

## SCHEDULES

### SCHEDULE 3

Article 81.

#### RESTRICTED INFORMATION HELD BY THE REGULATOR: CERTAIN PERMITTED DISCLOSURES TO FACILITATE EXERCISE OF FUNCTIONS

<i>Persons</i>	<i>Functions</i>
The Department.	Functions under— (a) the Charities Act (Northern Ireland) 1964 (c. 33); (b) Part III of the Pension Schemes Act; or (c) this Order.
The Department of Enterprise, Trade and Investment.	Functions under— (a) Part XV of the Companies Order, (b) the Insolvency Order, or (c) Part II of the Companies (No. 2) (Northern Ireland) Order 1990 (NI 10).
The Secretary of State.	Functions under— (a) Part XIV of the Companies Act 1985 (c. 6), (b) the Insolvency Act 1986 (c. 45), (c) Part III of the Companies Act 1989 (c. 40), (d) Part I of the Export and Investment Guarantees Act 1991 (c. 67) (apart from sections 5 and 6), (e) Part III of the Pension Schemes Act 1993 (c. 48), (f) Part V of the Police Act 1997 (c. 50), (g) the Financial Services and Markets Act 2000 (c. 8), or (h) the Pensions Act 2004 (c. 35), and functions of co-operating with overseas government authorities and bodies in relation to criminal matters.
The Bank of England.	Any of its functions.
The Financial Services Authority.	Functions under— (a) the legislation relating to friendly societies, (b) the Building Societies Act 1986 (c. 53), or (c) the Financial Services and Markets Act 2000 (c. 8).

<i>Persons</i>	<i>Functions</i>
The Charity Commissioners. The Pensions Regulator Tribunal.	Functions under the Charities Act 1993 (c. 10). Any of its functions.
The Pensions Ombudsman.	Functions under— (a) the Pension Schemes Act, or (b) the Pension Schemes Act 1993 (c. 48).
The Ombudsman for the Board of the Pension Protection Fund.	Any of his functions.
The Comptroller and Auditor General for Northern Ireland.	Any of his functions.
The Comptroller and Auditor General.	Any of his functions.
The Auditor General for Wales.	Any of his functions.
The Auditor General for Scotland.	Any of his functions.
The Commissioners of Inland Revenue or their officers.	Functions under— (a) the Income and Corporation Taxes Act 1988 (c. 1), (b) the Taxation of Chargeable Gains Act 1992 (c. 12), (c) Part III of the Pension Schemes Act 1993, (d) Part III of the Pension Schemes Act, or (e) the Income Tax (Earnings and Pensions) Act 2003 (c. 1).
The Commissioners of Customs and Excise.	Functions under any enactment.
The Official Receiver for Northern Ireland or the Official Receiver in England and Wales.	Functions under the enactments relating to insolvency.
An inspector appointed by the Department of Enterprise, Trade and Investment.	Functions under Part XV of the Companies Order.
An inspector appointed by the Secretary of State.	Functions under Part XIV of the Companies Act 1985 (c. 6).
A person authorised to exercise powers under— (a) section 447 of the Companies Act 1985, (b) Article 440 of the Companies Order, or (c) section 84 of the Companies Act 1989 (c. 40).	Functions under those sections or that Article.
A person appointed under— (a) section 167 of the Financial Services and Markets Act 2000 (c. 8), (b) section 168(3) or (5) of that Act, or (c) section 284 of that Act, to conduct an investigation.	Functions in relation to that investigation.
A body designated under section 326(1) of that Act.	Functions in its capacity as a body designated under that section.

<i>Persons</i>	<i>Functions</i>
A recognised investment exchange or a recognised clearing house (as defined by section 285 of that Act).	Functions in its capacity as an exchange or clearing house recognised under that Act.
A body corporate established in accordance with section 212(1) of that Act.	Functions under the Financial Services Compensation Scheme, established in accordance with section 213 of that Act.
The Panel on Takeovers and Mergers.	Functions under the City Code on Takeovers and Mergers and the Rules Governing Substantial Acquisitions of Shares for the time being issued by the Panel.
The General Insurance Standards Council.	Functions of regulating sales and advisory and service standards in relation to insurance.
A recognised professional body (within the meaning of Article 350 of the Insolvency Order).	Functions in its capacity as such a body under that Order.
A person on whom functions are conferred by or under Part 2, 3 or 4 of the Proceeds of Crime Act 2002 (c. 29).	The functions so conferred.
The Counter Fraud and Security Management Service established under the Counter Fraud and Security Management Service (Establishment and Constitution) Order 2002 (S.I. 2002/3039).	Any of its functions.
A recognised professional body (within the meaning of section 391 of the Insolvency Act 1986 (c. 45)).	Functions in its capacity as such a body under that Act.
The Gaming Board for Great Britain.	Functions under— (a) the Gaming Act 1968 (c. 65), or (b) the Lotteries and Amusements Act 1976 (c. 32).