

## SCHEDULES

### [<sup>F1</sup>SCHEDULE A1

#### MONETARY PENALTY NOTICES IN RELATION TO CERTAIN UNLAWFUL INTERCEPTIONS

##### Annotations:

##### Amendments (Textual)

- F1** Sch. A1 inserted (17.6.2011) by  
[The Regulation of Investigatory Powers \(Monetary Penalty Notices and Consents for Interceptions\) Regulations 2011 \(S.I. 2011/1340\)](#)  
 ,  
**regs. 1(2)**  
 ,  
[2\(4\)](#)  
 {Sch. }

### PART 1

#### MONETARY PENALTY NOTICES

##### *Appeals against notices*

- 5 (1) A person on whom a monetary penalty notice is served may appeal to the First-tier Tribunal against—
- (a) the monetary penalty notice or any provision of it, or
  - (b) any refusal of a request by the person to issue a notice of variation or cancellation in relation to the monetary penalty notice.
- (2) Where there is an appeal under sub-paragraph (1)(a) in relation to a monetary penalty notice or any provision of it, any requirement in the notice or (as the case may be) provision concerned which does not relate to the imposition of an enforcement obligation need not be complied with until the appeal is withdrawn or finally determined.
- (3) Sub-paragraphs (4) to (6) apply in relation to an appeal under sub-paragraph (1)(a).
- (4) The First-tier Tribunal must allow the appeal or substitute such other monetary penalty notice as could have been served by the Commissioner if the Tribunal considers—
- (a) that the notice concerned is not in accordance with the law, or
  - (b) to the extent that the notice involved an exercise of discretion by the Commissioner, that the Commissioner ought to have exercised the discretion differently.

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**Changes to legislation:** *Regulation of Investigatory Powers Act 2000, Cross Heading: Appeals against notices is up to date with all changes known to be in force on or before 10 October 2018. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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- (5) In any other case, the First-tier Tribunal must dismiss the appeal.
- (6) The First-tier Tribunal may review any determination of fact on which the notice concerned was based.
- (7) Sub-paragraphs (8) to (10) apply in relation to an appeal under sub-paragraph (1)(b).
- (8) The First-tier Tribunal must direct the Commissioner to issue, on such terms as the Tribunal considers appropriate, a notice of variation or cancellation in relation to the monetary penalty notice if the Tribunal considers that the monetary penalty notice ought to be varied or cancelled on those terms.
- (9) In any other case, the First-tier Tribunal must dismiss the appeal.
- (10) The First-tier Tribunal may review any determination of fact on which the refusal to issue the notice of variation or cancellation was based.]

**Changes to legislation:**

Regulation of Investigatory Powers Act 2000, Cross Heading: Appeals against notices is up to date with all changes known to be in force on or before 10 October 2018. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:**

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 18(1)(df) inserted by  
2018 c. 13  
Sch. 3  
para. 3(2)
- s. 18(2)(ze) inserted by  
2018 c. 13  
Sch. 3  
para. 3(3)
- s. 30(6A)-(6D) inserted by  
2016 anaw 6  
s. 187(2)(b)
- s. 65(6)(dza) inserted by  
2016 c. 25  
s. 243(1)(d)(ii)
- s. 65(6A) inserted by  
2016 c. 25  
s. 243(1)(e)
- s. 67A inserted by  
2016 c. 25  
s. 242(1)
- s. 68(4A)-(4E) inserted by  
2016 c. 25  
s. 242(3)
- s. 69(2)(j)(k) inserted by  
2016 c. 25  
s. 242(4)(b)
- s. 78(4A) inserted by  
2016 c. 25  
s. 242(5)(b)
- Sch. 1 para. 16A and cross-heading inserted by  
2016 anaw 6  
s. 187(3)
- Sch. 1 Pt. 1 para. 20H inserted by  
2013 c. 32  
Sch. 12  
para. 74
- Sch. 2 para. 2(1)(c) and word inserted by  
2016 c. 25  
Sch. 10  
para. 66(2)(b)
- Sch. 2 para. 2(6)(d) and word inserted by  
2016 c. 25  
Sch. 10  
para. 66(4)(b)
- Sch. 2 para. 2(6A) inserted by  
2016 c. 25  
Sch. 10

para. 66(5)