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DRAFT STATUTORY INSTRUMENTS

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**2013 No.**

The Financial Services and Markets Act 2000  
(Regulated Activities) (Amendment) Order 2013

PART 4

TRANSITIONAL PROVISIONS

**Application of the FCA's rules etc to persons with interim permission**

**9.**—(1) The FCA may direct in writing that any relevant provision which would otherwise apply to a person by virtue of an interim permission is not to apply, or is to apply to that person as modified in the way specified in the direction.

(2) Where the FCA makes a rule, gives guidance or issues a statement or code which applies only to persons with an interim permission (or only to a class of such persons), sections 63D(1) (statement of policy: procedure), 65 (statements and codes: procedure) and 138I (consultation by the FCA) and subsection (3) of section 139A(2) (power of the FCA to give guidance) of the Act do not apply to that rule, guidance, statement or code.

(3) For the purposes of paragraph (1), a “relevant provision” is any provision made as a result of the exercise by the FCA of any of its legislative functions mentioned in paragraph 8(3) of Schedule 1ZA to the Act (the Financial Conduct Authority).

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(1) Inserted by section 11 of the Financial Services Act 2010.

(2) Inserted by section 24 of the Financial Services Act 2012.