## **SCHEDULE**

## PROVIDERS OF SERVICES

## Complaints and investigations

- **5.** In Article 10(3) (complaints made by person other than person aggrieved), after "or other" insert "body or".
  - **6.** In Article 11 (purposes of investigation)—
    - (a) for paragraph (a) substitute—
      - "(a) to ascertain if the matters alleged in the complaint—
        - (i) may properly warrant investigation by him under this Order;
        - (ii) are, in substance, true; and";
    - (b) in paragraph (b)(ii)—
      - (i) after "body concerned" insert ", the general health services provider concerned or the independent provider concerned (as the case may be)";
      - (ii) after "that body" insert "or provider".
- 7.—(1) Article 12 (procedure in respect of investigations) shall be amended in accordance with sub-paragraphs (2) to (4).
  - (2) In paragraph (2)—
    - (a) in sub-paragraph (a)(i) after "body concerned" insert ", the general health services provider concerned or the independent provider concerned (as the case may be)";
    - (b) in sub-paragraph (a) after "that body" insert "or provider";
    - (c) in sub-paragraph (b) after "such body" insert ", provider".
  - (3) In paragraph (10) for "under this Order" substitute "pursuant to a complaint under Article 7".
  - (4) After paragraph (10) add—
    - "(11) An investigation pursuant to a complaint under Article 8 or 8A shall not affect any action taken by the general health services provider or z independent provider concerned, or any power or duty of that provider to take further action with respect to any matters subject to the investigation."
  - 8. In Article 14(3) (obstruction and contempt) after "12(10)" insert "or (11)".
  - 9. For Article 15 (reports on investigations) substitute—

## "Reports on investigations

- **15.**—(1) In any case where the Commissioner conducts an investigation pursuant to a complaint under Article 7 relating to action taken by or on behalf of any body other than a health and social services body, he shall send a report of the results of the investigation—
  - (a) to the person who made the complaint;
  - (b) to the body concerned; and
  - (c) to any person who is alleged in the complaint to have taken or authorised the action complained of or otherwise to be involved in the allegations made in the complaint.

- (2) In any case where the Commissioner conducts an investigation pursuant to a complaint made under Article 7 relating to action taken by or on behalf of a health and social services body, he shall send a report of the results of the investigation—
  - (a) to the person who made the complaint;
  - (b) to the health and social services body which at the time the report is made has the function in relation to which the complaint was made;
  - (c) to any person who is alleged in the complaint to have taken or authorised the action complained of or otherwise to be involved in the allegations made in the complaint.
- (3) In any case where the Commissioner conducts an investigation pursuant to a complaint under Article 8 he shall send a report of the results of the investigation—
  - (a) to the person who made the complaint;
  - (b) to any person by reference to whose action the complaint is made;
  - (c) to the general health services provider concerned (if that provider does not fall within sub-paragraph (b)); and
  - (d) to any health and social services body with whom the general health services provider concerned is subject to an undertaking to provide general health services.
- (4) In any case where the Commissioner conducts an investigation pursuant to a complaint under Article 8A he shall send a report of the results of the investigation—
  - (a) to the person who made the complaint;
  - (b) to any person who is alleged in the complaint to have taken or authorised the action complained of;
  - (c) to the independent provider concerned; and
  - (d) to the health and social services body or general health services provider with whom the independent provider concerned made the arrangement to provide the service concerned.
- (5) In any case where the Commissioner decides not to conduct an investigation pursuant to a complaint under Article 7. 8 or 8A he shall send a statement of his reasons for not conducting an investigation to the person who made the complaint."
- **10.** In Articles 16(1) (compensation) and 17(1) (application to High Court for relief) for "under this Order" substitute "pursuant to a complaint under Article 7".