DRAFT STATUTORY INSTRUMENTS

2019 No.

The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019

PART 2

Amendments to the Act

CHAPTER 12

Part 22 of the Act: auditors and actuaries

Introduction

66. Part 22 of the Act (auditors and actuaries) is amended as follows.

Section 342 (information given by auditor or actuary to a regulator)

67. In section 342 (information given by auditor or actuary to a regulator), in subsection (8)(1), for "credit institution" and "investment firm" have" substitute ""investment firm" has".

Section 343 (information given by auditor or actuary to a regulator: persons with close links)

- **68.** In section 343(2) (information given by auditor or actuary to a regulator: persons with close links)—
 - (a) omit subsection (9).
 - (b) in subsection (10)(3), for "credit institution" and "investment firm" have" substitute "investment firm has".

⁽¹⁾ Subsection (8) was inserted by S.I. 2013/3115.

⁽²⁾ Section 343 was amended by section 42 of, and paragraphs 1, 5(1), (2)(a), (2)(b), (3)(a), (3)(b), (3)(c), (4), (5), (6) and (7) of Part 1 of Schedule 13 to, the Financial Services Act 2012 and S.I. 2013/3115.

⁽³⁾ Subsection (10) was inserted by S.I. 2013/3115.