
DRAFT STATUTORY INSTRUMENTS

2019 No.

The Financial Services and Markets Act 2000
(Amendment) (EU Exit) Regulations 2019

PART 4

Amendments to the Financial Services and Markets
Act 2000 (Financial Promotion) Order 2005

Article 70 (promotions included in listing particulars etc)

175.—(1) Article 70 (promotions included in listing particulars etc) is amended as follows.

(2) In paragraph (1)—

(a) in sub-paragraph (c)(1) omit sub-paragraph (ii) and the preceding “or”;

(b) in sub-paragraph (d), after “the prospectus directive” insert “as it had effect immediately before exit day”.

(3) In paragraph (1A)(2), in sub-paragraph (b), for “Articles 5(4), 8(1) and 14(2) of the prospectus directive” substitute “rules 2.2.7 to 2.2.9A, 2.3.2, 3.2.4 and 3.2.4A of the prospectus rules.”.

(4) After paragraph (2) insert—

“(3) The reference in paragraph (1A) to the prospectus rules is a reference to those rules as they have effect on exit day.”.

(1) Sub-paragraph (c) was amended by S.I. 2007/2615.

(2) Paragraph (1A) was inserted by S.I. 2007/2615.