Civil Jurisdiction...

The Income and Corporation Taxes Act 1988 (c. 1)
4 (1) The Income and Corporation Taxes Act 1988 is amended...

The Finance Act 1991 (c. 31)
5 (1) The Finance Act 1991 is amended as follows.

The Tribunals and Inquiries Act 1992 (c. 53)
6 Minor and Consequential Amendments

The Judicial Pensions and Retirement Act 1993 (c. 8)
7 (1) The Judicial Pensions and Retirement Act 1993 is amended...

SCHEDULE 21 — Transitional Provisions and Savings

Self-regulating organisations
1 (1) No new application under section 9 of the 1986...

Self-regulating organisations for friendly societies
2 (1) No new application under paragraph 2 of Schedule 11...

SCHEDULE 22 — Repeals
Changes to legislation:
Financial Services and Markets Act 2000 is up to date with all changes known to be in force on or before 28 April 2020. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.
View outstanding changes

Changes and effects yet to be applied to:
- Pt. 13 amendment to earlier affecting provision S.I. 2017/752, Sch. 6 para. 8 by S.I. 2019/681 reg. 9(3)(a)
- Pt. 26 applied by S.I. 2019/1361 reg. 16(4) (This S.I. is amended by S.I. 2019/1390, reg. 6)
- Pt. 26 applied (with modifications) by S.I. 2019/266 reg. 19(1)
- Pt. 27 applied (with modifications) by S.I. 2019/335 reg. 77
- Pt. 27 applied (with modifications) by S.I. 2019/542 reg. 38
- Pt. 26 applied in part (with modifications) by S.I. 2019/335 reg. 76
- Pt. 26 applied in part (with modifications) by S.I. 2019/542 reg. 37
- Pt. 18 Ch. 3A omitted by S.I. 2019/662 reg. 7
- Pt. 13 omitted (except s. 195(3)(4)) by S.I. 2018/1149 Sch. para. 12
- Pt. 13 revocation of earlier affecting provision S.I. 2017/752 Sch. 6 para. 6 by S.I. 2018/1201 Sch. 2 para. 72(4)
- Pt. 9 applied by S.I. 2019/1361 reg. 17(2) (This S.I. is amended by S.I. 2019/1390, reg. 6)
- Pt. 9 applied (with modifications) by S.I. 2019/335 reg. 73
- Pt. 9 applied (with modifications) by S.I. 2019/542 reg. 34
- Pt. 6 modified by S.I. 2019/707 reg. 73
- Pt. 11 amendment to earlier affecting provision S.I. 2011/99 Sch. 3 para. 3 by S.I. 2018/1201 Sch. 2 para. 21(3)(a)-(c)
- Pt. 11 amendment to earlier affecting provision S.I. 2017/752 Sch. 6 para. 4 by S.I. 2018/1201 Sch. 2 para. 72(3)
- Pt. 11 applied (with modifications) by S.I. 2019/266 reg. 18(1)
- Pt. 11 applied in part (with modifications) by S.I. 2019/335 reg. 75
- Pt. 11 applied in part (with modifications) by S.I. 2019/542 reg. 36
- Pt. 9A applied by S.I. 2019/407 reg. 4Sch. 3
- Pt. 9A Ch. 2 applied by S.I. 2019/632 reg. 208(7)
- Pt. 9A Ch. 2 applied by S.I. 2019/632 reg. 209(6)
- Pt. 9A applied (with modifications) by S.I. 2019/335 reg. 74
- Pt. 9A applied (with modifications) by S.I. 2019/542 reg. 35
- Pt. 13A omitted by S.I. 2018/1149 Sch. para. 13
- s. 1A(6)(d) words substituted by S.I. 2019/632 reg. 3
- s. 1C(2)(g) omitted by 2018 c. 10 Sch. 3 para. 8
- s. 1H(2)(d) words substituted by S.I. 2019/632 reg. 4(2)
- s. 1H(7A) words omitted by S.I. 2018/135 reg. 37(c)
- s. 1H(7A) words omitted by S.I. 2018/135 reg. 37(d)
- s. 1H(8) words omitted by S.I. 2019/632 reg. 4(3)(a)
- s. 1H(8) words substituted by S.I. 2019/632 reg. 4(3)(b)
- s. 1I(2)(3) excluded by S.I. 2019/1361 reg. 24 (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 1L(2)(b) words substituted by S.I. 2019/632 reg. 5
- s. 2AB(3)(d) words substituted by S.I. 2019/632 reg. 6
- s. 3E(2)(f) omitted by S.I. 2018/1149 Sch. para. 2
- s. 3E(2)(k) omitted by S.I. 2018/1149 Sch. para. 2
- s. 3E(3)(a) omitted by S.I. 2019/632 reg. 7
- s. 3I(8) words omitted by S.I. 2019/632 reg. 8
- s. 3J(8) words omitted by S.I. 2019/632 reg. 9
- s. 3M(1) words substituted by S.I. 2019/632 reg. 10(2)
- s. 3M(10) words omitted by S.I. 2019/632 reg. 10(4)
- s. 3S omitted by 2018 c. 10 Sch. 3 para. 12
<table>
<thead>
<tr>
<th>Amendment</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>s. 21</td>
<td>Amendment to earlier affecting provision S.I. 2018/1149, reg. 58 by S.I. 2019/1010 reg. 4</td>
</tr>
<tr>
<td>s. 21</td>
<td>Modified by S.I. 2019/1361 reg. 18 (This S.I. is amended by S.I. 2019/1390, reg. 6)</td>
</tr>
<tr>
<td>s. 22</td>
<td>Applied by S.I. 2019/792 reg. 23(2)(55)(8)70(4)</td>
</tr>
<tr>
<td>s. 22</td>
<td>Applied by S.I. 2019/855 reg. 58(8)71(4)</td>
</tr>
<tr>
<td>s. 22(1A)</td>
<td>(a) Word inserted by S.I. 2018/135 reg. 39(a)</td>
</tr>
<tr>
<td>s. 22(1A)</td>
<td>(b) Omitted by S.I. 2018/135 reg. 39(b)</td>
</tr>
<tr>
<td>s. 22</td>
<td>(6) Omitted by S.I. 2018/135 reg. 39(c)</td>
</tr>
<tr>
<td>s. 31</td>
<td>(1)(b) Omitted by S.I. 2018/1149 reg. 2(2)</td>
</tr>
<tr>
<td>s. 31</td>
<td>(1)(c) Omitted by S.I. 2018/1149 reg. 2(3)</td>
</tr>
<tr>
<td>s. 34</td>
<td>Omitted by S.I. 2018/1149 Sch. para. 3</td>
</tr>
<tr>
<td>s. 36</td>
<td>Omitted by S.I. 2018/1149 Sch. para. 4</td>
</tr>
<tr>
<td>s. 37</td>
<td>Substituted by S.I. 2019/325 reg. 4</td>
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<tr>
<td>s. 39(1A)</td>
<td>(a) Words inserted by S.I. 2018/135 reg. 12(2)(a)</td>
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<tr>
<td>s. 39(1A)</td>
<td>(a) Words substituted by S.I. 2019/632 reg. 12(2)(b)</td>
</tr>
<tr>
<td>s. 39(1B)</td>
<td>Words substituted by S.I. 2019/632 reg. 12(4)</td>
</tr>
<tr>
<td>s. 39(1AA)</td>
<td>(a) Words substituted by S.I. 2019/632 reg. 12(3)(a)</td>
</tr>
<tr>
<td>s. 39(1AA)</td>
<td>(b) Words omitted by S.I. 2019/632 reg. 12(3)(b)</td>
</tr>
<tr>
<td>s. 39(1BA)</td>
<td>(b) Word inserted by S.I. 2019/632 reg. 12(5)(a)</td>
</tr>
<tr>
<td>s. 39(1BA)(b)(i)</td>
<td>Word inserted by S.I. 2019/632 reg. 12(5)(b)</td>
</tr>
<tr>
<td>s. 39(1BA)(b)(ii)</td>
<td>Substituted by S.I. 2019/632 reg. 12(5)(c)</td>
</tr>
<tr>
<td>s. 39(4)</td>
<td>Words substituted by S.I. 2019/632 reg. 12(6)</td>
</tr>
<tr>
<td>s. 39(7)</td>
<td>Substituted by S.I. 2019/632 reg. 12(7)</td>
</tr>
<tr>
<td>s. 39(8)</td>
<td>Substituted by S.I. 2019/632 reg. 12(8)</td>
</tr>
<tr>
<td>s. 39A</td>
<td>(1)(a) Words substituted by S.I. 2019/632 reg. 12(2)(a)</td>
</tr>
<tr>
<td>s. 39A(4)</td>
<td>Words substituted by S.I. 2019/632 reg. 12(3)</td>
</tr>
<tr>
<td>s. 39A(6)</td>
<td>(c)(ii) Omitted by S.I. 2019/632 reg. 13(4)</td>
</tr>
<tr>
<td>s. 39A(6)</td>
<td>(d) Words Omitted by S.I. 2019/632 reg. 13(5)</td>
</tr>
<tr>
<td>s. 39A(8)</td>
<td>Substituted by S.I. 2019/632 reg. 13(6)</td>
</tr>
<tr>
<td>s. 39A(9)</td>
<td>Words Omitted by S.I. 2019/632 reg. 13(7)</td>
</tr>
<tr>
<td>s. 45(1)</td>
<td>Amendment to earlier affecting provision SI 2011/2832 art. 5(4) by S.I. 2013/472 Sch. 2 para. 220(a)(iv)</td>
</tr>
<tr>
<td>s. 49(2A)</td>
<td>Inserted by S.I. 2003/1476 art. 20(3) (This amendment not applied to legislation.gov.uk. This amending provision is revoked (15.7.2004) by S.I. 2004/1610, art. 2.)</td>
</tr>
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<td>s. 55A(4)</td>
<td>Omitted by S.I. 2018/1149 Sch. para. 5</td>
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<tr>
<td>s. 55D</td>
<td>Word substituted by S.I. 2019/632 reg. 15(3)</td>
</tr>
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<td>Heading words substituted by S.I. 2019/632 reg. 15(2)</td>
</tr>
<tr>
<td>s. 55D(1)</td>
<td>(a) Words substituted by S.I. 2019/632 reg. 15(4)</td>
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<tr>
<td>s. 55J(2)</td>
<td>Omitted by S.I. 2019/632 reg. 16(2)(b)(i)</td>
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<tr>
<td>s. 55J(2)</td>
<td>Omitted by S.I. 2019/632 reg. 16(2)(b)(ii)</td>
</tr>
<tr>
<td>s. 55J(2)</td>
<td>Omitted by S.I. 2019/632 reg. 16(2)(b)(iii)</td>
</tr>
<tr>
<td>s. 55J(2)</td>
<td>Omitted by S.I. 2019/632 reg. 16(2)(b)(iv)</td>
</tr>
<tr>
<td>s. 55J(6B)</td>
<td>(c) Words omitted by S.I. 2019/632 reg. 16(4)(a)</td>
</tr>
<tr>
<td>s. 55J(6B)</td>
<td>(d) Word Omitted by S.I. 2019/632 reg. 16(4)(b)(i)</td>
</tr>
<tr>
<td>s. 55J(6B)</td>
<td>(d) Words Omitted by S.I. 2019/632 reg. 16(4)(b)(ii)</td>
</tr>
<tr>
<td>s. 55J(7)</td>
<td>Omitted by S.I. 2019/632 reg. 16(5)</td>
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<td>s. 55J(7ZA)</td>
<td>Word inserted by S.I. 2019/632 reg. 16(6)(a)</td>
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<td>(c) Substituted by S.I. 2019/632 reg. 16(6)(b)</td>
</tr>
<tr>
<td>s. 55J(7ZB)</td>
<td>Words Omitted by S.I. 2019/632 reg. 16(7)</td>
</tr>
<tr>
<td>s. 55K(1)</td>
<td>(c) Words Omitted by S.I. 2019/632 reg. 17(a)</td>
</tr>
<tr>
<td>s. 55K(1)</td>
<td>(d) Words Omitted by S.I. 2019/632 reg. 17(b)</td>
</tr>
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<td>s. 55Q(2)</td>
<td>Omitted by S.I. 2018/1149 Sch. para. 6</td>
</tr>
<tr>
<td>s. 55Q(4)</td>
<td>Omitted by S.I. 2019/632 reg. 20(2)</td>
</tr>
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– s. 55Q(5) words substituted by S.I. 2019/632 reg. 20(3)
– s. 55Q(7) omitted by S.I. 2019/632 reg. 20(4)
– s. 55Q(8) words substituted by S.I. 2019/632 reg. 20(5)
– s. 55R(2)-(3B) omitted by S.I. 2018/1149 Sch. para. 7
– s. 55R(4)-(9) omitted by S.I. 2019/632 reg. 21
– s. 55S omitted by S.I. 2019/632 reg. 19
– s. 55Z1-55Z2A omitted by S.I. 2019/632 reg. 23
– s. 59(8) omitted by S.I. 2019/632 reg. 25
– s. 63E(7) omitted by S.I. 2019/632 reg. 26
– s. 66A(4)(b) words substituted by S.I. 2019/632 reg. 27
– s. 66B(4)(b) words substituted by S.I. 2019/632 reg. 28
– s. 71D(2) words substituted by S.I. 2019/632 reg. 29
– s. 71I(2)(b) words substituted by S.I. 2019/632 reg. 30(2)
– s. 71I(3) words substituted by S.I. 2019/632 reg. 30(3)(a)
– s. 71I(3) words substituted by S.I. 2019/632 reg. 30(3)(b)
– s. 71I(4) words substituted by S.I. 2019/632 reg. 30(4)
– s. 71I(5) words omitted by S.I. 2019/632 reg. 19
– s. 71I(5) words substituted by S.I. 2019/632 reg. 30(5)(a)
– s. 75(6) words substituted by S.I. 2019/707 reg. 5
– s. 84(2)(i) words omitted by S.I. 2019/1234 reg. 3
– s. 84(2)(i) words omitted by S.I. 2019/707 reg. 6(2) (This amendment not applied to legislation.gov.uk. Reg. 6 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 12)
– s. 84(4) substituted by S.I. 2019/707 reg. 6(3) (This amendment not applied to legislation.gov.uk. Reg. 6 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 12)
– s. 84(5) omitted by S.I. 2019/707 reg. 6(4) (This amendment not applied to legislation.gov.uk. Reg. 6 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 12)
– s. 84(6) words substituted by S.I. 2019/707 reg. 6(5)(a) (This amendment not applied to legislation.gov.uk. Reg. 6 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 12)
– s. 84(6) words substituted by S.I. 2019/707 reg. 6(5)(b) (This amendment not applied to legislation.gov.uk. Reg. 6 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 12)
– s. 85(7) word substituted by S.I. 2019/707 reg. 7
– s. 85(8) omitted by S.I. 2019/1234 reg. 4
– s. 86(1)(b) words substituted by S.I. 2019/707 reg. 8(2)(a) (This amendment not applied to legislation.gov.uk. Reg. 8(2)(a) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(a))
– s. 86(1)(c) words substituted by S.I. 2019/707 reg. 8(2)(b)
– s. 86(1A)(c) words omitted by S.I. 2019/707 reg. 8(3) (This amendment not applied to legislation.gov.uk. Reg. 8(3)(4) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(b))
– s. 86(1B)(a) words omitted by S.I. 2019/707 reg. 8(4)(a) (This amendment not applied to legislation.gov.uk. Reg. 8(3)(4) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(b))
– s. 86(1B)(b) words substituted by S.I. 2019/707 reg. 8(4)(b) (This amendment not applied to legislation.gov.uk. Reg. 8(3)(4) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(b))
– s. 86(2) words substituted by S.I. 2019/707 reg. 8(5)
– s. 86(2)(a) words omitted by S.I. 2019/1234 reg. 5
– s. 86(7)(a) words substituted by S.I. 2019/707 reg. 8(6)(a)(i) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(7)(a) words substituted by S.I. 2019/707 reg. 8(6)(a)(ii) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(7)(b) words substituted by S.I. 2019/707 reg. 8(6)(b)(i) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(7)(b) words substituted by S.I. 2019/707 reg. 8(6)(b)(ii) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(7)(c) substituted by S.I. 2019/707 reg. 8(6)(c) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(7)(d)(ii) words substituted by S.I. 2019/707 reg. 8(6)(d) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(8) word inserted by S.I. 2019/707 reg. 8(7) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(9) word inserted by S.I. 2019/707 reg. 8(8)(a) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(9)(b) words substituted by S.I. 2019/707 reg. 8(8)(b) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 86(10) omitted by S.I. 2019/707 reg. 8(10) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))
– s. 87(4) words omitted by S.I. 2019/707 reg. 9 (This amendment not applied to legislation.gov.uk. Reg. 9 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 14)
– s. 87A(1)(a) omitted by S.I. 2019/707 reg. 10(2)(a)
– s. 87A(1)(c) words substituted by S.I. 2019/707 reg. 10(2)(b) (This amendment not applied to legislation.gov.uk. Reg. 10(2)(b) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 15(a))
– s. 87A(2A) word omitted by S.I. 2019/707 reg. 10(3)
– s. 87A(7)(a) words omitted by S.I. 2019/707 reg. 10(4) (This amendment not applied to legislation.gov.uk. Reg. 10(4)(5) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 15(b))
– s. 87D(1) words omitted by S.I. 2019/707 reg. 11(2) (This amendment not applied to legislation.gov.uk. Reg. 11 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 16(a))
– s. 87D(1A) omitted by S.I. 2019/707 reg. 11(3) (This amendment not applied to legislation.gov.uk. Reg. 11 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 16(a))
– s. 87K(5) words substituted by S.I. 2019/707 reg. 15 (This amendment not applied to legislation.gov.uk. Reg. 15 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 16(e))
– s. 87L(5) words substituted by S.I. 2019/707 reg. 16 (This amendment not applied to legislation.gov.uk. Reg. 16 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 16(f))
– s. 87M(4) words substituted by S.I. 2019/707 reg. 17 (This amendment not applied to legislation.gov.uk. Reg. 17 omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 16(g))
– s. 87P omitted by S.I. 2019/707 reg. 18
– s. 87LA(5) words substituted by S.I. 2019/707 reg. 24(2)
– s. 89A(1) substituted by S.I. 2019/707 reg. 19(2)
– s. 89A(2) words inserted by S.I. 2019/707 reg. 19(3)
– s. 89B(4) words substituted by S.I. 2019/707 reg. 20(2)
– s. 89B(5) words omitted by S.I. 2019/707 reg. 20(3)
– s. 89C(2)(a)(aa) substituted by S.I. 2019/707 reg. 21(2)
– s. 89C(3) words substituted by S.I. 2019/707 reg. 21(3)
– s. 89C(4)(a) words substituted by S.I. 2019/707 reg. 21(3)
– s. 89D(1) words substituted by S.I. 2019/707 reg. 22(2)
– s. 89D(2) words omitted by S.I. 2019/707 reg. 22(3)
– s. 89K(5) words substituted by S.I. 2019/707 reg. 24(2)
– s. 89K(6) omitted by S.I. 2019/707 reg. 24(4)
– s. 89L(3) words substituted by S.I. 2019/707 reg. 25(2)
– s. 89L(5) words substituted by S.I. 2019/707 reg. 25(3)
– s. 89L(6) omitted by S.I. 2019/707 reg. 25(4)
– s. 89O(1) substituted by S.I. 2019/707 reg. 27(2)
– s. 89O(3) omitted by S.I. 2019/707 reg. 27(3)
– s. 89O(4) omitted by S.I. 2019/707 reg. 27(3)
– s. 89W(1) words omitted by S.I. 2019/707 reg. 28(2)
– s. 89W(2) substituted by S.I. 2019/707 reg. 28(4)
– s. 89NA(11) word omitted by S.I. 2019/707 reg. 26(b)
– s. 89NA(11) word substituted by S.I. 2019/707 reg. 26(a)
– s. 89NA(11) words substituted by S.I. 2019/707 reg. 26(c)
– s. 90ZA words substituted by S.I. 2019/707 reg. 29
– s. 91(1A) words substituted by S.I. 2019/707 reg. 30(2)(b) (This amendment not applied to legislation.gov.uk. Reg. 30(2) omitted (6.9.2019) by virtue of S.I. 2019/1234, reg. 2(2), 17)
– s. 91(1A)(e) words substituted by S.I. 2019/707 reg. 30(2)(a) (This amendment not applied to legislation.gov.uk. Reg. 30(2) omitted (6.9.2019) by virtue of S.I. 2019/1234, reg. 2(2), 17)
– s. 91(1B)(a)(i) words substituted by S.I. 2019/707 reg. 30(3)
– s. 97(1)(a)(ii) substituted by S.I. 2019/707 reg. 31(a) (This amendment not applied to legislation.gov.uk. Reg. 31(a)(b) substituted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 18)
– s. 97(1)(a)(ii) and word substituted by S.I. 2019/707, reg. 31(a) (as substituted) by S.I. 2019/1234 reg. 18
– s. 97(1)(b)(ii) substituted by S.I. 2019/707 reg. 31(b) (This amendment not applied to legislation.gov.uk. Reg. 31(a)(b) substituted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 18)
– s. 97(1)(b)(ii) and word substituted by S.I. 2019/707, reg. 31(b) (as substituted) by S.I. 2019/1234 reg. 18
– s. 97(1)(ba) words substituted by S.I. 2019/707 reg. 31(c)
– s. 97A(1)(b)(iii) words omitted by S.I. 2019/1234 reg. 7(2)
– s. 97A(2)(a) word omitted by S.I. 2019/1234 reg. 7(3)(a)
– s. 100A omitted by S.I. 2019/707 reg. 32
– s. 102A(3) word substituted by S.I. 2019/707 reg. 33(2)
– s. 102A(3A) substituted by S.I. 2019/707 reg. 33(3)
– s. 102A(4) words substituted by S.I. 2019/707 reg. 33(4)
s. 102A(5) substituted by S.I. 2019/707 reg. 33(5)
s. 102B(5)(a) words inserted by S.I. 2019/707 reg. 34(2)
s. 102B(6) substituted by S.I. 2019/707 reg. 34(3)
s. 102C omitted by S.I. 2019/707 reg. 35
s. 103(1) words inserted by S.I. 2019/707 reg. 36(a)
s. 103(1) words omitted by virtue of S.I. 2019/707, reg. 36(a) (as amended) by S.I. 2019/1234 reg. 19
s. 103(1) words substituted by S.I. 2019/707 reg. 36(b)
s. 105 modified by S.I. 2019/710 Sch. para. 456(2)
s. 105(1)(a) words substituted by S.I. 2019/632 reg. 32(2)(a)
s. 105(1)(b) words inserted by S.I. 2019/632, reg. 32(2)(b) (as amended) by S.I. 2019/680 reg. 9(2)
s. 105(1)(b) words substituted by S.I. 2019/632 reg. 32(2)(b)
s. 105(2) substituted by S.I. 2019/632 reg. 32(3)
s. 105(3) words omitted by S.I. 2019/632 reg. 32(4)(a)
s. 105(3) words substituted by S.I. 2019/632 reg. 32(4)(b)(i)
s. 105(3) words substituted by S.I. 2019/632 reg. 32(4)(b)(ii)
s. 105(3) words substituted by S.I. 2019/632 reg. 32(4)(b)(iii)
s. 105(4) word omitted by S.I. 2019/632 reg. 32(5)
s. 111(2)(a) words substituted by S.I. 2019/632 reg. 33(1)
s. 112 modified by S.I. 2019/710 Sch. para. 456(3)
s. 112(9) omitted by S.I. 2019/632 reg. 33(2)
s. 114 modified by S.I. 2019/710 Sch. para. 456(4)
s. 114 omitted by S.I. 2019/632 reg. 33(3)
s. 114A modified by S.I. 2019/710 Sch. para. 456(5)
s. 114A omitted by S.I. 2019/632 reg. 33(3)
s. 116 omitted by S.I. 2019/632 reg. 33(3)
s. 122B(2) words substituted by S.I. 2019/310 reg. 5(2)(a)
s. 122D(10) word substituted by S.I. 2019/310 reg. 5(3)(a)(i)
s. 122D(10) words substituted by S.I. 2019/310 reg. 5(3)(a)(ii)
s. 122D(11) omitted by S.I. 2019/310 reg. 5(3)(c)
s. 122G(3)(b) words substituted by S.I. 2019/310 reg. 5(4)
s. 122H(3) words substituted by S.I. 2019/310 reg. 5(5)
s. 122I(1) words substituted by S.I. 2019/310 reg. 5(6)
s. 122IA omitted by S.I. 2019/310 reg. 5(7)
s. 123(1)(b)(ii) words substituted by S.I. 2019/310 reg. 5(8)(a)
s. 123(1)(c)(i) word omitted by S.I. 2019/310 reg. 5(8)(b)
s. 123(1)(c)(ii) words substituted by S.I. 2019/310 reg. 5(8)(a)
s. 123A(1)(c) words omitted by S.I. 2019/310 reg. 5(9)(b)
s. 123A(2)(c) omitted by S.I. 2019/310 reg. 5(9)(c)
s. 123A(8) omitted by S.I. 2019/310 reg. 5(9)(d)
s. 123B(1)(b)(ii) words substituted by S.I. 2019/310 reg. 5(10)(a)
s. 123B(1)(c) words omitted by S.I. 2019/310 reg. 5(10)(b)
s. 129(8) omitted by S.I. 2019/310 reg. 5(12)(b)
s. 129(9)(a) words substituted by S.I. 2019/310 reg. 5(12)(c)
s. 131E(2) words inserted by S.I. 2018/1321 reg. 2(2)(a)
s. 131E(5A) words substituted by S.I. 2018/1321 reg. 2(2)(b)(i)
s. 131E(5A)(a) substituted by S.I. 2018/1321 reg. 2(2)(b)(ii)
s. 131E(5B) omitted by S.I. 2018/1321 reg. 2(2)(c)
s. 131E(6) substituted by S.I. 2018/1321 reg. 2(2)(d)
s. 131AA(1)(c) words omitted by S.I. 2019/310 reg. 5(13)(a)
s. 131AA(2) words substituted by S.I. 2019/310 reg. 5(13)(b)
s. 131FA heading word substituted by S.I. 2018/1321 reg. 2(4)(e)
s. 131FA(1) substituted by S.I. 2018/1321 reg. 2(4)(a)
s. 131FA(2) omitted by S.I. 2018/1321 reg. 2(4)(b)
s. 131FA(4) words substituted by S.I. 2018/1321 reg. 2(4)(c)
s. 131FA(5) word substituted by S.I. 2018/1321 reg. 2(4)(d)
s. 131FB(10) word substituted by S.I. 2018/1321 reg. 2(5)(a)(i)
s. 131FB(10) words substituted by S.I. 2018/1321 reg. 2(5)(a)(ii)
- s. 131FB(11) omitted by S.I. 2018/1321 reg. 2(5)(b)
- s. 131FB(12) words substituted by S.I. 2018/1321 reg. 2(5)(c)
- s. 133 applied (with modifications) by S.I. 2019/266 reg. 16(2)(a)
- s. 133A applied by S.I. 2019/266 reg. 16(2)(b)
- s. 133B applied by S.I. 2019/266 reg. 16(2)(c)
- s. 137A amendment to earlier affecting provision S.I. 2017/752 Sch. 6 para. 3 by S.I. 2018/1201 Sch. 2 para. 72(2)
- s. 137A(5) omitted by S.I. 2018/1149 Sch. para. 9
- s. 137F(4) words omitted by S.I. 2018/135 reg. 43(b)
- s. 137G(5) omitted by S.I. 2018/1149 Sch. para. 10
- s. 137J(6) words substituted by S.I. 2019/632 reg. 35(a)
- s. 137J(6) words substituted by S.I. 2019/632 reg. 35(b)
- s. 137N(5) words omitted by S.I. 2019/632 reg. 36
- s. 137Q(3A)(b) words substituted by S.I. 2019/632 reg. 37(2)
- s. 137Q(5) substituted by S.I. 2019/632 reg. 37(3)
- s. 137R(5)(b) words substituted by S.I. 2019/632 reg. 38(2)
- s. 137R(5)(c) words substituted by S.I. 2019/632 reg. 38(3)
- s. 137FA(8) words inserted by 2015 c. 8 Sch. 2 para. 21(c)
- s. 137FA(8) words omitted by 2015 c. 8 Sch. 2 para. 21(b)
- s. 137FA(8) words substituted by 2015 c. 8 Sch. 2 para. 21(c)
- s. 137FB(2) words substituted by 2018 c. 10 s. 18(3)
- s. 137FB(3) words substituted by 2018 c. 10 s. 18(5)
- s. 138A applied by S.I. 2019/266 reg. 4(2)(a)
- s. 138B applied by S.I. 2019/266 reg. 4(2)(b)
- s. 139F-1380 applied by S.I. 2019/1361 reg. 23(3) (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 138F applied by S.I. 2019/266 reg. 4(2)(c)
- s. 138G applied by S.I. 2019/266 reg. 4(2)(d)
- s. 138H applied by S.I. 2019/266 reg. 4(2)(e)
- s. 138I-138L amendment to earlier affecting provision S.I. 2013/1797 reg. 6(1) by S.I. 2019/328 reg. 19(1) (This S.I. is amended by S.I. 2019/325, reg. 58)
- s. 138I applied (with modifications) by S.I. 2019/266 reg. 4(2)(f)
- s. 138J(6)(e) omitted by 2018 c. 10 Sch. 3 para. 15
- s. 138K(5)(d)(6) omitted by S.I. 2019/632 reg. 39
- s. 139A(4) words substituted by S.I. 2019/632 reg. 40
- s. 139B applied by S.I. 2019/266 reg. 6(2)
- s. 142X(2) words substituted by 2015 c. 8 Sch. 2 para. 22(2)
- s. 142X(4) words substituted by 2015 c. 8 Sch. 2 para. 22(3)
- s. 165 applied by S.I. 2019/1361 reg. 20 (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 165A(8) words substituted by S.I. 2019/632 reg. 43(2)
- s. 165A(9)(b) words substituted by S.I. 2019/632 reg. 43(3)
- s. 166 applied by S.I. 2019/1361 reg. 20 (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 167(3A) omitted by S.I. 2019/632 reg. 44
- s. 168(1)(b) words omitted by S.I. 2018/1149 Sch. para. 11
- s. 168(4)(j) word omitted by S.I. 2013/1773 Sch. 1 para. 10
- s. 168(4)(k) words substituted by S.I. 2019/632 reg. 45(b)
- s. 168(4)(j)(ii) words substituted by S.I. 2019/632 reg. 45(a)
- s. 169(3)(6) omitted by S.I. 2019/632 reg. 46
- s. 169A(4)(b) words substituted by S.I. 2019/632 reg. 47
- s. 175 applied by S.I. 2019/1361 reg. 20 (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 177 applied by S.I. 2019/1361 reg. 20 (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 177(6) words substituted by 2003 c. 44 Sch. 26 para. 54(2)
- s. 184(4)(a) words substituted by S.I. 2019/632 reg. 49(a)(i)
– s. 184(4)(b) substituted by S.I. 2019/632 reg. 49(a)(ii)
– s. 184(5) words substituted by S.I. 2019/632 reg. 49(b)
– s. 184(6)(a)(ii) words omitted by S.I. 2019/632 reg. 49(c)(ii)
– s. 184(7) words substituted by S.I. 2019/632 reg. 49(c)(i)
– s. 186(f)(i) words substituted by S.I. 2019/632 reg. 50
– s. 188 omitted by S.I. 2019/632
– s. 189(1A) words substituted by S.I. 2019/632 reg. 51
– s. 189(1ZB)(a) words substituted by S.I. 2019/632 reg. 52
– s. 189(1ZB)(b) words substituted by S.I. 2019/632 reg. 52
– s. 190(4)(a) words inserted by S.I. 2019/632, reg. 53(a) (as amended) by S.I. 2019/680 reg. 9(3)(a)
– s. 190(4)(a) words substituted by S.I. 2019/632 reg. 53(a)
– s. 190(4)(b) substituted by S.I. 2019/632 reg. 53(b)
– s. 190(4)(b) words inserted by S.I. 2019/632, reg. 53(b) (as amended) by S.I. 2019/680 reg. 9(3)(b)
– s. 190A(1)(a) words substituted by S.I. 2019/632 reg. 54
– s. 190A(1)(c) words substituted by S.I. 2019/632 reg. 54
– s. 190A(7) words substituted by S.I. 2019/632 reg. 54
– s. 191A(5) omitted by S.I. 2019/632 reg. 55
– s. 191G(1) words inserted by S.I. 2019/632 reg. 56(b)
– s. 191G(1) words inserted by S.I. 2019/632, reg. 53(c) (as inserted) by S.I. 2019/680 reg. 9(4)
– s. 191G(1) words omitted by S.I. 2019/632 reg. 56(a)
– s. 192C(3)(a) words substituted by S.I. 2019/632 reg. 58(2)
– s. 192C(4) substituted by S.I. 2019/632 reg. 58(3)
– s. 192K(1)(c) words substituted by S.I. 2019/632 reg. 60
– s. 192JA192JB and cross-headings inserted by 2013 c. 33 s. 133(1)
– s. 192JB(4)(b) substituted by S.I. 2019/632 reg. 59
– s. 195A(12) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 1(3) by S.I. 2019/328 reg. 20(2)(a) (This S.I. is amended by S.I. 2019/325, reg. 58)
– s. 204A(2)(b) words substituted by S.I. 2019/632 reg. 61
– s. 210 amendment to earlier affecting provision S.I. 2017/1127, Sch. 1 para. 3 by S.I. 2019/403 reg. 2(4)
– s. 212(4) word substituted by 2012 c. 21 Sch. 10 para. 2(3)
– s. 212(5) word substituted by 2012 c. 21 Sch. 10 para. 2(3)
– s. 213 amendment to earlier affecting provision S.I. 2018/1149, reg. 70(2) by S.I. 2019/1010 reg. 5
– s. 213 modified by S.I. 2018/1149 reg. 24(2)
– s. 213 modified by S.I. 2018/1149, reg. 70(2), 72(1) (as inserted) by S.I. 2019/405 reg. 5(1)
– s. 213(7) words added by 2009 c. 1 s. 170(2)
– s. 213(10)(11) omitted by S.I. 2018/1149 Sch. para. 14
– s. 214(5) omitted by S.I. 2018/1149 Sch. para. 15
– s. 214A inserted by 2009 c. 1 s. 170(1)
– s. 218(1) words inserted by 2009 c. 1 s. 170(3)(a)
– s. 218(2)(b) words added by 2009 c. 1 s. 170(3)(b)
– s. 222(1) modified by S.I. 2018/1285 reg. 6(3)
– s. 223A inserted by 2009 c. 1 s. 172
– s. 224 modified by S.I. 2018/1149 reg. 24(3)
– s. 224 modified by S.I. 2018/1149, reg. 70(3) (as inserted) by S.I. 2019/405 reg. 5(1)
– s. 224(4) modified by S.I. 2019/680 reg. 11(3)(4)(6)
– s. 224(4) omitted by S.I. 2018/1149 Sch. para. 16
– s. 234J(2)(a) word inserted by S.I. 2019/93 Sch. 1 para. 8(2)
– s. 234J(2)(c) omitted by S.I. 2019/93 Sch. 1 para. 8(3)
– s. 234J(2)(d) omitted by S.I. 2019/93 Sch. 1 para. 8(3)
s. 234K(3)(f) omitted by S.I. 2018/1149 Sch. para. 17
s. 236(4)(c) omitted by S.I. 2019/325 reg. 5(a)
s. 236(4)(d) words omitted by S.I. 2019/325 reg. 5(b)
s. 237(2) word inserted by S.I. 2019/325 reg. 7(2)(b)(i)
s. 237(2) word omitted by S.I. 2019/325 reg. 7(2)(b)(ii)
s. 237(2) words omitted by S.I. 2019/325 reg. 7(2)(b)(iii)
s. 237(2) words substituted by S.I. 2019/325 reg. 7(2)(a)
s. 237(3) words inserted by S.I. 2019/325 reg. 7(3)(a)
s. 237(3) words inserted by S.I. 2019/325 reg. 7(3)(d)
s. 237(3) words omitted by S.I. 2019/325 reg. 7(3)(c)
s. 237(3) words substituted by S.I. 2019/325 reg. 7(3)(b)
s. 243(5) excluded by Regulation (EU) No. 760/2015, Art. 29(1A) (as substituted) by S.I. 2019/336 reg. 34(2)
s. 243(5) words omitted by S.I. 2019/325 reg. 8(2)(a)
s. 243(5) words substituted by S.I. 2019/325 reg. 8(2)(b)
s. 243(5A) excluded by Regulation (EU) No. 760/2015, Art. 29(1A) (as substituted) by S.I. 2019/336 reg. 34(2)
s. 243(5A) substituted by S.I. 2019/325 reg. 8(3)
s. 246(1) words substituted by S.I. 2019/325 reg. 9
s. 252A(1)(b) word inserted by S.I. 2019/325 reg. 10(2)
s. 252A(7)(a) word inserted by S.I. 2019/325 reg. 10(3)
s. 252A(9)(a)(b) substituted by S.I. 2019/325 reg. 10(4)
s. 256(3)(b) words omitted by S.I. 2019/325 reg. 11
s. 257(1)(b)(ii) words substituted by S.I. 2019/325 reg. 12(a)
s. 257(1)(b)(iii) words inserted by S.I. 2019/325 reg. 12(b)
s. 258A(2)(b) word inserted by S.I. 2019/325 reg. 13(2)
s. 258A(4)(b) word inserted by S.I. 2019/325 reg. 13(3)
s. 261A omitted by S.I. 2019/325 reg. 14
s. 261B(1)(a) words inserted by S.I. 2019/325 reg. 15(2)
s. 261B(2)(3) omitted by S.I. 2019/325 reg. 15(3)
s. 261D(5) excluded by Regulation (EU) No. 760/2015, Art. 29(1A) (as substituted) by S.I. 2019/336 reg. 34(2)
s. 261D(5) words omitted by S.I. 2019/325 reg. 16(2)(a)
s. 261D(5) words substituted by S.I. 2019/325 reg. 16(2)(b)
s. 261D(6) substituted by S.I. 2019/325 reg. 16(3)
s. 261D(7) omitted by S.I. 2019/325 reg. 16(4)
s. 261E(4) words substituted by S.I. 2019/325 reg. 17
s. 261H(1) words substituted by S.I. 2019/325 reg. 18
s. 261S(1)(b) word inserted by S.I. 2019/325 reg. 19(2)
s. 261S(7)(a) word inserted by S.I. 2019/325 reg. 19(3)
s. 261S(9)(a)(b) substituted by S.I. 2019/325 reg. 19(4)
s. 261W(3)(b) words omitted by S.I. 2019/325 reg. 20
s. 261X(1)(b)(ii) words substituted by S.I. 2019/325 reg. 21(a)
s. 261X(1)(b)(iii) words inserted by S.I. 2019/325 reg. 21(b)
s. 261Z(2)(b) word inserted by S.I. 2019/325 reg. 22(2)
s. 261Z(4)(b) word inserted by S.I. 2019/325 reg. 22(3)
s. 261Z4 omitted by S.I. 2019/325 reg. 23
s. 261Z5(1)(a) words inserted by S.I. 2019/325 reg. 24(2)
s. 261Z5(2)(3) omitted by S.I. 2019/325 reg. 24(3)
s. 263 repealed by 2006 c. 46 Sch. 16
s. 264 amendment to earlier affecting provision S.I. 2001/3084, art. 3A by S.I. 2019/589 reg. 8(2)
s. 264-269 omitted by S.I. 2019/325 reg. 25
s. 272(1)(a) word inserted by S.I. 2019/325 reg. 26(a)
s. 272(1)(b) and word omitted by S.I. 2019/325 reg. 26(b)
s. 283A(1) words substituted by S.I. 2019/325 reg. 27(2)
s. 283A(3)(a) words substituted by S.I. 2019/325 reg. 27(3)(a)
s. 380(6)(a)(iv) renumbered as s. 380(6)(a)(v) by S.I. 2019/632 reg. 2(6)(c)
- s. 380(9) words substituted by S.I. 2019/632 reg. 70(3)
- s. 382(9)(a)(i) words substituted by S.I. 2019/632 reg. 71(2)
- s. 382(12) words substituted by S.I. 2019/632 reg. 71(3)
- s. 384(7)(a) words substituted by S.I. 2019/632 reg. 72(2)
- s. 384(10) words substituted by S.I. 2019/632 reg. 72(3)
- s. 391(7A)(7B) omitted by S.I. 2019/632 reg. 74(2)
- s. 391(8A) words substituted by S.I. 2019/632 reg. 74(3)
- s. 391(8B) words substituted by S.I. 2019/632 reg. 74(5)
- s. 391(8D)(a) word omitted by S.I. 2019/632 reg. 74(7)(a)
- s. 391(8D)(b) words inserted by S.I. 2019/632 reg. 74(7)(b)(ii)
- s. 391(8D)(b) words substituted by S.I. 2019/632 reg. 74(7)(b)(i)
- s. 391(8F) omitted by S.I. 2019/632 reg. 74(9)
- s. 391(8G) words substituted by S.I. 2019/1234 reg. 8
- s. 391A heading words substituted by S.I. 2019/632 reg. 75(2)
- s. 391A(1) words substituted by S.I. 2019/632 reg. 75(3)
- s. 391A(6)(a) word inserted by S.I. 2019/632 reg. 75(4)(a)
- s. 391A(6)(c) and word omitted by S.I. 2019/632 reg. 75(4)(b)
- s. 391B heading words substituted by S.I. 2019/632 reg. 76(2)
- s. 391B(1) words substituted by S.I. 2019/632 reg. 76(3)
- s. 391C heading word substituted by S.I. 2019/632 reg. 77(2)
- s. 391C(1) words substituted by S.I. 2019/632 reg. 77(3)
- s. 391C(7)(b) and word omitted by S.I. 2019/632 reg. 77(4)
- s. 391D heading words substituted by S.I. 2019/632 reg. 78(2)
- s. 391D(1) words substituted by S.I. 2019/632 reg. 78(3)
- s. 391D(9)(b) and word omitted by S.I. 2019/632 reg. 78(4)
- s. 391E heading words substituted by S.I. 2019/632 reg. 79(2)
- s. 391E(1) words substituted by S.I. 2019/632 reg. 79(3)
- s. 391E(5) omitted by S.I. 2019/632 reg. 79(4)
- s. 391F(1) words substituted by S.I. 2019/1234 reg. 9
- s. 393 applied by S.I. 2019/1361 reg. 22(6) (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 395(13)(c) omitted by S.I. 2019/325 reg. 29
- s. 398(1) applied by S.I. 2019/266 reg. 21
- s. 398(1A)(c) word omitted by S.I. 2017/701 Sch. 2 para. 48(b)
- s. 398(1A)(ea) substituted by S.I. 2019/632 reg. 80(2)(a)
- s. 398(1A)(eb) substituted by S.I. 2019/632 reg. 80(2)(b)
- s. 400 applied by S.I. 2019/266 reg. 22
- s. 401 applied by S.I. 2019/266 reg. 23
- s. 404E(6) words omitted by S.I. 2019/632 reg. 82
- s. 409(1)(b) words substituted by S.I. 2019/589 reg. 2(2)(a)
- s. 409(1)(c) omitted by S.I. 2019/589 reg. 2(2)(b)
- s. 409(1)(f) omitted by S.I. 2019/589 reg. 2(2)(c)
- s. 409(4) omitted by S.I. 2019/589 reg. 2(3)
- s. 409(6) words substituted by S.I. 2019/589 reg. 2(4)
- s. 410(1) word substituted by S.I. 2019/632 reg. 83
- s. 417(1) words inserted by S.I. 2019/632 reg. 85(2)
- s. 417(1) words inserted by S.I. 2019/632 reg. 85(5)
- s. 417(1) words inserted by S.I. 2019/632 reg. 85(9)
- s. 417(1) words omitted by S.I. 2019/1361 reg. 3(a) (This S.I. is amended by S.I. 2019/1390, reg. 6)
- s. 417(1) words omitted by S.I. 2019/632 reg. 85(13)
- s. 417(1) words substituted by S.I. 2019/325 reg. 30
- s. 417(1) words substituted by S.I. 2019/632 reg. 85(4)
- s. 417(1) words substituted by S.I. 2019/632 reg. 85(7)
- s. 417(1) words substituted by S.I. 2019/632 reg. 85(10)
- s. 417(1) words substituted by S.I. 2019/632 reg. 85(12)
s. 417(4) omitted by S.I. 2019/1361 reg. 3(b) (This S.I. is amended by S.I. 2019/1390, reg. 6)

s. 418(1) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 1(4) (a) by S.I. 2019/328 reg. 20(2)(b) (This S.I. is amended by S.I. 2019/325, reg. 58)

s. 418(1) word substituted by S.I. 2013/1797, Sch. 1 para. 1(4)(a) (as substituted) by S.I. 2014/1292 art. 5(a) (This S.I. is amended by S.I. 2014/1313)

s. 418(1) words substituted by S.I. 2013/1797, Sch. 1 para. 1(4)(a) (as substituted) by S.I. 2014/1292 art. 5(a) (This S.I. is amended by S.I. 2014/1313)

s. 418(2) omitted by S.I. 2019/632 reg. 86(3)

s. 418(3) omitted by S.I. 2019/632 reg. 86(3)

s. 418(5A) omitted by S.I. 2019/1361 reg. 4 (This S.I. is amended by S.I. 2019/1390, reg. 6)

s. 418(5B) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 1(4) (b) by S.I. 2019/328 reg. 20(2)(c) (This S.I. is amended by S.I. 2019/325, reg. 58)

s. 418(5B) amendment to earlier affecting provision S.I. 2013/1797 Sch. 1 para. 1(4) (b) by S.I. 2014/1292 art. 5(b) (This S.I. is amended by S.I. 2014/1313)

s. 418(5AA)(b)(i) words substituted by S.I. 2019/632 reg. 86(4)(a)

s. 418(5AA)(b)(ii) words substituted by S.I. 2019/632 reg. 86(4)(a)

s. 418(5AA)(d) words substituted by S.I. 2019/632 reg. 86(4)(b)

s. 418(6) word substituted by S.I. 2013/1797, Sch. 1 para. 1(4)(c) (as substituted) by S.I. 2014/1292 art. 5(c) (This S.I. is amended by S.I. 2014/1313)

s. 418(6) words substituted by S.I. 2019/632 reg. 86(5)

s. 418(7) omitted by S.I. 2019/632 reg. 86(6)

s. 418(8) substituted by S.I. 2019/632 reg. 86(7)

s. 420(2)(b) words omitted by S.I. 2019/632 reg. 87(a)

s. 422A(4)(a) words substituted by S.I. 2019/632 reg. 88(a)(i)

s. 422A(4)(b) substituted by S.I. 2019/632 reg. 88(a)(ii)

s. 422A(5) words substituted by S.I. 2019/632 reg. 88(b)

s. 422A(6) words substituted by S.I. 2019/632 reg. 88(c)(i)

s. 422A(6)(a)(ii) words omitted by S.I. 2019/632 reg. 88(c)(ii)

s. 422A(7) words substituted by S.I. 2019/632 reg. 88(d)

s. 422A(10) omitted by S.I. 2019/632 reg. 88(f) (This amendment not applied to legislation.gov.uk. Reg. 88(f) substituted immediately before IP completion day by S.I. 2019/680, regs. 1(3), 9(5))

s. 422A(10) substituted by S.I. 2019/632, reg. 88(f) (as substituted) by S.I. 2019/680 reg. 9(5)

s. 424A(1) words substituted by S.I. 2019/632 reg. 90(2)

s. 424A(2) words substituted by S.I. 2019/632 reg. 90(3)

s. 424A(3)(4) omitted by S.I. 2019/632 reg. 90(4)

s. 424A(5)(a)(b) substituted by S.I. 2019/632 reg. 90(5)

s. 425 heading word omitted by S.I. 2018/1149 Sch. para. 20(3)

s. 425(1)(a) words omitted by S.I. 2019/710 reg. 3(2)

s. 425(1)(aa) omitted by S.I. 2019/325 reg. 31

s. 425(2) omitted by S.I. 2018/1149 Sch. para. 20(2)

s. 425A applied (with modifications) by S.I. 2019/266 reg. 20

s. 425A(3)(b) words substituted by S.I. 2019/632 reg. 91(2)

s. 425A(7) words omitted by S.I. 2018/135 reg. 49(2)(c)

s. 425A(7) words omitted by S.I. 2018/135 reg. 49(2)(d)

s. 425A(7) words omitted by S.I. 2019/632 reg. 91(3)(a)

s. 425A(7) words substituted by S.I. 2019/632 reg. 91(3)(b)

s. 425C substituted by S.I. 2019/632 reg. 92

Sch. 1A omitted by 2018 c. 10 Sch. 3 para. 22

Sch. IZA para. 19-21 applied (with modifications) by S.I. 2019/335 reg. 78

Sch. IZA para. 19-21 applied (with modifications) by S.I. 2019/542 reg. 39

Sch. IZA para. 19 modified by S.I. 2019/266 reg. 14(2)

Sch. IZA para. 20 modified by S.I. 2019/266 reg. 14(3)

Sch. IZA para. 21 modified by S.I. 2019/266 reg. 14(4)

Sch. IZA para. 24(a) and word omitted by S.I. 2018/1149 Sch. para. 21
– Sch. 11A para. 2(c) words substituted by S.I. 2019/707 reg. 38(2)(b) (This amendment not applied to legislation.gov.uk. Reg. 38(2)-(5)(7)(8) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 20)
– Sch. 12 para. 1 modified by S.I. 2019/710 Sch. para. 457(2)
– Sch. 12 para. 2 modified by S.I. 2019/710 Sch. para. 457(3)
– Sch. 12 para. 3 modified by S.I. 2019/710 Sch. para. 457(4)
– Sch. 12 para. 3A modified by S.I. 2019/710 Sch. para. 457(5)
– Sch. 12 para. 2(3) omitted by S.I. 2019/632 reg. 106(2)(b) (This amendment not applied to legislation.gov.uk. Reg. 106(2) omitted immediately before IP completion day by virtue of S.I. 2019/680, regs. 1(3), 9(6))
– Sch. 12 para. 2(6)(a) omitted by S.I. 2019/632 reg. 106(3)(a)
– Sch. 12 para. 2(6)(aa) omitted by S.I. 2019/632 reg. 106(3)(a)
– Sch. 12 para. 2(7A) omitted by S.I. 2019/632 reg. 106(4)
– Sch. 12 para. 2(9) omitted by S.I. 2019/632 reg. 106(4)
– Sch. 12 para. 3-6 omitted by S.I. 2019/632 reg. 107
– Sch. 12 para. 8(2)(b) omitted by S.I. 2019/632 reg. 109(b)
– Sch. 12 para. 9 omitted by S.I. 2019/632 reg. 110
– Sch. 12 para. 9C(2)(b) omitted by S.I. 2019/632 reg. 112(b)
– Sch. 12 para. 9D omitted by S.I. 2019/632 reg. 113
– Sch. 12 para. 10(2) omitted by S.I. 2019/632 reg. 114(b)
– Sch. 12 para. 10(3) omitted by S.I. 2019/632 reg. 114(b)
– Sch. 12 para. 1 substituted by S.I. 2019/632 reg. 105
– Sch. 12 para. 2(1)(b) and word substituted by S.I. 2019/632 reg. 106(2)(a) (This amendment not applied to legislation.gov.uk. Reg. 106(2) omitted immediately before IP completion day by virtue of S.I. 2019/680, regs. 1(3), 9(6))
– Sch. 12 para. 7 substituted by S.I. 2019/632 reg. 108
– Sch. 12 para. 9B substituted by S.I. 2019/632 reg. 111
– Sch. 12 para. 2(6)(c) words omitted by S.I. 2019/632 reg. 106(3)(b)
– Sch. 12 para. 2(6)(c)(i) words omitted by S.I. 2019/632 reg. 106(3)(c)
– Sch. 12 para. 2(6)(c)(ii) words omitted by S.I. 2019/632 reg. 106(3)(c)
– Sch. 12 para. 8(2)(a) words omitted by S.I. 2019/632 reg. 109(a)
– Sch. 12 para. 8(2)(aa) words omitted by S.I. 2019/632 reg. 109(a)
– Sch. 12 para. 9C(2)(a) words omitted by S.I. 2019/632 reg. 112(a)
– Sch. 12 para. 9C(2)(c) words omitted by S.I. 2019/632 reg. 112(c)
– Sch. 12 para. 8(2)(c) words substituted by S.I. 2019/632 reg. 109(c)
– Sch. 12 para. 10(1) words substituted by S.I. 2019/632 reg. 114(a)
– Sch. 12 para. 10(4) words substituted by S.I. 2019/632 reg. 114(c)
– Sch. 13 amendment to earlier affecting provision SI 2008/3249 Sch. para. 14-18 by S.I. 2013/472 Sch. 2 para. 152
<table>
<thead>
<tr>
<th>Amendments to Earlier Provisions</th>
<th>Date/Act</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sch. 13 amendment to earlier affecting provision SI 2008/718 Sch. para. 15-19</td>
<td>S.I. 2013/472 Sch. 2 para. 139</td>
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<tr>
<td>Sch. 17 para. 1 words omitted by S.I. 2018/1285 reg. 3(2)(a)</td>
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<td>Sch. 17 para. 16D(a) words substituted by 2013 c. 22 Sch. 9 para. 52</td>
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<td>Sch. 17 para. 1 words substituted by S.I. 2018/1285 reg. 3(2)(b)</td>
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<td>Sch. 19 Pt. 1 entry substituted by 2003 c. 20 Sch. 2 para. 24 (This amendment not applied to legislation.gov.uk. Sch. 19 repealed (20.6.2003) by Enterprise Act 2002 (c. 40), ss. 247(k), 278(2), 279, Sch. 26 (with s. 237); S.I. 2003/1397, art. 2(1), Sch.)</td>
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<tr>
<td>Changes and effects yet to be applied to the whole Act associated Parts and Chapters:</td>
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<tr>
<td>– Act power to apply conferred (temp. until 15.5.2039) by 2014 c. 21 s. 79(4)</td>
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<td>– Act power to apply conferred (temp. until 15.5.2039) by 2014 c. 21 s. 81(10)</td>
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</tr>
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<td>Whole provisions yet to be inserted into this Act (including any effects on those provisions):</td>
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<td>– Pt. 20C inserted by 2016 c. 14 s. 30(3)</td>
<td></td>
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<tr>
<td>– s. 3M(2A) inserted by S.I. 2019/632 reg. 10(3)</td>
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<tr>
<td>– s. 55J(6A)A inserted by S.I. 2019/632 reg. 16(3)</td>
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<td>– s. 86(9)A inserted by S.I. 2019/707 reg. 8(9) (This amendment not applied to legislation.gov.uk. Reg. 8(6)-(10) omitted (6.9.2019) by virtue of S.I. 2019/1234, regs. 1(2), 13(c))</td>
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<td>– s. 89A(4A) inserted by S.I. 2019/707 reg. 19(4)</td>
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<td>– s. 89C(5)-(8) inserted by S.I. 2019/707 reg. 21(4)</td>
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<tr>
<td>– s. 89F(1A)(1B) inserted by S.I. 2019/707 reg. 23</td>
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<tr>
<td>– s. 89K(5A) inserted by S.I. 2019/707 reg. 24(3)</td>
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<tr>
<td>– s. 89W(1A)(1B) inserted by S.I. 2019/707 reg. 28(3)</td>
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<td>– s. 122B(6)(6A) substituted for s. 122B(6)(7) by S.I. 2019/310 reg. 5(2)(b)</td>
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<td>– s. 122D(10A) inserted by S.I. 2019/310 reg. 5(3)(b)</td>
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<td>– s. 124(10)(b)(ii) words substituted by S.I. 2019/310 reg. 5(11)(a)</td>
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<tr>
<td>– s. 125(7)(a) word inserted by S.I. 2019/310 reg. 5(12)(a)(i)</td>
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<td>– s. 125(7)(c) omitted and word by S.I. 2019/310 reg. 5(12)(a)(ii)</td>
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<td>– s. 131F(6A) inserted by S.I. 2018/1321 reg. 2(3)</td>
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<td>– s. 131AB(1) s. 131AB renumbered as s. 131AB(1) by S.I. 2019/310 reg. 5(14)(a)</td>
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<td>– s. 131AB(1) words omitted by S.I. 2019/310 reg. 5(14)(b)(iii)</td>
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</tr>
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<tr>
<td>– s. 131AB(1) words substituted by S.I. 2019/310 reg. 5(14)(b)(ii)</td>
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<tr>
<td>– s. 131AB(2) inserted by S.I. 2019/310 reg. 5(14)(c)</td>
<td></td>
</tr>
<tr>
<td>– s. 137R(5A) inserted by S.I. 2019/632 reg. 38(4)</td>
<td></td>
</tr>
<tr>
<td>– s. 137FB(1A)-1D inserted by 2018 c. 10 s. 18(2)</td>
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<td>– s. 236A inserted by S.I. 2019/325 reg. 6</td>
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<td>– s. 283A(3A) inserted by S.I. 2019/325 reg. 27(4)</td>
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s. 313CA(4)(5) substituted for s. 313CA(4) by S.I. 2019/662 reg. 10(2)
- s. 391(8D)(c) and word inserted by S.I. 2019/632 reg. 74(7)(c)
- s. 391(8E) words substituted by S.I. 2019/632 reg. 74(8)
- s. 391(8AA) inserted by S.I. 2019/632 reg. 74(4)
- s. 391(8BA) inserted by S.I. 2019/632 reg. 74(6)
- s. 409(7)-(12) inserted by S.I. 2019/589 reg. 2(5)
- s. 423A inserted by S.I. 2019/632 reg. 89
- s. 427A(3) words substituted by 2002 c. 40 Sch. 17 para. 59 (This amendment not applied to legislation.gov.uk. The Financial Services and Markets Act 2000 does not contain a section 427A.)
- Sch. 6 para. 4A(7) inserted by S.I. 2019/632, reg. 100(c) (as inserted) by S.I. 2019/1233 reg. 5(d)
- Sch. 17A para. 23A and cross-heading inserted by S.I. 2019/662 reg. 14
- Sch. 17A para. 13(1A) omitted by S.I. 2019/662 reg. 13(2)
- Sch. 17A para. 14(2)(f) omitted by S.I. 2019/662 reg. 13(3)(b)
- Sch. 17A para. 11(1)(ab) substituted by S.I. 2019/632 reg. 116(a)(i)
- Sch. 17A para. 11(2)(d) substituted by S.I. 2019/632 reg. 116(b)
- Sch. 17A para. 22 words inserted by S.I. 2018/1184 reg. 5(a)
- Sch. 17A para. 32 words inserted by S.I. 2018/1184 reg. 5(b)
- Sch. 17A para. 33(a) words inserted by S.I. 2018/1184 reg. 5(c)
- Sch. 17A para. 22 words inserted by S.I. 2019/632 reg. 117(1)
- Sch. 17A para. 36(1) words omitted by S.I. 2018/1184 reg. 5(d)
- Sch. 17A para. 36(1) words omitted by S.I. 2019/632 reg. 119(a)
- Sch. 17A para. 10(2) words omitted by S.I. 2019/662 reg. 12
- Sch. 17A para. 11(1)(b) words substituted by S.I. 2019/632 reg. 116(a)(ii)
- Sch. 17A para. 23(1) words substituted by S.I. 2019/632 reg. 117(2)
- Sch. 17A para. 30(a) words substituted by S.I. 2019/632 reg. 118(a)
- Sch. 17A para. 30(1) words substituted by S.I. 2019/632 reg. 118(b)
- Sch. 17A para. 36(2)(b) words substituted by S.I. 2019/632 reg. 119(b)
- Sch. 17A para. 12 words substituted by S.I. 2019/662 reg. 13(1)
- Sch. 17A para. 14(2)(d) words substituted by S.I. 2019/662 reg. 13(3)(a)
- Sch. 17A para. 26(2)(a) words substituted by S.I. 2019/662 reg. 15(1)(a)
- Sch. 17A para. 26(2)(c) words substituted by S.I. 2019/662 reg. 15(1)(b)
- Sch. 17A para. 28(2) words substituted by S.I. 2019/662 reg. 15(2)(a)(i)
- Sch. 17A para. 28(2)(a) words substituted by S.I. 2019/662 reg. 15(2)(a)(ii)
- Sch. 17A para. 28(4)(a) words substituted by S.I. 2019/662 reg. 15(2)(b)
- Sch. 17A para. 32 words substituted by S.I. 2019/662 reg. 16
- Sch. 17A para. 33 words substituted by S.I. 2019/662 reg. 17