SCOTTISH STATUTORY INSTRUMENTS

2009 No. 440

ENVIRONMENTAL PROTECTION PUBLIC SECTOR INFORMATION

The INSPIRE (Scotland) Regulations 2009

Made - - - - 10th December 2009

Laid before the Scottish

Parliament - - - 14th December 2009

Coming into force - - 31st December 2009

The Scottish Ministers make the following Regulations, in exercise of the powers conferred by section 2(2) of, and paragraph 1A of Schedule 2 to, the European Communities Act 1972 MI and all other powers enabling them to do so.

Marginal Citations

M1 1972 c.68; paragraph 1A of Schedule 2 was inserted by section 28 of the Legislative and Regulatory Reform Act 2006 (c.51).

These Regulations make provision for a purpose mentioned in section 2(2) of that Act and it appears to the Scottish Ministers that it is expedient for any reference in these Regulations to—

- (a) Commission Regulation (EC) No 1205/2008 regarding metadata ^{M2} to be construed as a reference to that Regulation as amended from time to time, and
- (b) Annexes I, II and III to Directive 2007/2/EC of the European Parliament and of the Council establishing an Infrastructure for Spatial Information in the European Community (INSPIRE) M3 to be construed as a reference to those provisions as amended from time to time.

Marginal Citations

M2 O.J. No. L 326, 4.12.2008, p 12.

M3 O.J. No. L 108, 25.4.2007, p 1.

Citation, commencement and extent

1.—(1) These Regulations may be cited as the INSPIRE (Scotland) Regulations 2009 and come into force on 31st December 2009.

(2) These Regulations extend to Scotland only.

Interpretation

2.—(1) In these Regulations—

"the Act" means the Freedom of Information (Scotland) Act 2002 M4;

[F1"Commission Regulation (EU) No 1089/2010" means Commission Regulation (EU) No 1089/2010 implementing Directive 2007/2/EC of the European Parliament and of the Council as regards interoperability of spatial data sets and services,]

F2 ...

"discovery service" means a service described in regulation 8(2)(a);

[F3" interoperability" means the possibility for spatial data sets to be combined, and for services to interact, without repetitive manual intervention, in such a way that the result is coherent and the added value of the data sets and services is enhanced;]

"metadata" means information describing spatial data sets and spatial data services and making it possible to discover, inventory and use them;

"Metadata Regulation" means Commission Regulation (EC) No. 1205/2008 regarding metadata;

"Scottish public authority" has the meaning given by regulation 3;

"spatial data" means any data with a direct or indirect reference to a specific location or geographical area;

"spatial data service" means a service which consists of operations which may be performed, by invoking a computer application—

- (a) on the spatial data contained in a spatial data set, or
- (b) on the metadata related to a spatial data set;

"spatial data set" means an identifiable collection of spatial data which—

- (a) are in electronic format,
- (b) relate to one or more of the themes listed in [F4Annex 1, 2 or 3 of the Metadata Regulation], and
- (c) relate to—
 - (i) the United Kingdom,
 - (ii) Gibraltar,
 - (iii) the territorial sea of the United Kingdom M5,
 - (iv) an area of the continental shelf for the time being designated by an Order in Council under section 1(7) of the Continental Shelf Act 1964 M6, or
 - (v) an area, outside the territorial sea of the United Kingdom, for the time being designated by an Order in Council under section 84(4) of the Energy Act 2004 MT;

"third party" has the meaning given by regulation 4.

F5(2)																																
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- (3) For the purposes of these Regulations—
 - (a) a Scottish public authority is responsible for a spatial data set if—
 - (i) that authority holds that data set (other than on behalf of another person), or
 - (ii) another person holds that data set on behalf of that authority;

- (b) a Scottish public authority is responsible for a spatial data service if—
 - (i) that authority operates that data service (other than on behalf of another person), or
 - (ii) another person operates that data service on behalf of that authority;
- (c) a third party is responsible for a spatial data set if—
 - (i) that third party holds that data set (other than on behalf of another person), or
 - (ii) another person holds that data set on behalf of that third party; and
- (d) a third party is responsible for a spatial data service if—
 - (i) that third party operates that data service (other than on behalf of another person), or
 - (ii) another person operates that data service on behalf of that third party.

F6(4))																

- **F1** Words in reg. 2(1) inserted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **4(2)(a)**; 2020 c. 1, Sch. 5 para. 1(1)
- **F2** Words in reg. 2(1) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **4(2)(b)**; 2020 c. 1, Sch. 5 para. 1(1)
- F3 Words in reg. 2(1) inserted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, 3
- **F4** Words in reg. 2(1) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **4(2)(c)**; 2020 c. 1, Sch. 5 para. 1(1)
- F5 Reg. 2(2) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 4(3); 2020 c. 1, Sch. 5 para. 1(1)
- **F6** Reg. 2(4) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **4(4)**; 2020 c. 1, Sch. 5 para. 1(1)

Marginal Citations

- **M4** 2002 asp 13.
- M5 See section 1 of the Territorial Sea Act 1987 (c.49).
- **M6** 1964 c.29.
- M7 2004 c.20.

Meaning of "Scottish public authority"

- 3.—(1) In these Regulations, "Scottish public authority" means—
 - (a) a public authority as defined in section 3(1) of the Act, but excluding any body, person or office-holder listed in schedule 1 to that Act only in relation to information of a specified description, or
 - (b) any other body or other person that is under the control of a person falling within sub-paragraph (a) and has public responsibilities relating to the environment, exercises functions of a public nature relating to the environment, or provides public services relating to the environment.
- (2) But in regulation 13 "Scottish public authority" does not include the bodies or persons falling within paragraph (1)(b).
- (3) These Regulations shall not apply to any Scottish public authority to the extent that it is acting in a judicial or legislative capacity.

Meaning of "third party"

- 4.—(1) In these Regulations, "third party" means a person who—
 - (a) is—
 - (i) an individual whose address is in Scotland; or
 - (ii) a body corporate, partnership or unincorporated association whose principal office is in Scotland; and
 - (b) is not a person falling within paragraph (2).
- (2) A person falls within this paragraph if that person—
 - (a) is a public authority in the United Kingdom;
 - (b) holds a spatial data set or operates a spatial data service on behalf of such a public authority; or
 - (c) holds a spatial data set or operates a spatial data service on behalf of—
 - (i) an individual whose address is in England, Wales or Northern Ireland; or
 - (ii) a body corporate, partnership or unincorporated association whose principal office is in England, Wales or Northern Ireland.

Scope of application of the Regulations: spatial data sets and spatial data services

- **5.**—(1) In so far as a provision of these Regulations concerns a spatial data set for which a Scottish public authority is responsible, that provision applies in relation to that spatial data set only if that data set is held—
 - (a) by a Scottish public authority which has produced or received that data set, or manages or updates that data set, within the scope of its public tasks; or
 - (b) by another person on behalf of a Scottish public authority which has produced or received that data set, or managed or updated that data set, within the scope of that authority's public tasks.
- (2) But where multiple identical copies of the same spatial data set are held by or on behalf of various Scottish public authorities, that provision applies only in relation to the reference version from which the various copies are derived.
- (3) Subject to paragraph (4), in so far as a provision of these Regulations concerns a spatial data set for which a third party is responsible, that provision applies in relation to that spatial data set only if that data set has been linked to a network of related spatial data sets following satisfaction of the conditions specified in regulation 9(3).
 - (4) The proviso specified in paragraph (3) does not apply to regulation 9(2).
- (5) In so far as a provision of these Regulations concerns a spatial data service, that provision applies in relation to that spatial data service only if that provision applies in relation to the spatial data set to which that spatial data service relates.

Intellectual property rights

6. Where a Scottish public authority or a third party is responsible for a spatial data set, it must not take any action under these Regulations in relation to that data set unless it has the consent of any other person who holds intellectual property rights in relation to that data set.

Metadata

- 7.—(1) A Scottish public authority or a third party must create metadata ^{M8} in relation to any spatial data set or spatial data service for which that authority or third party is responsible.
- (2) Metadata in relation to a spatial data set or a spatial data service must include the following information—
 - (a) the quality and validity of that data set or data service;
 - [F7(aa)] (where the metadata relates to a spatial data set corresponding to the themes listed in [F8Annex 1 of the Metadata Regulation] or to a spatial data service relating to such a data set) the conformity of that data set or data service with the requirements as to interoperability set out in regulation 7A;
 - (b) the person responsible for the establishment, management, maintenance and distribution of that data set or data service;
 - (c) any limitations on public access to that data set or data service, and the reasons for such limitations;
 - (d) any conditions applying to access to, and use of, that data set or data service; and
 - (e) any charges payable in relation to access to, and use of, that data set or data service.
- [F9(2A) The information in paragraph (2)(aa) regarding conformity with requirements as to interoperability must be included from the date by which the requirements in question apply.]
 - (3) Metadata must be complete and must be kept up to date.
- [F10(4)] A Scottish public authority or a third party must, in relation to any spatial data set or spatial data service for which that authority or third party is responsible, have in place metadata relating to—
 - (a) spatial data sets corresponding to the themes listed in Annexes 1 to 3 of the Metadata Regulation, and
 - (b) spatial data services relating to those data sets.]

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Textual Amendments

- F7 Reg. 7(2)(aa) inserted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, 4(a)
- **F8** Words in reg. 7(2)(aa) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **5(2)**; 2020 c. 1, Sch. 5 para. 1(1)
- F9 Reg. 7(2A) inserted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, **4(b)**
- **F10** Reg. 7(4) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **5(3)**; 2020 c. 1, Sch. 5 para. 1(1)
- F11 Reg. 7(5) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 5(4); 2020 c. 1, Sch. 5 para. 1(1)

Marginal Citations

M8 See Commission Regulation (EC) No. 1205/2008.

[F12Interoperability

7A.—(1) A Scottish public authority or a third party must ensure that the following are available in accordance with paragraph (2)—

- (a) any spatial data set for which that authority or third party is responsible and which corresponds to the themes listed in [F13 Annex 1 of the Metadata Regulation]; and
- (b) any spatial data service for which that authority or third party is responsible and which relates to a data set described in sub-paragraph (a).
- [F14(2)] The spatial data sets and spatial data services described in paragraph (1) must be available in conformity with the requirements as to the interoperability of spatial data sets and spatial data services set out in Commission Regulation (EU) No 1089/2010.]
 - (3) Spatial data sets may be made available in conformity through—
 - (a) the adaptation of existing data sets; or
 - (b) the transformation services specified in regulation 8(2)(d).

F15(4)																
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- F12 Reg. 7A inserted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, 5
- F13 Words in reg. 7A(1)(a) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 6(2); 2020 c. 1, Sch. 5 para. 1(1)
- F14 Reg. 7A(2) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 6(3); 2020 c. 1, Sch. 5 para. 1(1)
- F15 Reg. 7A(4) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 6(4); 2020 c. 1, Sch. 5 para. 1(1)

Network services

- **8.**—(1) A Scottish public authority or a third party must establish and operate the services described in paragraph (2) in relation to any spatial data set or spatial data service—
 - (a) for which that authority or third party is responsible, and
 - (b) in relation to which metadata have been created in accordance with regulation 7 and the Metadata Regulation.

^{F16} (1A)			
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- (2) The services are—
 - (a) discovery services—
 - (i) making it possible to search for spatial data sets and spatial data services on the basis of the content of the corresponding metadata and to display the content of the metadata, and
 - (ii) making it possible to search according to, as a minimum, the search criteria specified in paragraph (3) (used alone or in combination);
 - (b) view services making it possible, as a minimum, to display, navigate, zoom in and out, pan, or overlay viewable spatial data sets and to display legend information and any relevant content of metadata;
 - (c) download services, enabling copies of spatial data sets, or parts of such sets, to be downloaded and, where practicable, accessed directly;
 - (d) transformation services, enabling spatial data sets to be transformed with a view to achieving interoperability; and
 - (e) services allowing spatial data services to be invoked.

- [F17(2A) Discovery services must enable a search according to the criterion in paragraph (3) (ca) regarding conformity with requirements as to interoperability from the date by which the requirements in question apply.]
 - (3) The matters referred to in paragraph (2)(a)(ii) are—
 - (a) keywords;
 - (b) classification of spatial data and spatial data services;
 - (c) the quality and validity of spatial data sets;
- [F18(ca) (in the case of a spatial data set corresponding to the themes listed in [F19Annex 1 of the Metadata Regulation] or a spatial data service relating to such a data set) the degree of conformity with the requirements as to interoperability set out in regulation 7A;]
 - (d) geographical location;
 - (e) conditions applying to the access to and use of spatial data sets and spatial data services; and
 - (f) the person responsible for the establishment, management, maintenance and distribution of spatial data sets and spatial data services.
- [F20(3A) In the case of a spatial data set corresponding to the themes listed in [F21Annex 1 of the Metadata Regulation] or a spatial data service relating to such a data set, from the date by which any particular requirements as to interoperability set out in regulation 7A apply, the transformation services specified in sub-paragraph (d) of paragraph (2) must be combined with the other services specified in that paragraph in such a way as to enable all those services to be operated in conformity with those requirements.]
 - (4) The services specified in paragraph (2) must—
 - (a) take into account relevant user requirements;
 - (b) be easy to use; and
 - (c) subject to regulation 10, be available to the public and accessible via the internet or any other appropriate means of telecommunication.

$F^{22}(5)$																																
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- F16 Reg. 8(1A) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 7(2); 2020 c. 1, Sch. 5 para. 1(1)
- F17 Reg. 8(2A) inserted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, 6(b)
- **F18** Reg. 8(3)(ca) inserted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, **6(c)**
- **F19** Words in reg. 8(3)(ca) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **7(3)**; 2020 c. 1, Sch. 5 para. 1(1)
- **F20** Reg. 8(3A) inserted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, **6(d)**
- **F21** Words in reg. 8(3A) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 7(4); 2020 c. 1, Sch. 5 para. 1(1)
- **F22** Reg. 8(5) omitted (23.11.2012) by virtue of The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, **6(e)**

Marginal Citations

M9 See Commission Regulation (EC) No. 976/2009, O.J. No. L 274, 20.10.2009, p.9.

Linking to a network

- **9.**—(1) The Scottish Ministers must enable a Scottish public authority to link any spatial data set or spatial data service for which that authority is responsible to a network of related spatial data sets or spatial data services, provided that the conditions specified in paragraph (3) are satisfied in relation to that data set or data service.
- (2) The Scottish Ministers must enable a third party to link any spatial data set or spatial data service for which that third party is responsible to a network of related spatial data sets or spatial data services, provided that—
 - (a) the third party makes a request to that effect; and
 - (b) the conditions specified in paragraph (3) are satisfied in relation to that data set or data service.
 - [F23(3) The conditions are—
 - (a) metadata have been created in accordance with regulation 7 and the Metadata Regulation (if on the date in question metadata were required to be created by regulation 7);
 - (b) services have been established and are operated in accordance with regulation 8 and [F24Commission Regulation (EC) No 976/2009 implementing Directive 2007/2/EC of the European Parliament and of the Council as regards the Network Services]; and
 - (c) (in the case of a spatial data set corresponding to the themes listed in [F25Annex 1 of the Metadata Regulation] or a spatial data service relating to such a data set) there is conformity with the applicable requirements as to interoperability set out in regulation 7A (if on the date in question conformity with those requirements is required by that regulation).]

Textual Amendments

- F23 Reg. 9(3) substituted (23.11.2012) by The INSPIRE (Scotland) Amendment Regulations 2012 (S.S.I. 2012/284), regs. 1, 7
- **F24** Words in reg. 9(3)(b) substituted (28.3.2019) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(a), 2
- **F25** Words in reg. 9(3)(c) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **8**; 2020 c. 1, Sch. 5 para. 1(1)

Public access to spatial data sets and spatial data services

- **10.**—(1) Subject to paragraph (6), access by the public to a spatial data set or spatial data service by means of a service specified in regulation 8(2) may be limited only if—
 - (a) a limitation is permitted or required under paragraph (2), (3) or (4); and
 - (b) except in the case of a limitation under paragraph (2)(a), the public interest in limiting or placing conditions on public access outweighs the public interest in providing full access, in all the circumstances of the case.
- (2) A Scottish public authority or a third party must not provide public access to personal data included in a spatial data set for which that authority or third party is responsible, if the provision of public access to that personal data otherwise than under these Regulations would contravene—
 - (a) any of the data protection principles, F26...
 - [F27(b)] Article 21 of the [F28UK GDPR] (general processing: right to object to processing), or
 - (c) section 99 of the Data Protection Act 2018 (intelligence services processing: right to object to processing).]

F29 ...

- (3) A Scottish public authority or a third party may, in relation to a spatial data set or spatial data service for which that authority or third party is responsible, limit public access to that data set or data service through a discovery service if such access would adversely affect international relations, public security or national defence.
- (4) A Scottish public authority or a third party may, in relation to a spatial data set or spatial data service for which that authority or third party is responsible—
 - (a) limit public access to that data set or data service through a service described in regulation 8(2)(b), (c), (d) or (e), or
 - (b) limit public access to the e-commerce services referred to in regulation 11(4) which relate to that data set or data service,

if such access would adversely affect any matter specified in paragraph (5).

- (5) The matters are—
 - (a) international relations, defence, national security or public safety;
 - (b) the course of justice, the ability of a person to receive a fair trial or the ability of a public authority to conduct an inquiry of a criminal or disciplinary nature;
 - (c) intellectual property rights;
 - (d) the confidentiality of the proceedings of any body where such confidentiality is provided by law;
 - (e) the confidentiality of commercial or industrial information where such confidentiality is provided by law to protect a legitimate economic interest;
 - (f) the interests or protection of the person who provided the spatial data in question where that person—
 - (i) was not under, and could not have been put under, any legal obligation to supply that data to any other person,
 - (ii) did not provide that data in circumstances such that any person is entitled apart from these Regulations to provide public access to that data, and
 - (iii) has not consented to the public being provided with access to that data; and
 - (g) the protection of the environment to which the spatial data set or spatial data service in question relates.
- (6) A Scottish public authority or a third party may not limit public access to a spatial data set or spatial data service which contains information on emissions into the environment, on a ground specified in paragraph (5)(d), (e), (f) or (g).

[F30(7) In this regulation—

"the data protection principles" means the principles set out in—

- (a) Article 5(1) of the [F31UK GDPR],
- (b) section 34(1) of the Data Protection Act 2018, and
- (c) section 85(1) of that Act;

F32

"personal data" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).

[F33"the UK GDPR" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10) and (14) of that Act);]

(8) In determining for the purposes of this regulation whether the lawfulness principle in Article 5(1)(a) of the [F34UK GDPR] would be contravened by the disclosure of information, Article 6(1) of the [F34UK GDPR] (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted.]

Textual Amendments

- **F26** Word in reg. 10(2)(a) omitted (25.5.2018) by virtue of Data Protection Act 2018 (c. 12), s. 212(1), **Sch.** 19 para. 344(2)(a) (with ss. 117, 209, 210); S.I. 2018/625, reg. 2(1)(g)
- F27 Reg. 10(2)(b)(c) substituted for reg. 10(2)(b) (25.5.2018) by Data Protection Act 2018 (c. 12), s. 212(1), Sch. 19 para. 344(2)(b) (with ss. 117, 209, 210); S.I. 2018/625, reg. 2(1)(g)
- F28 Words in reg. 10(2)(b) substituted (31.12.2020) by The Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (S.I. 2019/419), reg. 1(2), Sch. 3 para. 78(2) (with Sch. 3 para. 112); 2020 c. 1, Sch. 5 para. 1(1)
- **F29** Words in reg. 10(2) omitted (25.5.2018) by virtue of Data Protection Act 2018 (c. 12), s. 212(1), **Sch. 19 para. 344(2)(c)** (with ss. 117, 209, 210); S.I. 2018/625, reg. 2(1)(g)
- **F30** Reg. 10(7)(8) inserted (25.5.2018) by Data Protection Act 2018 (c. 12), s. 212(1), **Sch. 19 para. 344(3)** (with ss. 117, 209, 210); S.I. 2018/625, reg. 2(1)(g)
- F31 Words in reg. 10(7) substituted (31.12.2020) by The Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (S.I. 2019/419), reg. 1(2), Sch. 3 para. 78(3)(a) (with Sch. 3 para. 112); 2020 c. 1, Sch. 5 para. 1(1)
- F32 Words in reg. 10(7) omitted (31.12.2020) by virtue of The Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (S.I. 2019/419), reg. 1(2), Sch. 3 para. 78(3)(b) (with Sch. 3 para. 112); 2020 c. 1, Sch. 5 para. 1(1)
- F33 Words in reg. 10(7) inserted (31.12.2020) by The Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (S.I. 2019/419), reg. 1(2), Sch. 3 para. 78(3)(c) (with Sch. 3 para. 112); 2020 c. 1, Sch. 5 para. 1(1)
- Words in reg. 10(8) substituted (31.12.2020) by The Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (S.I. 2019/419), reg. 1(2), Sch. 3 para. 78(4) (with Sch. 3 para. 112); 2020 c. 1, Sch. 5 para. 1(1)

Charges for public access

- 11.—(1) Except as provided by paragraph (2), a Scottish public authority or a third party must not charge the public for a discovery service or a view service which that authority or third party operates in relation to a spatial data set or spatial data service for which that authority or third party is responsible.
- (2) A Scottish public authority or a third party may charge the public for a view service where that charge secures the maintenance of spatial data sets and spatial data services, especially in cases involving very large volumes of frequently updated data.
- (3) A Scottish public authority or a third party may charge the public a reasonable sum for a service described in regulation 8(2)(c), (d) or (e) which that authority or third party operates in relation to a spatial data set or spatial data service for which that authority or third party is responsible.
- (4) Where a Scottish public authority or a third party charges the public for a view service or a service described in regulation 8(2)(c) or (e), that authority or third party must ensure that e-commerce services are available in relation to that service.
- (5) Spatial data made available through a view service may be in a form preventing their re-use for commercial purposes.
 - (6) In this regulation, "view service" means a service described in regulation 8(2)(b).

Enforcement and appeals in relation to public access

- 12.—(1) The provisions of the Act specified in paragraph (2) apply for the purposes of regulations 8(4)(c) and 10 as they apply for the purposes of the Act, but with the modifications specified in the Schedule to these Regulations.
 - (2) Those provisions are—
 - (a) section 47 (application for decision by Commissioner);
 - (b) section 48 (when application excluded);
 - (c) section 49 (Commissioner's decision);
 - (d) section 50 (information notices);
 - (e) section 51 (enforcement notices);
 - (f) section 53 (failure to comply with notice);
 - (g) section 54 and schedule 3 (powers of entry and inspection);
 - (h) section 55 (no civil right of action against Scottish public authority); and
 - (i) section 56 (appeal against notices)
- (3) Section 63 of the Act applies to any information obtained by, or furnished to, the Scottish Information Commissioner under or for the purposes of regulation 8(4)(c) or 10.

Data-sharing between public authorities etc.

- **13.**—(1) A Scottish public authority must, in relation to a spatial data set or spatial data service for which it is responsible—
 - (a) enable any other Scottish public authority or a relevant body to gain access to that data set or data service, and
 - (b) enable any other Scottish public authority or a relevant body to exchange and use that data set or data service,

where that authority or body requires that data set or data service for the purpose of its public tasks that may have an impact on the environment.

- (2) But a Scottish public authority may limit sharing of the kind described in paragraph (1) when this would compromise the course of justice, public security, national defence or international relations.
- (3) Subject to paragraphs (4), (5) and (6), a Scottish public authority which supplies a spatial data set or spatial data service to another such authority or a relevant body may impose conditions on the access to or exchange or use of that data set or data service, for example by doing either or both of the following—
 - (a) providing that access to that data set or data service, or exchange or use of that data set or data service, is subject to terms and conditions imposed by a licence;
 - (b) making a charge for the access to, or exchange or use of, that data set or data service.
- (4) But any such conditions must be compatible with the aim of facilitating the sharing of spatial data sets and spatial data services among Scottish public authorities and between such public authorities and relevant bodies, and must avoid creating practical obstacles, occurring at the point of use, to such sharing.
- (5) Where a Scottish public authority makes a charge as described in paragraph (3)(b), the charge must be kept to the minimum required to ensure the necessary quality and supply of spatial data sets and spatial data services together with a reasonable return on investment, and any requirement on an authority to be self-financing is to be respected.

- (6) Where, under [F35] F36 assimilated] law] relating to the environment, a Scottish public authority is required to report a matter to [F37 a relevant body], that authority must not charge that body in relation to the provision to that body of a spatial data set or spatial data service in satisfaction of that requirement.
 - (7) In this regulation "relevant body" means—
 - (a) a public authority in the United Kingdom (other than a Scottish public authority),

 - ^{F39}(c)
 - (d) a body established by an international agreement to which the $[^{F40}$ United Kingdom is a party].

- **F35** Words in reg. 13(6) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 9(2)(a); 2020 c. 1, Sch. 5 para. 1(1)
- Word in reg. 13(6) substituted (1.1.2024) by The Retained EU Law (Revocation and Reform) Act 2023 (Consequential Amendments) (Scotland) Regulations 2023 (S.S.I. 2023/374), reg. 1(1), sch. 2 para. 16
- **F37** Words in reg. 13(6) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 9(2)(b); 2020 c. 1, Sch. 5 para. 1(1)
- F38 Reg. 13(7)(b) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 9(3)(a); 2020 c. 1, Sch. 5 para. 1(1)
- F39 Reg. 13(7)(c) omitted (31.12.2020) by virtue of The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), 9(3)(a); 2020 c. 1, Sch. 5 para. 1(1)
- **F40** Words in reg. 13(7)(d) substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **9(3)(b)**; 2020 c. 1, Sch. 5 para. 1(1)

Internal complaints procedure

- **14.**—(1) A Scottish public authority or a third party must establish an internal complaints procedure for dealing with a complaint relating to the performance of its functions under these Regulations.
- (2) A complaint relating to the performance by a Scottish public authority or a third party of its functions under these Regulations—
 - (a) must be pursued according to the complaints procedure established by that authority or third party under paragraph (1); and
 - (b) must be made in writing.
- (3) A Scottish public authority or a third party must determine a complaint within a reasonable time, and must notify the complainant of its determination without delay.
 - (4) Notification under paragraph (3) must be in writing and give reasons for the determination.

[F41Coordination, monitoring and reporting

15.—(1) The Scottish Ministers must, for the purposes of ensuring compliance with these Regulations, ensure that appropriate structures and mechanisms are put in place for coordinating, across the different levels of government, the contributions of all persons with an interest in infrastructures for spatial information.

- (2) In performing the function described in paragraph (1), the Scottish Ministers must coordinate the contributions of, in particular, users, producers, added-value service providers and coordinating bodies, concerning the identification of relevant data sets, user needs, the provision of information on existing practices and the provision of feedback on the implementation of these Regulations and the Implementing Rules.
 - (3) The Scottish Ministers must—
 - (a) ensure that Scottish public authorities and third parties comply with these Regulations and the Implementing Rules,
 - (b) monitor the implementation and use of the infrastructure for spatial information for the purposes of these Regulations, and make the findings available to the public in accordance with Commission Implementing Decision (EU) 2019/1372 ("Commission Implementing Decision (EU) 2019/1372") implementing Directive 2007/2/EC of the European Parliament and of the Council as regards monitoring and reporting, and
 - (c) issue guidance to Scottish public authorities and third parties which are responsible for spatial data sets or spatial data services about the implementation of these Regulations.
- (4) Guidance issued under paragraph (3)(c) must include provision relating to the internal complaints procedure which Scottish public authorities and third parties are required to establish under regulation 14.
- (5) In performing their functions under these Regulations, Scottish public authorities and third parties must have regard to guidance issued under paragraph (3)(c).
- (6) Scottish public authorities and third parties must provide such information to the Scottish Ministers as the Scottish Ministers may require in order to perform the functions described in paragraphs (1) to (3).
 - (7) In this regulation—
 - (a) "Implementing Rules" means the requirements of the Metadata Regulation, Commission Regulation (EC) No 976/2009 implementing Directive 2007/2/EC of the European Parliament and of the Council as regards the Network Services, Commission Regulation (EU) 1089/2010 and Commission Implementing Decision (EU) 2019/1372,
 - (b) "infrastructure for spatial information" means metadata, spatial data sets and spatial data services; network services and technologies; agreements on sharing, access and use; and coordination and monitoring mechanisms, processes and procedures, established, operated or made available in accordance with, and for the purposes of, these Regulations and the Implementing Rules.]

F41 Reg. 15 substituted (31.12.2020) by The INSPIRE (EU Exit) (Scotland) (Amendment) Regulations 2019 (S.S.I. 2019/103), regs. 1(2)(b), **10** (as amended by S.I. 2020/1091, regs. 1(2), **4(2)**); 2020 c. 1, **Sch. 5 para. 1(1)**

St Andrew's House Edinburgh

JOHN SWINNEY
A member of the Scottish Executive

SCHEDULE

Regulation 12(1)

MODIFICATIONS OF PROVISIONS OF THE FREEDOM OF INFORMATION (SCOTLAND) ACT 2002 SPECIFIED IN REGULATION 12(2)

- 1.—(1) In the provisions specified in regulation 12(2), after each reference to "Scottish public authority" or "authority" insert " or third party ".
 - (2) In those provisions as modified by this Schedule—
 - (a) any reference to "Scottish public authority" or "authority" is a reference to a Scottish public authority as defined in these Regulations;
 - (b) any reference to "third party" is a reference to a third party as defined in these Regulations; and
 - (c) "the 2009 Regulations" means these Regulations.
 - **2.** In section 47—
 - (a) for subsection (1), substitute—
 - "(1) A person may make application to the Commissioner for a decision whether, in any respect specified in the application, a Scottish public authority or third party has acted or is acting in a way which is not compatible with regulation 8(4)(c) or 10 of the 2009 Regulations.";
 - (b) omit paragraph (c) of subsection (2); and
 - (c) omit subsections (4) to (7).
 - **3.** In section 48—
 - (a) for "a request for review made to" substitute "an act of"; and
 - (b) for "information requested is held", substitute "act was carried out".
 - **4.** In section 49—
 - (a) at the end of subsection (1), insert—
 - "; or
 - (c) the applicant has not exhausted the complaints procedure provided by the Scottish public authority or third party under regulation 14 of the 2009 Regulations";
 - (b) in subsection (6)—
 - (i) for the words from "that authority" to "Act", substitute "a Scottish public authority or third party has acted or is acting in a way which is not compatible with regulation 8(4)(c) or 10 of the 2009 Regulations"; and
 - (ii) for paragraphs (a) and (b), substitute—
 - "(a) the steps which must be taken by the Scottish public authority or third party for rectifying the incompatibility;" and
 - (c) subsection (9) is omitted.
 - **5.** In section 50(1)—
 - (a) for sub-paragraphs (i) and (ii) of paragraph (b), substitute "for the purpose of determining whether a Scottish public authority or a third party has acted or is acting in a way which is not compatible with regulation 8(4)(c) or 10 of the 2009 Regulations" and
 - (b) for "to compliance with this Act or to conformity with the code of practice", substitute " or to the purpose specified in paragraph (b), ".
 - **6.** In section 51—

- (a) in subsection (1), for "failed to comply with a provision of Part 1 of this Act" substitute " acted in a way which is not compatible with regulation 8(4)(c) or 10 of the 2009 Regulations";
- (b) in subsection (2)(a), for the words after "provision" substitute " of the 2009 Regulations with which the Commissioner is satisfied that the actions of the authority or third party are not compatible and the reasons for reaching that conclusion"; and
- (c) omit subsection (5).
- 7. In section 53(1)(a), for "(6)(b)" substitute " (6)(a)".
- **8.** In section 55(1), for the words after "in respect of" substitute "action which is not compatible with regulation 8(4)(c) or 10 of the 2009 Regulations".
 - 9. In Schedule 3—
 - (a) for paragraph 1(1), substitute—
 - "(1) If a sheriff is satisfied by evidence on oath supplied by the Commissioner that there are reasonable grounds for suspecting that a Scottish public authority or a third party—
 - (a) has acted or is acting in a way which is not compatible with regulation 8(4)(c) or 10 of the 2009 Regulations;
 - (b) has failed or is failing to comply with so much of a decision notice as requires steps to be taken; or
 - (c) has failed or is failing to comply with an information notice or an enforcement notice,

and that evidence of such actions or such a failure to comply is to be found on any premises specified as part of that evidence, the sheriff, subject to paragraph 2, may grant to the Commissioner such warrant as is mentioned in sub-paragraph (2)."; and

(b) in paragraph 7, for "which is exempt information by virtue of section 31(1)" substitute "to which public access may be limited under regulation 10(5)(a) of the 2009 Regulations on the ground that such access would adversely affect national security".

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations implement Directive 2007/2/EC (O.J. L 108, 25.4.2007, p. 1) ("the Directive") which concerns the creation and operation of national and Community infrastructures relating to spatial information for the purposes of Community environmental policies and other policies or activities which may have an impact on the environment.

Certain provisions of the Directive are dependent on the adoption by the European Commission of further implementing rules, and where those rules have not yet been adopted, those provisions are not implemented in these Regulations.

These Regulations apply in relation to Scottish public authorities and certain third parties. Regulation 2 contains definitions, including a definition of "spatial data set" which refers to the spatial data themes listed in Annex I, II or III to the Directive.

Regulation 3 defines "Scottish public authority" and regulation 4 defines "third party".

Regulation 5 provides for the scope of application of the Regulations to spatial data sets and spatial data services.

Regulation 7 requires authorities to create and update metadata relating to their spatial data sets and services, by dates specified in that regulation.

Regulation 8 provides that authorities must establish and operate network services, including discovery and view services, in relation to their spatial data sets and services, and regulation 9 provides for the linking of such services to a network.

Regulation 10 permits certain limitations on the right of public access to spatial data sets and services through the services described in regulation 8, and regulation 11 relates to charging for the provision of those services.

Regulation 12 and the Schedule make provision in connection with applications to the Scottish Information Commissioner concerning public access under regulation 10.

Regulation 13 relates to data-sharing among Scottish public authorities for the purposes of public tasks relating to the environment, and to sharing between public authorities and public bodies in the rest of the UK or in other member States, or EU or international bodies.

Regulation 14 requires authorities to establish an internal complaints procedure for dealing with complaints relating to the performance of their functions under these Regulations.

Regulation 15 gives the Scottish Ministers certain enforcement and monitoring functions. They are required to issue guidance to authorities and third parties regarding their implementation of the Directive.

Changes to legislation:
There are currently no known outstanding effects for the The INSPIRE (Scotland) Regulations 2009.