### DRAFT STATUTORY INSTRUMENTS

## 2013 No. 0000

### FINANCIAL SERVICES AND MARKETS

# The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013

Made - - - - 2013
Coming into force
in accordance with
regulation 1 - - 2013

# THE COLLECTIVE INVESTMENT IN TRANSFERABLE SECURITIES (CONTRACTUAL SCHEME) REGULATIONS 2013

### PART 1

- 1. Citation and commencement
- 2. Interpretation

### PART 2

- 3. Amendments to the Financial Services and Markets Act 2000
- 4. Amendment to the Stock Transfer Act 1963
- 5. Amendment to the Corporation Tax Act 2010
- 6. Amendment to the Financial Services Act 2012

### PART 3

- 7. The Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975
- 8. The Rehabilitation of Offenders (Exceptions) Order (Northern Ireland) 1979
- 9. The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
- 10. The Financial Services and Markets Act 2000 (Promotion of Collective Investment Schemes) (Exemptions) Order 2001
- 11. The Financial Services and Markets Act 2000 (Collective Investment Schemes) Order 2001
- 12. The Financial Services and Markets Act 2000 (Stakeholder Products) Regulations 2004

- 13. The Limited Partnerships (Forms) Rules 2009
- 14. The Undertakings for Collective Investment in Transferable Securities Regulations 2011
- 15. The Rehabilitation of Offenders Act 1974 (Exclusions and Exceptions) (Scotland) Order 2013

PART 4

16. Partnership schemes

#### PART 5

- 17. Co-ownership schemes: winding up by the court
- 18. Partnership schemes: liability of the general partner (England and Wales and Northern Ireland)
- 19. Partnership schemes: liability of the general partner (Scotland)

PART 6

- 20. Interpretation of Part
- 21. Dispositions of units in co-ownership schemes
- 22. Gratuitous unilateral obligations relating to units in authorised contractual schemes
- 23. Grants and assignments of any trust or confidence

PART 7

24. Transitional provision: depositaries of authorised contractual schemes

PART 8

25. Review Signature

SCHEDULE 1 — Form for registering changes to limited partnerships

SCHEDULE 2 — Co-ownership schemes: application of the Insolvency Act 1986 and the Insolvency (Northern Ireland) Order 1989

PART 1 — Interpretation

- 1. In this Schedule and in Schedules 3, 4 and 5—...
- 2. Unless the context otherwise requires, in this Schedule and in...

PART 2 — Application of the 1986 Act and the 1989 Order with modifications

- 3. In relation to the winding up of a relevant scheme...
- 4. In relation to the winding up of a relevant scheme...
- 5. Unless the context otherwise requires and subject to any modification...
  - PART 3 Table of applied provisions of the 1986 Act
  - PART 4 Table of applied provisions of the 1989 Order

SCHEDULE 3 — Co-ownership schemes: application of the Insolvency Rules 1986

PART 1 — Application of Rules with modifications

1. In relation to the winding up of a relevant scheme...

**Draft Legislation:** This is a draft item of legislation. This draft has since been made as a UK Statutory Instrument: The Collective Investment in Transferable Securities (Contractual Scheme) Regulations 2013 No. 1388

- 2. Unless the context otherwise requires and subject to any modification...
  - PART 2 Table of specific modifications of the Insolvency Rules 1986
  - SCHEDULE 4 Co-ownership schemes: application of the Insolvency (Scotland) Rules 1986
    - PART 1 Application of Rules with modifications
- 1. In relation to the winding up of a relevant scheme...
- 2. Unless the context otherwise requires and subject to any modification...
  - PART 2 Table of specific modifications of the Insolvency (Scotland) Rules 1986
  - SCHEDULE 5 Co-ownership schemes: application of the Insolvency Rules (Northern Ireland) 1991
    - PART 1 Application of Rules with modifications
- 1. In relation to the winding up of a relevant scheme...
- 2. Unless the context otherwise requires and subject to any modification...
- 3. A reference to the registrar is to be read as...
  - PART 2 Table of specific modifications of the Insolvency Rules (Northern Ireland) 1991

**Explanatory Note**