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SCHEDULE 1

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Regulation 3

Form of Application for a Gas Conveyance Licence, Gas Storage Licence, Gas Supply Licence or LNG Licence

<p>1. Full name of the applicant.</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>2. Address of the applicant or, in the case of a body corporate, the registered or principal office.</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>3. Where the applicant is a partnership or other joint venture (other than a body corporate), the name and address of each party concerned.</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>4. The name, address and telephone number of the person or persons to whom correspondence or enquiries concerning the application should be directed.</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>5. The particular type of licence(s) sought, that is to say whether to convey gas and/or store gas and/or supply gas and/or operate an LNG facility, or an extension of any of these types of licence granted to the applicant at the time of this application.</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>6. Details of any other licence application to which the applicant wishes this application to be connected (<i>see Note I</i>).</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>7. The date from which the licence or extension is desired to take effect.</p> <p>.....</p> <p>.....</p> <p>.....</p>

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<p>8. Status of the applicant, that is to say whether the applicant is a public limited company, private limited company, overseas company, other body corporate, partnership, unincorporated association, sole trader or other entity (and in the last case particulars of the legal status).</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>9. If the applicant is a body corporate:-</p> <p>(a) The jurisdiction under which it is incorporated.</p> <p>.....</p> <p>.....</p> <p>(b) If applicable, its registration number.</p> <p>.....</p> <p>.....</p> <p>(c) The full names and addresses of its current Directors (including any shadow director within the meaning of section 251 of the 2006 Act) or, where appropriate, the corresponding officers.</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>(d) The name, address and telephone number of a person or persons authorized to accept service of any notices or processes required to be served on the applicant.</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>(e) The name and registered office of any holding company (within the meaning of section 1159 of the 2006 Act) of the applicant and the name and registered or principal office of any parent undertaking (within the meaning of section 1162 of the 2006 Act) of the applicant.</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>10. If the applicant is neither a body corporate nor a sole trader, the name(s) and address(es) of the person or persons in whom effective control of the applicant rests.</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p>11. Where a holding of 20% or more of the shares (see Note 2) of an applicant is held by a body corporate or partnership or an unincorporated association carrying on a trade or business with or without a view to profit, the name(s) and address(es) of the holder(s) of such shares shall be provided specifying in each case the class of share held, the number of shares so held and the percentage of the aggregate number of shares of that class represented thereby.</p> <p>.....</p> <p>.....</p> <p>.....</p>

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<p>12. Details of any licences under the Order¹ or the Electricity (Northern Ireland) Order 1992² held, applied for (whether or not successfully) or intended to be applied for by the applicant or (so far as is known to the applicant) by any person who is a related person in relation to the applicant (See Note 3).</p> <p>.....</p> <p>.....</p> <p>.....</p>	
<p>13. Confirmation of statement by the applicant:</p>	
<p>The applicant or, where the applicant is a company a senior officer of the company, must indicate his or her confirmation of statements (a) to (f) below by initialing next to those statements and signing where indicated below. In relation to statement (c) only, if the applicant or senior officer is not able to confirm the statement then he or she need not initial this statement provided that he or she provides a written description of the reasons why the senior officer cannot give such confirmation.</p>	
<p>"I, the applicant or a duly authorized officer of the applicant hereby confirm that:</p>	
<p>(a) the information provided in this application is true, accurate and complete.</p>	<p>Initial below</p> <p>.....</p>
<p>(b) the applicant has not breached any applicable legislation or regulations in preparing or making this application</p>	<p>Initial below</p> <p>.....</p>
<p>(c) the applicant is not aware of any application to place it in liquidation, administration or receivership, or to commence any analogous process or proceeding in any jurisdiction, and that no such process has been commenced.</p>	<p>Initial below</p> <p>.....</p>
<p>(d) the applicant is acting as a principal in the licence application and not as an agent for any undisclosed person and the applicant has expressly authorized me to act on its behalf in completing this application.</p>	<p>Initial below</p> <p>.....</p>
<p>(e) the applicant understands the obligations of a transmission system operator and/or distribution system operator, a gas storage operator, a gas supplier, or an LNG facility operator (as applicable) to comply with the conditions in the relevant licence.</p>	<p>Initial below</p> <p>.....</p>
<p>(f) the applicant understands that knowingly or recklessly making a false, incomplete, or misleading statement in support of this application may lead to the grant of the licence being refused, and may result in criminal prosecution under Article 46 of the Order."</p>	<p>Initial below</p> <p>.....</p>
<p>Signed:</p> <p>Name:</p> <p>Position:</p> <p>Dated:</p>	

¹ S.I. 1996/275 (N.I.2), relevant amendments were made by S.R. 2011 No. 155 and S.R. 2013 No 92
² S.I. 1992/231 (N.I. 1)

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14. In this schedule:-

“the 2006 Act” means the Companies Act 2006¹;

“senior officer” means the chairman, chief executive, other director or company secretary of the applicant.

Note 1

An application is “connected” to one or more other applications (whether or not made by the same applicant) where the applicant indicates that, if any of the licences which is the subject of those other applications were not to be granted to the person applying for it, it would be its intention to withdraw that application.

Note 2

References to shares:-

- (a) in relation to an applicant with a share capital, are to allotted shares;
- (b) in relation to an applicant with capital but no share capital, are to rights to share in the capital of the applicant; and
- (c) in relation to an applicant without capital, are to interests:-
 - (i) conferring any rights to share in the profits or liability to contribute to the losses of the applicant; or
 - (ii) giving rise to an obligation to contribute to the debts or expenses of the applicant in the event of a winding up.

Note 3

“Related person” means:-

- (a) in relation to an applicant who is an undertaking within the meaning of section 1161 of the 2006 Act (“the principal undertaking”), a parent or a subsidiary undertaking of the principal undertaking, or a subsidiary undertaking of a parent undertaking of the principal undertaking, in each case within the meaning given by section 1162 of the 2006 Act; and
- (b) in relation to any applicant (including such an undertaking) or connected person of the applicant within the meaning of section 286 of the Taxation of Chargeable Gains Act 1992²

¹ 2006 c.46

² 1992 c.12