SCHEDULE 1

Rule 1.4(1)

FORMS

Form 5.7

Rule 5.7

Certificate of intimation

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

CERTIFICATE OF INTIMATION

in the sequestration of

[C.D.] (designation and address of debtor)

- I certify that I gave intimation of (specify document or other matter to be intimated) to (insert name of receiving party).
- 2. Intimation was given by (specify method of intimation authorised by rule 5.3).
- 3. Intimation was given on (insert date).

(Signed)

[A.B.], Petitioner [or Appellant] [or Applicant] [or Debtor] [or [X.Y.], Solicitor for Petitioner [or Appellant] [or Applicant] [or Debtor] [or [P.Q.], Sheriff Officer]

(insert business address of solicitor or sheriff officer)]

Form 6.1-A

Rule 6.1(1)

Form of petition for sequestration

SHERIFFDOM OF (sheriffdom) AT (place)

PETITION

of

[A.B.] (designation and address)

PETITIONER

for sequestration of the estate of

[C.D.] (designation and address)

DEBTOR

The petitioner craves the court:

- To grant warrant to cite the debtor (or specify other persons in respect of whom warrant to cite is sought) to appear before the sheriff on such date as is specified in the warrant (being no fewer than 6 and no more than 14 days after the date of citation) to show cause why sequestration of the estate of the debtor should not be awarded.
- 2. To award sequestration of the estate of the debtor.
- 3. To declare that the debtor's estate belongs to the creditors of the debtor.
- To appoint [E.F.] (designation and address of trustee) [or the Accountant in Bankruptcy] as trustee.
- To make any further orders that seem appropriate to the court.
- 6. To find the petitioner entitled to the expenses of this application out of the debtor's estate.

STATEMENT OF FACTS

1. The petitioner is as designed in the instance. The petitioner seeks sequestration of the estate of (specify debtor) ("the debtor") as (insert qualified creditor, temporary administrator, member State liquidator, foreign representative, or trustee under trust deed). (where warrant is sought to cite persons other than the debtor, specify relevant capacity, e.g. representative of deceased debtor).

- (a) (state basis of jurisdiction in terms of section 15 of the Bankruptcy (Scotland) Act 2016)
 - (b) (state basis of compatibility of court's jurisdiction with Article 3 of the EC insolvency proceedings regulation in terms of section 12 of the Bankruptcy (Scotland) Act 2016)
- 3. (where petitioner is a qualified creditor)
 - (a) The petitioner is a qualified creditor of the debtor to the extent of (specify sum) as evidenced by the oath by the petitioner and the attached supporting vouchers.
 - (b) The debtor has been rendered apparently insolvent by virtue of (state appropriate ground in terms of section 16 of the Bankruptcy (Scotland) Act 2016). Apparent insolvency was constituted within 4 months before the date of presentation of this petition.
 - (c) (where debtor is a living debtor) A debt advice and information package was provided to the debtor on (insert date), [or
- 3. (where petitioner is a temporary administrator or member state liquidator)

The petitioner is a temporary administrator [or a member State liquidator appointed in main proceedings] within the meaning of Council Regulation (EC) No. 1346/2000 of 29 May 2000 on insolvency proceedings. This is evidenced by the attached supporting documentation.] [or

(where petitioner is a foreign representative)

The petitioner is a foreign representative within the meaning of the Model Law on Cross-Border Insolvency. This is evidenced by the attached supporting documentation.] [or

- 3. (where petitioner is a trustee under a trust deed)
 - (a) On (*date*) the debtor granted a trust deed in favour of the petitioner. A copy of the trust deed is attached.
 - (b) The debtor has failed to comply with (specify obligation, instruction or requirement with which the respondent has failed to comply in terms of section 2(7) of the Bankruptcy (Scotland) Act 2016). [or
 - (b) It would be in the best interests of that an award of sequestration be made because (specify reasons).]
- In order to realise the debtor's estate for the benefit of the debtor's creditors, the petitioner
 has to apply to the court for the sequestration of that estate in terms of the Bankruptcy
 (Scotland) Act 2016.
- (where trustee nominated) [E.F.] satisfies the conditions specified in section 51(1)(b) of the Bankruptcy (Scotland) Act 2016. A copy of [E.F.]'s undertaking is attached. [or
- (where no trustee nominated) The petitioner accepts that the Accountant in Bankruptcy will be the trustee in the sequestration.]
- [6. (If appointment of interim trustee is craved, specify the circumstances that render this necessary.)]

7. A copy of this petition has been sent today to the Accountant in Bankruptcy.

PLEA IN LAW

(insert appropriate plea-in-law)

(Date of petition)

(Signed)

[A.B.], Petitioner [or [X.Y.], Solicitor for Petitioner (insert business address of solicitor)]

Form 6.1-B

Rule 6.1(2)

Form of undertaking by prospective trustee

- 1. I am a qualified insolvency practitioner.
- 2. If I am appointed by the court, I undertake to act as trustee in the sequestration of [C.D.].

(Date of undertaking)

(Signed)

[A.B.], Prospective Trustee

Form 6.2

Rule 6.2(2)

Form of debt payment programme and moratorium on diligence statement

SHERIFFDOM OF (sheriffdom) AT (place)

STATEMENT

by

[A.B.] (designation and address)

PETITIONER

in the sequestration of

[C.D.] (designation and address)

DEBTOR

- The petitioner states that, at the date of lodging this petition, the petitioner has checked the Register of Insolvencies and the DAS Register and is satisfied that the debtor whose sequestration is craved has not given notice to the Accountant in Bankruptcy in accordance with section 195(1) or 196(1) of the Bankruptcy (Scotland) Act 2016. [or
- The petitioner states that the debtor whose sequestration is craved has given notice to the Accountant in Bankruptcy in accordance with section 195(1) or 196(1) of the Bankruptcy (Scotland) Act 2016, but that the resultant moratorium period has ended because (*state* reason with reference to section 198 of the Bankruptcy (Scotland) Act 2016).]
- The petitioner further states that at the date of lodging this petition, the petitioner has checked the DAS Register and is satisfied that the debtor whose sequestration is craved is not subject to an approved debt payment programme. [or
- The petitioner further states that the debtor whose sequestration is craved is the subject of an approved debt payment programme, but the debt founded on by the petitioner is not one mentioned in section 4(5) of the Debt Arrangement and Attachment (Scotland) Act 2002.]

(Date of statement)

(Signed)

[A.B.], Petitioner [or [X.Y.], Solicitor for Petitioner (insert business address of solicitor)]

Form 6.3-A

Rule 6.3(2)

Form of citation

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

CITATION

in the petition of

[A.B.] (designation and address)

PETITIONER

for sequestration of the estate of

[C.D.] (designation and address)

DEBTOR

(place and date)

- 1. You, [C.D.], are served with this copy petition and warrant of citation.
- If you want to show cause why sequestration should not be awarded, you should attend court at (name and address of sheriff court) on (date).
- IF YOU ARE UNCERTAIN WHAT ACTION TO TAKE you should consult a solicitor. You may be eligible for legal aid depending on your circumstances. A solicitor can give you information about legal aid. You may also obtain advice from any Citizens Advice Bureau or other advice agency.
- PLEASE NOTE THAT IF YOU DO NOTHING IN ANSWER TO THIS DOCUMENT the court may award sequestration.

(Signed)

[P.Q.], Sheriff Officer
[or [X.Y.], Solicitor for Petitioner
(insert business address of solicitor)]

Form 6.3-B

Rule 6.3(3)

Form of certificate of citation

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

CERTIFICATE OF CITATION

in the petition of

[A.B.] (designation and address)

PETITIONER

for sequestration of the estate of

[C.D.] (designation and address)

DEBTOR

(place and date)

- I, [P.Q.] [or [X.Y.]] certify that on (date) I cited [C.D.] to answer to the attached petition by appearing at (name and address of sheriff court) on (date).
- 2. I did this by (state method of service).
- [3. (if served by sheriff officer) I did this in the presence of [R.S.], (designation), witness.]

(Signed)

[P.Q.], Sheriff Officer[R.S.], witness[or [X.Y.], Solicitor for Petitioner(insert business address of solicitor)]

Form 7.1-A

Rule 7.1(1)

Form of application under Bankruptcy (Scotland) Act 2016

SHERIFFDOM OF (sheriffdom) AT (place)

APPLICATION

under

(specify provision) of the Bankruptcy (Scotland) Act 2016

by

[A.B.] (designation and address)

APPLICANT

in the sequestration of

[C.D.] (designation and address)

DEBTOR

The applicant requests the sheriff to:

1. (State briefly the nature of the application.)

STATEMENT

1. (State briefly (in numbered paragraphs) the facts and propositions of law on which the application is made.)

(<u>where application relates to an AiB sequestration</u>, include the date of the sequestration, the name and address of the trustee in the sequestration, and the name and address of any former or interim trustee.)

(Date of application)

(Signed)

Form 7.1-B

Rule 7.1(2)

Form of application for direction under Bankruptcy (Scotland) Act 2016

SHERIFFDOM OF (sheriffdom) AT (place)

APPLICATION FOR A DIRECTION

under

(specify provision) of the Bankruptcy (Scotland) Act 2016

by

The Accountant in Bankruptcy

APPLICANT

in the sequestration of

[C.D.] (designation and address of debtor)

The applicant requests the sheriff to give a direction on:

1. (State briefly the issue in respect of which a direction is sought.)

STATEMENT OF FACTS

1. (State briefly (in numbered paragraphs) the facts on which the application is made.)

(where application relates to an AiB sequestration, include the date of the sequestration, the name and address of the trustee in the sequestration, and the name and address of any former or interim trustee.)

(Date of application)

(Signed)

Accountant in Bankruptcy [or [X.Y.], Solicitor for Applicant] [(insert business address of solicitor)]

Form 7.2

Rule 7.2

Form of remit by Accountant in Bankruptcy

SHERIFFDOM OF (sheriffdom) AT (place)

REMIT TO THE SHERIFF

by

The Accountant in Bankruptcy

under

section 36(1) [or section 36(2)] of the Bankruptcy (Scotland) Act 2016

in the sequestration of

[C.D.] (designation and address of debtor)

The Accountant in Bankruptcy remits the application [or case] to the sheriff.

STATEMENT OF FACTS

 (State briefly (in numbered paragraphs) the facts relevant to the remitted application or case.)

(<u>where remit relates to an AiB sequestration</u>, include the date of the sequestration, the name and address of the trustee in the sequestration, and the name and address of any former or interim trustee.)

(Date of remit)

(Signed)

Accountant in Bankruptcy

[or [X.Y.], Solicitor for Accountant in Bankruptcy] [(insert business address of solicitor)]

Form 7.5

Rule 7.5

Form of report by the original trustee

SHERIFFDOM OF (sheriffdom) AT (place)

REPORT TO THE SHERIFF

under

section 49(8) [or section 49(9)] of the Bankruptcy (Scotland) Act 2016

by

[A.B.], (designation and address) [or The Accountant in Bankruptcy]

ORIGINAL TRUSTEE

in the sequestration of

[C.D.] (designation and address of debtor)

- The original trustee in the above sequestration reports to the sheriff that a statutory meeting was called in accordance with the provisions of section 44 of the Bankruptcy (Scotland) Act 2016.
- That meeting took place and no creditor entitled to vote in the election of the trustee attended. [or
- The meeting was attended by (number of creditors attending) creditors or their representatives. They were advised of their right to elect a trustee and commissioners, but no trustee was elected.]
- A copy of the record of the meeting and of the trustee's statement of the debtor's affairs are attached.
- [4. (where original trustee is not Accountant in Bankruptcy) A copy of this report has been sent to the Accountant in Bankruptcy in accordance with section 49(9) of the Bankruptcy (Scotland) Act 2016.]

(Date of report)

(Signed)

[A.B.], Original Trustee [or Accountant in Bankruptcy]
[or [X.Y.], Solicitor for Original Trustee [or Accountant in Bankruptcy]]
[(insert business address of solicitor)]

Form 9.1

Rule 9.1

Form of note of appeal to sheriff

SHERIFFDOM OF (sheriffdom) AT (place)

NOTE OF APPEAL

under

(specify provision) of the Bankruptcy (Scotland) Act 2016

by

[A.B.], (designation and address)

APPELLANT

in the sequestration of

[C.D.] (designation and address of debtor)

- The appellant appeals to the sheriff against the decision of (specify decision-maker and nature of decision) made on (date).
- (where appeal relates to an AiB sequestration, specify the date of the sequestration, the name and address of the trustee in the sequestration, and the name and address of any former or interim trustee.)

GROUNDS OF APPEAL

2. (State briefly (in numbered paragraphs) the grounds of appeal.)

ORDERS SOUGHT

3. (State briefly (in numbered paragraphs) the orders sought by the appellant.)

(Date of appeal)

(Signed)

Form 11.4

Rule 11.4(1)

Form of motion

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

MOTION]

by

[A.B.], (designation and address)

PETITIONER [or APPELLANT] [or APPLICANT] [or DEBTOR]

in the sequestration of

[C.D.] (designation and address of debtor)

Date: (date of intimation)

- The petitioner [or appellant] [or applicant] [or respondent] moves the court to (specify details of the motion).
- 2. (State briefly (in numbered paragraphs) the grounds for the motion).
- 3. The last date for lodging opposition to the motion is (last date for lodging opposition).
- (Where a copy of a document accompanies the motion in accordance with rule 11.4(2), list the document(s) in question.)

(Signed)

[A.B.], Petitioner [or Appellant] [or Applicant] [or Debtor] [or [X.Y.], Solicitor for Petitioner [or Appellant] [or Applicant] [or Debtor] (insert business address of solicitor)]

Form 11.5

Rule 11.5(1)

Form of opposition to motion

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

OPPOSITION TO MOTION

by

[A.B.], (designation and address)

PETITIONER [or APPELLANT] [or APPLICANT] [or DEBTOR]

in the sequestration of

[C.D.] (designation and address of debtor)

Date of intimation of motion: (date)

Date of intimation of opposition to motion: (date)

- 1. The petitioner [*or* appellant] [*or* applicant] [*or* debtor] opposes the motion by the debtor [*or* petitioner] [*or* appellant] [*or* applicant].
- 2. (State briefly (in numbered paragraphs) the grounds for opposing the motion).

(Signed)

[A.B.], Petitioner [or Appellant] [or Applicant] [or Debtor] [or [X.Y.], Solicitor for Petitioner [or Appellant] [or Applicant] [or Debtor] (insert business address of solicitor)]

Form 12.3

Rule 12.3(1)

Notice of peremptory hearing

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

NOTICE OF PEREMPTORY HEARING

in the sequestration of

[C.D.] (designation and address of debtor)

- 1. The Court has been informed that your solicitor no longer represents you.
- As a result, the Court has made an order that you should attend or be represented at a peremptory hearing at (*insert place*) on (*insert date and time*).
- 3. At the peremptory hearing, you will have to tell the Court whether you intend to proceed.

(Signed)

[A.B.], Petitioner [*or* Appellant] [*or* Applicant] [*or* Debtor]

[or [X.Y.], Solicitor for Petitioner [or Appellant] [or Applicant] [or Debtor]

(insert business address of solicitor)]

IF YOU ARE UNCERTAIN WHAT ACTION TO TAKE, you should consult a solicitor. You may also obtain advice from a Citizens Advice Bureau or other advice agency.

Form 14.2-A

Rule 14.2(1)

Child witness notice

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

CHILD WITNESS NOTICE

under section 12 of the Vulnerable Witnesses (Scotland) Act 2004

by

[A.B.], (designation and address)

PETITIONER [or APPELLANT] [or APPLICANT] [or DEBTOR]

in the sequestration of

[C.D.] (designation and address of debtor)

- 1. The applicant is the petitioner [or appellant] [or applicant] [or debtor].
- 2. The applicant has cited [or intends to cite] [E.F.] (date of birth) as a witness.
- [E.F.] is a child witness under section 11 of the Vulnerable Witnesses (Scotland) Act 2004 [and was under the age of eighteen on the date of the commencement of proceedings.]
- 4. The applicant considers that the following special measure[s] is [or are] the most appropriate for the purpose of taking the evidence of [E.F.] [or that [E.F.] should give evidence without the benefit of any special measure]:

(specify any special measure(s) sought).

 The reason[s] this [or these] special measure[s] is [or are] considered the most appropriate is [or are] as follows:

(specify the reason(s) for the special measure(s) sought). [or

 The reason[s] it is considered that [E.F.] should give evidence without the benefit of any special measure is [or are]:

(explain why it is felt that no special measures are required).]

6. [E.F.] and the parent[s] of [or [person[s] with parental responsibility for] [E.F.] has [or have] expressed the following view[s] on the special measure[s] that is [or are] considered most appropriate [or the appropriateness of [E.F.] giving evidence without the benefit of any special measure]:

(specify the view(s) expressed and how they were obtained).

- Other information considered relevant to this application is as follows: (state briefly any other information relevant to the child witness notice).
- 8. The applicant asks the sheriff to-
 - (a) consider this child witness notice; and
 - (b) make an order authorising the special measure[s] sought; [or
 - (b) make an order authorising the giving of evidence by [E.F.] without the benefit of special measures.]

(Signed)

Form 14.2-B

Rule 14.2(2)

Vulnerable witness application

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

VULNERABLE WITNESS APPLICATION

under section 12 of the Vulnerable Witnesses (Scotland) Act 2004

by

[A.B.], (designation and address)

PETITIONER [or APPELLANT] [or APPLICANT] [or DEBTOR]

in the sequestration of

[C.D.] (designation and address of debtor)

- The applicant is the petitioner [or appellant] [or applicant] [or debtor].
- The applicant has cited [or intends to cite] [E.F.] (date of birth) as a witness.
- The applicant considers that [E.F.] is a vulnerable witness under section 11(1)(b) of the Vulnerable Witnesses (Scotland) Act 2004 for the following reasons:

(specify why the witness is considered to be a vulnerable witness.)

 The applicant considers that the following special measure[s] is [or are] the most appropriate for the purpose of taking the evidence of [E.F.]:

(specify any special measure(s) sought.)

5. The reason[s] this [or these] special measure[s] is [or are] considered the most appropriate is [or are] as follows:

(specify the reason(s) for the special measure(s) sought.)

 [E.F.] has expressed the following view[s] on the special measure[s] that is [or are] considered most appropriate:

(specify the view(s) expressed and how they were obtained.)

- Other information considered relevant to this application is as follows: (state briefly any other information relevant to the vulnerable witness application.)
- 8. The applicant asks the sheriff to-
 - (a) consider this vulnerable witness application; and
 - (b) make an order authorising the special measure[s] sought.

(Signed)

Form 14.2-C

Rule 14.2(3)

Application for review of arrangements for vulnerable witness

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

APPLICATION FOR REVIEW OF ARRANGEMENTS FOR VULNERABLE WITNESSES

under section 13 of the Vulnerable Witnesses (Scotland) Act 2004

by

[A.B.], (designation and address)

PETITIONER [or APPELLANT] [or APPLICANT] [or DEBTOR]

in the sequestration of

[C.D.] (designation and address of debtor)

- 1. The applicant is the petitioner [or appellant] [or applicant] [or debtor].
- A proof [or hearing] is fixed for (date) at (time).
- 3. [E.F.] is a witness who is to give evidence at, or for the purposes of, the proof [*or* hearing]. [E.F.] is a child witness [*or* vulnerable witness] under section 11 of the Vulnerable Witnesses (Scotland) Act 2004.
- The current arrangements for taking the evidence of [E.F.] are (specify the current arrangements).
- 5. The current arrangements should be reviewed because (specify reasons for review).
- 6. [E.F.] [and the parent[s] of [or person[s] with parental responsibility for] [E.F.]] has [or have] expressed the following view[s] on the special measure[s] that is [or are] considered most appropriate [or the appropriateness of [E.F.] giving evidence without the benefit of any special measure]:

(specify the view(s) expressed and how they were obtained.)

The applicant seeks (specify the order sought).

(Signed)

Form 14.8-A

Rule 14.8(2)

Form of oath for witness

I swear by Almighty God that I will tell the truth, the whole truth and nothing but the truth.

Form 14.8-B

Rule 14.8(3)

Form of affirmation for witness

I solemnly, sincerely and truly declare and affirm that I will tell the truth, the whole truth and nothing but the truth.

Form 16.3

Rule 16.3(3)

Representations about a proposed order restricting the reporting of proceedings

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

REPRESENTATIONS

by

[A.B.] (designation and address)

APPLICANT

- On (*date*) the sheriff at (*place*) made an interim order under rule 16.2(1) of the Act of Sederunt (Sheriff Court Bankruptcy Rules) 2016 in (*name of proceedings (and court reference), if known*)).
- The applicant is a person who would be directly affected by an order restricting the reporting of proceedings because:

(state briefly (in numbered paragraphs) the reasons.)

The applicant wishes to make the following representations:

(state briefly (in numbered paragraphs) the representations.)

[4. The applicant seeks an urgent hearing on these representations because:

(state briefly (in numbered paragraphs) why an urgent hearing is necessary.)]

(Signed)

Form 16.5

Rule 16.5(2)

Application for variation or revocation of an order restricting the reporting of proceedings

SHERIFFDOM OF (sheriffdom) AT (place)

Court reference no.

APPLICATION

by

[A.B.] (designation and address)

APPLICANT

- On (date) the sheriff at (place) made an order restricting the reporting of proceedings in (name of proceedings (and court reference, if known)).
- 2. The applicant seeks variation [or revocation] of the order because:

(state briefly (in numbered paragraphs) the reasons for the application.)

[3. The applicant seeks to vary the order by:

(state briefly (in numbered paragraphs) the proposed variation(s).)]

(Signed)