

# Trade Union and Labour Relations (Consolidation) Act 1992

## **1992 CHAPTER 52**

### PART VI

### ADMINISTRATIVE PROVISIONS

### ACAS

### 247 ACAS.

- (1) There shall continue to be a body called the Advisory, Conciliation and Arbitration Service (referred to in this Act as ACAS).
- (2) ACAS is a body corporate of which the corporators are the members of its Council.
- (3) Its functions, and those of its officers and servants, shall be performed on behalf of the Crown, but not so as to make it subject to directions of any kind from any Minister of the Crown as to the manner in which it is to exercise its functions under any enactment.
- (4) For the purposes of civil proceedings arising out of those functions the <sup>M1</sup>Crown Proceedings Act 1947 applies to ACAS as if it were a government department and the <sup>M2</sup>Crown Suits (Scotland) Act 1857 applies to it as if it were a public department.
- (5) Nothing in section 9 of the <sup>M3</sup>Statistics of Trade Act 1947 (restriction on disclosure of information obtained under that Act) shall prevent or penalise the disclosure to ACAS, for the purposes of the exercise of any of its functions, of information obtained under that Act by a government department.
- (6) ACAS shall maintain offices in such of the major centres of employment in Great Britain as it thinks fit for the purposes of discharging its functions under any enactment.

#### **Marginal Citations**

- M1 1947 c. 44. M2 1857 c. 44.
- **M3** 1947 c. 39.

#### 248 The Council of ACAS.

- (1) ACAS shall be directed by a Council which, subject to the following provisions, shall consist of a chairman and nine ordinary members appointed by the Secretary of State.
- (2) Before appointing those ordinary members of the Council, the Secretary of State shall—
  - (a) as to three of them, consult such organisations representing employers as he considers appropriate, and
  - (b) as to three of them, consult such organisations representing workers as he considers appropriate.
- (3) The Secretary of State may, if he thinks fit, appoint a further two ordinary members of the Council (who shall be appointed so as to take office at the same time); and before making those appointments he shall—
  - (a) as to one of them, consult such organisations representing employers as he considers appropriate, and
  - (b) as to one of them, consult such organisations representing workers as he considers appropriate.
- (4) The Secretary of State may appoint up to three deputy chairman who may be appointed from the ordinary members, or in addition to those members.
- (5) The Council shall determine its own procedure, including the quorum necessary for its meetings.
- (6) If the Secretary of State has not appointed a deputy chairman, the Council may choose a member to act as chairman in the absence or incapacity of the chairman.
- (7) The validity of proceedings of the Council is not affected by any vacancy among the members of the Council or by any defect in the appointment of any of them.

#### 249 Terms of appointment of members of Council.

- (1) The members of the Council shall hold and vacate office in accordance with their terms of appointment, subject to the following provisions.
- (2) <sup>F1</sup>...

Appointment as  $[F^2$  chairman, or as] deputy chairman, or as an ordinary member of the Council, may be a full-time or part-time appointment; and the Secretary of State may, with the consent of the member concerned, vary the terms of his appointment as to whether his appointment is full-time or part-time.

(3) A person shall not be appointed to the Council for a term exceeding five years, but previous membership does not affect eligibility for re-appointment.

(4) A member may at any time resign his membership, and the chairman or a deputy chairman may at any time resign his office as such, by notice in writing to the Secretary of State.

A deputy chairman appointed in addition to the ordinary members of the Council shall on resigning his office as deputy chairman cease to be a member of the Council.

- (5) If the Secretary of State is satisfied that a member—
  - (a) has been absent from meetings of the Council for a period longer than six consecutive months without the permission of the Council, or
  - (b) has become bankrupt or [<sup>F3</sup>has had a debt relief order (under Part 7A of the Insolvency Act 1986) made in respect of him or has] made an arrangement with his creditors (or, in Scotland, has had his estate sequestrated or has made a trust deed for his creditors or has made and had accepted a composition contract), or
  - (c) is incapacitated by physical or mental illness, or
  - (d) is otherwise unable or unfit to discharge the functions of a member,

the Secretary of State may declare his office as a member to be vacant and shall notify the declaration in such manner as he thinks fit, whereupon the office shall become vacant.

If the chairman or a deputy chairman ceases to be a member of the Council, he shall also cease to be chairman or, as the case may be, a deputy chairman.

#### **Textual Amendments**

- F1 Words in s. 249(2) repealed (30.8.1993) by 1993 c. 19, ss. 43(3), 51, Sch.10; S.I. 1993/1908, art. 2(1), Sch. 1
- F2 Words in s. 249(2) inserted (30.8.1993) by 1993 c. 19, s. 43(3); S.I. 1993/1908, art. 2(1), Sch.1
- F3 Words in s. 249(5)(b) inserted (1.10.2012) by The Tribunals, Courts and Enforcement Act 2007 (Consequential Amendments) Order 2012 (S.I. 2012/2404), art. 1, Sch. 2 para. 28(2) (with art. 5)

### 250 Remuneration, &c. of members of Council.

- (1) ACAS shall pay to the members of its Council such remuneration and travelling and other allowances as may be determined by the Secretary of State.
- (2) The Secretary of State may pay, or make provision for payment, to or in respect of a member of the Council such pension, allowance or gratuity on death or retirement as he may determine.
- (3) Where a person ceases to be the holder of the Council otherwise than on the expiry of his term of office and it appears to the Secretary of State that there are special circumstances which make it right for him to receive compensation, he may make him a payment of such amount he may determine.
- (4) The approval of the Treasury is required for any determination by the Secretary of State under this section.

### Modifications etc. (not altering text)

C1 S. 250: transfer of functions (1.4.1995) by virtue of S.I. 1995/269, art. 3, Sch. para. 21

### 251 Secretary, officers and staff of ACAS.

(1) ACAS may, with the approval of the Secretary of State, appoint a secretary.

The consent of the Secretary of State is required as to his terms and conditions of service.

(2) ACAS may appoint such other officers and staff as it may determine.

The consent of the Secretary of State is required as to their numbers, manner of appointment and terms and conditions of service.

- (3) The Secretary of State shall not give his consent under subsection (1) or (2) without the approval of the Treasury.
- (4) ACAS shall pay to the Treasury, at such times in each accounting year as may be determined by the Treasury, sums of such amounts as may be so determined as being equivalent to the increase in that year of such liabilities of his as are attributable to the provision of pensions, allowances or gratuities to or in respect of persons who are or have been in the service of ACAS in so far as that increase results from the service of those persons during that accounting year and to the expense to be incurred in administering those pensions, allowances or gratuities.
- (5) The fixing of the common seal of ACAS shall be authenticated by the signature of the secretary of ACAS or some other person authorised by ACAS to act for that purpose. A document purporting to be duly executed under the seal of ACAS shall be received in evidence and shall, unless the contrary is proved, be deemed to be so executed.

### [<sup>F4</sup>251A Fees for exercise of functions by ACAS.

- (1) ACAS may, in any case in which it thinks it appropriate to do so, but subject to any directions under subsection (2) below, charge a fee for exercising a function in relation to any person.
- (2) The Secretary of State may direct ACAS to charge fees, in accordance with the direction, for exercising any function specified in the direction, but the Secretary of State shall not give a direction under this subsection without consulting ACAS.
- (3) A direction under subsection (2) above may require ACAS to charge fees in respect of the exercise of a function only in specified descriptions of case.
- (4) A direction under subsection (2) above shall specify whether fees are to be charged in respect of the exercise of any specified function—
  - (a) at the full economic cost level, or
  - (b) at a level less than the full economic cost but not less than a specified proportion or percentage of the full economic cost.
- (5) Where a direction requires fees to be charged at the full economic cost level ACAS shall fix the fee for the case at an amount estimated to be sufficient to cover the administrative costs of ACAS of exercising the function including an appropriate sum in respect of general staff costs and overheads.
- (6) Where a direction requires fees to be charged at a level less than the full economic cost ACAS shall fix the fee for the case at such amount, not being less than the proportion or percentage of the full economic cost specified under subsection (4)(b) above, as

it thinks appropriate (computing that cost in the same way as under subsection (5) above).

- (7) No liability to pay a fee charged under this section shall arise on the part of any person unless ACAS has notified that person that a fee may or will be charged.
- (8) For the purposes of this section
  - a function is exercised in relation to a person who avails himself of the benefit (a) of its exercise, whether or not he requested its exercise and whether the function is such as to be exercisable in relation to particular persons only or in relation to persons generally; and
  - where a function is exercised in relation to two or more persons the fee (b) chargeable for its exercise shall be apportioned among them as ACAS thinks appropriate.]

#### **Textual Amendments**

S. 251A inserted (30.8.1993) by 1993 c. 19, s.44; S.I. 1993/1908, art. 2(1), Sch.1 F4

### [<sup>F5</sup>251B Prohibition on disclosure of information

(1) Information held by ACAS shall not be disclosed if the information—

- relates to a worker, an employer of a worker or a trade union (a "relevant (a) person"), and
- is held by ACAS in connection with the provision of a service by ACAS or (b) its officers.

This is subject to subsection (2).

- (2) Subsection (1) does not prohibit the disclosure of information if
  - the disclosure is made for the purpose of enabling or assisting ACAS to carry (a) out any of its functions under this Act,
  - the disclosure is made for the purpose of enabling or assisting an officer of (b) ACAS to carry out the functions of a conciliation officer under any enactment,
  - the disclosure is made for the purpose of enabling or assisting-(c)
    - (i) a person appointed by ACAS under section 210(2), or
    - (ii) an arbitrator or arbiter appointed by ACAS under any enactment,

to carry out functions specified in the appointment,

the disclosure is made for the purpose of enabling or assisting an enforcement officer within the meaning of Part 2A of the Employment Tribunals Act 1996

- <sup>F6</sup>(ca) to carry out the officer's functions under that Part;]
  - (d) the disclosure is made for the purposes of a criminal investigation or criminal proceedings (whether or not within the United Kingdom),
  - the disclosure is made in order to comply with a court order, (e)
  - the disclosure is made in a manner that ensures that no relevant person to (f) whom the information relates can be identified, or
  - the disclosure is made with the consent of each relevant person to whom the (g) information relates.
- (3) Subsection (2) does not authorise the making of a disclosure which contravenes  $[^{F7}$  the data protection legislation].

- (4) A person who discloses information in contravention of this section commits an offence and is liable on summary conviction to a fine not exceeding level 5 on the standard scale.
- (5) Proceedings in England and Wales for an offence under this section may be instituted only with the consent of the Director of Public Prosecutions.
- (6) For the purposes of this section information held by-
  - (a) a person appointed by ACAS under section 210(2) in connection with functions specified in the appointment, or
  - (b) an arbitrator or arbiter appointed by ACAS under any enactment in connection with functions specified in the appointment,

is information that is held by ACAS in connection with the provision of a service by ACAS.]

[<sup>F8</sup>(7) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).]

#### **Textual Amendments**

- F5 S. 251B inserted (25.4.2013) by Enterprise and Regulatory Reform Act 2013 (c. 24), ss. 10, 103(1) (with s. 24(1))
- F6 S. 251B(2)(ca) inserted (6.4.2016) by Small Business, Enterprise and Employment Act 2015 (c. 26), ss. 150(7), 164(1) (with s. 150(8)); S.I. 2016/321, reg. 3(d)
- Words in s. 251B(3) substituted (25.5.2018) by Data Protection Act 2018 (c. 12), s. 212(1), Sch. 19 para. 40(2) (with ss. 117, 209, 210); S.I. 2018/625, reg. 2(1)(g)
- **F8** S. 251B(7) inserted (25.5.2018) by Data Protection Act 2018 (c. 12), s. 212(1), **Sch. 19 para. 40(3)** (with ss. 117, 209, 210); S.I. 2018/625, reg. 2(1)(g)

#### 252 General financial provisions.

- (1) The Secretary of State shall pay to ACAS such sums as are approved by the Treasury and as he considers appropriate for the purpose of enabling ACAS to perform its functions.
- (2) ACAS may pay to-
  - (a) persons appointed under section 210(2) (conciliation) who are not officers or servants of ACAS, and
  - (b) arbitrators or arbiters appointed by ACAS under any enactment,

such fees and travelling and other allowances as may be determined by the Secretary of State with the approval of the Treasury.

### 253 Annual report and accounts.

(1) ACAS shall as soon as practicable after the end of each [<sup>F9</sup>financial year] make a report to the Secretary of State on its activities during that year.

The Secretary of State shall lay a copy of the report before each House of Parliament and arrange for it to be published.

- (2) ACAS shall keep proper accounts and proper records in relation to the accounts and shall prepare in respect of each financial year a statement of accounts, in such form as the Secretary of State may, with the approval of the Treasury, direct.
- (3) ACAS shall not later than 30th November following the end of the financial year to which the statement relates, send copies of the statement to the Secretary of State and to the Comptroller and Auditor General.
- (4) The Comptroller and Auditor General shall examine, certify and report on each such statement and shall lay a copy of the statement and of his report before each House of Parliament.

#### Textual Amendments

F9 Words in s. 253(1) substituted (25.10.1999) by 1999 c. 26, s. 27(1); S.I. 1999/2830, art. 2(1), Sch. 1
 Pt. I (with Sch. 3 para. 3)

### The Certification Officer

### 254 The Certification Officer.

- (1) There shall continue to be an officer called the Certification Officer.
- (2) The Certification Officer shall be appointed by the Secretary of State after consultation with ACAS [<sup>F10</sup>(but is not subject to directions of any kind from any Minister of the Crown as to the manner in which he is to exercise his functions)].
- (3) The Certification Officer may appoint one or more assistant certification officers and shall appoint an assistant certification officer for Scotland.
- (4) The Certification Officer may delegate to an assistant certification officer such functions as he thinks appropriate, and in particular may delegate to the assistant certification officer for Scotland such functions as he thinks appropriate in relation to organisations whose principal office is in Scotland.

References to the Certification Officer in enactments relating to his functions shall be construed accordingly.

- (5) ACAS shall provide for the Certification Officer the requisite staff (from among the officers and servants of ACAS) and the requisite accommodation, equipment and other facilities.
- <sup>F11</sup>[(5A) <sup>F12</sup>...ACAS shall pay to the Certification Officer such sums as he may require for the performance of any of his functions.]
  - $F^{13}(6)$  ....

#### **Textual Amendments**

- **F10** Words in s. 254(2) inserted (1.4.2022) by Trade Union Act 2016 (c. 15), ss. 16, 25(1); S.I. 2021/1373, reg. 4(a)
- F11 S. 254(5A) inserted (30.8.1993) by 1993 c. 19, s. 49(2), Sch. 8 para.78; S.I. 1993/1908, art. 2(1), Sch.
   1

- **F12** Words in s. 254(5A) omitted (1.4.2022) by virtue of Trade Union Act 2016 (c. 15), s. 25(1), **Sch. 4 para. 15(2)**; S.I. 2021/1373, reg. 4(e)
- **F13** S. 254(6) omitted (1.4.2022) by virtue of Trade Union Act 2016 (c. 15), s. 25(1), **Sch. 4 para. 15(3**); S.I. 2021/1373, reg. 4(e)

#### 255 Remuneration, &c. of Certification Officer and assistants.

- (1) ACAS shall pay to the Certification Officer and any assistant certification officer such remuneration and travelling and other allowances as may be determined by the Secretary of State.
- (2) The Secretary of State may pay, or make provision for payment, to or in respect of the Certification Officer and any assistant certification officer such pension, allowance or gratuity on death or retirement as he may determine.
- (3) Where a person ceases to be the Certification Officer or an assistant certification officer otherwise than on the expiry of his term of office and it appears to the Secretary of State that there are special circumstances which make it right for him to receive compensation, he may make him a payment of such amount he may determine.
- (4) The approval of the Treasury is required for any determination by the Secretary of State under this section.

#### 256 Procedure before the Certification Officer.

- (1) Except in relation to matters as to which express provision is made by or under an enactment, the Certification Officer may regulate the procedure to be followed—
  - (a) on any application or complaint made to him, <sup>F14</sup>...
  - (b) where his approval is sought with respect to any matter  $[^{F15}$ , or
  - (c) determining whether to make a declaration or [<sup>F16</sup>order under section 24B, 32ZC, 45C, 55, 72A, 80, 82 or 103 or under paragraph 5 of Schedule A3]].
- [<sup>F17</sup>(2) He shall in particular make provision about the disclosure, and restriction of the disclosure, of the identity of an individual who has made or is proposing to make any such application or complaint.
  - (2A) Provision under subsection (2) shall be such that if the application or complaint relates to a trade union—
    - (a) the individual's identity is disclosed to the union unless the Certification Officer thinks the circumstances are such that it should not be so disclosed;
    - (b) the individual's identity is disclosed to such other persons (if any) as the Certification Officer thinks fit.]
    - (3) The Secretary of State may, with the consent of the Treasury, make a scheme providing for the payment by the Certification Officer to persons of such sums as may be specified in or determined under the scheme in respect of expenses incurred by them for the purposes of, or in connection with, their attendance at hearings held by him in the course of carrying out his functions.

#### **Textual Amendments**

- **F14** Word in s. 256(1)(a) omitted (1.6.2016) by virtue of Transparency of Lobbying, Non-Party Campaigning and Trade Union Administration Act 2014 (c. 4), ss. 43(7)(a), 45(1)(c); S.I. 2015/717, art. 4(b)
- **F15** S. 256(1)(c) and preceding word inserted (1.6.2016) by Transparency of Lobbying, Non-Party Campaigning and Trade Union Administration Act 2014 (c. 4), ss. 43(7)(b), 45(1)(c); S.I. 2015/717, art. 4(b)
- F16 Words in s. 256(1)(c) substituted (1.4.2022) by Trade Union Act 2016 (c. 15), s. 25(1), Sch. 4 para.
  16; S.I. 2021/1373, reg. 4(e)
- F17 S. 256(2)(2A) substituted for s. 256(2) (25.10.1999) by 1999 c. 26, s. 29, Sch. 6 paras. 1, 22
- F18 S. 256(4) repealed (30.8.1993) by 1993 c. 19, s. 51, Sch. 10; S.I. 1993/1908, art. 2(1), Sch. 1

#### [<sup>F19</sup>256Z**A**triking out

- (1) At any stage of proceedings on an application or complaint made to the Certification Officer, he may—
  - (a) order the application or complaint, or any response, to be struck out on the grounds that it is scandalous, vexatious, has no reasonable prospect of success or is otherwise misconceived,
  - (b) order anything in the application or complaint, or in any response, to be amended or struck out on those grounds, or
  - (c) order the application or complaint, or any response, to be struck out on the grounds that the manner in which the proceedings have been conducted by or on behalf of the applicant or complainant or (as the case may be) respondent has been scandalous, vexatious, or unreasonable.
- (2) The Certification Officer may order an application or complaint made to him to be struck out for excessive delay in proceeding with it.
- (3) An order under this section may be made on the Certification Officer's own initiative and may also be made—
  - (a) if the order sought is to strike out an application or complaint, or to amend or strike out anything in an application or complaint, on an application by the respondent, or
  - (b) if the order sought is to strike out any response, or to amend or strike out anything in any response, on an application by the person who made the application or complaint mentioned in subsection (1).
- (4) Before making an order under this section, the Certification Officer shall send notice to the party against whom it is proposed that the order should be made giving him an opportunity to show cause why the order should not be made.
- (5) Subsection (4) shall not be taken to require the Certification Officer to send a notice under that subsection if the party against whom it is proposed that the order under this section should be made has been given an opportunity to show cause orally why the order should not be made.
- (6) Nothing in this section prevents the Certification Officer from making further provision under section 256(1) about the striking out of proceedings on any application or complaint made to him.

- (7) An appeal lies to the Employment Appeal Tribunal on any question of law arising from a decision of the Certification Officer under this section.
- (8) In this section—

"response" means any response made by a trade union or other body in the exercise of a right to be heard, or to make representations, in response to the application or complaint;

"respondent" means any trade union, or other body, that has such a right.]

#### **Textual Amendments**

**F19** S. 256ZA inserted (6.4.2005) by Employment Relations Act 2004 (c. 24), **ss. 48**, 59(2)-(4); S.I. 2005/872, **art. 4**, Sch. (with arts. 6-21)

### [<sup>F20</sup>256AVexatious litigants.

- (1) The Certification Officer may refuse to entertain any application or complaint made to him under a provision of Chapters III to VIIA of Part I by a vexatious litigant.
- (2) The Certification Officer must give reasons for such a refusal.
- (3) Subsection (1) does not apply to a complaint under section 37E(1)(b) or to an application under section 41.
- (4) For the purposes of subsection (1) a vexatious litigant is a person who is the subject of—
  - (a) F21
  - (a) <sup>F21</sup>.....
  - (b) a civil proceedings order or an all proceedings order which is made under section 42(1) of the [<sup>F22</sup>Senior Courts Act 1981] and which remains in force,
  - (c) an order which is made under section 1 of the <sup>M4</sup>Vexatious Actions (Scotland) Act 1898 [<sup>F23</sup>or a vexatious litigation order made under section 100 of the Courts Reform (Scotland) Act 2014], or
  - (d) an order which is made under section 32 of the <sup>M5</sup>Judicature (Northern Ireland) Act 1978.]

#### **Textual Amendments**

- F20 Ss. 256A, 256B inserted (25.10.1999) by 1999 c. 26, s. 29, Sch. 6 paras. 1, 23; S.I. 1999/2830, art. 2(1), Sch. 1 Pt. I (with Sch. 3 para. 5)
- F21 S. 256A(4)(a) repealed (6.4.2005) by Employment Relations Act 2004 (c. 24), ss. 57(2), 59(2)-(4),
   Sch. 2; S.I. 2005/872, art. 4, Sch (with arts. 6-21)
- F22 S. 256A(4)(b): words substituted wherever they occur in any enactment (1.10.2009) by virtue of Constitutional Reform Act 2005 (c. 4), ss. 59, 148, Sch. 11 para. 1; S.I. 2009/1604, art. 2(b)(d)
- F23 Words in s. 256A(4)(c) inserted (S.) (28.11.2016) by The Courts Reform (Scotland) Act 2014 (Relevant Officer and Consequential Provisions) Order 2016 (S.S.I. 2016/387), art. 1, sch. 2 para. 2

#### **Marginal Citations**

- M4 1898 c. 35.
- M5 1978 c. 23.

### [<sup>F24</sup>256BVexatious litigants: applications disregarded.

- (1) For the purposes of a relevant enactment an application to the Certification Officer shall be disregarded if—
  - (a) it was made under a provision mentioned in the relevant enactment, and
  - (b) it was refused by the Certification Officer under section 256A(1).
- (2) The relevant enactments are sections 26(8), 31(7), 45C(5B), 56(8), 72A(10), 81(8) and 108A(13).]

#### **Textual Amendments**

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F24 Ss. 256A, 256B inserted (25.10.1999) by 1999 c. 26, s. 29, Sch. 6 paras. 1, 23; S.I. 1999/2830, art. 2(1), Sch. 1 Pt. I (with Sch. 3 para. 5)
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### [<sup>F25</sup>256CInvestigatory powers

Schedule A3 (Certification Officer: investigatory powers) shall have effect.]

#### **Textual Amendments**

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F25 S. 256C inserted (8.12.2021 for specified purposes, 1.4.2022 in so far as not already in force) by Trade Union Act 2016 (c. 15), ss. 17(1), 25(1); S.I. 2021/1373, regs. 3(a), 4(b) (with regs. 5-14)
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### [<sup>F26</sup>256DPower to impose financial penalties

Schedule A4 (Certification Officer: power to impose financial penalties) shall have effect.]

#### **Textual Amendments**

**F26** S. 256D inserted (8.12.2021 for specified purposes, 1.4.2022 in so far as not already in force) by Trade Union Act 2016 (c. 15), **ss. 19(1)**, 25(1) (with s. 19(3)); S.I. 2021/1373, regs. 3(b), 4(c)

### 257 Custody of documents submitted under earlier legislation.

- (1) The Certification Officer shall continue to have custody of the annual returns, accounts, copies of rules and other documents submitted for the purposes of—
  - (a) the Trade Union Acts 1871 to 1964,
  - (b) the <sup>M6</sup>Industrial Relations Act 1971, or
  - (c) the <sup>M7</sup>Trade Union and Labour Relations Act 1974,

of which he took custody under section 9 of the <sup>M8</sup>Employment Protection Act 1975.

(2) He shall keep available for public inspection (either free of charge or on payment of a reasonable charge) at all reasonable hours such of those documents as were available for public inspection in pursuance of any of those Acts.

#### **Marginal Citations**

M61971 c. 72.M71974 c. 52.

M8 1975 c. 71.

### [<sup>F27</sup>257ALevy payable to Certification Officer

- (1) The Secretary of State may by regulations make provision for the Certification Officer to require trade unions and employers' associations ("relevant organisations") to pay a levy to the Officer.
- (2) The regulations must require the Certification Officer, in determining the amounts to be levied, to aim to ensure that the total amount levied over any period of three years does not exceed the total amount of the Officers's expenses over that period that are referable to specified functions of the Officer.
- (3) The regulations may make provision for determining what things count as expenses of the Certification Officer for the purposes of provision made by virtue of subsection (2), and may in particular provide for the expenses to be treated as including—
  - (a) expenses incurred by ACAS in providing staff, accommodation, equipment and other facilities under section 254(5), or
  - (b) expenses in respect of which payments are made under section 255(1) or (2).
- (4) The regulations may provide for the Certification Officer to determine the amount of levy payable by a relevant organisation by reference to specified criteria, which may include—
  - (a) the number of members or the amount of income that the organisation has;
  - (b) whether the organisation is—
    - (i) a federated trade union,
    - (ii) a trade union that is not a federated trade union,
    - (iii) a federated employers' association, or
    - (iv) an employers' association that is not a federated employers' association;
  - (c) the different proportions of the Officer's expenses that are referable to—
    - (i) functions in relation to federated trade unions,
    - (ii) functions in relation to trade unions that are not federated trade unions,
    - (iii) functions in relation to federated employers' associations, and
    - (iv) functions in relation to employers' associations that are not federated employers' associations.
- (5) The regulations may provide—
  - (a) for the levy not to be payable, or for a reduced amount to be payable, in specified cases or in cases determined by the Certification Officer in accordance with the regulations;
  - (b) for the intervals at which the levy is to be paid;
  - (c) for interest to be payable where a payment is not made by the required date;
  - (d) for an amount levied to be recoverable by the Certification Officer as a debt.

- (6) The regulations may contain such incidental, supplementary or transitional provisions as appear to the Secretary of State to be necessary or expedient.
- (7) In this section—
  - "federated employers' association" has the same meaning as in section 135;
  - "federated trade union" has the same meaning as in section 118;
  - "specified" means specified in the regulations.
- (8) Before making regulations under this section the Secretary of State must consult relevant organisations and ACAS.
- (9) No regulations under this section shall be made unless a draft of them has been laid before Parliament and approved by a resolution of each House of Parliament.
- (10) The Certification Officer shall pay into the Consolidated Fund amounts received by virtue of this section.]

#### **Textual Amendments**

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F27 S. 257A inserted (8.12.2021) by Trade Union Act 2016 (c. 15), ss. 20(1), 25(1); S.I. 2021/1373, reg. 3(c)
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### 258 Annual report and accounts.

(1) The Certification Officer shall, as soon as practicable after the end of each [<sup>F28</sup>financial year], make a report of his activities during that year to ACAS and to the Secretary of State.

[<sup>F29</sup>(1A) A report under this section shall include details of—

- (a) amounts levied by the Certification Officer by virtue of section 257A in the year in question, and
- (b) how the amounts were determined.]

The Secretary of State shall lay a copy of the report before each House of Parliament and arrange for it to be published.

(2) The accounts prepared by ACAS in respect of any financial year shall show separately any sums disbursed to or on behalf of the Certification Officer in consequence of the provisions of this Part.

#### **Textual Amendments**

- **F28** Words in s. 258(1) substituted (25.10.1999) by 1999 c. 26, s. 29, **Sch. 6**, paras. 1, 24; S.I. 1999/2830, art. 2(1)(2), **Sch. 1 Pt. I** (with Sch. 3 para. 5)
- F29 S. 258(1A) inserted (8.12.2021) by Trade Union Act 2016 (c. 15), ss. 20(2), 25(1); S.I. 2021/1373, reg. 3(c)

Central Arbitration Committee

### 259 The Central Arbitration Committee.

- (1) There shall continue to be a body called the Central Arbitration Committee.
- (2) The functions of the Committee shall be performed on behalf of the Crown, but not so as to make it subject to directions of any kind from any Minister of the Crown as to the manner in which it is to exercise its functions.
- (3) ACAS shall provide for the Committee the requisite staff (from among the officers and servants of ACAS) and the requisite accommodation, equipment and other facilities.

### 260 The members of the Committee.

- [<sup>F30</sup>(1) The Central Arbitration Committee shall consist of members appointed by the Secretary of State.
- F30(2) The Secretary of State shall appoint a member as chairman, and may appoint a member as deputy chairman or members as deputy chairmen.
- F30(3) The Secretary of State may appoint as members only persons experienced in industrial relations, and they shall include some persons whose experience is as representatives of employers and some whose experience is as representatives of workers.
- F<sup>30</sup>(3A) Before making an appointment under subsection (1) or (2) the Secretary of State shall consult ACAS and may consult other persons.]
  - (4) At any time when the chairman of the Committee is absent or otherwise incapable of acting, or there is a vacancy in the office of chairman, and the Committee has a deputy chairman or deputy chairmen—
    - (a) the deputy chairman, if there is only one, or
    - (b) if there is more than one, such of the deputy chairmen as they may agree or in default of agreement as the Secretary of State may direct,

may perform any of the functions of chairman of the Committee.

- (5) At any time when every person who is chairman or deputy chairman is absent or otherwise incapable of acting, or there is no such person, such member of the Committee as the Secretary of State may direct may perform any of the functions of the chairman of the Committee.
- (6) The validity of any proceedings of the Committee shall not be affected by any vacancy among the members of the Committee or by any defect in the appointment of a member of the Committee.

#### **Textual Amendments**

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F30 S. 260(1)-(3A) substituted for s. 260(1)-(3) (22.2.2000) by 1999 c. 26, s. 24; S.I. 2000/420, art. 2 (with art. 3)
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### 261 Terms of appointment of members of Committee.

(1) The members of the Central Arbitration Committee shall hold and vacate office in accordance with their terms of appointment, subject to the following provisions.

- (2) A person shall not be appointed to the Committee for a term exceeding five years, but previous membership does not affect eligibility for re-appointment.
- (3) The Secretary of State may, with the consent of the member concerned, vary the terms of his appointment as to whether he is a full-time or part-time member.
- (4) A member may at any time resign his membership, and the chairman or a deputy chairman may at any time resign his office as such, by notice in writing to the Secretary of State.
- (5) If the Secretary of State is satisfied that a member—
  - (a) has become bankrupt or [<sup>F31</sup>has had a debt relief order (under Part 7A of the Insolvency Act 1986) made in respect of him or has] made an arrangement with his creditors (or, in Scotland, has had his estate sequestrated or has made a trust deed for his creditors or has made and had accepted a composition contract), or
  - (b) is incapacitated by physical or mental illness, or
  - (c) is otherwise unable or unfit to discharge the functions of a member,

the Secretary of State may declare his office as a member to be vacant and shall notify the declaration in such manner as he thinks fit, whereupon the office shall become vacant.

(6) If the chairman or a deputy chairman ceases to be a member of the Committee, he shall also cease to be chairman or, as the case may be, a deputy chairman.

#### **Textual Amendments**

F31 Words in s. 261(5)(a) inserted (1.10.2012) by The Tribunals, Courts and Enforcement Act 2007 (Consequential Amendments) Order 2012 (S.I. 2012/2404), art. 1, Sch. 2 para. 28(3) (with art. 5)

### 262 Remuneration, &c. of members of Committee.

- (1) ACAS shall pay to the members of the Central Arbitration Committee such remuneration and travelling and other allowances as may be determined by the Secretary of State.
- (2) The Secretary of State may pay, or make provision for payment, to or in respect of a member of the Committee such pension, allowance or gratuity on death or retirement as he may determine.
- (3) Where a person ceases to be the holder of the Committee otherwise than on the expiry of his term of office and it appears to the Secretary of State that there are special circumstances which make it right for him to receive compensation, he may make him a payment of such amount he may determine.
- (4) The approval of the Treasury is required for any determination by the Secretary of State under this section.

#### **263 Proceedings of the Committee.**

(1) For the purpose of discharging its functions in any particular case the Central Arbitration Committee shall consist of the chairman and such other members as the chairman may direct:

Provided that, it may sit in two or more divisions constituted of such members as the chairman may direct, and in a division in which the chairman does not sit the functions of the chairman shall be performed by a deputy chairman.

- (2) The Committee may, at the discretion of the chairman, where it appears expedient to do so, call in the aid of one or more assessors, and may settle the matter wholly or partly with their assistance.
- (3) The Committee may at the discretion of the chairman sit in private where it appears expedient to do so.
- (4) If in any case the Committee cannot reach a unanimous decision on its award, the chairman shall decide the matter acting with the full powers of an umpire or, in Scotland, an oversman.
- (5) Subject to the above provisions, the Committee shall determine its own procedure.
- (6) [<sup>F32</sup>Part I of the Arbitration Act 1996] (general provisions as to arbitration) and [<sup>F33</sup>section 3 of the <sup>M9</sup>Administration of Justice (Scotland) Act 1972 (power of arbiter to state case to Court of Session)][<sup>F33</sup>sections 1 to 15 of and schedule 1 to the Arbitration (Scotland) Act 2010] do not apply to proceedings before the Committee.

[<sup>F34</sup>(7) In relation to the discharge of the Committee's functions under Schedule A1—

- (a) section 263A and subsection (6) above shall apply, and
- (b) subsections (1) to (5) above shall not apply.]

#### **Textual Amendments**

- **F32** Words in s. 263(6) substituted (31.1.1997) by 1996 c. 23, s. 107(1), **Sch. 3 para. 56** (with s. 81(2)); S.I. 1996/3146, **art. 3**
- **F33** Words in s. 263(6) substituted (S.) (5.6.2010) by The Arbitration (Scotland) Act 2010 (Consequential Amendments) Order 2010 (S.S.I. 2010/220), art. 1, sch. para. 6(3)
- F34 S. 263(7) inserted (6.6.2000) by 1999 c. 26, s. 25(1)(2); S.I. 2000/1338, art. 2

#### **Marginal Citations**

**M9** 1972 c. 59.

### [<sup>F35</sup>263AProceedings of the Committee under Schedule A1.

- (1) For the purpose of discharging its functions under Schedule A1 in any particular case, the Central Arbitration Committee shall consist of a panel established under this section.
- (2) The chairman of the Committee shall establish a panel or panels, and a panel shall consist of these three persons appointed by him—
  - (a) the chairman or a deputy chairman of the Committee, who shall be chairman of the panel;
  - (b) a member of the Committee whose experience is as a representative of employers;
  - (c) a member of the Committee whose experience is as a representative of workers.

- (3) The chairman of the Committee shall decide which panel is to deal with a particular case.
- (4) A panel may at the discretion of its chairman sit in private where it appears expedient to do so.

(5) If—

- (a) a panel cannot reach a unanimous decision on a question arising before it, and(b) a majority of the panel have the same opinion,
- the question shall be decided according to that opinion.

(6) If—

- (a) a panel cannot reach a unanimous decision on a question arising before it, and
- (b) a majority of the panel do not have the same opinion,

the chairman of the panel shall decide the question acting with the full powers of an umpire or, in Scotland, an oversman.

(7) Subject to the above provisions, a panel shall determine its own procedure.

[The reference in subsection (1) to the Committee's functions under Schedule A1 does <sup>F36</sup>(8) not include a reference to its functions under paragraph 166 of that Schedule.]]

#### **Textual Amendments**

**F35** S. 263A inserted (6.6.2000) by 1999 c. 26, ss. 25(1)(3); S.I. 2000/1338, art. 2(d)

F36 S. 263A(8) inserted (6.4.2005) by Employment Relations Act 2004 (c. 24), ss. 57(1), 59(2)-(4), Sch. 1 para. 15; S.I. 2005/872, art. 4, Sch. (with arts. 6-21)

### 264 Awards of the Committee.

- (1) The Central Arbitration Committee may correct in any award [<sup>F37</sup>, or in any decision or declaration of the Committee under Schedule A1,] any clerical mistake or error arising from an accidental slip or omission.
- (2) If a question arises as to the interpretation of an award of the Committee [<sup>F38</sup>or of a decision or declaration of the Committee under Schedule A1,], any party may apply to the Committee for a decision; and the Committee shall decide the question after hearing the parties or, if the parties consent, without a hearing, and shall notify the parties.
- (3) Decisions of the Committee in the exercise of any of its functions shall be published.

#### **Textual Amendments**

F37 Words in s. 264(1) inserted (6.6.2000) by 1999 c. 26, s. 25(1)(4)(a); S.I. 2000/1338, art. 2(d)

F38 Words in s. 264(2) inserted (6.6.2000) by 1999 c. 26, s. 25(1)(4)(b); S.I. 2000/1338, art. 2(d)

#### 265 Annual report and accounts.

(1) ACAS shall, as soon as practicable after the end of each [<sup>F39</sup>financialyear], make a report to the Secretary of State on the activities of the Central Arbitration Committee during that year.

For that purpose the Committee shall, as soon as practicable after the end of each calendar year, transmit to ACAS an account of its activities during that year.

(2) The accounts prepared by ACAS in respect of any financial year shall show separately any sums disbursed to or on behalf of the Committee in consequence of the provisions of this Part.

#### **Textual Amendments**

Words in s. 265(1) substituted (25.10.1999) by 1999 c. 26, s. 27(2); S.I. 1999/2830, art. 2(1) Sch. 1 Pt. F39 I (with Sch. 3 para. 3)

*F40* 

#### **Textual Amendments**

F40 S. 266 and the cross heading immediately preceding it repealed (25.10.1999) by 1999 c. 26, s. 44, Sch. 9(6); S.I. 1999/2830, art. 2(3), Sch. 2 Pt. I (with Sch. 3 para. 4)

<sup>F41</sup>266 .....

#### **Textual Amendments**

F41 S. 266 and cross heading immdiately preceding it repealed (25.10.2001) by 1999 c. 26, s. 44, Sch. 9(6); S.I. 1999/2830, art. 2(1), Sch. 2 Pt. I

<sup>F42</sup>267 .

#### **Textual Amendments**

F42 S. 267 repealed (25.10.1999) by 1999 C. 26, s. 44, Sch. 9(6); S.I. 1999/2830, art. 2(3), Sch. 2 Pt. I (with Sch. 3 para. 4)

<sup>F43</sup>268 .

#### **Textual Amendments**

**F43** S. 268 repealed (25.10.1999) by 1999 c. 26, s. 44, Sch. 9(6); S.I. 1999/2830, art. 2(3), Sch. 2 Pt. I (with Sch. 3 para. 4)

### <sup>F44</sup>269 .

#### **Textual Amendments**

F44 S. 269 repealed (25.10.1999) by 1999 c. 26, s. 44, Sch. 9(6); S.I. 1999/2830, art. 2(3), Sch. 2 Pt. I (with Sch. 3 para. 4)

### <sup>F45</sup>270 .

#### **Textual Amendments**

F45 S. 270 repealed (25.10.1999) by 1999 c. 26, s. 44, Sch. 9(6); S.I. 1999/2830, art. 2(3), Sch. 2 Pt. I (with Sch. 3 para. 4)

### <sup>F46</sup>271 .

#### **Textual Amendments**

**F46** S. 271 repealed (25.10.1999) by 1999 c. 26, s. 44, **Sch. 9(6)**; S.I. 1999/2830, art. 2(3), **Sch. 2**, Pt. I (with Sch. 3 para. 4)

### Supplementary

### 272 Meaning of financial year.

In this Part financial year means the twelve months ending with 31st March.

#### **Changes to legislation:**

Trade Union and Labour Relations (Consolidation) Act 1992, Part VI is up to date with all changes known to be in force on or before 29 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

View outstanding changes

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**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:** Whole provisions yet to be inserted into this Act (including any effects on those provisions):

s. 212A(1)(zb) inserted by 2023 c. 46 Sch. para. 1