

## SCHEDULE

Articles 3, 4, 5 and 6

PRESCRIBED OFFICES ETC. IN RELEVANT PUBLIC  
AUTHORITIES AND RESTRICTIONS ON AUTHORISATIONS

## PART 1

OFFICES ETC. AND RESTRICTIONS IN RESPECT OF PUBLIC  
AUTHORITIES SPECIFIED IN PART 1 OF SCHEDULE 1 TO THE ACT

<i>(1)</i>	<i>(2)</i>	<i>(3)</i>	<i>(4)</i>
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
A police force maintained under section 2 of the Police Act 1996 <sup>(1)</sup>	Superintendent	Inspector	Paragraphs (a), (b), (c), (d) and (e)
The metropolitan police force	Superintendent	Inspector	Paragraphs (a), (b), (c), (d) and (e)
<i>(1)</i>	<i>(2)</i>	<i>(3)</i>	<i>(4)</i>
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
The City of London police force	Superintendent	Inspector	Paragraphs (a), (b), (c), (d) and (e)
A police force maintained or by virtue of section 1 of the Police (Scotland) Act 1967 <sup>(2)</sup>	Superintendent	Inspector	Paragraphs (a), (b), (c), (d) and (e)
The Police Service of Northern Ireland <sup>(3)</sup>	Superintendent	Inspector	Paragraphs (a), (b), (c), (d) and (e)
The Ministry of Defence Police	Superintendent	Inspector	Paragraphs (a), (b) and (c)
The Royal Navy Police	Commander	Lieutenant Commander	Paragraphs (a), (b) and (c)

(1) 1996 c.16.

(2) 1967 c.77.

(3) A police force within paragraph 1 of Schedule 1 to the Act, as defined by section 81(1) of the Act and amended by section 78(2)(f) of the Police (Northern Ireland) Act 2000 (c.32).

**Draft Legislation:** This is a draft item of legislation. This draft has since been made as a UK Statutory Instrument: *The Regulation of Investigatory Powers (Directed Surveillance and Covert Human Intelligence Sources) Order 2010 No. 521*

<i>(1)</i>	<i>(2)</i>	<i>(3)</i>	<i>(4)</i>
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
The Royal Military Police	Lieutenant Colonel	Major	Paragraphs (a), (b) and (c)
The Royal Air Force Police	Wing Commander	Squadron Leader	Paragraphs (a), (b) and (c)
The British Transport Police	Superintendent	Inspector	Paragraphs (a), (b), (c), (d) and (e)
The Civil Nuclear Constabulary	Superintendent	Inspector	Paragraphs (a) and (b)
The Organised Crime Agency	Serious Crime Senior Manager (Grade 2)	Principal Officer (Grade 3)	Paragraph (b)
The Scottish Crime and Enforcement Agency	Crime Drug Superintendent or PO7	Inspector	Paragraph (b), (d) and (g)
The Serious Fraud Office	Fraud Grade 6	—	Paragraph (b)
The force comprising the constables appointed under article 3 of the Mersey Docks and Harbour (Police) Order 1975 <sup>(4)</sup> on the nomination of the Mersey Docks and Harbour Company	Superintendent	Inspector	Paragraphs (b), (d) and (e)
The Security Service	General Duties 3 or other Officer at Level 3	—	Paragraphs (a), (b) and (c)
<i>(1)</i>	<i>(2)</i>	<i>(3)</i>	<i>(4)</i>
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
The Intelligence Service	Secret Grade 6 or equivalent	—	Paragraphs (a), (b) and (c)
The Government Communications Headquarters	GC8	—	Paragraphs (a), (b) and (c)

(4) [S.I. 1975/1224](#).

(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
The Royal Navy	Commander	Lieutenant Commander	Paragraphs (a), (b), (c), (d) and (e)
The Army	Lieutenant Colonel	Major	Paragraphs (a), (b), (c), (d) and (e)
The Royal Air Force	Wing Commander	Squadron Leader	Paragraphs (a), (b), (c), (d) and (e)
The Commissioners for Her Majesty's Revenue and Customs	Senior Officer	Higher Officer	Paragraphs (a), (b), (d), (e) and (f)
The Department for Environment, Food and Rural Affairs	Senior Investigation Officer in DEFRA Investigation Services	—	Paragraph (b)
	Senior Fish Health Inspector (PB6 or above) in Centre for Environment, Fisheries and Aquaculture Science	—	Paragraph (b)
	<b>Section 28 authorisation</b>	—	Paragraph (b)
	District Inspector in Marine and Fisheries Agency	—	Paragraph (b)
The Department of Health	Grade 6 in Medicines and Healthcare Products Regulatory Agency	Grade 7 in Medicines and Healthcare Products Regulatory Agency	Paragraphs (b), (d) and (e)
	Immigration Inspector or Senior Officer in the UK Border Agency	Chief Immigration Officer or Higher Officer in the UK Border Agency	Paragraphs (b) and (c)
<i>(1)</i>	<i>(2)</i>	<i>(3)</i>	<i>(4)</i>
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
	Head of Security and Intelligence (Detention	—	Paragraphs (b) and (d)

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(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
	Services) in the UK Border Agency		
	<b>Section 28 authorisation</b>	<b>Section authorisation</b>	<b>28</b> Paragraph (b)
	The Head of the Unit responsible for anti-corruption in the UK Border Agency	Senior Executive Officer in the Unit responsible for anti-corruption in the UK Border Agency	
The Ministry of Justice	Operational Manager responsible for security and operations in a directly managed prison	Duty Governor in a directly managed prison	Paragraphs (b) and (d)
	Controller in a contracted out prison	Deputy Controller in a contracted out prison	Paragraphs (b) and (d)
The Northern Ireland Office	Deputy Principal or Governor 3 in the Northern Ireland Prison Service	Staff Officer or Governor 4 in the Northern Ireland Prison Service	Paragraphs (b) and (d)
The Department for Business, Innovation and Skills	Deputy Chief Investigation Officer in the Investigation Officers Branch	—	Paragraph (b)
The Department for Transport	Head of Enforcement in the Maritime and Coastguard Agency	Enforcement Officer in the Maritime and Coastguard Agency	Paragraphs (b) and (d)
	<b>Section 28 authorisation</b>	—	Paragraphs (b) and (d)
	Chief Executive of the Driving Standards Agency		
	<b>Section 28 authorisation</b>	<b>Section authorisation</b>	<b>28</b> Paragraphs (b) and (d)
	Head of Intelligence, or Head of Investigations or Regional Operations Manager in the Vehicle and Operator Services Agency	Area Manager or Regional Intelligence Coordinator in the Vehicle and Operator Services Agency	

(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
	<b>Section 29 authorisation</b>	<b>Section authorisation</b>	<b>29</b> Paragraphs (b) and (d)
(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
	Head of Intelligence, Regional Intelligence Head of Investigations Coordinator in the or Regional Operations Vehicle and Operator Manager in the Vehicle and Operator Services Agency	Regional Intelligence Coordinator in the Vehicle and Operator Services Agency	
The Welsh Assembly Government	Head of Department for Health and Social Services	Member of Department for Health and Social Services at a level equivalent to Grade 7	Paragraphs (b), (d) and (e)
	Head of Department for Health and Social Services Finance	Member of Department for Health and Social Services Finance at a level equivalent to Grade 7	Paragraphs (b), (d) and (e)
	Head of Fisheries Unit	—	Paragraph (b)
	Head of Rural Payments Division	Member of Rural Payments Division at a level equivalent to Grade 7	Paragraphs (b) and (e)
	Regional Director or equivalent grade in the Care and Social Services Inspectorate for Wales	Regulation Manager or equivalent grade in the Care and Social Services Inspectorate for Wales	Paragraphs (b), (d) and (e)
Any county council or district council in England, a London borough council, the Common Council of the City of London in its capacity as a local authority, the Council of the Isles of	Director, Head of Service, Service Manager or equivalent	—	Paragraph (b)

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(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
Scilly, and any county council or county borough council in Wales			
A fire and rescue authority under the Fire and Rescue Services Act 2004	Group Manager	—	Paragraphs (b) and (d)
The Charity Commission	Senior Investigations Manager	Investigations Manager	Paragraph (b)
The Environment Agency	<b>Section 28 authorisation</b> Area Management Team member	<b>Section authorisation</b> Area Team Leader	<b>28</b> Paragraphs (b), (d) and (e)
(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
	<b>Section 29 authorisation</b> Area Manager	<b>Section authorisation</b> National Enforcement Service Manager	<b>29</b> Paragraphs (b), (d) and (e)
The Financial Services Authority	Head of Department in Enforcement Division	Manager in Enforcement Division	Paragraph (b)
The Food Standards Agency	<b>Section 28 authorisation</b> Head of Division or equivalent grade	—	Paragraphs (b) and (e)
	<b>Section 29 authorisation</b> Deputy Director of Legal Services or any Director	—	Paragraphs (b) and (e)
The Gambling Commission	Head of Department	—	Paragraph (b)
The Office of Fair Trading	Any member of the Senior Civil Service with	Grade 7 in the Cartels and Criminal Enforcement Group	Paragraphs (b) and (c)

(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
	responsibility for cartels or criminal enforcement		
The Police Commission	Independent Chairman, Chairman or Director	Deputy Senior Investigating Officer	Paragraph (b)
The Office of the Police Ombudsman for Northern Ireland	Senior Investigating Officer	Deputy Senior Investigating Officer	Paragraph (b)
The Gangmasters Licensing Authority	Head of Operations	—	Paragraph (b)
The Care Quality Commission	Head of Operations in a region	Area Manager	Paragraphs (b) and (e)
The Health and Safety Executive	Band 2 Inspector	—	Paragraphs (b), (d) and (e)
The Office of Communications	<b>Section 28 authorisation</b>	<b>Section 28 authorisation</b>	Paragraph (b)
	Principal responsible for spectrum investigation and enforcement	Senior responsible for spectrum investigation and enforcement	
	<b>Section 29 authorisation</b>	—	Paragraph (b)
(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) or 29(3) of the Act</i>
	Senior Group member responsible for investigation and enforcement		

## PART 2

## OFFICES, ETC. AND RESTRICTIONS IN RESPECT OF RELEVANT PUBLIC AUTHORITIES SPECIFIED IN PART 2 OF SCHEDULE 1 TO THE ACT

(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) of the Act</i>
A Special Health Authority established below the grade of under section 28 of the National Health Service Act 2006 <sup>(5)</sup> or section 22 of the National Health Service (Wales) Act 2006 <sup>(6)</sup>	Senior Manager (not below the grade of Agenda for Change pay band 8b) in the Counter Fraud and Security Management Services Division of the NHS Business Services Authority	—	Paragraph (b)
Her Majesty's Chief Inspector of Education, Children's Services and Skills	Divisional Manager (Early Years Foundation Frameworks), Divisional Manager (Social Care) or Director (Education and Care)	—	Paragraph (b)
The Information Commissioner	Head of Enforcement	Senior Investigating Officer	Paragraph (b)
The Royal Pharmaceutical Society of Great Britain	Director (Grade 7)	—	Paragraphs (b), (d) and (e)
The Child Maintenance and Enforcement Commission	Senior Officer or grade	Executive or equivalent	Higher Executive Officer or equivalent grade
(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) of the Act</i>
The force comprising the special constables appointed under section 79 of the	Superintendent	Inspector	Paragraphs (b), (d) and (e)

(5) 2006 c.41.

(6) 2006 c.42.



(1)	(2)	(3)	(4)
<i>Relevant public authorities</i>	<i>Prescribed offices etc.</i>	<i>Urgent cases</i>	<i>Grounds set out in paragraphs of section 28(3) of the Act</i>
Harbours, Docks and Piers Clauses Act 1847(7) on the nomination of the Dover Harbour Board			
The Department for Work and Pensions	Senior Executive Officer or equivalent grade in Jobcentre Plus	Higher Executive Officer or equivalent grade in Jobcentre Plus	Paragraph (b)
	Senior Executive Officer or equivalent grade in Department for Work and Pensions Risk Assurance Division	—	Paragraph (b)
The Postal Services Commission	Principal, Legal	Deputy Director	Paragraph (b)
A universal service provider (within the meaning of the Postal Services Act 2000(8)) acting in connection with the provision of a universal postal service (within the meaning of that Act)	Senior Investigation Manager in Royal Mail Group Ltd	—	Paragraph (b)

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(7) 1847 c.27 (10 & 11 Vict.)

(8) 2000 c.26.