

# Legal Aid (Scotland) Act 1986

## **1986 CHAPTER 47**

#### PART II

## ADVICE AND ASSISTANCE

#### **Modifications etc. (not altering text)**

- C1 Pt. II (ss. 6-12) applied (1.1.1998) by S.I. 1997/3070, regs. 3, 4, 5
- C2 Pt. II applied (24.3.2003) by The Advice and Assistance (Assistance by Way of Representation) (Scotland) Regulations 2003 (S.S.I. 2003/179), regs. 3-5 (as amended (18.3.2011) by The Advice and Assistance (Assistance by Way of Representation) (Scotland) Amendment (No. 2) Regulations 2011 (S.S.I. 2011/216), regs. 1(1), 2(2); (26.1.2015) by The Advice and Assistance (Assistance by Way of Representation) (Scotland) Amendment Regulations 2015 (S.S.I. 2015/13) regs. 1, 2(2); (12.1.2018) by The First-tier Tribunal for Scotland (Transfer of Functions of the Additional Support Needs Tribunals for Scotland) Regulations 2018 (S.S.I. 2018/4), reg. 1(1), sch. 2 para. 8(2); (8.11.2021) by The Children's Legal Assistance (Miscellaneous Amendments and Consequential Provisions) (Scotland) Regulations 2021 (S.S.I. 2021/368), regs. 1(1), 6(3))

## 6 Definitions.

- (1) In this Act—
  - "advice and assistance" means any of the following—
  - (a) oral or written advice provided to a person by a solicitor (or, [F1]where appropriate], by counsel)—
    - (i) on the application of Scots law to any particular circumstances which have arisen in relation to the person seeking the advice;
    - (ii) as to any steps which that person might appropriately take (whether by way of settling any claim, instituting, conducting or defending proceedings, making an agreement or other transaction, making a will or other instrument, obtaining further legal or other advice and assistance, or otherwise) having regard to the application of Scots law to those circumstances;

- (aa) [F2 oral or written advice provided by an adviser—
  - (i) on the application of Scots law to any specified categories of circumstances which have arisen in relation to the person seeking advice;
  - (ii) as to any steps which that person might appropriately take having regard to the application of Scots law to those circumstances;]
- (b) assistance provided to a person by a solicitor (or, [F1where appropriate], by counsel) in taking any steps mentioned in paragraph (a)(ii) above, by taking such steps on his behalf or by assisting him in so taking them; and
- (c) [F3 assistance provided to a person by an adviser in taking any steps mentioned in paragraph (aa)(ii) above, by taking such steps on his behalf or by assisting him in so taking them;]

"assistance by way of representation" means [F4, subject to section 12B(3) of this Act,] advice and assistance provided to a person by taking on his behalf any step in instituting, conducting or defending any proceedings—

- (a) before a court or tribunal; or
- (b) in connection with a statutory inquiry,

whether by representing him in those proceedings or by otherwise taking any step on his behalf (as distinct from assisting him in taking such a step on his own behalf).

## (2) In this Part of this Act—

[F5cadviser" means a person who is approved by a registered organisation for the purposes of providing advice and assistance on behalf of the organisation and who is the person by whom advice and assistance is provided;]

"client" means a person who seeks or receives advice and assistance in accordance with this Part of this Act;

"statutory inquiry" has the meaning assigned to it by [F6 section 16(1) of the Tribunals and Inquiries Act 1992];

"the solicitor" means the solicitor by whom any advice and assistance is provided or, where it is provided by counsel, the solicitor on whose instruction counsel provides it;

"tribunal" includes an arbiter or oversman, however appointed,

[F7 and references to a court, tribunal or statutory inquiry include references to any court, tribunal or statutory inquiry which is established by law for purposes which are or include those of determining persons' civil rights and obligations and to any person who or group of persons or body or procedure which (however described) is appointed or established by law for such purposes.]

## **Textual Amendments**

- **F1** Words in s. 6(1) substituted (30.9.1991) by Law Reform (Miscellaneous Provisions)(Scotland) Act 1990 (c. 40, SIF 76:2), s. 74, Sch. 8 para. 36(2); S.I. 1991/2151, art. 3, Sch.
- F2 S. 6(1) definition of "advice and assistance": para (aa) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(3)(a)(i), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- F3 S. 6(1) definition of "advice and assistance": para (c) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(3)(a)(ii), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)

- F4 S. 6(1) definition of "assistance by way of representation": words inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(3)(a)(iii), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- F5 S. 6(2): definition of "adviser" inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(3)(b), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- **F6** Words in s. 6(2) substituted (01.10.1992) by Tribunals and Inquiries Act 1992 (c. 53), ss. 18(1), 19(2), **Sch. 3 para. 20**.
- F7 Words in s. 6(2) added (6.7.2001) by 2001 asp 7, ss. 6(1), 15(3)

#### **Modifications etc. (not altering text)**

C3 Pt. II (ss. 6–12) applied by S.I. 1988/2290, reg. 3

#### **Marginal Citations**

M1 1992 c. 53.

## 7 Application of Part II.

- (1) Subject to subsections (2) to (4) below, and to any exceptions and conditions prescribed by regulations made under this section or under section 9 of this Act, this Part of this Act applies to any advice and assistance.
- (2) This Part of this Act does not apply to advice and assistance provided to a person in connection with proceedings before a court or tribunal at a time when he is receiving legal aid in connection with those proceedings.
- (3) Subject to subsection (4) below and to section 9 of this Act, this Part of this Act does not apply to assistance by way of representation.
- (4) Except where subsection (2) above applies, this Part of this Act does apply, in the case of civil proceedings before a court or tribunal, to any step which consists only of negotiating on behalf of a person with a view to the settlement of a claim to which the proceedings relate.

## **Modifications etc. (not altering text)**

C4 Pt. II (ss. 6–12) applied by S.I. 1988/2290, reg. 3

## 8 Availability of advice & assistance.

Subject to [F8 any provision made in regulations under section 8A(1) [F9 or 9(1)] and] section 11(2) of this Act, advice and assistance to which this Part applies shall be available in Scotland for any client if—

- (a) his disposable income does not exceed [F10£245] a week; or
- (b) he is (directly or indirectly) in receipt of [F11 universal credit under Part 1 of the Welfare Reform Act 2012,][F12 income support [F13, an income-based jobseeker's allowance (payable under the Jobseekers Act 1995) or an incomerelated allowance under Part 1 of the Welfare Reform Act 2007 (employment and support allowance),]],

and his disposable capital does not exceed [F14£1,716].

#### **Textual Amendments**

- **F8** Words in s. 8 inserted (30.10.2010) by Criminal Procedure (Legal Assistance, Detention and Appeals) (Scotland) Act 2010 (asp 15), ss. 2(2), 9
- F9 Words in s. 8 inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(3), 25(2); S.S.I. 2013/262, art. 2
- F10 Word in s. 8(a) substituted (11.4.2011) by The Advice and Assistance and Civil Legal Aid (Financial Conditions and Contributions) (Scotland) Regulations 2011 (S.S.I. 2011/217), regs. 1, 4(2) (with reg. 3)
- F11 Words in s. 8(b) inserted (29.4.2013) by The Welfare Reform (Consequential Amendments) (Scotland) (No. 2) Regulations 2013 (S.S.I. 2013/137), regs. 1, 3(2)
- **F12** Words in s. 8(b) substituted (8.4.2003) by Tax Credits Act 2002 (c. 21), ss. 47, 61, **Sch. 3 para. 11**; S.I. 2003/962, **art. 2(4)(d)**
- F13 Words in s. 8(b) substituted (27.10.2008) by Welfare Reform Act 2007 (c. 5), ss. 28(1), 70(2), Sch. 3 para. 4(3); S.I. 2008/787, art. 2(4)(f)
- F14 Word in s. 8 substituted (11.4.2011) by The Advice and Assistance and Civil Legal Aid (Financial Conditions and Contributions) (Scotland) Regulations 2011 (S.S.I. 2011/217), regs. 1, 4(3) (with reg. 3)

### **Modifications etc. (not altering text)**

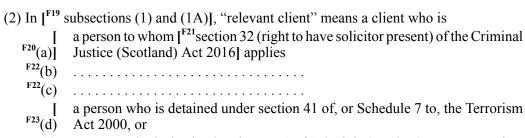
- C5 Pt. II (ss. 6–12) applied by S.I. 1988/2290, reg. 3
- C6 S. 8 modified (7.10.1996) by S.I. 1996/2447, reg. 5(1)(a)

## [F158A Criminal advice and assistance: automatic availability in certain circumstances

(1) The Scottish Ministers may by regulations provide that, in such circumstances as m	ıay
be prescribed in the regulations, advice and assistance in relation to criminal matt	ers
is to be available for any relevant client without reference to	

$[^{F16}(a)]$	the financial limits in section 8 [F17; or—]
]	the criteria mentioned in section $9A(2)$ ].
F17(b)	

[ Regulations under subsection (1) may also provide that, in such circumstances as may F18(1A) be prescribed in the regulations, section 11(2) is not to apply in respect of advice and assistance made available to a relevant client.]



(e) a person who is detained under Part 1 of Schedule 3 to the Counter-Terrorism and Border Security Act 2019.]]

## **Textual Amendments**

F15 S. 8A inserted (30.10.2010) by Criminal Procedure (Legal Assistance, Detention and Appeals) (Scotland) Act 2010 (asp 15), ss. 2(3), 9

- Words in s. 8A(1) renumbered as s. 8A(1)(a) (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(4)(a), 25(2); S.S.I. 2013/262, art. 2
- F17 S. 8A(1)(b) and word inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(4)(b), 25(2); S.S.I. 2013/262, art. 2
- F18 S. 8A(1A) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 17(a), 25(2); S.S.I. 2013/262, art. 2
- F19 Words in s. 8A(2) substituted (11.10.2013) by virtue of Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 17(b), 25(2); S.S.I. 2013/262, art. 2
- **F20** Words in s. 8A(2) renumbered as s. 8A(2)(a) (15.7.2011) by The Criminal Procedure (Legal Assistance, Detention and Appeals) (Scotland) Act 2010 (Consequential Provisions) Order 2011 (S.I. 2011/1739), arts. 1(2), **3(a)**
- **F21** Words in s. 8A(2)(a) substituted (25.1.2018) by Criminal Justice (Scotland) Act 2016 (asp 1), s. 117(2), sch. 2 para. 35; S.S.I. 2017/345, art. 3, sch. (with art. 4)
- F22 S. 8A(2)(b)(c) repealed (25.1.2018) by The Criminal Justice (Scotland) Act 2016 (Consequential Provisions) Order 2018 (S.I. 2018/46), arts. 2(2)(b), 13(1) (with art. 13(2)(3))
- F23 S. 8A(2)(d)(e) inserted (12.2.2019) by Counter-Terrorism and Border Security Act 2019 (c. 3), s. 27(1) (f), Sch. 4 para. 19

## 9 Regulations may apply Part II to representation.

- (1) Regulations made under this section may provide for this Part of this Act to apply to assistance by way of representation; and regulations so made may make different provision for different cases or classes of case.
- (2) Such regulations may—
  - (a) describe the proceedings (or stages of proceedings) in relation to which this Part of this Act shall so apply by reference to the court, tribunal or statutory inquiry, to the issues involved, to the capacity in which the person requiring the assistance is concerned, or in any other way;
  - (b) specify, in relation to any proceedings so described, the assistance by way of representation which may be provided;
  - (c) prescribe the criteria to be applied in determining whether assistance by way of representation should be provided;
  - (d) require that the approval of the Board or of such other appropriate authority as may be prescribed shall be obtained, in certain classes of case, as a precondition of the provision of assistance by way of representation; and
  - provide that assistance by way of representation shall be available in relation to such proceedings as may be prescribed, without reference to the financial limits under section 8 of this Act;
  - provide that, in relation to assistance by way of representation which relates to such criminal proceedings as may be prescribed, sections 9A and 11A are to apply instead of sections 8 and 11;]
    - (de) provide that section 11(2) [F26 or 11A] of this Act shall not apply as respects assistance by way of representation received in relation to such proceedings as may be prescribed;]
    - (e) modify the financial limits under sections 8 and 10(2) of this Act in their application to assistance by way of representation by substituting for the sums specified therein such other sums as may be prescribed, and such modification of the financial limit under the said section 10(2) may substitute different sums in relation to different proceedings or stages of proceedings.

- (3) Such regulations may also make provision, for the purposes of paragraph (d) of subsection (2) above, as to—
  - (a) the procedure to be followed in applying for approval and the criteria for determining whether approval should be given;
  - (b) the conditions which should or may be imposed; and
  - (c) the circumstances in which approval may be withdrawn and the effect of its withdrawal.

#### **Textual Amendments**

- **F24** S. 9(2)(dd)(de) inserted (27.9.1999) by 1999 c. 22, ss. 32, 108(3)(a) (with Sch. 14 para. 7(2))
- **F25** S. 9(2)(dda) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 18(1), 25(2); S.S.I. 2013/262, art. 2
- **F26** Words in s. 9(2)(de) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(5), 25(2); S.S.I. 2013/262, art. 2

#### **Modifications etc. (not altering text)**

C7 Pt. II (ss. 6–12) applied by S.I. 1988/2290, reg. 3

#### 10 Financial limit.

- (1) Where at any time (whether before or after advice and assistance has begun to be provided to a client) it appears to the solicitor [F27] or, as the case may be, adviser] that the cost of giving it is likely to exceed the limit applicable under this section—
  - (a) the solicitor [F28 or adviser] shall determine to what extent that advice and assistance can be provided without exceeding that limit; and
  - (b) shall not give it (or, as the case may be, not instruct counsel to provide it) so as to exceed that limit except [F29, subject to subsection (4),] with the approval of the Board.
- (2) The limit applicable under this section is [F30£60].
- (3) For the purposes of this section, the cost of providing advice and assistance shall be taken to consist of such of the following as are applicable in the circumstances—
  - (a) any outlays (including the fees and outlays of counsel) which may be incurred by the solicitor or his firm or incorporated practice in, or in connection with, the providing of the advice and assistance;
  - [F31(aa) any outlays which may be incurred by the registered organisation (which approved the adviser) in, or in connection with, the providing of the advice and assistance;
    - (b) any fees (not being charges for outlays) which, apart from section 11 of this Act, would be properly chargeable by the solicitor or his firm or incorporated practice in respect of the advice and assistance; and
  - [F32(ba) any fees (not being charges for outlays) which, apart from section 11 of this Act, would be properly chargeable by the registered organisation (which approved the adviser) in respect of the advice and assistance;
    - (c) in the case of advice and assistance given by a solicitor employed by the Board, any fees (not being charges for outlays) which, if the solicitor had been employed by a firm of solicitors or by an incorporated practice, would, apart

from section 11 of this Act, have been properly chargeable by that firm or practice in respect of the advice and assistance.

- [F33(4) In the circumstances set out in subsection (5), no application may be made for the Board's approval for the cost of giving the advice and assistance—
  - (a) to exceed the limit applicable under this section; or
  - (b) to that limit having been exceeded.
  - (5) The circumstances are that the matter with which the advice and assistance is concerned is not—
    - (a) specified as a distinct matter for the purposes of advice and assistance by virtue of regulations made under this Act; or
    - (b) being treated as if it were a distinct matter by virtue of such regulations.]

#### **Textual Amendments**

- F27 Words in s. 10(1) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(4)(a)(i), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- **F28** Words in s. 10(1)(a) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(4)(a)(ii), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- **F29** Words in s. 10(1)(b) inserted (8.2.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp. 5), ss. 69(2)(a), 82(2) (with s. 77); S.S.I. 2007/57, art. 2(a)
- **F30** Sum in s. 10(2) substituted (1.8.1988) by S.I. 1988/1389, **reg. 2**, and different sums substituted for different cases: (17.12.1988) by S.I. 1988/2288, reg. 2; (10.1.1994) by S.I. 1993/3187, regs. 3, 4 (as amended (1.5.2007) by S.I. 2007/248, reg. 4)
- F31 S. 10(3)(aa) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(4)(b)(i), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- F32 S. 10(3)(ba) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(4)(b)(ii), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- F33 S. 10(4)(5) inserted (8.2.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 69(4), 82(2) (with s. 77); S.S.I. 2007/57, art. 2(a)

#### **Modifications etc. (not altering text)**

C8 Pt. II (ss. 6–12) applied by S.I. 1988/2290, reg. 3

## 11 [F34Clients' contributions: general]

- (1) A client shall not be required to pay any fees or outlays in respect of advice and assistance received by him in pursuance of this Part of this Act except in accordance with subsection (2) [F35] [F36, (2A)] E37 ... below [F38] or, where applicable, section 11A].
- (2) Where—
  - (a) a client's disposable income exceeds [F39£105] a week; and
  - (b) he is not (directly or indirectly) in receipt of [F40 universal credit under Part 1 of the Welfare Reform Act 2012,][F41 income support or [F42, an income-based jobseeker's allowance (payable under the Jobseekers Act 1995) or an incomerelated allowance under Part 1 of the Welfare Reform Act 2007 (employment and support allowance),]]

he shall be liable to pay, in respect of the advice and assistance, fees or outlays up to, but not in aggregate exceeding, such amount as may be prescribed by regulations made

under this section, and such regulations may prescribe different maximum payments for different amounts of disposable income and for different cases or classes of case.

[F43(2A) A client to whom paragraphs (a) and (b) of subsection (2) above apply and to whom [F44advice and assistance (other than assistance by way of representation to which section 9A applies)] has been provided by a solicitor employed by the Board under sections 26 and 27 [F45 or section 28A] of this Act shall pay to the Board such contribution in that respect as the Board may, subject to subsection (3A) below, determine.]

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[F43(3A) The amount determined by the Board under subsection (2A) above shall not exceed the amount which would be charged by a solicitor who is not employed by the Board under sections 26 and 27 [F47 or section 28A] of this Act.]

F46(4	4)																

#### **Textual Amendments**

- F34 S. 11 title substituted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 19(2), 25(2); S.S.I. 2013/262, art. 2
- **F35** Word in s. 11(1) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(6)(a)(i), 25(2); S.S.I. 2013/262, art. 2
- **F36** Words in s. 11(1) inserted (6.7.2001) by 2001 asp 7, ss. 9(2)(a), 15(3)
- F37 Words in s. 11(1) repealed (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(6)(a)(ii), 25(2); S.S.I. 2013/262, art. 2
- **F38** Words in s. 11(1) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 19(1), 25(2); S.S.I. 2013/262, art. 2
- **F39** Word in s. 11(2)(a) substituted (11.4.2011) by The Advice and Assistance and Civil Legal Aid (Financial Conditions and Contributions) (Scotland) Regulations 2011 (S.S.I. 2011/217), regs. 1, 4(4) (with reg. 3)
- **F40** Words in s. 11(2)(b) inserted (29.4.2013) by The Welfare Reform (Consequential Amendments) (Scotland) (No. 2) Regulations 2013 (S.S.I. 2013/137), regs. 1, 3(3)
- **F41** Words in s. 11(2)(b) substituted (8.4.2003) by Tax Credits Act 2002 (c. 21), ss. 47, 61, **Sch. 3 para.** 12; S.I. 2003/962, art. 2(4)(d)
- F42 Words in s. 11(2)(b) substituted (27.10.2008) by Welfare Reform Act 2007 (c. 5), ss. 28(1), 70(2), Sch. 3 para. 4(3); S.I. 2008/787, art. 2(4)(f)
- **F43** S. 11(2A)(3A) inserted (6.7.2001) by 2001 asp 7, ss. 9(2)(b), 15(3)
- F44 Words in s. 11(2A) substituted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(6)(b)(i), 25(2); S.S.I. 2013/262, art. 2
- F45 Words in s. 11(2A) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(6)(b)(ii), 25(2); S.S.I. 2013/262, art. 2
- F46 S. 11(3)(4) repealed (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(6)(d), 25(2); S.S.I. 2013/262, art. 2
- F47 Words in s. 11(3A) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(6)(c), 25(2); S.S.I. 2013/262, art. 2

## **Modifications etc. (not altering text)**

- C9 Pt. II (ss. 6-12) applied by S.I. 1988/2290, reg. 3
- C10 S. 11 modified (7.10.1996) by S.I. 1996/2447, reg. 5(1)(b)
- C11 S. 11(2) excluded (24.3.2003) by The Advice and Assistance (Assistance by Way of Representation) (Scotland) Regulations 2003 (S.S.I. 2003/1789), reg. 9

C12 S. 11(2) excluded by S.S.I. 2011/217, reg. 8A (as inserted (with application in accordance with reg. 2 of the amending S.S.I.) by The Advice and Assistance and Civil Legal Aid (Financial Conditions and Contributions) (Scotland) Amendment Regulations 2016 (S.S.I. 2016/136), regs. 1, 3)

## [F4811A Clients' contributions: specified criminal assistance by way of representation

- (1) This section applies where—
  - (a) assistance by way of representation has been made available to a client under section 9A(1) ("the assistance"), and
  - (b) the client—
    - (i) has disposable income of, or exceeding, £82 per week and is not (directly or indirectly) in receipt of any of the benefits mentioned in section 11(2)(b), or
    - (ii) has disposable capital of, or exceeding, £750.
- (2) The client is liable to pay a contribution in respect of the assistance provided of up to, but not in aggregate exceeding, such amount as may be prescribed by regulations made under section 33ZA(1).
- (3) Except where regulations made under section 33ZA(1) otherwise provide—
  - (a) in a case where the assistance is being provided—
    - (i) by a solicitor employed by the Board by virtue of sections 26 and 27 or, as the case may be, section 28A, or
    - (ii) by counsel instructed by such a solicitor,
    - it is for the Board to determine the amount of and collect any contribution payable by the client under subsection (2), and
  - (b) in any other case, it is for the solicitor to determine the amount of and collect any contribution payable by the client under subsection (2).
- (4) A contribution collected by the solicitor is to be treated as payment of a fee or outlay properly chargeable (in accordance with section 33).]

#### **Textual Amendments**

**F48** S. 11A inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), **ss. 19(3)**, 25(2); S.S.I. 2013/262, art. 2

## 12 Payment of fees or outlays otherwise than through clients' contributions.

1	1	) F4	19																															
١	. 1	,	•	٠	٠	٠	٠	٠	٠	٠	٠	٠	•	•	٠	٠	٠	٠	•	٠	٠	٠	•	٠	•	٠	٠	٠	•	٠	•	٠	٠	

- (2) This section applies to any fees or outlays properly chargeable (in accordance with section 33 of this Act), in respect of advice and assistance given to a client in pursuance of this Part of this Act [F50]; but does not apply to the salary payable to a solicitor employed by the Board [F51 under sections 26 and 27 of this Act or to the salary payable to a solicitor employed by the Board]] by virtue of section 28A of this Act.
- (3) Except in so far as regulations made under this section otherwise provide, fees or outlays to which this section applies shall be paid to the solicitor [F52] or, as the case may be, the registered organisation, as follows—

- (a) first, out of any [F53 amount] payable by the client in accordance with section 11(2) [F54 or, as the case may be, section 11A(2)] of this Act;
- (b) secondly, in priority to all other debts, out of any expenses which (by virtue of a judgment or order of a court or an agreement or otherwise) are payable to the client by any other person in respect of the matter in connection with which the advice and assistance is provided;
- (c) thirdly, in priority to all other debts, out of any property (of whatever nature and wherever situated) which is recovered or preserved for the client in connection with that matter, including his rights under any settlement arrived at in connection with that matter in order to avoid or bring to an end any proceedings;
- (d) fourthly, by the Board out of the Fund, following receipt by it of a claim submitted by the solicitor [F55] or the registered organisation].
- [F56(4) In subsection (3), the reference to an amount payable by the client does not include an amount which it is for the Board to collect (whether under section 11A(3) or any regulations made under section 33ZA(1)).]

#### **Textual Amendments**

- **F49** S. 12(1) repealed (1.10.2008) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 81, 82(2), Sch. 5 para. 2(2) (with s. 77); S.S.I. 2008/311, art. 2(i)
- F50 Words in s. 12(2) inserted (1.10.1997) by 1997 c. 48, s. 62(1), Sch. 1 para. 12(4)(a); S.I. 1997/2323, art. 6(2)
- **F51** Words in s. 12(2) inserted (6.7.2001) by 2001 asp 7, ss. 9(3), 15(3)
- **F52** Words in s. 12(3) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(5)(a), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- **F53** Word in s. 12(3)(a) substituted (1.10.1997) by 1997 c. 48, s. 62(1), **Sch. 1 para. 12(4)(b)**; S.I. 1997/2323, **art. 6(2)**
- **F54** Words in s. 12(3)(a) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(7)(a), 25(2); S.S.I. 2013/262, art. 2
- F55 Words in s. 12(3)(d) inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(5)(b), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)
- F56 S. 12(4) inserted (11.10.2013) by Scottish Civil Justice Council and Criminal Legal Assistance Act 2013 (asp 3), ss. 23(7)(b), 25(2); S.S.I. 2013/262, art. 2

## **Modifications etc. (not altering text)**

- C13 Pt. II (ss. 6–12) applied by S.I. 1988/2290, reg. 3
- C14 S. 12(3) modified (7.10.1996) by S.I. 1996/2447, reg. 5(1)(c)
- C15 S. 12(3)(c) restricted by S.I. 1987/382, reg. 15(1) S. 12(3)(c) excluded (7.10.1996) by S.I. 1996/2447, reg. 16(2) S. 12(3)(c): power to exclude conferred (7.10.1996) by S.I. 1996/2447, reg. 16(3)
- C16 S. 12(3)(c): power to disapply conferred by S.I. 1996/2447, reg. 16A (as inserted (5.8.2020) by The Legal Aid and Advice and Assistance (Miscellaneous Amendments) (Coronavirus) (Scotland) Regulations 2020 (S.S.I. 2020/191), regs. 1(3), 4)

## **I**<sup>F57</sup>Register of advice organisations

#### **Textual Amendments**

F57 Ss. 12A, 12B and cross-heading inserted (30.7.2007) by Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), ss. 67(6), 82(2) (with s. 77); S.S.I. 2007/335, art. 2(a)

## 12A Register of advice organisations

- (1) The Board shall establish and maintain a register of advice organisations ("the register of advice organisations") of organisations approved by the Board as registered organisations in relation to the provision of advice and assistance by persons approved by such organisations as advisers.
- (2) A person who—
  - (a) is a solicitor;
  - (b) is an advocate;
  - (c) is a conveyancing practitioner or an executry practitioner, within the meaning of section 23 of the Law Reform (Miscellaneous Provisions) (Scotland) Act 1990 (c. 40);
  - (d) has acquired any right to conduct litigation or right of audience by virtue of section 27 of that Act,

may not be an adviser.

(3) Schedule 1A makes further provision about advisers and registered organisations, the register of advice organisations, code of practice for advisers etc.

#### 12B Advice and assistance

- (1) The Scottish Ministers may by regulations specify categories of circumstances for the purposes of paragraph (aa) of the definition of "advice and assistance" in section 6(1) of this Act.
- (2) The power under subsection (1) may specify different categories for different purposes.
- (3) In this Act—
  - (a) "advice and assistance" as defined in section 6(1)(c) is limited to the extent to which it is competent for the adviser to perform any steps on behalf of the person or by assisting him in so taking them;
  - (b) "assistance by way of representation" as defined in section 6(1) includes advice and assistance provided by an adviser but only to the extent to which it is competent for the adviser to perform such steps referred to in that definition.]

## **Changes to legislation:**

Legal Aid (Scotland) Act 1986, Part II is up to date with all changes known to be in force on or before 22 February 2023. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. View outstanding changes

## Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 4(2)(aba)(abb) inserted by 2007 asp 5 s. 75(2)
- s. 4(2)(aba) word substituted by S.S.I. 2011/235 art. 5(2)(a) (This amendment comes into force immediately after the commencement of the Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), s. 75, see art. 2(2). That provision is still prospective.)
- s. 4(2)(abb) word substituted by S.S.I. 2011/235 art. 5(2)(b) (This amendment comes into force immediately after the commencement of the Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), s. 75, see art. 2(2). That provision is still prospective.)
- s. 4(2)(ba) inserted by 2007 asp 5 s. 70(1)
- s. 4(3)(ca)(cb) inserted by 2007 asp 5 s. 75(3)
- s. 9A9B inserted by 2013 asp 3 s. 18(2)
- s. 10(1A)(1B) inserted by 2007 asp 5 s. 69(3)
- s. 12C and cross-heading inserted by 2007 asp 5 s. 70(2)
- s. 17(2C)-(2I) inserted by 2007 asp 5 s. 75(4)
- s. 17(2DA)(2DB) s. 17(2C)(2D) renumbered as s. 17(2DA)(2DB) by S.S.I. 2011/235 art. 5(3) (This amendment comes into force immediately after the commencement of the Legal Profession and Legal Aid (Scotland) Act 2007 (asp 5), s. 75, see art. 2(2). That provision is still prospective.)
- s. 25AA(5)-(9) inserted by 2013 asp 3 s. 21
- s. 25AC25AD inserted by 2013 asp 3 s. 20