STATUTORY RULES OF NORTHERN IRELAND

2017 No. 190

The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017

Citation

1. This Order may be cited as the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017.

Commencement Information

I1 Art. 1 in operation at made date

Interpretation

2.—(1) In this Order—

"the 1992 Act" means the Social Security Administration (Northern Ireland) Act 1992(1);

"the 1995 Order" means the Jobseekers (Northern Ireland) Order 1995(2);

"the 1998 Order" means the Social Security (Northern Ireland) Order 1998(3);

"the 2007 Act" means the Welfare Reform Act (Northern Ireland) 2007(4);

"the 2010 Transitional Regulations" means the Employment and Support Allowance (Transitional Provision and Housing Benefit) (Existing Awards) Regulations (Northern Ireland) 2010(5);

"the 2015 Order" means the Welfare Reform (Northern Ireland) Order 2015;

"the 2016 Transitional Regulations" means the Universal Credit (Transitional Provisions) Regulations (Northern Ireland) 2016(6);

"the amending provisions" means the provisions referred to in Article 6(1)(a) to (c);

"Appeal Tribunal" has the same meaning as in Article 39 of the 1998 Order;

"appointed day" means the day appointed for the coming into force of the amending provisions in accordance with Article 6(3);

"claimant"-

(a) in relation to an employment and support allowance, has the same meaning as in Part 1 of the 2007 Act, save as mentioned in Article 7(1) of this Order;

^{(1) 1992} c. 8.

⁽²⁾ S.I. 1995/2705 (N.I. 15).

⁽³⁾ S.I. 1998/1506 (N.I. 10).

^{(4) 2007} c. 2 (N.I.).
(5) S.R. 2010 No. 312.

⁽⁶⁾ S.R. 2010 No. 312.
(6) S.R. 2016 No. 226.

- (b) in relation to a jobseeker's allowance, has the same meaning as in the 1995 Order (as it applies apart from the amendments made by Part 1 of Schedule 12 to the 2015 Order that remove references to an income-based jobseeker's allowance), save as mentioned in Article 7(1) of this Order;
- (c) in relation to universal credit, has the same meaning as in Part 2 of the 2015 Order(7);

"the Claims and Payments Regulations 1987" means the Social Security (Claims and Payments) Regulations (Northern Ireland) 1987(8);

"the Claims and Payments Regulations 2016" means the Universal Credit, Personal Independence Payment, Jobseeker's Allowance and Employment and Support Allowance (Claims and Payments) Regulations (Northern Ireland) 2016(9);

"Commissioner" means the Chief Social Security Commissioner or any other Social Security Commissioner and includes a tribunal of 2 or more of the Commissioners constituted under Article 16(7) of the 1998 Order;

"contribution-based jobseeker's allowance" means a contribution-based allowance under the 1995 Order as it has effect apart from the amendments made by Part 1 of Schedule 12 to the 2015 Order that remove references to an income-based allowance;

"contributory employment and support allowance" means a contributory allowance under Part 1 of the 2007 Act as it has effect apart from the amendments made by Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance;

"conversion decision" has the meaning given by the 2010 Transitional Regulations;

"the Department" means the Department for Communities;

"employment and support allowance" means an employment and support allowance under Part 1 of the 2007 Act;

"the ESA Regulations 2008" means the Employment and Support Allowance Regulations (Northern Ireland) 2008(10);

"the ESA Regulations 2016" means the Employment and Support Allowance Regulations (Northern Ireland) 2016(11);

"housing benefit" means housing benefit under section 129 of the Social Security Contributions and Benefits (Northern Ireland) Act 1992(12);

"income-based jobseeker's allowance" means an income-based jobseeker's allowance under the 1995 Order;

"income-related employment and support allowance" means an income-related allowance under Part 1 of the 2007 Act;

"income support" means income support under section 123 of the Social Security Contributions and Benefits (Northern Ireland) Act 1992;

"List of the No. 1 Relevant Districts" means the List of the No. 1 Relevant Districts issued by the Department on 25th September 2017(**13**);

"jobseeker's allowance" means a jobseeker's allowance under the 1995 Order;

⁽⁷⁾ See Article 46.

⁽⁸⁾ S.R. 1987 No. 465.

⁽⁹⁾ S.R. 2016 No. 220.

⁽¹⁰⁾ S.R. 2008 No. 280.

⁽¹¹⁾ S.R. 2016 No. 219.

^{(12) 1992} c. 7.

⁽¹³⁾ See the Explanatory Note for details of the internet publishing and availability of the List of the No 1 Relevant Districts; a copy is also being published in the libraries of both Houses of Parliament.

"joint claimants", in relation to universal credit, has the same meaning as in Part 2 of the 2015 Order(14);

"the JSA Regulations 1996" means the Jobseeker's Allowance Regulations (Northern Ireland) 1996(**15**);

"the JSA Regulations 2016" means the Jobseeker's Allowance Regulations (Northern Ireland) 2016(**16**);

"new style ESA" means an employment and support allowance under Part 1 of the 2007 Act as amended by the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance and "new style ESA award" shall be construed accordingly;

"new style JSA" means a jobseeker's allowance under the 1995 Order as amended by the provisions of Part 1 of Schedule 12 to the 2015 Order that remove references to an incomebased allowance and "new style JSA award" shall be construed accordingly;

"No. 1 relevant districts" means the postcodes specified in the table in the List of the No. 1 Relevant Districts;

"old style ESA" means an employment and support allowance under Part 1 of the 2007 Act as it has effect apart from the amendments made by Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance and "old style ESA award" shall be construed accordingly;

"old style JSA" means a jobseeker's allowance under the 1995 Order as it has effect apart from the amendments made by Part 1 of Schedule 12 to the 2015 Order that remove references to an income-based jobseeker's allowance and "old style JSA award" shall be construed accordingly;

"single claimant", in relation to universal credit, has the same meaning as in Part 2 of the 2015 Order;

"tax credit" (including "child tax credit" and "working tax credit") has the same meaning as in the Tax Credits Act 2002(17).

(2) For the purposes of this Order, the Claims and Payments Regulations 2016 apply for the purposes of deciding—

- (a) whether a claim for universal credit is made; and
- (b) the date on which such a claim is made.

Commencement Information

I2 Art. 2 in operation at made date

Provisions coming into force on 27th September 2017

3.—(1) The day appointed for the coming into force of the provisions of the 2015 Order referred to in paragraph (2) is 27th September 2017.

- (2) The provisions referred to are—
 - (a) Article 50(2) and Article 50(1) in so far as it relates to Article 50(2) (claimant commitment for jobseeker's allowance);

⁽¹⁴⁾ See Article 46.

⁽¹⁵⁾ S.R. 1996 No. 198.

⁽¹⁶⁾ S.R. 2016 No. 218.

^{(17) 2002} c. 21.

- (b) Article 51 (interviews) for all remaining purposes;
- (c) Article 52 (sanctions) for all remaining purposes;
- (d) Article 61 (work experience);
- (e) Article 109 (recovery of benefit payments) for all remaining purposes;
- (f) Article 134 (rate relief schemes);
- (g) paragraph 1 of Schedule 7 (jobseeker's allowance in interim period: consequential amendments), in so far as it relates to the provisions specified in sub-paragraph (h);
- (h) paragraphs 2, 3, 4, 6 to 9, 10(4) (and 10(1) in so far as it relates to 10(4)), 12 and 15(2)(c) (and 15(1) in so far as it relates to 15(2)(c)) of Schedule 7;
- (i) paragraph 3 of Schedule 8 (social fund discretionary payments: consequential amendments) for all remaining purposes;
- (j) Part 3 of Schedule 12 (repeals) save for the provisions in relation to Articles 2(2) and 22(4) and (6) of the 1995 Order; and
- (k) in relation to Part 10 of Schedule 12, the repeals of-

(i) section 69(10A); and

(ii) subject to paragraph (3), section 69(11)(aa) and (ac).

(3) Despite paragraph (2)(k)(ii), the benefits referred to in section 69(11)(aa) and (ac) of the 1992 Act remain benefits to which section 69 of the 1992 Act applies to the extent that they relate respectively to an old style JSA award and an old style ESA award.

Commencement Information

I3 Art. 3 in operation at made date

Day appointed for the coming into force of the universal credit provisions in Part 2 of the 2015 Order

4.—(1) The day appointed for the coming into force of the provisions of the 2015 Order referred to in paragraph (2) is 27th September 2017.

- (2) The provisions referred to are—
 - (a) Article 34 (concurrent exercise of certain functions by Department of Education and Learning);
 - (b) Article 35 (delegation and contracting out);
 - (c) Article 43 (capability for work or work-related activity) for all remaining purposes;
 - (d) Article 44 (information);
 - (e) Article 45 (couples) for all remaining purposes;
 - (f) Article 46 (interpretation of Part 2) for all remaining purposes;
 - (g) the following paragraphs of Schedule 2 to the 2015 Order (universal credit : amendments) and Article 37 of the 2015 Order (supplementary and consequential amendments) in so far as they are not already in force—
 - (i) paragraphs 1, 2, 28, 29, 31 to 33, 43, 44 and 54;
 - (ii) paragraphs 4, 8, 10 to 19, 21 and 23 to 27 and paragraph 3 in so far as it relates to those paragraphs; and

(iii) paragraphs 35, 36, 38, 40, 41(2) and 41(1) in so far as it relates to 41(2) and paragraph 34 in so far as it relates to those paragraphs and sub-paragraphs.

(3) The day appointed for the coming into force of the provisions of the 2015 Order listed in Schedule 1, in so far as they are not already in force, in relation to the case of a claim referred to in paragraph (4), and any award that is made in respect of the claim, is the day appointed in accordance with paragraph (5).

(4) The claims referred to are—

- (a) a claim for universal credit that is made on or after 27th September 2017 in respect of a period that begins on or after 27th September 2017 where, on the date on which the claim is made, the claimant resides in the No. 1 relevant districts;
- (b) a claim for universal credit that is made on or after 27th September 2017 in respect of a period that begins on or after 27th September 2017 where—
 - (i) in the case of a single claimant, the claimant gives incorrect information regarding the claimant residing in the No. 1 relevant districts and the claimant does not reside in those districts on the date on which the claim is made;
 - (ii) in the case of joint claimants, either or both of the joint claimants gives or give incorrect information regarding his or her (or their) residing in those districts and one or both of them does not or do not reside in those districts on the date on which the claim is made,

and after a decision is made that the single claimant is, or the joint claimants are, entitled to universal credit and one or more payments have been made in respect of the single claimant or the joint claimants, the Department discovers that incorrect information has been given regarding such residence.

(5) The day appointed in relation to the case of a claim referred to in paragraph (4), and any award that is made in respect of the claim, is the first day of the period in respect of which the claim is made.

(6) For the purposes of paragraph (5), where the time for making a claim for universal credit is extended under regulation 25(2) of the Claims and Payments Regulations 2016, the reference to the first day of the period in respect of which the claim is made or treated as made is a reference to the first day of the period in respect of which the claim is, by reason of the operation of that provision, timeously made or treated as made.

Modifications etc. (not altering text)

- C1 Art. 4(6) applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 3(4)
- C2 Art. 4(6) applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 3(4)
- C3 Art. 4(6) applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 3(4)
- C4 Art. 4(6) applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 3(4)
- C5 Art. 4(6) applied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(4)

Commencement Information

14

Art. 4 in operation at made date

Incorrect information regarding residence in the No. 1 relevant districts

5.—(1) This Article applies where a claim for universal credit is made and it is subsequently discovered that the single claimant or either or both of two joint claimants gave incorrect information regarding his or her (or their) residing in the No. 1 relevant districts and the condition referred to in paragraph (2) is met.

(2) The condition referred to is that, on the date on which the claim was made, the claimant did not reside in the No. 1 relevant districts (unless paragraph (3) applies);

(3) This paragraph applies where the claimant resided in an area apart from the No. 1 relevant districts with respect to which the provisions of the 2015 Order referred to in Schedule 1 were in force in relation to a claim for universal credit (and a determination had not been made under regulation 2A of the 2016 Transitional Regulations(18), preventing a claim for universal credit being made with respect to the area or category of case in question).

- (4) Where the discovery is made before the claim for universal credit has been decided—
 - (a) the claimant is to be informed that the claimant is not entitled to claim universal credit;
 - (b) if the claimant (or, in the case of joint claimants, either of them) makes a claim for old style ESA, old style JSA or income support ("the specified benefit") and the date on which that claim is made (as determined in accordance with the Claims and Payments Regulations 1987) is after the date on which the claim for universal credit was made, but no later than one month after the date on which the information required by sub-paragraph (a) was given—
 - (i) the claim for the specified benefit is to be treated as made on the date on which the claim for universal credit was made or the first date on which the claimant would have been entitled to the specified benefit if a claim had been made for it on that date, if later; and
 - (ii) any provision of the Claims and Payments Regulations 1987 under which the claim for the specified benefit is treated as made on a later date does not apply;
 - (c) if the claimant (or, in the case of joint claimants, either of them) makes a claim for housing benefit and the date of that claim (as determined in accordance with the Housing Benefit Regulations (Northern Ireland) 2006(19) or, as the case may be, the Housing Benefit (Persons who have attained the qualifying age for state pension credit) Regulations (Northern Ireland) 2006(20) (together referred to as "the Housing Benefit Regulations")) is after the date on which the claim for universal credit was made, but no later than one month after the date on which the information required by sub-paragraph (a) was given—
 - (i) the claim for housing benefit is to be treated as made on the date on which the claim for universal credit was made or the first date on which the claimant would have been entitled to housing benefit if a claim been made for it on that date, if later; and
 - (ii) any provision of the Housing Benefit Regulations under which the claim for housing benefit is treated as made on a later date does not apply;
 - (d) if the claimant (or, in the case of joint claimants, either of them) makes a claim for a tax credit and that claim is received by a relevant authority at an appropriate office (within the meaning of the Tax Credits (Claims and Notifications) Regulations 2002 ("the 2002 Regulations")(21)) during the period of one month beginning with the date on which the information required by sub-paragraph (a) was given—

⁽¹⁸⁾ Regulation 2A was inserted by regulation 12(2) of S.R. 2017 No. 116.

⁽¹⁹⁾ S.R. 2006 No. 405.

⁽²⁰⁾ S.R. 2006 No. 406.

⁽²¹⁾ S.I. 2002/2014.

- (i) the claim for a tax credit is to be treated as having been received by a relevant authority at an appropriate office on the date on which the claim for universal credit was made or the first date on which the claimant would have been entitled to a tax credit if a claim had been so received on that date, if later; and
- (ii) any provision of the 2002 Regulations under which the claim is treated as having been made on a later date does not apply.

(5) Where the discovery is made after a decision has been made that the claimant is entitled to universal credit, but before any payment has been made—

- (a) that decision is to cease to have effect immediately, by virtue of this Article;
- (b) the claimant is to be informed that they are not entitled to claim universal credit; and
- (c) sub-paragraphs (b) to (d) of paragraph (4) apply.

(6) Where the discovery is made after a decision has been made that the claimant is entitled to universal credit and one or more payments have been made in respect of the claimant, the decision is to be treated as a decision under Article 9 of the 1998 Order.

(7) For the purposes of paragraph (4), a person makes a claim for old style ESA or old style JSA where he or she makes a claim for an employment and support allowance or a jobseeker's allowance and the claim is subject to Part 1 of the 2007 Act or the 1995 Order respectively as those provisions have effect apart from the amendments made by the amending provisions.

Modifications etc. (not altering text)

- C6 Art. 5 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 3(5)
- C7 Art. 5 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 3(5)
- C8 Art. 5 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 3(5)
- C9 Art. 5 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 3(5)

Commencement Information

I5 Art. 5 in operation at made date

Day appointed for the abolition of income-related employment and support allowance and income-based jobseeker's allowance

6.—(1) The day appointed for the coming into force of—

- (a) Article 39(1)(a) and (b) and (2) of the 2015 Order (abolition of benefits);
- (b) paragraphs 17 to 21 of Schedule 3 to the 2015 Order (abolition of benefits: consequential provisions) and Article 39(3) of the 2015 Order in so far as it relates to those paragraphs: and
- (c) the repeals in Part 1 of Schedule 12 to the Order (abolition of benefits superseded by universal credit) that are referred to in Schedule 2,

in relation to the case of a claim referred to in paragraph (2) and any award that is made in respect of such a claim, is the day appointed in accordance with paragraph (3).

(2) The claims referred to are—

- (a) a claim for universal credit that is made on or after 27th September 2017 in respect of a period that begins on or after 27th September 2017 where, on the date on which the claim is made, the claimant resides in the No. 1 relevant districts;
- (b) a claim for universal credit that is made on or after 27th September 2017 in respect of a period that begins on or after 27th September 2017 where—
 - (i) in the case of a single claimant, the claimant gives incorrect information regarding the claimant residing in the No. 1 relevant districts and the claimant does not reside in those districts on the date on which the claim is made;
 - (ii) in the case of joint claimants, either or both of the joint claimants gives or give incorrect information regarding his or her (or their) residing in those districts and one or both of them does not or do not reside in those districts on the date on which the claim is made;

and after a decision is made that the single claimant is, or the joint claimants are, entitled to universal credit and one or more payments have been made in respect of the single claimant or the joint claimants, the Department discovers that incorrect information has been given regarding such residence;

- (c) a claim for an employment and support allowance or a jobseeker's allowance that is made or treated as made on or after 27th September 2017 where, on the date on which the claim is made or treated as made, the claimant resides in the No. 1 relevant districts;
- (d) a claim for an employment and support allowance or a jobseeker's allowance other than one referred to in sub-paragraph (c) that is made or treated as made during the relevant period by a single claimant of universal credit or by either of two joint claimants of universal credit who has or have made a claim for universal credit within sub-paragraph (a) or (b).

(3) The day appointed in relation to the case of a claim referred to in paragraph (2), and any award that is made in respect of the claim, is the first day of the period in respect of which the claim is made.

(4) In paragraph (1), the reference to the case of a claim for universal credit referred to in paragraph (2)(a) or (b) (and any award made in respect of the claim), includes a reference to—

- (a) a case where a notice under regulation 4 of the 2010 Transitional Regulations (the notice commencing the conversion phase in relation to an award of incapacity benefit or severe disablement allowance) is issued to a single claimant or in the case of joint claimants, either of those claimants, during the designated period;
- (b) where sub-paragraph (a) does not apply, a case where a conversion decision is made during that period in relation to an award of incapacity benefit or severe disablement allowance to which a single claimant or in the case of joint claimants, either of those claimants, is entitled; and
- (c) where sub-paragraphs (a) and (b) do not apply, a case where the effective date of a conversion decision in relation to such an award occurs during that period (where "effective date" has the same meaning as in the 2010 Transitional Regulations),

and any award of an employment and support allowance that is made consequent on a conversion decision that relates to the notice referred to in sub-paragraph (a), the conversion decision referred to in sub-paragraph (b) or the conversion decision referred to in sub-paragraph (c), as the case may be.

- (5) For the purposes of paragraph (4), the designated period means—
 - (a) in relation to a claim for universal credit referred to in paragraph (2)(a) or (b), any period when a decision has not yet been made on the claim; and
 - (b) any period, subsequent to the period referred to in sub-paragraph (a) or (b), when the single claimant or joint claimants is or are entitled to an award of universal credit in respect of the claim.

(6) For the purposes of paragraph (1), the reference to the case of a claim for universal credit referred to in paragraph (2)(a) or (b) (and any award made in respect of the claim), includes a reference to an old style ESA award or an old style JSA award that exists (or, as a result of an act as referred to in paragraph (7), is later found to have existed at that time) immediately before the day appointed (as referred to in paragraph (3)) in relation to that claim.

(7) For the purposes of paragraph (6), the acts referred to are—

- (a) the revision of a decision that the claimant was not entitled to an old style ESA or old style JSA; or
- (b) an appeal to the Appeal Tribunal, a Commissioner or a court against such a decision.

Modifications etc. (not altering text)

- C10 Art. 6 applied in part (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(8)
- C11 Art. 6(4)-(7) applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 4(6)
- C12 Art. 6(4)-(7) applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 4(6)
- C13 Art. 6(4)-(7) applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 4(6)
- C14 Art. 6(4)-(7) applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 4(6)

Commencement Information

I6 Art. 6 in operation at made date

Provisions that apply in connection with the abolition of income-related employment and support allowance and income-based jobseeker's allowance under Article 6

7.—(1) For the purposes of Article 6(2)(c), where a claim for an employment and support allowance or a jobseeker's allowance is made by a couple or a member of a couple, any reference in that paragraph to "the claimant" is a reference to each member of the couple.

(2) For the purposes of paragraph (1), "couple" has the same meaning as it has in Article 45 of the 2015 Order.

(3) For the purposes of Article 6(2)(d), "relevant period" means, in relation to a claim for universal credit within Article 6(2)(a) or (b), any UC claim period, and any period subsequent to any UC claim period in respect of which the claimant is entitled to an award of universal credit in respect of the claim.

- (4) For the purposes of paragraph (3), a "UC claim period" is a period when-
 - (a) a claim for universal credit within Article 6(2)(a), or within Article 6(2)(b)(i) or (ii), has been made but a decision has not yet been made on the claim; or
 - (b) a decision has been made that the claimant is not entitled to universal credit and—
 - (i) the Department is considering whether to revise that decision under Article 10 of the 1998 Order, whether on an application made for that purpose, or on the Department's own initiative; or

(ii) the claimant has appealed against that decision to the Appeal Tribunal and that appeal or any subsequent appeal to the Commissioner or to a court has not been finally determined.

(5) For the purposes of Article 6(2)(c) and (d), the Claims and Payments Regulations 1987 apply, subject to paragraph (6) and (7), for the purposes of deciding—

- (a) whether a claim for an employment and support allowance or a jobseeker's allowance is made; and
- (b) the date on which the claim is made or treated as made.
- (6) Subject to paragraph (7),-
 - (a) a person makes a claim for an employment and support allowance or a jobseeker's allowance if they take any action which results in a decision on a claim being required under the Claims and Payments Regulations 1987; and
 - (b) except as provided in paragraph (5), it is irrelevant that the effect of any provision of the Claims and Payments Regulations 1987 is that, for the purposes of those Regulations, the claim is not made or treated as made during the relevant period.
- (7) Where, by virtue of—
 - (a) regulation 6(1D)(b) or (c), in the case of a claim for an employment and support allowance(22); or
 - (b) regulation 6(4ZA) to (4ZD) and (4A)(a)(i) and (b), in the case of a claim for a jobseeker's allowance(23),

a claim for an employment and support allowance or a jobseeker's allowance is treated as made at a date earlier than the date on which the action referred to in paragraph (6)(a) is taken, the claim is treated as made on that earlier date.

(8) For the purposes of Article 6(3)—

- (a) in the case of a claim for universal credit, where the time for making a claim is extended under regulation 25(2) of the Claims and Payments Regulations 2016 (time within which a claim for universal credit is to be made), the reference to the first day of the period in respect of which the claim is made is a reference to the first day of the period in respect of which the claim is, by reason of the operation of that provision, timeously made;
- (b) in the case of a claim for an employment and support allowance or a jobseeker's allowance, where the time for making a claim is extended under regulation 19 of, and Schedule 4 to, the Claims and Payments Regulations 1987 (time for claiming benefit)(24), the reference to the first day of the period in respect of which the claim is made is a reference to the first day of the period in respect of which the claim is, by reason of the operation of those provisions, timeously made.

⁽²²⁾ Regulation 6(1D) was inserted by regulation 13(6) of S.R. 2008 No. 286 and substituted by regulation 2(6)(c) of S.R. 2009 No. 240.

⁽²³⁾ Regulation 6(4ZA-4ZD) was inserted by regulation 2(5)(c) of S.R. 2000 No. 365 and amended by regulation 2(6)(d) of S.R. 2009 No. 240. Regulation 6(4A) was inserted by regulation 2(5)(c) of S.R. 1996 No. 354 and substituted by regulation 3(4) (d) of S.R. 1997 No. 156 and amended by regulation 2(4)(b)(i) and (ii) of S.R. 2000 No. 365, paragraph 2(4) of Schedule 2 to S.R. 2001 No. 175, regulation 2(6)(e) of S.R. 2009 No. 240.

⁽²⁴⁾ Regulation 19 was substituted by regulation 3(7) of S.R. 1997 No. 156 and amended by regulation 2(3) of S.R. 1997 No. 417, regulation 2(5) of S.R. 2000 No. 365, paragraph 2(7) of Schedule 2 to S.R. 2001 No. 175, regulation 2(3) of S.R. 2002 No. 67, paragraph 2 of the Schedule to S.R. 2002 No. 323, regulation 2(2)(b) of S.R. 2002 No. 327, paragraph 1(3) of Schedule 2 to S.R. 2003 No. 274, regulation 2(2) of S.R. 2004 No. 304, regulation 2(3) of S.R. 2005 No. 122, regulation 2(2)(b) and (c) of S.R. 2005 No. 139, regulation 6(3) of S.R. 2005 No. 539, regulation 2(4) of S.R. 2007 No. 392 and regulation 2(2) of S.R. 2008 No. 410. Schedule 4 was amended by regulation 9 of S.R. 1988 No. 14, regulation 6(6) and 8 of, and Schedule 3 to, S.R. 1991 No. 488, regulation 26 of S.R.1992 No. 7, regulation 3(3) of S.R. 1994 No. 456, regulation 2(20) of S.R. 2005 No. 122, regulation 2(20) of S.R. 1996 No. 354, regulation 2(6) of S.R. 2005 No. 432, regulation 3(8) of S.R. 1997 No. 156, regulation 2(5) of S.R. 2005 No. 122, regulation 2(10) of S.R. 2005 No. 432, regulation 13(17) of S.R. 2008 No. 286, regulation 2(3) of S.R. 2008 No. 410, regulation 3 of S.R. 2005 No. 299, regulation 13(17) of S.R. 2008 No. 286, regulation 2(3) of S.R. 2008 No. 410, regulation 3 of S.R. 2010 No. 378, regulation 4 of S.R. 2011 No. 130 and regulation 9(18) of S.R. 2015 No. 411.

Modifications etc. (not altering text)

- C15 Art. 7(1)(2) applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 4(7)
- C16 Art. 7(1)(2) applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 4(7)
- C17 Art. 7(1)(2) applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 4(7)
- **C18** Art. 7(1)(2) applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), **art. 4(7)**
- C19 Art. 7(8) applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 4(8)
- C20 Art. 7(8) applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 4(8)
- C21 Art. 7(8) applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 4(8)
- C22 Art. 7(8) applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 4(8)
- C23 Art. 7(8) applied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(9)

Commencement Information

I7 Art. 7 in operation at made date

Transitional provision where the Department determines that claims for universal credit may not be made: effect on claims for employment and support allowance and jobseeker's allowance

- F1 Art. 8 revoked (1.8.2022) by The Universal Credit (Transitional Provisions) (Amendment) Regulations (Northern Ireland) 2022 (S.R. 2022/194), regs. 1(1), 13, Sch. para. 2(2)

Day appointed for commencement of provisions relating to claimant responsibilities with respect to employment and support allowance and jobseeker's allowance, and transitional provisions

- **9.**—(1) The day appointed for the coming into force of—
 - (a) Article 50(3) of the 2015 Order and Article 50(1) of the 2015 Order in so far as it relates to Article 50(3) (claimant commitment for jobseeker's allowance);
 - (b) Article 55(2) to (5) of the 2015 Order and Article 55(1) of the 2015 Order in so far as it relates to those provisions (claimant responsibilities for jobseeker's allowance);

- (c) Article 60(2) of the 2015 Order and Article 60(1) of the 2015 Order in so far as it relates to that provision (claimant commitment for employment and support allowance);
- (d) Article 63(2), (4), (5) and (8) of the 2015 Order and Article 63(1) of the 2015 Order in so far as it relates to those provisions (claimant responsibilities for employment and support allowance);
- (e) the repeals in Part 4 of Schedule 12 to the 2015 Order (jobseeker's allowance: responsibilities after introduction of universal credit); and
- (f) the repeals in Part 5 of Schedule 12 to the 2015 Order (employment and support allowance: responsibilities after introduction of universal credit),

in so far as they are not already in force, is, in relation to a particular case, the day on which the amending provisions come into force, under any secondary legislation, in relation to that case.

(2) Where, under any secondary legislation, in relation to a new style JSA award, the 1995 Order applies as though the amending provisions had not come into force, then, with effect from the day on which the 1995 Order so applies, the 1995 Order, the 1992 Act and the 1998 Order are to apply in relation to the award as though the provisions referred to in paragraph (1)(a), (b) and (e) had not come into force.

(3) Where, under any secondary legislation, in relation to a new style ESA award, Part 1 of the 2007 Act applies as though the amending provisions had not come into force, then, with effect from the day on which Part 1 of the 2007 Act so applies, Part 1 of the 2007 Act and the Welfare Reform Act (Northern Ireland) 2010 are to apply in relation to the award as though the provisions referred to in paragraph (1)(c), (d) and (f) had not come into force.

(4) For the purposes of paragraphs (1) to (3), "secondary legislation" means an order or regulation made under an Act or Order in Council.

Commencement Information

I8 Art. 9 in operation at made date

Transitional provision: conversion of incapacity benefits

10. Where the amending provisions come into operation under Article 6(1) in relation to the case of a claim referred to in Article 6(2), the 2010 Transitional Regulations are to apply in relation to that case as if the modifications set out in Schedule 3 were made.

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

I9 Art. 10 in operation at made date

Transition from old style ESA

11.—(1) This article applies where a person—

- (a) makes, or is treated as making, a claim for an employment and support allowance and, under Article 6, Part 1 of the 2007 Act, as amended by the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance, applies in relation to the claim; or
- (b) (i) has an old style ESA award immediately before the appointed day in relation to a case of a claim for universal credit referred to in Article 6(2) (and any award made in respect of the claim); and
 - (ii) the old style ESA award consists of or includes a contributory employment and support allowance (which allowance therefore continues as a new style ESA award),

and, in the case of sub-paragraph (a), the condition referred to in paragraph (2) is satisfied.

- (2) The condition is that—
 - (a) the person previously made, or was treated as having made, a claim for an employment and support allowance and Part 1 of the 2007 Act, as it has effect apart from the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance, applied in relation to the claim; or
 - (b) a notice was issued to the person under regulation 4 of the 2010 Transitional Regulations and Part 1 of the 2007 Act, as that part has effect apart from the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance, applied in relation to the notice.
- (3) Where this article applies, the ESA Regulations 2016 are to be read as if—
 - (a) (i) in the definitions of "period of limited capability for work" in regulations 2 (interpretation) and 3 (further interpretation), the reference to a period throughout which a person has, or is treated as having, limited capability for work included a reference to a period throughout which the person in question had, or was treated as having, limited capability for work under the ESA Regulations 2008; and
 - (ii) the reference, in the definition in regulation 2, to regulation 27 of the Claims and Payments Regulations 2016 (time within which a claim for employment and support allowance is to be made) included a reference to regulation 19 of, and Schedule 4 to, the Claims and Payments Regulations 1987 (prescribed times for claiming benefit);
 - (b) in regulation 6 (the assessment phase previous claimants)-
 - (i) any reference to an employment and support allowance included a reference to an old style ESA award; and
 - (ii) in paragraph (3)(b)(v) and (c)(iii), the reference to regulation 26 (conditions for treating a claimant as having limited capability for work until a determination about limited capability for work has been made) included a reference to regulation 30 of the ESA Regulations 2008 (conditions for treating a claimant as having limited capability for work until a determination about limited capability for work has been made);
 - (c) in regulation 7 (circumstances where the condition that the assessment phase has ended before entitlement to the support component arises does not apply)—

- (i) any reference to an employment and support allowance included a reference to an old style ESA award; and
- (ii) in paragraph (3)(b)(iv), (c)(iii), (c)(iv) and (d)(iii), the reference to regulation 26 included a reference to regulation 30 of the ESA Regulations 2008;
- (d) in regulation 11 (condition relating to youth previous claimants), any reference to an employment and support allowance included a reference to an old style ESA award;
- (e) in regulation 15 (determination of limited capability for work)—
 - (i) the reference in paragraph (7)(a) to a claimant having been determined to have limited capability for work, included a reference to such a determination made under Part 5 of the ESA Regulations 2008; and
 - (ii) the reference in paragraph (7)(b) to a person being treated as having limited capability for work included a reference to a person being so treated under regulation 20 (certain claimants to be treated as having limited capability for work), 25 (hospital patients), 26 (claimants receiving certain regular treatment) or 29 (exceptional circumstances) of the ESA Regulations 2008;
- (f) in regulation 26 (conditions for treating a claimant as having limited capability for work until a determination about limited capability for work has been made)—
 - (i) in paragraph (2)(b), the reference to regulation 18 (failure to provide information in relation to limited capability for work) and 19 (claimant may be called for a medical examination to determine whether the claimant has limited capability for work) included a reference to regulation 22 (failure to provide information in relation to limited capability for work) and 23 (claimant may be called for a medical examination to determine whether the claimant has limited capability for work) of the ESA Regulations 2008; and
 - (ii) in paragraph (4)(c), the reference to regulation 18 included a reference to regulation 22 of the ESA Regulations 2008;
- (g) in regulation 30(4) (determination of limited capability for work-related activity), the reference to a determination about whether a claimant has, or is to be treated as having or not having, limited capability for work-related activity included such a determination that was made under Part 6 of the ESA Regulations 2008;
- (h) in regulation 39(6) (exempt work), the reference to an employment and support allowance included a reference to an old style ESA award;
- (i) in regulation 85(2)(a) (waiting days), where a claimant was entitled to an old style ESA award with effect from the first day of a period of limited capability for work by virtue of regulation 144(2)(a) of the ESA Regulations 2008 and, with effect from the second or third day of that period, that award continued as a new style ESA award in the circumstances referred to in paragraph (1)(b) of this Article, the reference to an employment and support allowance included a reference to the old style ESA award;
- (j) in regulation 87(1) (claimants appealing a decision), the reference to a determination that the claimant does not have limited capacity for work under the ESA Regulations 2016 included a reference to such a determination under the ESA Regulations 2008;
- (k) in regulation 89 (short absence), where-
 - (i) a claimant had an old style ESA award in the circumstances referred to in paragraph (1)(b) of this Article;
 - (ii) a temporary absence from Northern Ireland commenced when regulation 152 of the ESA Regulations 2008 applied to the claimant; and

(iii) the first 4 weeks of the temporary absence had not ended immediately before the first day of entitlement to the new style ESA award,

the initial words of regulation 89 included a reference to the claimant being entitled to the new style ESA award during the remainder of the first 4 weeks of the temporary absence that commenced when regulation 152 of the ESA Regulations 2008 applied to the claimant;

- (1) in regulation 90 (absence to receive medical treatment), where-
 - (i) a claimant had an old style ESA award in the circumstances referred to in paragraph (1)(b) of this Article;
 - (ii) a temporary absence from Northern Ireland commenced when regulation 153 of the ESA Regulations 2008 applied to the claimant; and
 - (iii) the first 26 weeks of the temporary absence had not ended immediately before the first day of entitlement to the new style ESA award,

the initial words of paragraph (1) of regulation 90 included a reference to the claimant being entitled to the new style ESA award during the remainder of the first 26 weeks of the temporary absence that commenced when regulation 153 of the ESA Regulations 2008 applied to the claimant;

- (m) in regulation 93 (disqualification for misconduct etc)-
 - (i) in paragraph (3), for "Paragraph (2) does" there were substituted "Paragraphs (2) and (5) do"; and
 - (ii) after paragraph (4) there were inserted—

"(5) Subject to paragraph (3), a claimant is to be disqualified from receiving an employment and support allowance for any period determined by the Department under regulation 157(2) of the Employment and Support Allowance Regulations 2008 less any days during that period on which those Regulations applied to the claimant.

(6) Where paragraph (5) applies to a claimant, paragraph (2) is not to apply to that claimant with respect to any matter referred to in paragraph (1) that formed the basis for the claimant's disqualification under regulation 157(2) of the Employment and Support Allowance 2008.";

- (n) in regulation 95 (treating a claimant as not having limited capability for work), the existing words became paragraph (1) and—
 - (i) at the beginning of paragraph (1), there were inserted "Subject to paragraph (2),"; and
 - (ii) after paragraph (1), there were inserted—
 - "(2) A claimant is to be treated as not having limited capability for work if—
 - (a) under Part 1 of the 2007 Act as it has effect apart from the amendments made by Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance ("the former law"), the claimant was disqualified for receiving a contributory employment and support allowance during a period of imprisonment or detention in legal custody;
 - (b) Part 1 of the 2007 Act as amended by the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an incomerelated allowance ("the current law") applied to the claimant with effect from a day that occurred during the period of imprisonment or detention in legal custody referred to in sub-paragraph (a) and during the period of 6

weeks with effect from the day on which the claimant was first disqualified as referred to in sub-paragraph (a); and

- (c) the total of—
 - (i) the period for which the claimant was disqualified for receiving a contributory employment and support allowance during the period of imprisonment or detention in legal custody when the former law applied to the claimant; and
 - (ii) the period for which the claimant was disqualified for receiving an employment and support allowance during the period of imprisonment or detention in legal custody when the current law applied to the claimant,

amounts to more than 6 weeks.".

- (4) Subject to paragraph (5), where this article applies, the 2007 Act is to be read as though—
 - (a) the reference to an employment and support allowance in section 1A(1) and (4) to (6)(25);
 - (b) the first reference to an employment and support allowance in section 1A(3); and
 - (c) the first reference to an employment and support allowance in section 1B(26),

included a reference to a contributory employment and support allowance

(5) Where this article applies and the 2010 Transitional Regulations apply to a person, paragraph (4)(c) becomes paragraph (4)(b) and, for paragraph (4)(a) and (b), there is substituted—

- "(a) in section 1A as substituted by the 2010 Transitional Regulations—
 - (i) the reference to an employment and support allowance in section 1A(1), (4) and (5); and
 - (ii) the first reference to an employment and support allowance in section 1A(3); and".

(6) Where this article applies and a claimant had an old style ESA award in the circumstances referred to in paragraph (1)(b); the 2007 Act is to be read as if, in section 24(2), the beginning of the assessment phase (subject to section 24(3)) was the first day of the period for which the claimant was entitled to the old style ESA award.

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

⁽²⁵⁾ Section 1A was inserted by Article 57(1) of the 2015 Order.

⁽²⁶⁾ Section 1B was inserted by Article 58(1) of the 2015 Order.

I10 Art. 11 in operation at made date

Transition from new style ESA

12.—(1) This article applies where a person makes, or is treated as making, a claim for an employment and support allowance and Part 1 of the 2007 Act, as it has effect apart from the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance, applies in relation to the claim and the condition referred to in paragraph (2) is satisfied.

- (2) The condition is that—
 - (a) the person previously made, or was treated as having made, a claim for an employment and support allowance and, under Article 6, Part 1 of the 2007 Act, as amended by the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance, applied in relation to the claim;
 - (b) a notice was issued to the person under regulation 4 of the 2010 Transitional Regulations and, under Article 6, Part 1 of the 2007 Act, as amended by the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance, applied in relation to the notice; or
 - (c) the person previously—
 - (i) had an old style ESA award immediately before the appointed day in relation to a case of a claim for universal credit referred to in Article 6(2) (and any award made in respect of the claim); and
 - (ii) the old style ESA award consisted of or included a contributory employment and support allowance (which allowance therefore continued as a new style ESA award).
- (3) Where this article applies, the ESA Regulations 2008 are to be read as if—
 - (a) (i) in the definitions of "period of limited capability for work" in regulation 2(1) and (4A) (interpretation), the reference to a period throughout which a person has, or is treated as having, limited capability for work included a reference to a period throughout which the person in question had, or was treated as having, limited capability for work under the ESA Regulations 2016; and
 - (ii) the reference, in the definition in regulation 2(1), to regulation 19 (time for claiming benefit), of the Claims and Payments Regulations 1987 included a reference to regulation 27 (time within which a claim for an employment and support allowance is to be made), of the Claims and Payments Regulations 2016;
 - (b) in regulation 5 (the assessment phase previous claimants)-
 - (i) any reference to an employment and support allowance included a reference to a new style ESA award; and
 - (ii) in paragraph (2)(c)(v) and (d)(iii), the reference to regulation 30 (conditions for treating a claimant as having limited capability for work has been made) included a reference to regulation 26 of the ESA Regulations 2016 (conditions for treating a claimant as having limited capability for work until a determination about limited capability for work has been made);
 - (c) in regulation 7 (circumstances where the condition that the assessment phase has ended before entitlement to the support component arises does not apply)—
 - (i) any reference to an employment and support allowance included a reference to a new style ESA award; and

- (ii) in paragraph (1B)(b)(iv), (c)(iii), (c)(iv) and (d)(iii), the reference to regulation 30 included a reference to regulation 26 of the ESA Regulations 2016;
- (d) in regulation 10 (condition relating to youth previous claimants), any reference to an employment and support allowance included a reference to a new style ESA award;
- (e) in regulation 19 (determination of limited capability for work)-
 - (i) the reference in paragraph (7)(a) to a claimant having been determined to have limited capability for work included a reference to such a determination made under Part 4 of the ESA Regulations 2016; and
 - (ii) the reference in paragraph (7)(b) to a person being treated as having limited capability for work included a reference to a person being so treated under regulation 16 (certain claimants to be treated as having limited capability for work), 21 (hospital patients), 22 (claimants receiving certain treatment) or 25 (exceptional circumstances) of the ESA Regulations 2016;
- (f) in regulation 30(2)(b)(ii) (conditions for treating a claimant as having limited capability for work until a determination about limited capability for work has been made)(27), the reference to regulation 22 (failure to provide information in relation to limited capability for work) and 23 (claimant may be called for a medical examination to determine whether the claimant has limited capability for work) included a reference to regulation 18 (failure to provide information in relation to limited capability for work) and 19 (claimant may be called for a medical examination to determine whether the claimant has limited capability for work) and 19 (claimant may be called for a medical examination to determine whether the claimant has limited capability for work) of the ESA Regulations 2016;
- (g) in regulation 34(4) (determination of limited capability for work-related activity), the reference to a determination about whether a claimant has, or is to be treated as having or not having, limited capability for work-related activity included such a determination that was made under Part 5 of the ESA Regulations 2016;
- (h) in regulation 45(10) (exempt work), the reference to an employment and support allowance included a reference to a new style ESA award;
- (i) in regulation 147A(1) (claimants appealing a decision)(**28**), the reference to a relevant decision included a relevant decision made under the ESA Regulations 2016;
- (j) in regulation 157 (disqualification for misconduct etc)-
 - (i) in paragraph (3), for "Paragraph (2) does" there were substituted "Paragraphs (2) and (4) do"; and
 - (ii) after paragraph (3) there were inserted—

"(4) Subject to paragraph (3), a claimant is to be disqualified for receiving an employment and support allowance for any period determined by the Department under regulation 93(2) of the Employment and Support Allowance Regulations 2016 less any days during that period on which those Regulations applied to the claimant.

(5) Where paragraph (4) applies to a claimant, paragraph (2) is not to apply to that claimant with respect to any matter referred to in paragraph (1) that formed the basis for the claimant's disqualification under regulation 93(2) of the Employment and Support Allowance Regulations 2016.";

- (k) in regulation 159 (treating a claimant as not having limited capability for work)—
 - (i) in paragraph (1), after "Subject to paragraph (2)," there were inserted "and paragraph (3)"; and

⁽²⁷⁾ Regulation 30(2)(b) was substituted by regulation 3(2)(a) of S.R. 2015 No. 185.

⁽²⁸⁾ Regulation 147A was inserted by regulation 9(15) of S.R. 2010 No. 200 and substituted by regulation 3(3) of S.R. 2015 No. 185.

(ii) after paragraph (2), there were inserted—

- "(3) A claimant is to be treated as not having limited capability for work if-
 - (a) under Part 1 of the Act as amended by the provisions of Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance ("the former law"), the claimant was disqualified for receiving an employment and support allowance during a period of imprisonment or detention in legal custody;
 - (b) Part 1 of the Act as it has effect apart from the amendments made by Schedule 3, and Part 1 of Schedule 12, to the 2015 Order that remove references to an income-related allowance ("the current law") applied to the claimant with effect from a day that occurred during the period of imprisonment or detention in legal custody referred to in sub-paragraph (a) and during the period of 6 weeks with effect from the day on which the claimant was first disqualified as referred to in sub-paragraph (a); and
 - (c) the total of-
 - (i) the period for which the claimant was disqualified for receiving an employment and support allowance during the period of imprisonment or detention in legal custody when the former law applied to the claimant; and
 - (ii) the period for which the claimant was disqualified for receiving a contributory employment and support allowance during the period of imprisonment or detention in legal custody when the current law applied to the claimant,

amounts to more than 6 weeks."; and

- (l) in Schedule 6 (housing costs), in paragraph 9(1), each reference to an employment and support allowance included a reference to new style ESA.
- (4) Subject to paragraph (5), where this Article applies, the 2007 Act is to be read as though-
 - (a) the reference to a contributory allowance in section (1A)(1) and (4) to (6)(29);
 - (b) the first reference to a contributory allowance in section (1A)(1) and (3); and
 - (c) the first reference to a contributory allowance in section 1B(30),

included a reference to a new style ESA award.

(5) Where this Article applies and the 2010 Transitional Regulations apply to a person, paragraph (4)(c) becomes paragraph (4)(b) and, for paragraph (4)(a) and (b), there is substituted—

- "(a) in section 1A as substituted by the 2010 Transitional Regulations—
 - (i) the reference to a contributory allowance in section 1A(1), (4) and (5); and
 - (ii) the first reference to a contributory allowance in section 1A(3); and".

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5

⁽²⁹⁾ Section 1A was inserted by Article 57(1) of the 2015 Order.

⁽³⁰⁾ Section 1B was inserted by Article 58(1) of the 2015 Order.

- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

I11 Art. 12 in operation at made date

Transition from old style JSA

13.—(1) This Article applies where a person—

- (a) makes, or is treated as making, a claim for a jobseeker's allowance and, under Article 6, the 1995 Order, as amended by the provisions of Part 1 of Schedule 12 to the 2015 Order that remove references to an income-based jobseeker's allowance, applies in relation to the claim; or
- (b) (i) has an old style JSA award (whether or not the award was made to the person as a member of a joint-claim couple) immediately before the appointed day in relation to a case of a claim for universal credit referred to in Article 6(2) (and any award made in respect of the claim), and
 - (ii) the old style JSA award consists of or includes a contribution-based jobseeker's allowance (which allowance therefore continues as a new style JSA award),

and, in the case of sub-paragraph (a), the condition referred to in paragraph (2) is satisfied.

(2) The condition is that the person previously made, or was treated as having made, a claim for a jobseeker's allowance (whether or not as a member of a joint-claim couple) and the 1995 Order, as it has effect apart from the provisions of Part 1 of Schedule 12 to the 2015 Order that remove references to an income-based jobseeker's allowance, applied in relation to the claim.

- (3) Where this Article applies, the JSA Regulations 2016 are to be read as if—
 - (a) in regulation 15(3)(b) (victims of domestic violence), the reference to regulation 15 applying to the claimant included a reference to the claimant having been treated as being available for employment under regulation 14A(2) or (6) of the JSA Regulations 1996;
 - (b) in regulation 35(1) (waiting days), where a person was entitled to an old style JSA award with effect from the first day of a jobseeking period by virtue of regulation 46(1)(a) of the JSA Regulations 1996 and, with effect from the second or third day of that period, that award continued as a new style JSA award in the circumstances referred to in paragraph (1) (b) of this article, the reference to a jobseeker's allowance included a reference to the old style JSA award;
 - (c) in regulation 36 (jobseeking period)—
 - (i) the jobseeking period in relation to a claimant included any period that, under regulation 47 of the JSA Regulations 1996 (jobseeking period), forms part of the jobseeking period for the purposes of the 1995 Order; and
 - (ii) in paragraph (3), the reference to a day that is to be treated as a day in respect of which the claimant was entitled to a jobseeker's allowance included a reference to any day that, under regulation 47(4) of the JSA Regulations 1996, is to be treated as a day in respect of which the claimant was entitled to a contribution-based jobseeker's allowance;

- (d) in regulation 40 (persons temporarily absent from Northern Ireland), where a person had an old style JSA award in the circumstances referred to in paragraph (1)(b) of this Article, the reference in paragraph (2)(b), (3)(a) and (c), (5)(a) and (6)(b) to entitlement to a jobseeker's allowance included a reference to the old style JSA award; and
- (e) in regulation 45 (short periods of sickness), after paragraph (7) there were inserted(31)—

"(8) Where—

- (a) a person has been treated under regulation 55(1) of the Jobseeker's Allowance Regulations 1996 as capable of work or as not having limited capability for work for a certain period; and
- (b) these Regulations apply to that person with effect from a day ("the relevant day") within that period,

the person is to be treated for the part of that period that begins with the relevant day as capable of work or as not having limited capability for work.

(9) Where paragraph (8) applies to a person and the conditions in paragraph (1)(a) to (c) are fulfilled in relation to that person on any day within the part of a period referred to in paragraph (8), the requirement of paragraph (1) to treat the person as capable of work or as not having limited capability for work is to be regarded as satisfied with respect to the fulfilment of those conditions on that day.

(10) For the purposes of paragraph (3), where paragraph (8) applies to a person, paragraph (3) is to apply to the person as though the preceding provisions of this regulation had applied to the person with respect to the person having been treated for a period, under regulation 55(1) of the Jobseeker's Allowance Regulations 1996 and paragraph (8), as capable of work or as not having limited capability for work."

(4) Where this Article applies, the 1995 Order is to be read as though, in Article 7 of the 1995 Order, the reference to a jobseeker's allowance in paragraph (1) and the first reference to a jobseeker's allowance in paragraph (2) included a reference to a contribution-based jobseeker's allowance.

(5) For the purposes of this Article, "joint-claim couple" has the meaning given in article 3(4) of the 1995 Order.

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

I12 Art. 13 in operation at made date

Transition from new style JSA

14.—(1) This Article applies when a person makes, or is treated as making, a claim for a jobseeker's allowance (whether or not as a member of a joint-claim couple) and the 1995 Order, as it has effect apart from the provisions of Part 1 of Schedule 12 to the 2015 Order that remove references to an income-based jobseeker's allowance, applies in relation to the claim and the condition referred to in paragraph (2) is satisfied.

- (2) The condition is that the person previously—
 - (a) made, or was treated as having made, a claim for a jobseeker's allowance and, under Article 6, the 1995 Order, as amended by the provisions of Part 1 of Schedule 12 to the 2015 Order that remove references to an income-based jobseeker's allowance, applied in relation to the claim; or
 - (b) (i) had an old style JSA award immediately before the appointed day in relation to a case of a claim for universal credit referred to in Article 6(2) (and any award made in respect of the claim), and
 - (ii) the old style JSA award consisted of or included a contribution-based jobseekers allowance (which allowance therefore continued as a new style JSA award).
- (3) Where this Article applies, the JSA Regulations 1996 are to be read as if—
 - (a) in regulation 11 (part-time students), the references in paragraph (2)(a) and (b) to a jobseeker's allowance included a reference to new style JSA;
 - (b) in regulation 14A (victims of domestic violence), for the purposes of paragraph (3)(b) of that regulation, a person had been treated as available for employment on a day (under paragraph (2) of that regulation) where regulation 15 of the JSA Regulations 2016 applied to that person on that day;
 - (c) in regulation 17A(7) (further circumstances in which a person is to be treated as available: full-time students participating in a qualifying course), in paragraph (a) of the definition of "benefit", the reference to a jobseeker's allowance included a reference to new style JSA;
 - (d) in regulation 19(1)(q) (circumstances in which a person is to be treated as actively seeking work), the reference to a jobseeker's allowance included a reference to new style JSA;
 - (e) in regulation 47 (jobseeking period)-
 - (i) the jobseeking period in relation to a claimant included any period that, under regulation 36 of the JSA Regulations 2016 (jobseeking period), forms part of the jobseeking period for the purposes of the 1995 Order; and
 - (ii) in paragraph (4), the reference to any day that is to be treated as a day in respect of which the claimant was entitled to a contribution-based jobseeker's allowance included a reference to a day that, under regulation 36(3) of the JSA Regulations 2016 (jobseeking period), is to be treated as a day in respect of which the claimant was entitled to a jobseeker's allowance;
 - (f) in regulation 55 (short periods of sickness), after paragraph (7) there were inserted(**32**)—
 - "(8) Where—

⁽³²⁾ Paragraph (7) was added by regulation 2(2) of S.R. 2016 No. 2.

- (a) a person has been treated under regulation 45(1) of the Jobseeker's Allowance Regulations 2016 as capable of work or as not having limited capability for work for a certain period; and
- (b) these Regulations apply to that person with effect from a day ("the relevant day") within that period,

the person is to be treated for the part of that period that begins with the relevant day as capable of work or as not having limited capability for work.

(9) Where paragraph (8) applies to a person and the conditions in paragraph (1)(a) to (c) are fulfilled in relation to that person on any day within the part of a period referred to in paragraph (8), the requirement of paragraph (1) to treat the person as capable of work or as not having limited capability for work is to be regarded as satisfied with respect to the fulfilment of those conditions.

(10) For the purposes of paragraph (3), where paragraph (8) applies to a person, paragraph (3) is to apply to the person as though the preceding provisions of this regulation had applied to the person with respect to the person having been treated for a period, under regulation 45(1) of the Jobseeker's Allowance Regulations 2016 and paragraph (8), as capable of work or as not having limited capability for work.";

- (g) in paragraphs 6(1) and 7(1) of Schedule 2 (housing costs), each reference to a jobseeker's allowance included a reference to new style JSA; and
- (h) in paragraph 13 of Schedule 2
 - (i) in paragraph (a) of sub-paragraph (1) (apart from sub-paragraph (ii)(bb) of that paragraph), each of the references to a jobseeker's allowance included a reference to new style JSA; and
 - (ii) in sub-paragraph (1)(b), the reference to a jobseeker's allowance included a reference to new style JSA.

(4) Where this Article applies, the 1995 Order is to be read as though, in Article 7 of the 1995 Order, the reference to a contribution-based jobseeker's allowance in paragraph (1) and the first reference to a contribution-based jobseeker's allowance in paragraph (2) included a reference to a new style JSA award.

(5) For the purposes of this Article, "joint-claim couple" has the meaning given in Article 3(4) of the 1995 Order.

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

I13 Art. 14 in operation at made date

Sanctions: transition from old style ESA in case of a new award

15.—(1) This Article applies where—

- (a) a person is entitled to a new style ESA award and they were previously entitled to an old style ESA award that was not in existence immediately before the first day on which the person in question is entitled to the new style ESA award; and
- (b) immediately before the old style ESA award terminated, payments were reduced under regulation 63 of the ESA Regulations 2008 (reduction of employment and support allowance).
- (2) Where this Article applies—
 - (a) the failure which led to reduction of the old style ESA award ("the relevant failure") is to be treated for the purposes of Part 8 of the ESA Regulations 2016, as a failure which is sanctionable under section 11J of the 2007 Act (sanctions);
 - (b) the new style ESA award is to be reduced in relation to the relevant failure, in accordance with the provisions of this article and Part 8 of the ESA Regulations 2016 as modified by this article; and
 - (c) the reduction referred to in sub-paragraph (b) is to be treated, for the purposes of the ESA Regulations 2016, as a reduction under section 11J of the 2007 Act.

(3) The reduction period for the purposes of the ESA Regulations 2016 is to be the number of days which is equivalent to the length of the fixed period applicable to the person under regulation 63 of the ESA Regulations 2008 in relation to the relevant failure, minus—

- (a) the number of days (if any) in that fixed period in respect of which the amount of the old style ESA award was reduced; and
- (b) the number of days (if any) in the period starting with the day after the day on which the old style ESA award terminated and ending with the day before the first day on which the person is entitled to the new style ESA award.

(4) Accordingly, regulation 51 of the ESA Regulations 2016 (general principles for calculating reduction periods) applies in relation to the relevant failure as if—

- (a) in paragraph (1), for the words "in accordance with regulations 52 and 53" there were substituted the words "in accordance with Article 15 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017"; and
- (b) in paragraph (3), for the words "in accordance with regulation 52 or 53" there were substituted the words "in accordance with Article 15 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017".

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5

- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

I14 Art. 15 in operation at made date

Sanctions: transition from old style ESA in case of a continuing award

16.—(1) This Article applies where—

- (a) the amending provisions have come into force under Article 6(1) in relation to the case of a claim for universal credit referred to in Article 6(2) (and any award that is made in respect of the claim);
- (b) the person in question had an old style ESA award immediately before the appointed day which consisted of or included a contributory allowance (which allowance therefore continues as a new style ESA award); and
- (c) immediately before the appointed day, payments under that award were reduced in accordance with regulation 63 of the ESA Regulations 2008 (reduction of employment and support allowance).
- (2) Where this Article applies—
 - (a) the failure which led to reduction of the old style ESA award ("the relevant failure") is to be treated for the purposes of Part 8 of the ESA Regulations 2016, as a failure which is sanctionable under section 11J of the 2007 Act (sanctions);
 - (b) on and after the appointed day, the award (in its continuation as a new style ESA award) is to be reduced in relation to the relevant failure, in accordance with the provisions of this article and Part 8 of the ESA Regulations 2016 as modified by this article; and
 - (c) the reduction referred to in sub-paragraph (b) is to be treated, for the purposes of the ESA Regulations 2016, as a reduction under section 11J of the 2007 Act.

(3) The reduction period for the purposes of the ESA Regulations 2016 is to be the number of days which is equivalent to the length of the fixed period applicable to the person under regulation 63 of the ESA Regulations 2008 in relation to the relevant failure, minus the number of days (if any) in the period in respect of which the amount of the old style ESA award was reduced.

(4) Accordingly, regulation 51 of the ESA Regulations 2016 (general principles for calculating reduction periods) applies in relation to the relevant failure as if—

- (a) in paragraph (1), for the words "in accordance with regulations 52 and 53" there were substituted the words "in accordance with Article 16 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017"; and
- (b) in paragraph (3), for the words "in accordance with regulation 52 or 53" there were substituted the words "in accordance with Article 16 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017".

Modifications etc. (not altering text)

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

Commencement Information

I15 Art. 16 in operation at made date

Escalation of sanctions: transition from old style ESA

17.—(1) This Article applies where a person is entitled to a new style ESA award and, at any time previously, the person was entitled to an old style ESA award.

(2) Where this Article applies, for the purposes of determining the reduction period under regulation 52 of the ESA Regulations 2016 (low-level sanction) in relation to a sanctionable failure by the person to whom the new style award referred to in paragraph (1) was made, other than a failure which is treated as sanctionable under Article 15 or 16—

- (a) a reduction of a new style ESA award in accordance with Article 15 or 16 as the case may be; and
- (b) a reduction of an old style ESA award under the ESA Regulations 2008 which did not result in a reduction under Article 15 or 16,

is, subject to paragraph (3), to be treated as arising from a sanctionable failure for which the reduction period which applies is the number of days which is equivalent to the length of the fixed period which applied under regulation 63 of the ESA Regulations 2008 (reduction of employment and support allowance).

(3) In determining a reduction period under regulation 52 of the ESA Regulations 2016 in accordance with paragraph (2), no account is to be taken of—

- (a) a reduction of a new style ESA award in accordance with Article 15 or 16, as the case may be, if, at any time after that reduction, the person was entitled to an old style ESA award, an old style JSA award or income support;
- (b) a reduction of an old style ESA award under the ESA Regulations 2008 if, at any time after that reduction, the person was entitled to universal credit, a new style ESA award or a new style JSA award, and was subsequently entitled to an old style ESA award, an old style JSA award or income support.

Modifications etc. (not altering text)

C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015
 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5

- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

I16 Art. 17 in operation at made date

Sanctions: transition from old style JSA in case of a new award

- 18.—(1) This Article applies where—
 - (a) a person is entitled to a new style JSA award and they were previously entitled to an old style JSA award that was not in existence immediately before the first day on which the person in question is entitled to the new style JSA award;
 - (b) immediately before that old style award terminated, payments were reduced under Article 21 (as it applied both before and after substitution by the 2015 Order) (before substitution: circumstances in which a jobseeker's allowance is not payable; after substitution: higherlevel sanctions) or 21A (other sanctions) of the 1995 Order, or under regulation 69B of the JSA Regulations 1996 (the period of a reduction under Article 21B: claimants ceasing to be available for employment etc.)(33); and
 - (c) if the old style JSA award was made to a joint-claim couple within the meaning of the 1995 Order and the reduction related to—
 - (i) in the case of a reduction under Article 21 as it applied before substitution by the 2015 Order, circumstances relating to only one member of the couple; or
 - (ii) in the case of a reduction under Article 21 as it applied after substitution by the 2015 Order, a sanctionable failure by only one member of the couple,

the new style JSA award was made to that member of the couple.

- (2) Where this Article applies—
 - (a) the circumstances or failure which led to reduction of the old style JSA award (in either case "the relevant failure") is to be treated, for the purposes of the JSA Regulations 2016, as—
 - (i) a failure which is sanctionable under Article 8J of the 1995 Order (higher-level sanctions), where the reduction was under Article 21 of the 2015 Order; or
 - (ii) a failure which is sanctionable under Article 8K of the 1995 Order (other sanctions), where the reduction was under Article 21A of the 1995 Order or regulation 69B of the JSA Regulations 1996;
 - (b) the award of new style JSA is to be reduced in relation to the relevant failure, in accordance with the provisions of this Article and Part 3 of the JSA Regulations 2016 (sanctions), as modified by this Article; and

⁽³³⁾ Regulation 69B was inserted by regulation 2(2) of S.R. 2016 No. 241 and amended by regulation 4(8) of S.R. 2017 No. 116.

(c) the reduction is to be treated, for the purposes of the JSA Regulations 2016, as a reduction under Article 8J or, as the case may be, Article 8K of the 1995 Order.

(3) The reduction period for the purposes of the JSA Regulations 2016 is to be the number of days which is equivalent to the length of the period of reduction of a jobseeker's allowance which is applicable to the person under regulation 69, 69A or 69B of the JSA Regulations 1996, minus—

- (a) the number of days (if any) in that period in respect of which the amount of a jobseeker's allowance was reduced; and
- (b) the number of days (if any) in the period starting with the day after the day on which the old style JSA award terminated and ending with the day before the first day on which the person is entitled to a new style JSA award.

(4) Accordingly, regulation 19 of the JSA Regulations 2016 (general principles for calculating reduction periods) applies in relation to the relevant failure as if—

- (a) in paragraph (1), for the words "in accordance with regulations 20, 21 and 22", there were substituted the words "in accordance with Article 18 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017"; and
- (b) in paragraph (3), for the words "in accordance with regulation 20, 21 and 22", there were substituted the words "in accordance with Article 18 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017".

Modifications etc. (not altering text)

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
 C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
 C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
 C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015
 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
 C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015
- (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
 C28 Arts. 10-23 applied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015
- (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

Commencement Information

I17 Art. 18 in operation at made date

Sanctions: transition from old style JSA in case of a continuing award

19.—(1) This Article applies where—

- (a) the amending provisions have come into force under Article 6(1) in relation to the case of a claim for universal credit referred to in Article 6(2) (and any award that is made in respect of the claim);
- (b) the person in question had an old style JSA award immediately before the appointed day which consisted of or included a contribution-based allowance (which allowance therefore continues as a new style JSA award);

- (c) immediately before the appointed day, payments under that award were reduced under Article 21 (as it applied both before and after substitution by the 2015 Order) (before substitution: circumstances in which a jobseeker's allowance is not payable; after substitution: higher-level sanctions) or 21A (other sanctions) of the 1995 Order, or under regulation 69B of the JSA Regulations 1996) (the period of a reduction under section 19B: Claimants ceasing to be available for employment etc.); and
- (d) if the old style JSA award was made to a joint-claim couple within the meaning of the 1995 Order and the reduction related to—
 - (i) in the case of a reduction under Article 21 as it applied before substitution by the 2015 Order, circumstances relating to only one member of the couple; or
 - (ii) in the case of a reduction under Article 21 as it applied after substitution by the 2015 Order, a sanctionable failure by only one member of the couple,
 - the new style JSA award was made to that member of the couple.
- (2) Where this Article applies—
 - (a) the circumstances or failure which led to reduction of the old style JSA award (in either case "the relevant failure") is to be treated, for the purposes of the JSA Regulations 2016, as—
 - (i) a failure which is sanctionable under Article 8J of the 1995 Order (higher-level sanctions), where the reduction was under Article 21 of the 1995 Order; or
 - (ii) a failure which is sanctionable under Article 8K of the 1995 Order (other sanctions), where the reduction was under Article 21A of the 1995 Order or regulation 69B of the JSA Regulations 1996;
 - (b) the award (in its continuation as a new style JSA award) is to be reduced in relation to the relevant failure, in accordance with the provisions of this Article and Part 3 of the JSA Regulations 2016 (sanctions), as modified by this Article; and
 - (c) the reduction is to be treated, for the purposes of the JSA Regulations 2016, as a reduction under Article 8J or, as the case may be, Article 8K of the 1995 Order.

(3) The reduction period for the purposes of the JSA Regulations 2016 is to be the number of days which is equivalent to the length of the period of reduction of a jobseeker's allowance which is applicable to the person under regulation 69, 69A or 69B of the JSA Regulations 1996, minus the number of days (if any) in that period in respect of which the amount of a jobseeker's allowance was reduced.

(4) Accordingly, regulation 19 of the JSA Regulations 2016 (general principles for calculating reduction periods) applies in relation to the relevant failure as if—

- (a) in paragraph (1), for the words "in accordance with regulations 20, 21 and 22", there were substituted the words "in accordance with Article 19 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017"; and
- (b) in paragraph (3), for the words "in accordance with regulations 20, 21 and 22", there were substituted the words "in accordance with Article 19 of the Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017".

Modifications etc. (not altering text)

 C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5

- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

I18 Art. 19 in operation at made date

Escalation of sanctions: transition from old style JSA

20.—(1) This Article applies where a person is entitled to a new style JSA award and, at any time previously, the person was entitled to an old style JSA award.

(2) Where this Article applies, for the purposes of determining the applicable reduction period under regulation 20 (higher-level sanction), 21 (medium level sanction) or 22 (low-level sanction) of the JSA Regulations 2016 in relation to a sanctionable failure by the person other than a failure which is treated as sanctionable by virtue of Article 18 or 19—

- (a) a reduction of a new style JSA award in accordance with Article 18 or 19; and
- (b) a reduction of an old style JSA award under Article 21 (as it applied both before and after substitution by the 2015 Order) or 21A of the 1995 Order, or under regulation 69B of the JSA Regulations, which did not result in a reduction under Article 18 or 19,

is, subject to paragraph (3), to be treated as arising from a sanctionable failure for which the reduction period is the number of days which is equivalent to the length of the period which applied under regulation 69, 69A or 69B of the JSA Regulations 1996.

(3) In determining a reduction period under regulation 20 (higher-level sanction), 21 (medium-level sanction) or 22 (low-level sanction) of the JSA Regulations 2016 in accordance with paragraph (2), no account is to be taken of—

- (a) a reduction of a new style JSA award in accordance with Article 18 or 19 if, at any time after that reduction, the person was entitled to an old style JSA award, an old style ESA award or income support;
- (b) a reduction of an old style JSA award under Article 21 (as it applied both before and after substitution by the 2015 Order) or 21A of the 1995 Order, or under regulation 69B of the JSA Regulations 1996, if, at any time after that reduction, the person was entitled to universal credit, a new style JSA award or a new style ESA award, and was subsequently entitled to an old style JSA award, an old style ESA award or income support.

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5

Changes to legislation: There are currently no known outstanding effects for the The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017. (See end of Document for details)

- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

Commencement Information

I19 Art. 20 in operation at made date

Termination of sanctions under a new style ESA or JSA award

21.—(1) Paragraph (2) applies where a new style ESA award or new style JSA award terminates while there is an outstanding reduction period (within the meaning of regulation 55 of the ESA Regulations 2016 (reduction period to continue where award of employment and support allowance terminates) or regulation 24 of the JSA Regulations 2016 (reduction period to continue where award of jobseeker's allowance terminates)) and the claimant becomes entitled to an old style ESA award, an old style JSA award or income support during that period.

- (2) Where this paragraph applies—
 - (a) regulation 55 of the ESA Regulations 2016 or regulation 24 of the JSA Regulations 2016, as the case may be, are to cease to apply; and
 - (b) the reduction period is to terminate on the first day of entitlement to an old style ESA award, old style JSA award or income support as the case may be.

Modifications etc. (not altering text)

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

Commencement Information

I20 Art. 21 in operation at made date

Transitory provisions: appeals

22.—(1) Paragraph (2) applies where—

(a) the amending provisions have come into force under Article 6(1) in relation to the case of a claim referred to in Article 6(2) (and any award that is made in respect of the claim);

- (b) the person is sent notice of a decision relating to a new style ESA award or a new style JSA award; and
- (c) the date of notification with respect to that decision is before 26th March 2018.

(2) Where this paragraph applies, the provisions mentioned in paragraph (3) apply for the purposes of any appeal in relation to that decision as if regulation 54 of the Universal Credit, Personal Independence Payment, Jobseeker's Allowance and Employment and Support Allowance (Decisions and Appeals) Regulations (Northern Ireland) 2016 (consequential amendments)(34) did not apply in that person's case.

(3) The provisions referred to are the following provisions of the Social Security and Child Support (Decisions and Appeals) Regulations (Northern Ireland) 1999(**35**)—

- (a) regulation 32 (late appeals);
- (b) regulation 33 (making of appeals and application); and
- (c) regulation 34 (death of a party to an appeal).

(4) For the purposes of paragraph (1), "the date of notification" means the date on which the decision notice was posted to the person's last known address by the Department.

Modifications etc. (not altering text)

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

Commencement Information

I21 Art. 22 in operation at made date

Transitional provision: references to contributory employment and support allowance and contribution-based jobseeker's allowance

23. Where the amending provisions have come into force under Article 6(1) in relation to the case of a claim referred to in Article 6(2) (and any award that is made in respect of the claim), then, in relation to such a case, any reference in the Social Security Administration (Northern Ireland) Act 1992(**36**) or the Social Security Contributions and Benefits (Northern Ireland) Act 1992(**37**) to—

(a) a contributory employment and support allowance is to be read as if it included a reference to a new style ESA award; and

⁽³⁴⁾ S.R. 2016 No. 221.

⁽³⁵⁾ S.R. 1999 No. 162.

^{(36) 1992} c. 8.

⁽**37**) 1992 c. 7.

(b) a contribution-based jobseeker's allowance is to be read as if it included a reference to a new style JSA award.

Modifications etc. (not altering text)

- C24 Arts. 10-23 applied (13.11.2017) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 9 and Transitional and Transitory Provisions) Order 2017 (S.R. 2017/216), art. 5
- C25 Arts. 10-23 applied (15.1.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 10 and Transitional and Transitory Provisions and Commencement No. 9 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/1), art. 5
- C26 Arts. 10-23 applied (9.5.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 11 and Transitional and Transitory Provisions) Order 2018 (S.R. 2018/97), art. 5
- C27 Arts. 10-23 applied (19.7.2018) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 12 and Transitional and Transitory Provisions and Commencement No. 9, 10 and 11 and Transitional and Transitory Provisions (Amendment)) Order 2018 (S.R. 2018/138), art. 5
- C28 Arts. 10-23 apllied (31.1.2019) by The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 14 and Savings and Transitional Provisions) Order 2019 (S.R. 2019/7), art. 2(12)

Commencement Information

I22 Art. 23 in operation at made date

Transitional provision: claims for housing benefit, income support or a tax credit

F2 Art. 24 revoked (1.8.2022) by The Universal Credit (Transitional Provisions) (Amendment) Regulations (Northern Ireland) 2022 (S.R. 2022/194), regs. 1(1), 13, Sch. para. 2(3)

Appeals relating to old style ESA and old style JSA

25.—(1) This article applies where, after an award of universal credit has been made to a claimant (where that award is made by virtue of the coming into force of the provisions of the 2015 Order referred to in Schedule 1, under any secondary legislation)—

- (a) an appeal against a decision relating to the entitlement of the claimant to an old style ESA award or an old style JSA award is finally determined; or
- (b) a decision relating to the claimant's entitlement to such an award is revised under Article 10 of the 1998 Order or superseded under Article 11 of that Order.

(2) Where this article applies, the Department is to consider whether it is appropriate to revise under Article 10 of the 1998 Order the decision in relation to entitlement to universal credit or, if that decision has been superseded under Article 11 of that Order, the decision as so superseded (in either case, "the UC decision").

(3) Where it appears to the Department to be appropriate to revise the UC decision, it is to be revised in such manner as appears to the Department to be necessary to take account of—

- (a) the decision of the Appeal Tribunal, Commissioner or court, or, as the case may be, the decision relating to entitlement to an old style ESA award or an old style JSA award, as revised or superseded; and
- (b) any finding of fact by the Appeal Tribunal, Commissioner or court.

(4) For the purposes of this Article, "secondary legislation" means an instrument made under an Act or Order in Council.

Commencement Information

I23 Art. 25 in operation at made date

Signed by authority of the Secretary of State for Work and Pensions

Damian Hinds Minister of State Department for Work and Pensions

Changes to legislation:

There are currently no known outstanding effects for the The Welfare Reform (Northern Ireland) Order 2015 (Commencement No. 8 and Transitional and Transitory Provisions) Order 2017.