Commission Regulation (EC) No 407/2009 of 14 May 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein

COMMISSION REGULATION (EC) No 407/2009

of 14 May 2009

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THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein⁽¹⁾, and in particular Article 19(3) thereof,

Whereas:

- (1) Regulation (EC) No 338/97 lists animal and plant species in respect of which trade is restricted or controlled. Those lists incorporate the lists set out in the Appendices to the Convention on International Trade in Endangered Species of Wild Fauna and Flora, hereinafter 'the CITES Convention'.
- (2) The following species have been added to Appendix III to the CITES Convention at the request of China: *Corallium elatius, Corallium japonicum, Corallium konjoi* and *Corallium secundum.*
- (3) The species Crax daubentoni, Crax globulosa, Crax rubra, Ortalis vetula, Pauxi pauxi, Penelopina nigra, Arborophila campbelli, Arborophila charltonii, Lophura erythrophthalma, Lophura ignita, Semnornis ramphastinus, Baillonius bailloni, Pteroglossus castanotis, Ramphastos dicolorus and Selenidera maculirostris which are currently included in Annex B to the Annex to Regulation (EC) No 338/97 are not subject to levels of international trade that might be incompatible with its survival but are included in Appendix III to the CITES Convention at the requests of Colombia, Costa Rica, Guatemala, Honduras, Malaysia and Argentina, and should therefore be transferred from Annex B to Annex C to the Annex to Regulation (EC) No 338/97.
- (4) The species Phyllomedusa sauvagii, Leptodactylus laticeps, Limnonectes macrodon, Rana shqiperica, Ranodon sibiricus, Bolitoglossa dofleini, Cynops ensicauda, Echinotriton andersoni, Pachytriton labiatus, Paramesotriton spp., Salamandra algira and Tylototriton spp. – which are currently not listed in the Annex to Regulation (EC) No 338/97 – are being imported into the Community in such numbers as to warrant monitoring. Those species should therefore be included in Annex D to the Annex to Regulation (EC) No 338/97.
- (5) At the 14th Conference of the Parties to CITES in June 2007 new nomenclatural references for animals were adopted. Some inconsistencies between the CITES

Appendices and the scientific names in those nomenclatural references as regards the species *Asarcornis scutulata* and *Pezoporus occidentalis*, the families Rheobatrachidae and Phasianidae as well as the order Scandentia were discovered. Since those inconsistencies also appear in the Annex to Regulation (EC) No 338/97, it should be adapted accordingly.

- (6) In view of the extent of the amendments it is appropriate, for clarity purposes, to replace the Annex to Regulation (EC) No 338/97 in its entirety.
- (7) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora established pursuant to Article 18 of Regulation (EC) No 338/97,

HAS ADOPTED THIS REGULATION:

Article 1

The Annex to Regulation (EC) No 338/97 is replaced by the text in the Annex to this Regulation.

Article 2

This Regulation shall enter into force on the third day following its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

ANNEX

ANNEX

Notes on interpretation of Annexes A, B, C and D

1.

Species included in these Annexes A, B, C and D are referred to:

(a) by the name of the species; or

(b) as being all of the species included in a higher taxon or designated part thereof.

2.

The abbreviation "spp." is used to denote all species of a higher taxon.

3.

Other references to taxa higher than species are for the purposes of information or classification only.

4.

Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 79/409/EEC ("Birds Directive") or Council Directive 92/43/ EEC ("Habitats Directive").

5.

The following abbreviations are used for plant taxa below the level of species:

- (a) "ssp." is used to denote subspecies;
- (b) "var(s)." is used to denote variety (varieties); and
- (c) "fa" is used to denote forma.

6.

The symbols "(I)", "(II)" and "(III)" placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.

7.

(I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.

8.

(II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.

9.

(III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.

10.

Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.

11.

#1

When a species is included in Annex A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t) of this Regulation, the symbol "#" followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:

Designates all parts and derivatives, except:

| | 0 | |
|----------|----------|---|
| | (a) | seeds, spores and pollen (including pollinia); |
| | (b) | seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; |
| | (c) | cut flowers of artificially propagated plants; and |
| | (d) | fruits and parts and derivatives thereof of artificially propagated plants of the genus <i>Vanilla</i> . |
| #2 | Designat | tes all parts and derivations, except: |
| | (a) | seeds and pollen; and |
| #3 #4 | | finished products packaged and ready for retail trade. tes whole and sliced roots and parts of roots. tes all parts and derivatives, except: |
| | (a) | seeds, except those from Mexican cacti originating in Mexico, and pollen; |
| | (b) | seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; |
| | (c) | cut flowers of artificially propagated plants; |
| | (d) | fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and |
| | (e) | separate stem joints (pads) and parts and derivatives thereof of naturalised or artifically propagated plants of the genus <i>Opuntia</i> subgenus <i>Opuntia</i> . |
| #5 | | tes logs, sawn wood and veneer sheets. |
| #6 #7 | | tes logs, sawn wood, veneer sheets and plywood. tes logs, wood-chips, powder and extracts. |
| | 0 | |

| #8 | Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered. |
|-----|---|
| #9 | Designates all parts and derivatives, except those bearing a label "Produced from <i>Hoodia</i> spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement No BW/NA/ZA xxxxxx" |
| #10 | Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments. |
| #11 | Designates logs, sawn wood, veneer sheets, plywood, powder and extracts |

12.

As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.

13.

Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.

14.

In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

| § 1 | Any whole, or substantially whole, skins, raw or tanned. |
|-----|---|
| § 2 | Any feathers or any skin or other part with feathers on it. |

15.

In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

 § 3 Dried and fresh plants, including, where appropriate; leaves, roots/ rootstock, stems, seeds/spores, bark and fruits.
 § 4 Logs sawn wood and veneer sheets

| | Annex A | Annex B | Annex C | Common name |
|----------------|---|---------|---------|----------------------|
| FAUNA | | I | | |
| CHORDATA (C | CHORDATES) | | | |
| MAMMALIA | | | | Mammals |
| ARTIODACTYL | A | | | |
| Antilocapridae | | | | Pronghorn |
| | Antilocapra americana (I) (Only the | | | Mexican pronghorn |

| | population of Mexico; no other population is included in the Annexes to this Regulation) | | | |
|---------|---|--------------------------------|---|--|
| Bovidae | | | | Antelopes, cattle, duikers, gazelles, goats, sheep etc. |
| | Addax nasomaculatus (I) | | | Addax |
| | | Ammotragus lervia (II) | | Barbary sheep |
| | | | <i>Antilope</i> <i>cervicapra</i> (III Nepal) | Blackbuck |
| | | Bison bison athabascae (II) | | Wood bison |
| | <i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to the provisions of this Regulation) | | | Gaur |
| | <i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to the provisions of this Regulation) | | | Wild yak |
| | Bos sauveli (I) | | | Kouprey |
| | | | Bubalus arnee (III Nepal) (Excludes the domesticated form referenced as Bubalus bubalis, which is not subject to the | Wild Asiatic buffalo |

| | | provisions of this Regulation) | |
|--------------------------------------|---|---|-------------------------|
| Bubalus depressicornis (I) | | | Lowland anoa |
| Bubalus mindorensis (I) | | | Tamarau |
| Bubalus quarlesi (I) | | | Mountain anoa |
| | Budorcas taxicolor (II) | | Takin |
| Capra falconeri (I) | | | Markhor |
| Capricornis milneedwardsii (I) | | | Chinese serow |
| Capricornis rubidus (I) | | | Red serow |
| Capricornis sumatraensis (I) | | | Sumatran serow |
| <i>Capricornis thar</i> (I) | | | Himalayan serow |
| | Cephalophus brookei (II) | | Brooke's duiker |
| | Cephalophus dorsalis (II) | | Bay duiker |
| Cephalophus jentinki (I) | | | Jentink's duiker |
| | Cephalophus ogilbyi (II) | | Ogilby's duiker |
| | Cephalophus silvicultor (II) | | Yellow-backed duiker |
| | Cephalophus zebra (II) | | Zebra duiker |
| | Damaliscus pygargus pygargus (II) | | Bontebok |
| Gazella cuvieri (I) | | | Cuvier's gazelle |
| | | Gazella dorcas (III Algeria/ Tunisia) | Dorcas gazelle |

| Gazella leptoceros (I) | | Slender-horned gazelle |
|----------------------------------|---|--------------------------|
| Hippotragus niger variani (I) | | Giant sable antelope |
| | Kobus leche (II) | Lechwe |
| Naemorhedus baileyi (I) | | Red goral |
| Naemorhedus caudatus (I) | | Long-tailed goral |
| Naemorhedus goral (I) | | Himalayan goral |
| Naemorhedus griseus (I) | | Chinese goral |
| Nanger dama (I) | | Dama gazelle |
| Oryx dammah (I) | | Scimitar-horned oryx |
| Oryx leucoryx (I) | | Arabian oryx |
| | Ovis ammon (II) (Except for the subspecies included in Annex A) | Argali |
| Ovis ammon hodgsonii (I) | | Tibetan argali |
| Ovis ammon nigrimontana (I) | | Kara Tau argali |
| | <i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation) | Mexican bighorn sheep |
| Ovis orientalis ophion (I) | | Cyprus mouflon |
| | Ovis vignei (II) (Except for the subspecies included in Annex A) | Urial |
| Ovis vignei vignei (I) | | Ladakh urial |

| | | 1 | 1 | |
|-----------|---|--|--|----------------------------|
| | Pantholops hodgsonii (I) | | | Chiru |
| | | Philantomba monticola (II) | | Blue duiker |
| | Pseudoryx nghetinhensis (I) | | | Siola |
| | Rupicapra pyrenaica ornata (I) | | | Abruzzo chamois |
| | | Saiga borealis (II) | | Mongolian saiga |
| | | Saiga tatarica (II) | | Steppe saiga |
| | | | <i>Tetracerus quadricornis</i> (III Nepal) | Four-horned antelope |
| Camelidae | | | | Camels, guanaco, vicuña |
| | | Lama glama guanicoe (II) | | Guanaco |
| | <i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Annex B) | <i>Vicugna vicugna</i> (II) (Only the populations of Argentina ^a [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia ^b [the whole population]; Chile ^c [population of the Primera Región]; Peru ^d [the whole population]; all other populations are included in Annex A) | | Vicuña |

| Cervidae | | | | Deer, huemuls, muntjacs, pudus |
|----------|----------------------------------|-----------------------------------|---|--------------------------------------|
| | Axis calamianensis (I) | | | Calamian deer |
| | Axis kuhlii (I) | | | Bawean deer |
| | Axis porcinus annamiticus (I) | | | Indochina hog deer |
| | Blastocerus dichotomus (I) | | | Marsh deer |
| | | Cervus elaphus bactrianus (II) | | Bactrian deer |
| | | | <i>Cervus elaphus</i> <i>barbarus</i> (III Algeria/Tunisia) | Barbary deer |
| | Cervus elaphus hanglu (I) | | | Hangul |
| | Dama dama mesopotamica (I) | | | Persian fallow deer |
| | <i>Hippocamelus</i> spp. (I) | | | Huemuls |
| | | | Mazama temama cerasina (III Guatemala) | Central American red brocket |
| | Muntiacus crinifrons (I) | | | Black muntjac |
| | Muntiacus vuquangensis (I) | | | Giant muntjac |
| | | | Odocoileus virginianus mayensis (III Guatemala) | Guatemalan white-tailed deer |
| | Ozotoceros bezoarticus (I) | | | Pampas deer |
| | | Pudu mephistophiles (II) | | Northern pudu |
| | Pudu puda (I) | | | Southern pudu |
| | Rucervus duvaucelii (I) | | | Barasingha |

| | Rucervus eldii (I) | | Eld's deer |
|----------------|--|---|----------------------------|
| Hippopotamidae | | | Hippopotamuses |
| | | Hexaprotodon liberiensis (II) | Pygmy hippopotamus |
| | | Hippopotamus amphibius (II) | Common hippopotamus |
| Moschidae | | | Musk deer |
| | <i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B) | <i>Moschus</i> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A) | Musk deer |
| Suidae | | | Babirusa, hogs, pigs |
| | Babyrousa babyrussa (I) | | Buru babirusa |
| | Babyrousa bolabatuensis (I) | | Bola Batu babirusa |
| | Babyrousa celebensis (I) | | North Sulawesi babirusa |
| | Babyrousa togeanensis (I) | | Malenge babirusa |
| | Sus salvanius (I) | | Pygmy hog |
| Tayassuidae | | | Peccaries |
| | | Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation) | Peccaries |

| | Catagonus wagneri (I) | | | Chacoan peccary |
|-----------|---|--|------------------------------------|-------------------------|
| CARNIVORA | | | | |
| Ailuridae | | 1 | 1 | |
| | Ailurus fulgens (I) | | | Red panda |
| Canidae | | | | Dogs, foxes, wolves |
| | | | <i>Canis aureus</i> (III India) | Golden jackal |
| | <i>Canis lupus</i> (I/ II) (All populations except those of Spain north of the Duero and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.) | <i>Canis lupus</i> (II) (Populations of Spain north of the Duero and Greece north of the 39th parallel) | | Grey wolf |
| | Canis simensis | | | Ethiopian wolf |
| | | <i>Cerdocyon thous</i> (II) | | Crab-eating fox |
| | | Chrysocyon brachyurus (II) | | Maned wolf |
| | | Cuon alpinus (II) | | Dhole |
| | | Lycalopex culpaeus (II) | | Culpeo |
| | | Lycalopex fulvipes (II) | | Darwin's fox |
| | | Lycalopex griseus (II) | | South American grey fox |
| | | Lycalopex gymnocercus (II) | | Pampas fox |
| | Speothos venaticus (I) | | | Bush dog |

| | | | Vulpes bengalensis (III India) | Bengal fox |
|------------|--|--|--------------------------------------|--|
| | | Vulpes cana (II) | | Blanford's fox |
| | | Vulpes zerda (II) | | Fennec fox |
| Eupleridae | , | 1 | | |
| | | Cryptoprocta ferox (II) | | Fossa |
| | | Eupleres goudotii (II) | | Falanouc |
| | | Fossa fossana (II) | | Malagasy civet |
| Felidae | | | | Cats, cheetahs, leopards, lions, tigers etc. |
| | | Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation) | | Cats |
| | Acinonyx jubatus (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4.1 of this Regulation.) | | | Cheetah |
| | <i>Caracal caracal</i> (I) (Only the population of Asia; all other populations | | | Asian Caracal |

| are included in Annex B) | |
|--|------------------------|
| Catopuma temminckii (I) | Asian golden cat |
| Felis nigripes (I) | Black-footed cat |
| Felis silvestris (II) | Wild cat |
| Leopardus geoffroyi (I) | Geoffroy's cat |
| Leopardus jacobitus (I) | Andean mountain cat |
| Leopardus pardalis (I) | Ocelot |
| Leopardus tigrinus (I) | Oncilla |
| Leopardus wiedii (I) | Margay |
| Lynx lynx (II) | Eurasian lynx |
| Lynx pardinus (I) | Iberian lynx |
| Neofelis nebulosa (I) | Clouded leopard |
| Panthera leo persica (I) | Asiatic lion |
| Panthera onca (I) | Jaguar |
| Panthera pardus (I) | Leopard |
| Panthera tigris (I) | Tiger |
| Pardofelis marmorata (I) | Marbled cat |
| Prionailurusbengalensisbengalensis(I) (Only thepopulations ofBangladesh,India andThailand;all otherpopulationsare included inAnnex B.) | Bengal leopard cat |

| | Prionailurus iriomotensis (II) | | Iriomote cat |
|-------------|---|--|--------------------------|
| | Prionailurus planiceps (I) | | Flat-headed cat |
| | Prionailurus rubiginosus (I) (Only the population of India; all other populations are included in Annex B) | | Rusty-spotted cat |
| | Puma concolor coryi (I) | | Florida cougar |
| | Puma concolor costaricensis (I) | | Costa Rican cougar |
| | Puma concolor couguar (I) | | Eastern cougar |
| | <i>Puma</i> <i>yagouaroundi</i> (I) (Only the populations of Central and North America; all other populations are included in Annex B) | | Jaguarundi |
| | Uncia uncia (I) | | Snow leopard |
| Herpestidae | | | Mongooses |
| | | Herpestes fuscus (III India) | Indian brown mongoose |
| | | Herpestes edwardsi (III India) | Indian grey mongoose |
| | | Herpestes javanicus auropunctatus (III India) | Small Indian mongoose |
| | | Herpestes smithii (III India) | Ruddy mongoose |
| | | Herpestes urva (III India) | Crab-eating mongoose |

| | | | Herpestes vitticollis (III India) | Stripe-necked mongoose |
|------------|---|--|---|--|
| Hyaenidae | | | | Aardwolf, hyenas |
| | | | Proteles cristata (III Botswana) | Aardwolf |
| Mephitidae | | | | Skunks |
| | | Conepatus humboldtii (II) | | Humboldt's hog- nosed skunk |
| Mustelidae | | | | Badgers, martens, weasels etc. |
| Lutrinae | | | | Otters |
| | | Lutrinae spp. (II) (Except for the species included in Annex A) | | Otters |
| | Aonyx capensis microdon (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B) | | | Cameroon clawless otter |
| | Enhydra lutris nereis (I) | | | Southern sea otter |
| | Lontra felina (I) | | | Marine otter |
| | Lontra longicaudis (I) | | | Neotropical otter |
| | Lontra provocax (I) | | | Southern river otter |
| | Lutra lutra (I) | | | European otter |
| | Lutra nippon (I) | | | Japanese otter |
| | Pteronura brasiliensis (I) | | | Giant otter |
| Mustelinae | | | | Grisons, martens, tayra, weasels |
| | | | <i>Eira barbara</i> (III Honduras) | Tayra |

| | | | <i>Galictis vittata</i> (III Costa Rica) | Greater grison |
|-------------|---------------------------------|---|---|----------------------------|
| | | | Martes flavigula (III India) | Yellow-throated marten |
| | | | <i>Martes foina</i> <i>intermedia</i> (III India) | Stone marten |
| | | | <i>Martes</i> <i>gwatkinsii</i> (III India) | Nilgiri marten |
| | | | <i>Mellivora</i> <i>capensis</i> (III Botswana) | Honey badger |
| | Mustela nigripes (I) | | | Black-footed ferret |
| Odobenidae | | | | Walrus |
| | | Odobenus rosmarus (III Canada) | | Walrus |
| Otariidae | | | | Fur seals, sealions |
| | | Arctocephalus spp (II) (Except for the species included in Annex A) | | Fur seals |
| | Arctocephalus philippii (II) | | | Juan Fernández fur seal |
| | Arctocephalus townsendi (I) | | | Guadalupe fur seal |
| Phocidae | | | | Seals |
| | | Mirounga leonina (II) | | Southern elephant seal |
| | Monachus spp. (I) | | | Monk seals |
| Procyonidae | | | | Coatis, olingos |
| | | | Bassaricyon gabbii (III Costa Rica) | Olingo |
| | | | Bassariscus sumichrasti (III Costa Rica) | Cacomistle |

| | | | Nasua narica (III Honduras) | White-nosed coati |
|------------|---|---|---|---|
| | | | Nasua nasua solitaria (III Uruguay) | South Brazilian coati |
| | | | Potos flavus (III Honduras) | Kinkajou |
| Ursidae | | | | Bears |
| | | Ursidae spp. (II) (Except for the species included in Annex A) | | Bears |
| | Ailuropoda melanoleuca (I) | | | Giant panda |
| | Helarctos malayanus (I) | | | Sun bear |
| | Melursus ursinus (I) | | | Sloth bear |
| | Tremarctos ornatus (I) | | | Spectacled bear |
| | Ursus arctos (I/ II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies Ursus arctos isabellinus are listed in Appendix I; all other populations and subspecies are listed in Appendix II). | | | Brown bear |
| | Ursus thibetanus (I) | | | Asian black bear |
| Viverridae | | | | Binturong, civets, linsangs, otter-civet, palm civet |
| | | | Arctictis binturong (III India) | Binturong |

| | | | <i>Civettictis civetta</i> (III Botswana) | African civet |
|-------------------|-------------------------------------|---|--|--|
| | | Cynogale bennettii (II) | | Otter civet |
| | | Hemigalus derbyanus (II) | | Banded palm civet |
| | | | Paguma larvata (III India) | Masked palm civet |
| | | | Paradoxurus hermaphroditus (III India) | Asian palm civet |
| | | | Paradoxurus jerdoni (III India) | Jerdon's palm civet |
| | | Prionodon linsang (II) | | Banded linsang |
| | Prionodon pardicolor (I) | | | Spotted linsang |
| | | | Viverra civettina (III India) | Malabar large- spotted civet |
| | | | Viverra zibetha (III India) | Large Indian civet |
| | | | Viverricula indica (III India) | Small Indian civet |
| CETACEA | | | | Cetaceans (dolphins, porpoises, whales) |
| | CETACEA spp. (I/II) ^e | | | Cetaceans |
| CHIROPTERA | | | | |
| Phyllostomidae | | | | Broad-nosed bats |
| | | | Platyrrhinus lineatus (III Uruguay) | White-lined bat |
| Pteropodidae | | | | Fruit bats, flying foxes |
| | | Acerodon spp. (II) (Except for the species included in Annex A) | | Flying foxes |

| | Acerodon jubatus (I) | | | Golden-capped fruit bat |
|-------------|-------------------------------|---|-----------|----------------------------|
| | | Pteropus spp. (II) (Except for the species included in Annex A) | | Flying foxes |
| | Pteropus insularis (I) | | | Ruck flying fox |
| | Pteropus livingstonii (II) | | | Comoro flying fox |
| | Pteropus loochoensis (I) | | | Japanese flying fox |
| | Pteropus mariannus (I) | | | Marianas flying fox |
| | Pteropus molossinus (I) | | | Caroline flying fox |
| | Pteropus pelewensis (I) | | | Pelew flying fox |
| | <i>Pteropus pilosus</i> (I) | | | Large Pelew flying fox |
| | Pteropus rodricensis (II) | | | Rodrigues flying fox |
| | Pteropus samoensis (I) | | | Samoan flying fox |
| | Pteropus tonganus (I) | | | Pacific flying fox |
| | Pteropus ualanus (I) | | | Kosrae flying fox |
| | Pteropus voeltzkowi (II) | | | Pemba flying fox |
| | Pteropus yapensis (I) | | | Yap flying fox |
| CINGULATA | | , I | | , |
| Dasypodidae | | | | Armadillos |
| | | | Cabassous | Northern naked- |

| | | | Armadillos |
|--|-----------------------------------|--|-------------------------------------|
| | | <i>Cabassous</i> <i>centralis</i> (III Costa Rica) | Northern naked- tailed armadillo |
| | | Cabassous tatouay (III Uruguay) | Greater naked- tailed armadillo |
| | Chaetophractus nationi (II) (A | | Andean hairy armadillo |

| | Priodontes | zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly) | Giant armadillo |
|---------------|---|---|--|
| DASYUROMO | maximus (I) | | |
| Dasyuridae | | | Dunnarts, marsupial mice, planigales |
| | Sminthopsis longicaudata (I) | | Long-tailed dunnart |
| | Sminthopsis psammophila (I) | | Sandhill dunnart |
| Thylacinidae | | | Tasmanian wolf, thylacine |
| | <i>Thylacinus</i> <i>cynocephalus</i> (possibly extinct) (I) | | Thylacine |
| DIPROTODON | TIA | | |
| Macropodidae | | | Kangaroos, wallabies |
| | | Dendrolagus inustus (II) | Grizzled tree- kangaroo |
| | | Dendrolagus ursinus (II) | Ursine tree- kangaroo |
| | Lagorchestes hirsutus (I) | | Rufous hare- wallaby |
| | Lagostrophus fasciatus (I) | | Banded hare- wallaby |
| | Onychogalea fraenata (I) | | Bridled nail-tail wallaby |
| | Onychogalea lunata (I) | | Crescent nail-tail wallaby |
| Phalangeridae | | | Cuscus |

| | | Phalanger intercastellanus (II) | Eastern common cuscus |
|----------------|--|---------------------------------------|---------------------------------|
| | | Phalanger mimicus (II) | Southern common cuscus |
| | | Phalanger orientalis (II) | Northern common cuscus |
| | | Spilocuscus kraemeri (II) | Admiralty Island |
| | | Spilocuscus maculatus (II) | Common spotted cuscus |
| | | Spilocuscus papuensis (II) | Waigeou cuscus |
| Potoroidae | | | Rat-kangaroos |
| | Bettongia spp. (I) | | Bettongs |
| | <i>Caloprymnus</i> <i>campestris</i> (possibly extinct) (I) | | Desert rat- kangaroo |
| Vombatidae | | | Wombats |
| | Lasiorhinus krefftii (I) | | Northern hairy- nosed wombat |
| LAGOMORPHA | 4 | | |
| Leporidae | | | Hares, rabbits |
| | Caprolagus hispidus (I) | | Hispid hare |
| | Romerolagus diazi (I) | | Volcano rabbit |
| MONOTREMA | TA | | I |
| Tachyglossidae | | | Echidnas, spiny anteaters |
| | | Zaglossus spp. (II) | Long-beaked echidnas |
| PERAMELEMO | ORPHIA | | |
| Chaeropodidae | | | Bandicoots |
| | <i>Chaeropus</i> <i>ecaudatus</i> (possibly extinct) (I) | | Pig-footed bandicoot |
| Peramelidae | | | |

| | Perameles bougainville (I) | | Western barred bandicoot |
|----------------|--|--------------------------------|----------------------------|
| Thylacomyidae | 0 0 | | |
| | Macrotis lagotis (I) | | Greater bilby |
| | Macrotis leucura (I) | | Lesser bilby |
| PERISSODACT | YLA | | |
| Equidae | | | Horses, wild asses, zebras |
| | <i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to the provisions of this Regulation) | | African ass |
| | Equus grevyi (I) | | Grévy's zebra |
| | <i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus</i> <i>hemionus</i> and <i>Equus hemionus</i> <i>khur</i> are listed in Appendix I) | | Asiatic wild ass |
| | Equus kiang (II) | | Kiang |
| | Equus przewalskii (I) | | Przewalski's horse |
| | | Equus zebra hartmannae (II) | Hartmann's mountain zebra |
| | Equus zebra zebra (I) | | Cape mountain zebra |
| Rhinocerotidae | | | Rhinoceroses |
| | Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B) | | Rhinoceroses |

| Tapiridae | Tapiridae spp. | Ceratotherium simum simumsimum simum(II) (Only the populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly) | Southern white rhinoceros |
|----------------------|---|--|------------------------------|
| | (I) (Except for the species included in Annex B) | | |
| | | Tapirus terrestris (II) | South American tapir |
| PHOLIDOTA Manidae | | | Pangolins |
| 171AIIIUÄC | | Manis spp. (II) | Pangolins |
| | | Manis spp. (II) (A zero annual export quota has been established for Manis crassicaudata, Manis culionensis, Manis javanica | rangonns |

| | | and <i>Manis</i> <i>pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes) | | |
|----------------|------------------------------------|--|--|--------------------------------|
| PILOSA | | 1 | 1 | |
| Bradypodidae | | | | Three-toed sloths |
| | | Bradypus variegatus (II) | | Brown-throated sloth |
| Megalonychidae | | | | Two-toed sloth |
| | | | <i>Choloepus</i> <i>hoffmanni</i> (III Costa Rica) | Hoffmann's two- toed sloth |
| Myrmecophagid | ae | | | American anteaters |
| | | Myrmecophaga tridactyla (II) | | Giant anteater |
| | | | <i>Tamandua</i> <i>mexicana</i> (III Guatemala) | Northern tamandua |
| PRIMATES | | | | Primates (apes and monkeys) |
| | | PRIMATES spp. (II) (Except for the species included in Annex A) | | Primates |
| Atelidae | | | | Howlers, spider monkeys |
| | Alouatta coibensis (I) | | | Coiba Island howler |
| | Alouatta palliata (I) | | | Mantled howler |
| | Alouatta pigra (I) | | | Guatemalan black howler |
| | Ateles geoffroyi frontatus (I) | | | Black-browed spider monkey |
| | Ateles geoffroyi panamensis (I) | | | Red spider monkey |

| | Brachyteles arachnoides (I) | Southern muriqui |
|-----------------|--------------------------------|---|
| | Brachyteles hypoxanthus (I) | |
| | Oreonax flavicauda (I) | Yellow-tailed woolly monkey |
| Cebidae | | Marmosets, tamarins, New- world monkeys |
| | Callimico goeldii (I) | Goeldi's marmoset |
| | Callithrix aurita (I) | Buffy-tufted marmoset |
| | Callithrix flaviceps (I) | Buffy-headed marmoset |
| | Leontopithecus spp. (I) | Lion tamarins |
| | Saguinus bicolor (I) | Pied tamarin |
| | Saguinus geoffroyi (I) | Geoffroy's tamarin |
| | Saguinus leucopus (I) | White-footed tamarin |
| | Saguinus martinsi (I) | |
| | Saguinus oedipus (I) | Cottontop tamarin |
| | Saimiri oerstedii (I) | Central American squirrel monkey |
| Cercopithecidae | | Old-world monkeys |
| | Cercocebus galeritus (I) | Tana River mangabey |
| | Cercopithecus diana (I) | Diana monkey |
| | Cercopithecus roloway (I) | Roloway monkey |
| | Cercopithecus solatus (II) | Sun-tailed monkey |
| | Colobus satanas (II) | Black colobus |

| Macaca silenus (I) | Lion-tailed macaque |
|-----------------------------------|--------------------------------|
| Mandrillus leucophaeus (I) | Drill |
| Mandrillus sphinx (I) | Mandrill |
| Nasalis larvatus (I) | Proboscis monkey |
| Piliocolobus foai (II) | Central African red colobus |
| Piliocolobus gordonorum (II) | Uzungwa red colobus |
| Piliocolobus kirkii (I) | Zanzibar red colobus |
| Piliocolobus pennantii (II) | Pennant's red colobus |
| Piliocolobus preussi (II) | Preuss's red colobus |
| Piliocolobus rufomitratus (I) | Tana River red colobus |
| Piliocolobus tephrosceles (II) | Ugandan red colobus |
| Piliocolobus tholloni (II) | Thollon's red colobus |
| Presbytis potenziani (I) | Mentawai langur |
| Pygathrix spp. (I) | Douc langurs |
| Rhinopithecusspp. (I) | Snub-nosed monkeys |
| Semnopithecus ajax (I) | Kashmir grey langur |
| Semnopithecus dussumieri (I) | Southern Plains grey langur |
| Semnopithecus entellus (I) | Northern Plains grey langur |
| Semnopithecus hector (I) | Tarai grey langur |
| Semnopithecus hypoleucos (I) | Black-footed grey langur |

| | Semnopithecus priam (I) | Tufted grey langur |
|----------------|---|--|
| | Semnopithecus schistaceus (I) | Nepal grey langur |
| | Simias concolor (I) | Simakobou |
| | Trachypithecus delacouri (II) | Delacour's langur |
| | Trachypithecus francoisi (II) | François's langur |
| | Trachypithecus geei (I) | Gee's golden langur |
| | Trachypithecus hatinhensis (II) | Hatinh langur |
| | Trachypithecus johnii (II) | Nilgiri langur |
| | Trachypithecus laotum (II) | Laotian langur |
| | Trachypithecus pileatus (I) | Capped langur |
| | Trachypithecus poliocephalus (II) | White-headed langur |
| | Trachypithecus shortridgei (I) | Shortridge's langur |
| Cheirogaleidae | | Dwarf lemurs and mouse- lemurs |
| | <i>Cheirogaleidae</i> spp. (I) | Dwarf lemurs and mouse lemurs |
| Daubentoniidae | | Aye-aye |
| | Daubentonia madagascariensis (I) | Aye-aye |
| Hominidae | | Chimpanzees, gorillas, orang- utan |
| | Gorilla beringei (I) | Eastern gorilla |
| | Gorilla gorilla (I) | Western gorilla |

| | Pan spp. (I) | Chimpanzee and bonobo |
|---------------|--------------------------------------|--|
| | Pongo abelii (I) | Sumatran orangutan |
| | Pongo pygmaeus (I) | Bornean orangutan |
| Hylobatidae | | Gibbons |
| | Hylobatidae spp. (I) | Gibbons |
| Indriidae | | Indri, sifakas and woolly lemurs |
| | Indriidae spp. (I) | Indri, sifakas and woolly lemurs |
| Lemuridae | | Large lemurs |
| | <i>Lemuridae</i> spp. (I) | Large lemurs |
| Lepilemuridae | | Sportive lemurs |
| | Lepilemuridae spp. (I) | Sportive lemurs |
| Lorisidae | | Lorises |
| | Nycticebus spp. (I) | Slow lorises |
| Pitheciidae | | Uacaris, titis, sakis |
| | Cacajao spp. (I) | Uacaris |
| | Callicebus barbarabrownae (II) | Barbara Brown's Titi |
| | Callicebus melanochir (II) | Coastal Black- handed Titi |
| | Callicebus nigrifrons (II) | Black-fronted Titi |
| | Callicebus personatus (II) | Atlantic titi |
| | Chiropotes albinasus (I) | White-nosed saki |
| Tarsiidae | | Tarsiers |
| | Tarsius spp. (II) | Tarsiers |
| PROBOSCIDE | 4 | |

| Elephantidae | | | | Elephants |
|----------------|--|--|---|-------------------------------------|
| | Elephas maximus (I) | | | Asian elephant |
| | <i>Loxodonta</i> <i>africana</i> (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B) | <i>Loxodonta</i> <i>africana</i> (II) (Only the populations of Botswana, Namibia, South Africa and Zimbabwe ^f ; all other populations are included in Annex A) | | African elephant |
| RODENTIA | | | | |
| Chinchillidae | | | | Chinchillas |
| | <i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to the provisions of this Regulation) | | | Chinchillas |
| Cuniculidae | | | | Pacas |
| | | | <i>Cuniculus paca</i> (III Honduras) | Lowland paca |
| Dasyproctidae | | | | Agoutis |
| | | | Dasyprocta punctata (III Honduras) | Central American agouti |
| Erethizontidae | | | | New-world porcupines |
| | | | Sphiggurus mexicanus (III Honduras) | Mexican hairy dwarf porcupine |
| | | | Sphiggurus spinosus (III Uruguay) | Paraguaian hairy dwarf porcupine |
| Hystricidae | | | | Old-world porcupines |
| | Hystrix cristata | | | Crested porcupine |

| Muridae | | | | Mice, rats |
|--------------|---|-------------------------|---|--|
| | Leporillus conditor (I) | | | Greater stick- nest rat |
| | Pseudomys fieldi praeconis (I) | | | Shark Bay mouse |
| | Xeromys myoides (I) | | | False water rat |
| | Zyzomys pedunculatus (I) | | | Central Australian rock rat |
| Sciuridae | | | | Ground squirrels, tree squirrels |
| | Cynomys mexicanus (I) | | | Mexican prairie dog |
| | | | <i>Marmota</i> <i>caudata</i> (III India) | Long-tailed marmot |
| | | | Marmota himalayana (III India) | Himalayan marmot |
| | | Ratufa spp. (II) | | Giant squirrels |
| | | | <i>Sciurus deppei</i> (III Costa Rica) | Deppe's squirrel |
| SCANDENTIA | I | | | |
| | | SCANDENTIA spp. (II) | | Tree shrews |
| SIRENIA | 1 | 1 | | |
| Dugongidae | | | | Dugong |
| | Dugong dugon (I) | | | Dugong |
| Trichechidae | | | | Manatees |
| | Trichechidae spp. (I/II) (<i>Trichechus</i> <i>inunguis</i> and <i>Trichechus</i> <i>manatus</i> are listed in Appendix I. <i>Trichechus</i> <i>senegalensis</i> is listed in Appendix II.) | | | Manatees |

| AVES | | | | Birds |
|----------|---|---------------------------------|---|-----------------------------|
| ANSERIFO | RMES | 1 | | |
| Anatidae | | | | Ducks, geese, swans etc. |
| | Anas aucklandica (I) | | | Auckland Islands teal |
| | | Anas bernieri (II) | | Madagascar teal |
| | Anas chlorotis (I) | | | Brown teal |
| | | Anas formosa (II) | | Baikal teal |
| | Anas laysanensis (I) | | | Laysan duck |
| | Anas nesiotis (I) | | | Campbell Island teal |
| | Anas oustaleti (I) | | | Marianas mallard |
| | Anas querquedula | | | Garganey |
| | Asarcornis scutulata (I) | | | White-winged duck |
| | Aythya innotata | | | Madagascar pochard |
| | Aythya nyroca | | | Ferruginous duck |
| | Branta canadensis leucopareia (I) | | | Aleutian goose |
| | Branta ruficollis (II) | | | Red-breasted goose |
| | Branta sandvicensis (I) | | | Nene |
| | | | <i>Cairina</i> <i>moschata</i> (III Honduras) | Muscovy duck |
| | | Coscoroba coscoroba (II) | | Coscoroba swan |
| | | Cygnus melancoryphus (II) | | Black-necked swan |

| | | Dendrocygna arborea (II) | | West Indian whistling-duck |
|--------------------------|---|--|---|---------------------------------|
| | | | <i>Dendrocygna</i> <i>autumnalis</i> (III Honduras) | Black-bellied whistling-duck |
| | | | Dendrocygna bicolor (III Honduras) | Fulvous whistling-duck |
| | Mergus octosetaceus | | | Brazilian merganser |
| | | Oxyura jamaicensis | | Ruddy duck |
| | Oxyura leucocephala (II) | | | White-headed duck |
| | Rhodonessa caryophyllacea (possibly extinct) (I) | | | Pink-headed duck |
| | | Sarkidiornis melanotos (II) | | Comb duck |
| | Tadorna cristata | | | Crested shelduck |
| APODIFORM Trochilidae | ES | | | Hummingbirds |
| Irociniuae | | | | |
| | | Trochilidae spp. (II) (Except for the species included in Annex A) | | Hummingbirds |
| | <i>Glaucis dohrnii</i> (I) | | | Hook-billed hermit |
| CHARADRIIF | ORMES | Ι | | |
| Burhinidae | | | | Thick-knees |
| | | | <i>Burhinus</i> <i>bistriatus</i> (III Guatemala) | Double-striped thick-knee |
| Laridae | | | | Gulls, terns |
| | Larus relictus (I) | | | Relict gull |
| Scolopacidae | | | | Curlews, greenshanks |
| | Numenius borealis (I) | | | Eskimo curlew |

| | Numenius tenuirostris (I) | | Slender-billed curlew |
|------------------|---|---|----------------------------------|
| | <i>Tringa guttifer</i> (I) | | Nordmann's greenshank |
| CICONIIFORM | ES | 1 1 | |
| Ardeidae | | | Egrets, herons |
| | Ardea alba | | Great egret |
| | Bubulcus ibis | | Cattle egret |
| | Egretta garzetta | | Little egret |
| Balaenicipitidae | | | Shoebill, whale- headed stork |
| | | Balaeniceps rex (II) | Shoebill |
| Ciconiidae | | | Storks |
| | Ciconia boyciana (I) | | Oriental stork |
| | Ciconia nigra (II) | | Black stork |
| | Ciconia stormi | | Storm's stork |
| | Jabiru mycteria (I) | | Jabiru |
| | Leptoptilos dubius | | Greater adjutant stork |
| | <i>Mycteria cinerea</i> (I) | | Milky stork |
| Phoenicopterida | 2 | | Flamingos |
| | | Phoenicopteridae spp. (II) (Except for the species included in Annex A) | Flamingos |
| | Phoenicopterus ruber (II) | | Greater flamingo |
| Threskiornithida | e | | Ibises, spoonbills |
| | | Eudocimus ruber (II) | Scarlet ibis |
| | <i>Geronticus</i> <i>calvus</i> (II) | | Bald ibis |
| | Geronticus eremita (I) | | Waldrapp |

| | Nipponia nippon (I) | | | Crested ibis |
|-------------|-----------------------------|---|------------------------------------|--------------------------------|
| | Platalea leucorodia (II) | | | Eurasian spoonbill |
| | Pseudibis gigantean | | | Giant ibis |
| COLUMBIFO | RMES | l. | | I |
| Columbidae | | | | Doves, pigeons |
| | Caloenas nicobarica (I) | | | Nicobar pigeon |
| | Claravis godefrida | | | Purple-winged ground-dove |
| | Columba livia | | | Rock pigeon |
| | Ducula mindorensis (I) | | | Mindoro zone- tailed pigeon |
| | | Gallicolumba luzonica (II) | | Luzon bleeding- heart |
| | | Goura spp. (II) | | Crowned- pigeons |
| | Leptotila wellsi | | | Grenada dove |
| | | | Nesoenas mayeri (III Mauritius) | Pink pigeon |
| | Streptopelia turtur | | | European turtle- dove |
| CORACIIFOR | RMES | | 1 | l |
| Bucerotidae | | | | Hornbills |
| | | Aceros spp. (II) (Except for the species included in Annex A) | | Hornbills |
| | Aceros nipalensis (I) | | | Rufous-necked hornbill |
| | | Anorrhinus spp. (II) | | Hornbills |
| | | Anthracoceros spp. (II) | | Hornbills |
| | | Berenicornis spp. (II) | | Hornbills |
| | | Buceros spp. (II) (Except for the species included in Annex A) | | Hornbills |

| | Buceros bicornis (I) | | Great hornbill |
|--------------|---------------------------------|---|---|
| | | Penelopides spp. (II) | Hornbills |
| | Rhinoplax vigil (I) | | Helmeted hornbill |
| | | Rhyticeros spp.(II) (Exceptfor the speciesincluded inAnnex A) | Hornbills |
| | Rhyticeros subruficollis (I) | | Plain-pouched hornbill |
| CUCULIFORM | ES | | |
| Musophagidae | | | Turacos |
| | | Tauraco spp. (II) (Except for the species included in Annex A) | Turacos |
| | Tauraco bannermani (II) | | Bannerman's turaco |
| FALCONIFORM | ES | | Diurnal birds of prey (eagles, falcons, hawks, vultures) |
| | | FALCONIFORMES spp. (II) (Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation) | Diurnal birds of prey |
| Accipitridae | | | Hawks, eagles |
| | Accipiter brevipes (II) | | Levant sparrowhawk |
| | Accipiter gentilis (II) | | Northern goshawk |

| Accipiter nisus (II) | Eurasian sparrowhawk |
|--|-----------------------------|
| Aegypius monachus (II) | Cinereous vulture |
| Aquila adalberti (I) | Adalbert's eagle |
| Aquila chrysaetos (II) | Golden eagle |
| Aquila clanga (II) | Greater spotted eagle |
| Aquila heliaca (I) | Imperial eagle |
| Aquila pomarina (II) | Lesser spotted eagle |
| Buteo buteo (II) | Common buzzard |
| Buteo lagopus (II) | Rough-legged buzzard |
| Buteo rufinus (II) | Long-legged buzzard |
| Chondrohierax uncinatus wilsonii (I) | Cuban hook- billed kite |
| Circaetus gallicus (II) | Short-toed snake-eagle |
| Circus aeruginosus (II) | Western marsh- harrier |
| Circus cyaneus (II) | Northern harrier |
| Circus macrourus (II) | Pallid harrier |
| Circus pygargus (II) | Montagu's harrier |
| Elanus caeruleus (II) | Black-winged kite |
| Eutriorchis astur (II) | Madagascar serpent-eagle |
| Gypaetus barbatus (II) | Lammergeier |
| Gyps fulvus (II) | Eurasian griffon |
| Haliaeetus spp. (I/II) (Haliaeetus | Sea-eagles |

| | <i>albicilla</i> is listed in Appendix I; the other species are listed in Appendix II) | | |
|-------------|--|---|----------------------------|
| | Harpia harpyja (I) | | Harpy eagle |
| | Hieraaetus fasciatus (II) | | Bonelli's eagle |
| | Hieraaetus pennatus (II) | | Booted eagle |
| | Leucopternis occidentalis (II) | | Grey-backed hawk |
| | Milvus migrans (II) | | Black kite |
| | Milvus milvus (II) | | Red kite |
| | Neophron percnopterus (II) | | Egyptian vulture |
| | Pernis apivorus (II) | | European honey- buzzard |
| | Pithecophaga jefferyi (I) | | Great Philippine eagle |
| Cathartidae | | | New world vultures |
| | <i>Gymnogyps</i> californianus (I) | | California condor |
| | | <i>Sarcoramphus papa</i> (III Honduras) | King vulture |
| | Vultur gryphus (I) | | Andean condor |
| Falconidae | | | Falcons |
| | Falco araeus (I) | | Seychelles kestrel |
| | Falco biarmicus (II) | | Lanner falcon |
| | Falco cherrug (II) | | Saker falcon |
| | Falco columbarius (II) | | Merlin |

| Changes to legislation: There are currently no known outstanding effects for the |
|--|
| Commission Regulation (EC) No 407/2009. (See end of Document for details) |

| | Falco eleonorae (II) | Eleonora's falcon |
|-------------|---|----------------------|
| | Falco jugger (I) | Laggar falcon |
| | Falco naumanni (II) | Lesser kestrel |
| | <i>Falco newtoni</i> (I) (Only the population of the Seychelles) | Newton's kestrel |
| | Falco pelegrinoides (I) | Barbary falcon |
| | Falco peregrinus (I) | Peregrine falcon |
| | Falco punctatus (I) | Mauritius kestrel |
| | Falco rusticolus (I) | Gyrfalcon |
| | Falco subbuteo (II) | Eurasian hobby |
| | Falco tinnunculus (II) | Common kestrel |
| | Falco vespertinus (II) | Red-footed falcon |
| Pandionidae | | Ospreys |
| | Pandion haliaetus (II) | Osprey |

GALLIFORMES

| 0 | • • | |
|-----|-----|----|
| Cra | CIC | ae |
| Ulu | ulu | uu |

| | Crax fasciolata | | Bare-faced Curassow |
|---------------------------------------|-----------------|---|----------------------------|
| <i>Crax alberti</i> (III Colombia) | | | Blue-knobbed curassow |
| Crax blumenbachii (I) | | | Red-billed curassow |
| | | Crax daubentoni (III Colombia) | Yellow-knobbed curassow |
| | | <i>Crax globulosa</i> (III Colombia) | Wattled curassow |
| | | <i>Crax rubra</i> (III Colombia, Costa Rica, Guatemala and Honduras) | Great currasow |

| | Mitu mitu (I) | | | Alagoas curassow |
|--------------|-----------------------------|---|--|--|
| | Oreophasis derbianus (I) | | | Horned guan |
| | | [^{XI} Ortalis vetula (III Guatemala/ Honduras)] | <i>[^{x2}Ortalis vetula</i> (III Guatemala/ Honduras)] | Plain chachalaca |
| | | [^{x1} Pauxi pauxi (III Colombia)] | [^{x2} Pauxi pauxi (III Colombia)] | Helmeted curassow |
| | Penelope albipennis (I) | | | White-winged guan |
| | | | Penelope purpurascens (III Honduras) | Crested guan |
| | | | Penelopina nigra (III Guatemala) | Highland guan |
| | <i>Pipile jacutinga</i> (I) | | | Black-fronted piping guan |
| | Pipile pipile (I) | | | Trinidad piping guan |
| Megapodiidae | | | | Megapodes, scrubfowl |
| | Macrocephalon maleo (I) | | | Maleo |
| Phasianidae | | | | Grouse, guineafowl, partridges, pheasants, tragopans |
| | | | <i>Arborophila</i> <i>campbelli</i> (III Malaysia) | Grey-breasted partridge |
| | | | Arborophila charltonii (III Malaysia) | Chestnut- necklaced partridge |
| | | Argusianus argus (II) | | Great argus |
| | | | <i>Caloperdix</i> <i>oculeus</i> (III Malaysia) | Ferruginous partridge |
| | Catreus wallichii (I) | | | Cheer pheasant |

| Colinus virginianus ridgwayi (I) | | | Masked bobwhite |
|--|----------------------------|--|--------------------------|
| Crossoptilon crossoptilon (I) | | | White eared- pheasant |
| Crossoptilon mantchuricum (I) | | | Brown eared- pheasant |
| | Gallus sonneratii (II) | | Grey junglefowl |
| | Ithaginis cruentus (II) | | Blood pheasant |
| Lophophorus impejanus (I) | | | Himalayan monal |
| Lophophorus lhuysii (I) | | | Chinese monal |
| Lophophorus sclateri (I) | | | Sclater's monal |
| Lophura edwardsi (I) | | | Edwards' pheasant |
| | | Lophura erythrophthalma (III Malaysia) | Crestless fireback |
| | Lophura hatinhensis | | Vietnamese fireback |
| | | Lophura ignita (III Malaysia) | Crested fireback |
| Lophura imperialis (I) | | | Imperial pheasant |
| Lophura swinhoii (I) | | | Swinhoe's pheasant |
| | | <i>Melanoperdix</i> <i>niger</i> (III Malaysia) | Black partridge |
| | | <i>Meleagris</i> <i>ocellata</i> (III Guatemala) | Ocellated turkey |
| Odontophorus strophium | | | Gorgeted wood- quail |
| Ophrysia superciliosa | | | Himalayan quail |

| | Pavo muticus (II) | | Green peafowl |
|--------------------------------|---|--|-----------------------------------|
| | Polyplectron bicalcaratum (II) | | Grey peacock- pheasant |
| | Polyplectron germaini (II) | | Germain's peacock- pheasant |
| | | Polyplectron inopinatum (III Malaysia) | Mountain peacock- pheasant |
| | Polyplectron malacense (II) | | Malayan peacock- pheasant |
| Polyplectron napoleonis (I) | | | Palawan peacock- pheasant |
| | Polyplectron schleiermacheri (II) | | Bornean peacock- pheasant |
| Rheinardia ocellata (I) | | | Crested argus |
| | | <i>Rhizothera dulitensis</i> (III Malaysia) | Hose's partridge |
| | | <i>Rhizothera</i> <i>longirostris</i> (III Malaysia) | Long-billed partridge |
| | | <i>Rollulus rouloul</i> (III Malaysia) | Crested partridge |
| Syrmaticus ellioti (I) | | | Elliot's pheasant |
| Syrmaticus humiae (I) | | | Hume's pheasant |
| Syrmaticus mikado (I) | | | Mikado pheasant |
| Tetraogallus caspius (I) | | | Caspian snowcock |
| Tetraogallus tibetanus (I) | | | Tibetan snowcock |
| <i>Tragopan blythii</i> (I) | | | Blyth's tragopan |
| <i>Tragopan caboti</i> (I) | | | Cabot's tragopan |

| | Tragopan melanocephalus (I) | | | Western tragopan |
|----------|---|--|------------------------------------|----------------------------|
| | | | <i>Tragopan satyra</i> (III Nepal) | Satyr tragopan |
| | <i>Tympanuchus</i> <i>cupido attwateri</i> (I) | | | Attwater's prairie-chicken |
| GRUIFORM | ES | 1 | 1 | I |
| Gruidae | | | | Cranes |
| | | Gruidae spp. (II) (Except for the species included in Annex A) | | Cranes |
| | Grus americana (I) | | | Whooping crane |
| | Grus canadensis (I/II) (The species is listed in Appendix II but subspecies Grus canadensis nesiotes and Grus canadensis pulla are listed in Appendix I) | | | Sandhill crane |
| | Grus grus (II) | | | Common crane |
| | <i>Grus japonensis</i> (I) | | | Red-crowned crane |
| | Grus leucogeranus (I) | | | Siberian crane |
| | Grus monacha (I) | | | Hooded crane |
| | Grus nigricollis (I) | | | Black-necked crane |
| | Grus vipio (I) | | | White-necked crane |
| Otididae | | | | Bustards |
| | | Otididae spp. (II) (Except for the species included in Annex A) | | Bustards |
| | Ardeotis nigriceps (I) | | | Indian bustard |

| | Chlamydotis macqueenii (I) | | | Macqueen's bustard |
|-----------------|--------------------------------|------------------------------|--|-------------------------------|
| | Chlamydotis undulata (I) | | | Houbara bustard |
| | Houbaropsis bengalensis (I) | | | Bengal florican |
| | Otis tarda (II) | | | Great bustard |
| | Sypheotides indicus (II) | | | Lesser florican |
| | Tetrax tetrax (II) | | | Little bustard |
| Rallidae | | | | Coots, rails |
| | Gallirallus sylvestris (I) | | | Lord Howe rail |
| Rhynochetidae | | | | Kagu |
| | Rhynochetos jubatus (I) | | | Kagu |
| PASSERIFORM | | | | |
| Atrichornithida | 2 | | | Scrub-birds |
| | Atrichornis clamosus (I) | | | Noisy scrub-bird |
| Cotingidae | | | | Cotingas |
| | | | <i>Cephalopterus</i> <i>ornatus</i> (III Colombia) | Amazonian umbrella bird |
| | | | <i>Cephalopterus penduliger</i> (III Colombia) | Long-wattled umbrella bird |
| | Cotinga maculata (I) | | | Banded cotinga |
| | | <i>Rupicola</i> spp. (II) | | Cocks-of-the- rock |
| | Xipholena atropurpurea (I) | | | White-winged cotinga |
| Emberizidae | | | | Cardinals, tanagers |
| | | Gubernatrix cristata (II) | | Yellow cardinal |
| | | Paroaria capitata (II) | | Yellow-billed cardinal |
| | | Paroaria coronata (II) | | Red-crested cardinal |

| | | Tangara fastuosa (II) | Seven-coloured tanager |
|--------------|---|--------------------------------|-----------------------------------|
| Estrildidae | | | Mannikins, waxbills |
| | | Amandava formosa (II) | Green avadavat |
| | | Lonchura fuscata | Timor sparrow |
| | | Lonchura oryzivora (II) | Java sparrow |
| | | Poephila cincta cincta (II) | Southern black- throated finch |
| Fringillidae | | | Finches |
| | Carduelis cucullata (I) | | Red siskin |
| | | Carduelis yarrellii (II) | Yellow-faced siskin |
| Hirundinidae | | | Martins |
| | Pseudochelidon sirintarae (I) | | White-eyed river-martin |
| Icteridae | | | New-world blackbirds |
| | Xanthopsar flavus (I) | | Saffron-cowled blackbird |
| Meliphagidae | | | Honey-eaters |
| | Lichenostomus melanops cassidix (I) | | Helmeted honeyeater |
| Muscicapidae | | | Old-world flycatchers |
| | <i>Acrocephalus</i> <i>rodericanus</i> (III Mauritius) | | Rodrigues brush- warbler |
| | | Cyornis ruckii (II) | Rueck's blue- flycatcher |
| | Dasyornis broadbenti litoralis (possibly extinct) (I) | | Western rufous bristlebird |
| | Dasyornis longirostris (I) | | Western bristlebird |

| | | Garrulax canorus (II) | | Melodious laughingthrush |
|---------------|-------------------------------------|-------------------------------|---|--------------------------------------|
| | | Leiothrix argentauris (II) | | Silver-eared mesia |
| | | <i>Leiothrix lutea</i> (II) | | Red-billed leiothrix |
| | | Liocichla omeiensis (II) | | Omei Shan liocichla |
| | Picathartes gymnocephalus (I) | | | White-necked rockfowl |
| | Picathartes oreas (I) | | | Grey-necked rockfowl |
| | | | Terpsiphone bourbonnensis (III Mauritius) | Mascarene paradise- flycatcher |
| Paradisaeidae | | | | Birds of paradise |
| | | Paradisaeidae spp. (II) | | Birds of paradise |
| Pittidae | | | | Pittas |
| | | <i>Pitta guajana</i> (II) | | Banded pitta |
| | Pitta gurneyi (I) | | | Gurney's pitta |
| | Pitta kochi (I) | | | Whiskered pitta |
| | | Pitta nympha (II) | | Fairy pitta |
| Pycnonotidae | | | | Bulbuls |
| | | Pycnonotus zeylanicus (II) | | Straw-headed bulbul |
| Sturnidae | | | | Mynas |
| | | Gracula religiosa (II) | | Hill myna |
| | Leucopsar rothschildi (I) | | | Bali myna |
| Zosteropidae | | | | White-eyes |
| | Zosterops albogularis (I) | | | White-chested white-eye |
| PELECANIFO | RMES | | 1 | |
| Fregatidae | | | | Frigatebirds |

| | Fregata andrewsi (I) | | | Christmas frigatebird |
|-------------------|--|-------------------------------|---|--------------------------|
| Pelecanidae | | | | Pelicans |
| | Pelecanus crispus (I) | | | Dalmatian pelican |
| Sulidae | | | | Boobies |
| | Papasula abbotti (I) | | | Abbott's booby |
| PICIFORMES | | | | |
| Capitonidae | | | | Barbets |
| | | | Semnornis ramphastinus (III Colombia) | Toucan barbet |
| Picidae | | | | Woodpeckers |
| | Campephilus imperialis (I) | | | Imperial woodpecker |
| | Dryocopus javensis richardsi (I) | | | Tristram's woodpecker |
| Ramphastidae | | | | Toucans |
| | | | Baillonius bailloni (III Argentina) | Saffron toucane |
| | | Pteroglossus aracari (II) | | Black-necked aracari |
| | | | Pteroglossus castanotis (III Argentina) | Chestnut-eared aracari |
| | | Pteroglossus viridis (II) | | Green aracari |
| | | | Ramphastos dicolorus (III Argentina) | Red-breasted toucan |
| | | Ramphastos sulfuratus (II) | | Keel-billed toucan |
| | | Ramphastos toco (II) | | Toco toucan |
| | | Ramphastos tucanus (II) | | Red-billed toucan |
| | | Ramphastos vitellinus (II) | | Channel-billed toucan |

| PODICIPEDIF | FORMES | | Selenidera maculirostris (III Argentina) | Spot-billed toucanet |
|---------------|----------------------------------|---|--|---|
| Podicipedidae | | | | Grebes |
| Ĩ | Podilymbus gigas (I) | | | Atitlan Grebe |
| PROCELLARI | IFORMES | | | |
| Diomedeidae | | | | Albatrosses |
| | Phoebastria albatrus (I) | | | Short-tailed albatross |
| PSITTACIFORM | 1ES | | | Cockatoos, lories, macaws, parakeets, parrots etc. |
| | | PSITTACIFORM spp. (II) (Except for the species included in Annex A and excluding Agapornis roseicollis, Melopsittacus undulatus, Nymphicus hollandicus and Psittacula krameri, which are not included in the Annexes to this Regulation) | ES | Parrots, etc. |
| Cacatuidae | | | | Cockatoos |
| | Cacatua goffini (I) | | | Tanimbar cockatoo |
| | Cacatua haematuropygia (I) | | | Philippine cockatoo |
| | Cacatua moluccensis (I) | | | Salmon-crested cockatoo |
| | Cacatua sulphurea (I) | | | Yellow-crested cockatoo |
| | Probosciger aterrimus (I) | | | Palm cockatoo |

| Loriidae | | Lories, lorikeets |
|-------------|---|--|
| | Eos histrio (I) | Red and blue lory |
| | Vini spp. (I/II) (Vini ultramarina is listed in Appendix I, the other species are listed in Appendix II) | Blue lorikeets |
| Psittacidae | | Amazons, macaws, parakeets, parrots |
| | Amazona arausiaca (I) | Red-necked parrot |
| | Amazona auropalliata (I) | Yellow-naped parrot |
| | Amazona barbadensis (I) | Yellow- shouldered parrot |
| | Amazona brasiliensis (I) | Red-tailed parrot |
| | Amazona finschi (I) | Lilac-crowned parrot |
| | Amazona guildingii (I) | St Vincent parrot |
| | Amazona imperialis (I) | Imperial parrot |
| | Amazona leucocephala (I) | Cuban parrot |
| | Amazona oratrix (I) | Yellow-headed parrot |
| | Amazona pretrei (I) | Red-spectacled parrot |
| | Amazona rhodocorytha (I) | Red-browed parrot |
| | Amazona tucumana (I) | Tucuman parrot |
| | Amazona versicolor (I) | Saint Lucia parrot |

| Amazona vinacea (I) | Vinaceous parro |
|--|--|
| Amazona viridigenalis (I) | Green-cheeked parrot |
| Amazona vittata (I) | Puerto Rican parrot |
| Anodorhynchus spp. (I) | Blue macaws |
| Ara ambiguus (I) | Great green macaw |
| Ara glaucogularis (I) | Blue-throated macaw |
| Ara macao (I) | Scarlet macaw |
| Ara militaris (I) | Military macaw |
| Ara rubrogenys (I) | Red-fronted macaw |
| Cyanopsitta spixii (I) | Spix's macaw |
| Cyanoramphus cookii (I) | Norfolk Island parakeet |
| Cyanoramphus forbesi (I) | Chatham Island yellow-fronted parakeet |
| Cyanoramphus novaezelandiae (I) | Red-fronted parakeet |
| Cyanoramphus saisseti (I) | Red-crowned parakeet |
| Cyclopsitta diophthalma coxeni (I) | Coxen's double- eyed fig parrot |
| Eunymphicus cornutus (I) | Horned parakee |
| Guarouba guarouba (I) | Golden parakee |
| Neophema chrysogaster (I) | Orange-bellied parrot |
| Ognorhynchus icterotis (I) | Yellow-eared parrot |
| Pezoporus occidentalis | Night parrot |

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|------------|--|--|---------------------------------|
| | | Rhea americana (II) | Greater rhea |
| | | Pterocnemia pennata pennata (II) | Lesser rhea |
| | Pterocnemia pennata (I) (Except Pterocnemia pennata pennata which is included in Annex B) | | Lesser rhea |
| Rheidae | | | Rheas |
| RHEIFORMES | Strigops habroptilus (I) | | Kakapo |
| | Rhynchopsitta spp. (I) | | Thick-billed parrots |
| | Pyrrhura cruentata (I) | | Blue-throated parakeet |
| | Psittacula echo (I) | | Mauritius parakeet |
| | Psephotus pulcherrimus (possibly extinct) (I) | | Paradise parrot |
| | Psephotus dissimilis (I) | | Hooded parrot |
| | Psephotus chrysopterygius (I) | | Golden- shouldered parrot |
| | Primolius maracana (I) | | Blue-winged macaw |
| | Primolius couloni (I) | | Blue-headed macaw |
| | Pionopsitta pileata (I) | | Pileated parrot |
| | Pezoporus wallicus (I) | | Ground parrot |
| | (possibly extinct) (I) | | |

| | | Spheniscus demersus (II) | Jackass penguin |
|--------------|--|---|------------------------|
| | Spheniscus humboldti (I) | | Humboldt penguin |
| STRIGIFORMES | | | Owls |
| | | STRIGIFORMES spp. (II) (Except for the species included in Annex A) | Owls |
| Strigidae | | | Owls |
| | Aegolius funereus (II) | | Boreal owl |
| | Asio flammeus (II) | | Short-eared owl |
| | Asio otus (II) | | Long-eared owl |
| | Athene noctua (II) | | Little owl |
| | Bubo bubo (II) | | Eurasian eagle- owl |
| | Glaucidium passerinum (II) | | Eurasian pygmy- owl |
| | Heteroglaux blewitti (I) | | Forest owlet |
| | Mimizuku gurneyi (I) | | Lesser eagle-owl |
| | Ninox natalis (I) | | Christmas hawk- owl |
| | Ninox novaeseelandiae undulata (I) | | Norfolk boobook |
| | Nyctea scandiaca (II) | | Snowy owl |
| | Otus ireneae (II) | | Sokoke scops- owl |
| | Otus scops (II) | | Eurasian scops- owl |
| | Strix aluco (II) | | Tawny owl |
| | Strix nebulosa (II) | | Great grey owl |

| | Strix uralensis (II) | | Ural owl |
|---------------|---|---|---------------------------------------|
| | Surnia ulula (II) | | Northern hawk owl |
| Tytonidae | | | Barn owls |
| | Tyto alba (II) | | Barn owl |
| | <i>Tyto soumagnei</i> (I) | | Soumagne's owl |
| STRUTHIONI | FORMES | | |
| Struthionidae | | | Ostrich |
| | Struthio camelus (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation) | | Ostrich |
| TINAMIFORM | ES | | T • |
| Tinamidae | Tinamus solitarius (I) | | Tinamous Solitary tinamou |
| TROGONIFOR | PMES | 11 | |
| Trogonidae | | | Quetzals |
| | Pharomachrus mocinno (I) | | Resplendent quetzal |
| REPTILIA | | | Reptiles |
| CROCODYLIA | | | Alligators, caimans, crocodiles |
| | | CROCODYLIA spp. (II) (Except for the species included in Annex A) | Alligators, caimans, crocodiles |

| Alligatoridae | | Alligators, caimans |
|---------------|---|--|
| | Alligator sinensis (I) | Chinese alligator |
| | Caiman crocodilus apaporiensis (I) | Rio Apaporis spectacled caiman |
| | Caiman latirostris (I) (Except for the population of Argentina, which is included in Annex B) | Broad-nosed caiman |
| | Melanosuchusniger (I)(Except for thepopulation ofBrazil, whichis included inAnnex B, andpopulation ofEcuador, whichis included inAnnex B andis subject toa zero annualexport quotauntil an annualexport quota hasbeen approvedby the CITESSecretariatand the IUCN/SSC CrocodileSpecialistGroup) | Black caiman |
| Crocodylidae | | Crocodiles |
| | Crocodylus acutus (I) (Except for the population of Cuba, which is included in Annex B) | American crocodile |
| | Crocodylus cataphractus (I) | African slender- snouted crocodile |

| | | |
|--|------|-------------------------|
| Crocodylus intermedius (I) | | Orinoco crocodile |
| Crocodylus mindorensis (I) | | Philippine crocodile |
| Crocodylus moreletii (I) | | Morelet's crocodile |
| <i>Crocodylus</i> <i>niloticus</i> (I) (Except for the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1 600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B) | | Nile crocodile |
| Crocodylus palustris (I) | | Mugger crocodile |
| <i>Crocodylus</i> <i>porosus</i> (I) (Except for the populations of Australia, Indonesia and Papua New Guinea, which are included in Annex B) | | Estuarine crocodile |
| Crocodylus rhombifer (I) | | Cuban crocodile |

| | Crocodylus siamensis (I) | | Siamese crocodile |
|----------------|------------------------------|---|--|
| | Osteolaemus tetraspis (I) | | West African dwarf crocodile |
| | Tomistoma schlegelii (I) | | False gharial |
| Gavialidae | | | Gavial or gharial |
| | Gavialis gangeticus (I) | | Gharial |
| RHYNCHOCEP | HALIA | 1 | |
| Sphenodontidae | | | Tuataras |
| | <i>Sphenodon</i> spp. (I) | | Tuataras |
| SAURIA | 1 | 1 | I |
| Agamidae | | | Spiny-tailed lizards (Agamas, mastigures) |
| | | <i>Uromastyx</i> spp. (II) | Spiny-tailed lizards |
| Chamaeleonidae | | | Chameleons |
| | | Bradypodion spp. (II) | Dwarf chameleons |
| | | Brookesia spp. (II) (Except for the species included in Annex A) | Dwarf chameleons |
| | Brookesia perarmata (I) | | Dwarf spiny chameleon |
| | | <i>Calumma</i> spp. (II) | Madagascar chameleons |
| | | <i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A) | Chameleons |
| | Chamaeleo chamaeleon (II) | | European chameleon |
| | | Furcifer spp. (II) | Madagascar chameleons |

| Cordylidae | | | | Spiny-tailed lizards |
|----------------|--|--|---|--------------------------------------|
| | | Cordylus spp. (II) | | Girdled lizards |
| Gekkonidae | | | | Geckos |
| | | Cyrtodactylus serpensinsula (II) | | Serpent Island gecko |
| | | | Hoplodactylus spp. (III New Zealand) | Sticky-toed geckos |
| | | | <i>Naultinus</i> spp. (III New Zealand) | New Zealand tree geckos |
| | | <i>Phelsuma</i> spp. (II) (Except for the species included in Annex A) | | Day geckos |
| | Phelsuma guentheri (II) | | | Round Island day gecko |
| | | Uroplatus spp. (II) | | Flat-tailed geckos |
| Helodermatidae | | | | Gila monster and beaded lizard |
| | | Heloderma spp. (II) (Except for the subspecies included in Annex A) | | Gila monster and beaded lizard |
| | Heloderma horridum charlesbogerti (I) | | | Guatemalan beaded lizard |
| Iguanidae | | | | Iguanas |
| | | Amblyrhynchus cristatus (II) | | Galapagos marine iguana |
| | Brachylophus spp. (I) | | | Fiji iguanas |
| | | Conolophus spp. (II) | | Galapagos land iguanas |
| | Cyclura spp. (I) | | | Ground iguanas |

| | | Iguana spp. (II) | Iguanas |
|------------|----------------------------------|---|-------------------------------------|
| | | Phrynosoma coronatum (II) | Coast horned lizard |
| | Sauromalus varius (I) | | San Esteban Island chuckwalla |
| Lacertidae | | | Lizards |
| | Gallotia simonyi (I) | | Hierro giant lizard |
| | <i>Podarcis lilfordi</i> (II) | | Lilford's wall lizard |
| | Podarcis pityusensis (II) | | Ibiza wall lizard |
| Scincidae | | | Skinks |
| | | Corucia zebrata (II) | Prehensile-tailed skink |
| Teiidae | | | Caiman lizards, tegu lizards |
| | | Crocodilurus amazonicus (II) | Dragon lizard |
| | | Dracaena spp. (II) | Caiman lizards |
| | | <i>Tupinambis</i> spp. (II) | Tegus |
| Varanidae | | | Monitor lizards |
| | | Varanus spp. (II) (Except for the species included in Annex A) | Monitor lizards |
| | Varanus bengalensis (I) | | Indian monitor |
| | Varanus flavescens (I) | | Yellow monitor |
| | Varanus griseus (I) | | Desert monitor |
| | Varanus komodoensis (I) | | Komodo dragon |
| | Varanus nebulosus (I) | | Clouded monitor |
| | Varanus olivaceus (II) | | Gray's monitor |

| Xenosauridae | | | | Chinese crocodile lizard |
|--------------|--|--|---------------------------------------|---|
| | | Shinisaurus crocodilurus (II) | | Chinese crocodile lizard |
| SERPENTES | | | | Snakes |
| Boidae | | | | Boas |
| | | <i>Boidae</i> spp. (II) (Except for the species included in Annex A) | | Boas |
| | <i>Acrantophis</i> spp. (I) | | | Madagascar ground boas |
| | Boa constrictor occidentalis (I) | | | Argentine boa constrictor |
| | <i>Epicrates</i> <i>inornatus</i> (I) | | | Puerto Rican boa |
| | <i>Epicrates</i> <i>monensis</i> (I) | | | Virgin Island tree boa |
| | Epicrates subflavus (I) | | | Jamaican boa |
| | Eryx jaculus (II) | | | Spotted sand boa |
| | Sanzinia madagascariensis (I) | | | Madagascar tree boa |
| Bolyeriidae | | | | Round Island boas |
| | | Bolyeriidae spp. (II) (Except for the species included in Annex A) | | Round Island boas |
| | Bolyeria multocarinata (I) | | | Round Island boa |
| | Casarea dussumieri (I) | | | Round Island keel-scaled boa |
| Colubridae | | | | Typical snakes, water snakes, whip snakes |
| | | | Atretium schistosum (III India) | Olive keel-back |

| | | Cerberus rynchops (III India) | Dog-faced water snake |
|----------|-----------------------------------|--|--|
| | Clelia clelia (II) | | Mussurana |
| | Cyclagras gigas (II) | | False cobra |
| | Elachistodon westermanni (II) | | Indian egg- eating snake |
| | Ptyas mucosus (II) | | Common rat snake |
| | | Xenochrophis piscator (III India) | Checkered keel- back |
| Elapidae | | | Cobras, coral snakes |
| | Hoplocephalus bungaroides (II) | | Broad-headed snake |
| | | <i>Micrurus diastema</i> (III Honduras) | Atlantic coral snake |
| | | Micrurus nigrocinctus (III Honduras) | Central American coral snake |
| | Naja atra (II) | | Chinese spitting cobra |
| | Naja kaouthia (II) | | Monocellate cobra |
| | Naja mandalayensis (II) | | Burmese spitting cobra |
| | Naja naja (II) | | Indian cobra |
| | Naja oxiana (II) | | Central Asian cobra |
| | Naja philippinensis (II) | | North Philippine spitting cobra |
| | Naja sagittifera (II) | | Andaman cobra |
| | Naja samarensis (II) | | South-east Philippine spitting cobra |
| | Naja siamensis (II) | | Indochinese spitting cobra |

| | | Naja sputatrix (II) | | South Indonesian spitting cobra |
|----------------|--|--|--|---------------------------------|
| | | Naja sumatrana (II) | | Golden spitting cobra |
| | | Ophiophagus hannah (II) | | King cobra |
| Loxocemidae | | | | Mexican dwarf boa |
| | | Loxocemidae spp. (II) | | Mexican dwarf boa |
| Pythonidae | | | | Pythons |
| | | Pythonidae spp. (II) (Except for the subspecies included in Annex A) | | Pythons |
| | Python molurus molurus (I) | | | Indian python |
| Tropidophiidae | | | | Wood boas |
| | | Tropidophiidae spp. (II) | | Wood boas |
| Viperidae | | | | Vipers |
| | | | Crotalus durissus (III Honduras) | Neotropical rattlesnake |
| | | Crotalus durissus unicolor | | Aruba rattlesnake |
| | | | Daboia russelii (III India) | Russell's viper |
| | Vipera latifii | | | Latifi's viper |
| | Vipera ursinii (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation) | | | Orsini's viper |

| | | Vipera wagneri (II) | | Wagner's viper |
|------------------|-------------------------------|---------------------------------|--|---|
| TESTUDINES | 1 | 1 | 1 | |
| Carettochelyidae | | | | Pig-nosed turtles |
| | | Carettochelys insculpta (II) | | Pig-nosed turtle |
| Chelidae | | | | Austro- American sideneck turtles |
| | | Chelodina mccordi (II) | | Roti snake- necked turtle |
| | Pseudemydura umbrina (I) | | | Western swamp turtle |
| Cheloniidae | | | | Sea turtles |
| | Cheloniidae spp. (I) | | | Sea turtles |
| Chelydridae | | | | Snapping turltes |
| | | | Macrochelys temminckii (III United States of America) | Alligator snapping turtle |
| Dermatemydidae | • | | | Central American river turtle |
| | | Dermatemys mawii (II) | | Central American river turtle |
| Dermochelyidae | | | | Leatherback turtle |
| | Dermochelys coriacea (I) | | | Leatherback turtle |
| Emydidae | | | | Box turtles, freshwater turtles |
| | | Chrysemys picta | | Painted turtle |
| | | Glyptemys insculpta (II) | | Wood turtle |
| | Glyptemys muhlenbergii (I) | | | Bog turtle |

| | | | <i>Graptemys</i> spp. (III United States of America) | Map turtles |
|-------------|-----------------------------|---|--|-----------------------------|
| | | <i>Terrapene</i> spp. (II) (Except for the species included in Annex A) | | Box turtles |
| | Terrapene coahuila (I) | | | Aquatic box turtle |
| | | Trachemys scripta elegans | | Red-eared terrapin |
| Geoemydidae | | | | |
| | Batagur baska (I) | | | Batagur |
| | | Callagur borneoensis (II) | | Painted terrapin |
| | | Cuora spp. (II) | | Asian box turtles |
| | Geoclemys hamiltonii (I) | | | Black pond turtle |
| | | | Geoemyda spengleri (III China) | Black-breasted leaf turtle |
| | | Heosemys annandalii (II) | | Yellow-headed temple turtle |
| | | Heosemys depressa (II) | | Arakan forest turtle |
| | | Heosemys grandis (II) | | Giant Asian turtle |
| | | Heosemys spinosa (II) | | Spiny turtle |
| | | <i>Kachuga</i> spp. (II) | | Roofed turtles |
| | | Leucocephalon yuwonoi (II) | | Sulawesi forest turtle |
| | | Malayemys macrocephala (II) | | Snail-eating turtle |
| | | Malayemys subtrijuga (II) | | Ricefield turtle |
| | | Mauremys annamensis (II) | | Annam pond turtle |

| | | Mauremys iversoni (III China) | Fujian pond turtle |
|---------------------------------|--|--|--|
| | | Mauremys megalocephala (III China) | Big-headed pond turtle |
| | Mauremys mutica (II) | | Yellow pond turtle |
| | | Mauremys nigricans (III China) | Red-necked turtle |
| | | Mauremys pritchardi (III China) | Pritchard's pond turtle |
| | | Mauremys reevesii (III China) | Reeves's turtle |
| | | Mauremys sinensis (III China) | Chinese stripe- necked turtle |
| Melanochelys tricarinata (I) | | | Three-keeled land tortoise |
| Morenia ocellata (I) | | | Burmese swamp turtle |
| | Notochelys platynota (II) | | Malayan flat- shelled turtle |
| | | Ocadia glyphistoma (III China) | Notch-mouthed stripe-necked turtle |
| | | Ocadia philippeni (III China) | Philippen's stripe-necked turtle |
| | Orlitia borneensis (II) | | Malayan giant turtle |
| | Pangshura spp. (Except for the species included in Annex A) | | Roofed turtles |
| Pangshura tecta (I) | | | Indian roofed turtle |
| | | Sacalia bealei (III China) | Beal's eyed turtle |

| | | | Sacalia pseudocellata (III China) | Chinese false- eyed turtle |
|----------------|----------------------------|---|--|--------------------------------|
| | | | Sacalia quadriocellata (III China) | Four-eyed turtle |
| | | Siebenrockiella crassicollis (II) | | Black marsh turtle |
| | | Siebenrockiella leytensis (II) | | Philippine pond turtle |
| Platysternidae | | | | Big-headed turtle |
| | | Platysternon megacephalum (II) | | Big-headed turtle |
| Podocnemididae | | | | Afro-American sideneck turtles |
| | | Erymnochelys madagascariensis (II) | | Madagascar sideneck turtle |
| | | Peltocephalus dumerilianus (II) | | Big-headed sideneck turtle |
| | | Podocnemis spp. (II) | | Sideneck turtles |
| Testudinidae | | | | Tortoises |
| | | Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Geochelone</i> <i>sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes.) | | Tortoises |
| | Astrochelys radiata (I) | | | Radiated tortoise |

| | Astrochelys yniphora (I) | | Angonoka |
|--------------|------------------------------------|----------------------------|---|
| | Chelonoidis nigra (I) | | Galapagos giant tortoise |
| | Gopherus flavomarginatus (I) | | Bolson tortoise |
| | Malacochersus tornieri (II) | | Pancake tortoise |
| | Psammobates geometricus (I) | | Geometric tortoise |
| | Pyxis arachnoides (I) | | Madagascar spider tortoise |
| | Pyxis planicauda (I) | | Madagascar flat- shelled tortoise |
| | <i>Testudo graeca</i> (II) | | Spur-thighed tortoise |
| | Testudo hermanni (II) | | Hermann's tortoise |
| | Testudo kleinmanni (I) | | Egyptian tortoise |
| | Testudo marginata (II) | | Marginated tortoise |
| Trionychidae | | | Softshell turtles, terrapins |
| | | Amyda cartilaginea (II) | Southeast Asian soft-shelled turtle |
| | Apalone spinifera atra (I) | | Cuatro Cienagas soft-shell turtle |
| | Aspideretes gangeticus (I) | | Indian soft-shell turtle |
| | Aspideretes hurum (I) | | Peacock soft- shell turtle |
| | Aspideretes nigricans (I) | | Black soft-shell turtle |
| | | Chitra spp. (II) | Narrow-headed softshell turtles |
| | | Lissemys punctata (II) | Indo-Gangetic flapshell turtle |
| | | Lissemys scutata (II) | Burmese flapshell turtle |

| | | | Palea steindachneri (III China) | Wattle-necked softshell turtle |
|---------------|---------------------------------|---|--|--------------------------------|
| | | Pelochelys spp. (II) | | Giant softshell turtles |
| | | | Pelodiscus axenaria (III China) | Hunan softshell turtle |
| | | | Pelodiscus maackii (III China) | Amur softshell turtle |
| | | | Pelodiscus parviformis (III China) | Chinese softshell turtle |
| | | | <i>Rafetus swinhoei</i> (III China) | Yangtze softshell turtle |
| AMPHIBIA | | | | Amphibians |
| ANURA | | | | Frogs and toads |
| Bufonidae | | | | Toads |
| | <i>Altiphrynoides</i> spp. (I) | | | Malcolm's Ethiopian toad |
| | Atelopus zeteki (I) | | | Golden frog |
| | Bufo periglenes (I) | | | Golden toad |
| | Bufo superciliaris (I) | | | Cameroon toad |
| | Nectophrynoides spp. (I) | | | African viviparous toads |
| | <i>Nimbaphrynoides</i> spp. (I) | | | Nimba toads |
| | <i>Spinophrynoides</i> spp. (I) | | | Osgood's Ethiopian toad |
| Dendrobatidae | | | | Poison frogs |
| | | Allobates femoralis (II) | | Brilliant-thighed poison frog |
| | | Allobates zaparo (II) | | Sanguine poison frog |
| | | Cryptophyllobates azureiventris (II) | | Sky-blue poison frog |

| | | Dendrobates spp. (II) | Poison-arrow frogs |
|----------------|-----------------------------|--|------------------------------|
| | | Epipedobates spp. (II) | Poison-arrow frogs |
| | | Phyllobates spp.(II) | Poison-arrow frogs |
| Mantellidae | | | Mantella frogs |
| | | Mantella spp. (II) | Mantella frogs |
| Microhylidae | | | Tomato frogs |
| | Dyscophus antongilii (I) | | Tomato frog |
| | | Scaphiophryne gottlebei (II) | Red rain frog |
| Ranidae | | | Frogs |
| | | Conraua goliath | Goliath frog |
| | | Euphlyctis hexadactylus (II) | Six-fingered frog |
| | | Hoplobatrachus tigerinus (II) | Tiger frog |
| | | Rana catesbeiana | American bullfrog |
| Rheobatrachida | e | | Gastric brooding frogs |
| | | Rheobatrachusspp. (II) (Exceptfor the speciesincluded inAnnex A) | Gastric brooding frog |
| | Rheobatrachus silus (II) | | Platypus frog |
| CAUDATA | | | |
| Ambystomatida | e | | Axolotls |
| | | Ambystoma dumerilii (II) | Lake Patzcuaro salamander |
| | | Ambystoma mexicanum (II) | Axolotl |
| Cryptobranchid | ae | | Giant salamanders |
| | Andrias spp. (I) | | Giant salamanders |

| ELASMOBRANO | | | Sharks and rays |
|----------------|--|---|-----------------------|
| LAMNIFORME | S | | |
| Cetorhinidae | | | Basking sharks |
| | | Cetorhinus maximus (II) | Basking shark |
| Lamnidae | | | Great white shark |
| | | Carcharodon carcharias (II) | Great white shark |
| ORECTOLOBIH | FORMES | | |
| Rhincodontidae | | | Whale sharks |
| | | Rhincodon typus (II) | Whale shark |
| RAJIFORMES | | | |
| Pristidae | | | Sawfishes |
| | Pristidae spp. (I) (Except for the species included in Annex B) | | Sawfishes |
| | | Pristis microdon (II) (For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly) | Freshwater sawfish |
| ACTINOPTERY | GII | | Fish |
| ACIPENSERIF | | | 1 1011 |

| | | ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A) | Sturgeons and paddlefish |
|----------------|-------------------------------|---|----------------------------|
| Acipenseridae | | | Sturgeons |
| | Acipenser brevirostrum (I) | | Shortnose sturgeon |
| | Acipenser sturio (I) | | Common sturgeon |
| ANGUILLIFO | RMES | | |
| Anguillidae | | | Freshwater eels |
| | | <i>Anguilla</i> <i>anguilla</i> (II) (This inclusion will enter into force on 13 March 2009) | European eel |
| CYPRINIFOR | MES | | |
| Catostomidae | | | Cui-ui |
| | <i>Chasmistes cujus</i> (I) | | Cui-ui |
| Cyprinidae | | | Blind carps, plaeesok |
| | | Caecobarbus geertsi (II) | African blind barb fish |
| | Probarbus jullieni (I) | | Ikan temoleh |
| OSTEOGLOSS | IFORMES | · · · · · | |
| Osteoglossidae | | | Arapaimas, bonytongues |
| | | Arapaima gigas (II) | Arapaima |
| | Scleropages formosus (I) | | Asian arowana |
| PERCIFORME | ES | | |
| Labridae | | | Wrasses |
| | | Cheilinus undulatus (II) | Humphead wrasse |
| Sciaenidae | | | Totoabas |
| | Totoaba macdonaldi (I) | | Totoaba |

| Pangasiidae | | | | Pangasid catfisl |
|-------------------------|----------------------------|-------------------------------|--|--|
| | Pangasianodon gigas (I) | | | Giant catfish |
| SYNGNATHIF | ORMES | | | |
| Syngnathidae | | | | Pipefishes, seahorses |
| | | Hippocampus spp. (II) | | Seahorses |
| SARCOPTERY | GH | | | Lungfishes |
| CERATODON | TIFORMES | | | |
| Ceratodontidae | | | | Australian lungfishes |
| | | Neoceratodus forsteri (II) | | Australian lungfish |
| COELACANTH | HIFORMES | | | |
| Latimeriidae | | | | Coelacanths |
| | <i>Latimeria</i> spp. (I) | | | Coelacanths |
| ECHINODERN CUCUMBERS | MATA (STARFISI) | H, BRITTLE STA | ARS, SEA URCH | INS AND SEA |
| HOLOTHUROI | , | | | Sea cucumbers |
| ASPIDOCHIR | OTIDA | | | |
| Stichopodidae | | | | Sea cucumbers |
| | | | <i>Isostichopus fuscus</i> (III Ecuador) | Brown sea cucumber |
| ARTHROPOD | A (ARTHROPOD | S) | 1 | |
| ARACHNIDA | | | | Spiders and scorpions |
| ARANEAE | | | | |
| Theraphosidae | | | | Red-kneed tarantulas, tarantulas |
| | | Aphonopelma albiceps (II) | | |
| | | Aphonopelma pallidum (II) | | Chihuahua rose- grey tarantula |
| | | | | |

SCORPIONES

| Scorpionidae | | | | Scorpions |
|--------------|--------------------------------|---|---|--|
| | | Pandinus dictator (II) | | |
| | | Pandinus gambiensis (II) | | Giant Senegalese scorpion |
| | | Pandinus imperator (II) | | Emperor scorpion |
| INSECTA | | | | Insects |
| COLEOPTERA | | | | Beetles |
| Lucanidae | | | | Stag beetles |
| | | | <i>Colophon</i> spp. (III South Africa) | Cape stag beetles |
| LEPIDOPTERA | | | | Butterflies |
| Papilionidae | | | | Birdwing and swallowtail butterflies |
| | | Atrophaneura jophon (II) | | Sri Lankan rose |
| | | Atrophaneura palu | | Palu swallowtail butterfly |
| | | Atrophaneura pandiyana (II) | | Malabar rose |
| | | Bhutanitis spp. (II) | | Swallowtail butterflies |
| | | Graphium sandawanum | | Apo swallowtail butterfly |
| | | Graphium stresemanni | | Seram swallowtail |
| | | Ornithoptera spp. (II) (except for the species included in Annex A) | | Birdwing butterflies |
| | Ornithoptera alexandrae (I) | | | Queen Alexandra's birdwing |
| | | Papilio benguetanus | | |
| | Papilio chikae (I) | | | Luzon peacock swallowtail |

| | [| 1 | |
|-------------|---------------------------|-------------------------------|---|
| | | Papilio esperanza | |
| | Papilio homerus (I) | | Homerus swallowtail |
| | Papilio hospiton (I) | | Corsican swallowtail |
| | | Papilio morondavana | Madagascan emperor swallowtail |
| | | Papilio neumoegeni | |
| | | Parides ascanius | Fluminense swallowtail butterfly |
| | | Parides hahneli | Hahnel's amazonian swallowtail butterfly |
| | Parnassius apollo (II) | | Mountain apollo |
| | | <i>Teinopalpus</i> spp. (II) | Kaiser-I-Hind butterflies |
| | | Trogonoptera spp. (II) | Birdwing butterflies |
| | | Troides spp. (II) | Birdwing butterflies |
| ANNELIDA (S | EGMENTED WO | RMS AND LEECHES) | |
| HIRUDINOIDE | EA | | Leeches |
| ARHYNCHOB | DELLIDA | | I |
| Hirudinidae | | | Leeches |
| | | Hirudo medicinalis (II) | Medicinal leech |
| MOLLUSCA (| MOLLUSCS) | | |
| BIVALVIA | | | Bivalve molluscs (clams, mussels etc.) |
| MYTILOIDA | | | |
| Mytilidae | | | Marine mussels |
| | | Lithophaga lithophaga (II) | European date mussel |
| UNIONOIDA | J | , , | 1 |

UNIONOIDA

| Unionidae | | | Freshwater mussels, pearly mussels |
|-----------|---|---|--|
| | Conradilla caelata (I) | | Birdwing pearly mussel |
| | | Cyprogenia aberti (II) | Western fanshell mussel |
| | Dromus dromas (I) | | Dromedary pearly mussel |
| | Epioblasma curtisii (I) | | Curtis' pearly mussel |
| | Epioblasma florentina (I) | | Yellow-blossom pearly mussel |
| | Epioblasma sampsonii (I) | | Wabash riffleshell |
| | Epioblasma sulcata perobliqua (I) | | White catspaw mussel |
| | Epioblasma torulosa gubernaculum (I) | | Green-blossom pearly mussel |
| | | Epioblasma torulosa rangiana (II) | Northern riffleshell |
| | Epioblasma torulosa torulosa (I) | | Turbercled- blossom pearly mussel |
| | Epioblasma turgidula (I) | | Turgid-blossom pearly mussel |
| | Epioblasma walkeri (I) | | Tan riffleshell |
| | Fusconaia cuneolus (I) | | Fine-rayed pigtoe pearly mussel |
| | Fusconaia edgariana (I) | | Shiny pigtoe pearly mussel |
| | Lampsilis higginsii (I) | | Higgins' eye pearly mussel |
| | Lampsilis orbiculata orbiculata (I) | | Pink mucket pearly mussel |

| <i>ARCHAEOGAS</i> Haliotidae | | | Abalones |
|---------------------------------|--|--------------------------|---|
| GASTROPODA | | | Slugs, snails and conches |
| | | Tridacnidae spp. (II) | Giant clams |
| Tridacnidae | | | Giant clams |
| VENEROIDA | | | |
| | Villosa trabalis (I) | | Cumberland bean pearly mussel |
| | Unio tampicoensis tecomatensis (I) | | Tampico pearly mussel |
| | Unio nickliniana (I) | | Nicklin's pearly mussel |
| | Toxolasma cylindrellus (I) | | Pale lilliput pearly mussel |
| | Quadrula sparsa (I) | | Appalachian monkey-face pearly mussel |
| | Quadrula intermedia (I) | | Cumberland monkey-face pearly mussel |
| | Potamilus capax (I) | | Fat pocketbook pearly mussel |
| | Pleurobema plenum (I) | | Rough pigtoe pearly mussel |
| | | Pleurobema clava (II) | Clubshell pearly mussel |
| | Plethobasus cooperianus (I) | | Orange-footed pimpleback mussel |
| | Plethobasus cicatricosus (I) | | White warty- back pearly mussel |
| | Lampsilis virescens (I) | | Alabama lamp pearly mussel |
| | Lampsilis satur (I) | | Sandback pocketbook mussel |

| | | | Haliotis midae (III South Africa) | Midas ear abalone |
|----------------|----------------------|--|--|-----------------------------------|
| MESOGASTRO | PODA | 1 | | |
| Strombidae | | Strombus gigas (II) | | Conches Queen conch |
| STYLOMMATO | PHORA | () | | |
| Achatinellidae | | | | Agate snails, oahu tree snails |
| | Achatinella spp. (I) | | | Little agate shells |
| Camaenidae | | | | Green tree snail |
| | | Papustyla pulcherrima (II) | | Manus green tree snail |
| CNIDARIA (CO | DRALS, FIRE CO | RALS, SEA ANE | MONES) | |
| ANTHOZOA | | | | Corals, sea anemones |
| ANTIPATHARI | A | 1 | | 1 |
| | | ANTIPATHARIA spp. (II) | | Black corals |
| GORGONACEA | 1E | 1 | | 1 |
| Coralliidae | | | <i>Corallium</i> <i>elatius</i> (III China) | |
| | | | <i>Corallium japonicum</i> (III China) | |
| | | | <i>Corallium konjoi</i> (III China) | |
| | | | <i>Corallium</i> <i>secundum</i> (III China) | |
| HELIOPORAC | ĒA | T | | 1 |
| Helioporidae | | | | Blue coral |
| | | Helioporidae spp. (II) (Includes only the species <i>Heliopora</i> <i>coerulea</i>) ^g | | Blue coral |
| SCLERACTINI | <u>A</u> | | | |

| | | SCLERACTINIA spp. (II) ^g | Stony corals |
|---------------|-------------------------------|---|--|
| STOLONIFERA | | | |
| Tubiporidae | | | Organpipe corals |
| | | Tubiporidae spp. (II) ^g | Organpipe corals |
| HYDROZOA | | | Sea ferns, fire corals, stinging medusas |
| MILLEPORINA | 1 | 1 | |
| Milleporidae | | | Wello fire corals |
| | | Milleporidae spp. (II) ^g | Wello fire corals |
| STYLASTERINA | 4 | | |
| Stylasteridae | | | Lace corals |
| | | Stylasteridae spp. (II) ^g | Lace corals |
| FLORA | | | |
| AGAVACEAE | | | Agaves |
| | Agave parviflora (I) | | Santa Cruz striped agave |
| | | Agave victoriae- reginae (II) #1 | Queen Victoria agave |
| | | Nolina interrata (II) | Dehesa bear- grass |
| AMARYLLIDACE | AE | | Amaryllids |
| | | Galanthus spp. (II) #1 | Snowdrops |
| | | Sternbergia spp. (II) #1 | Sternbergias |
| APOCYNACEAE | | | Dogbanes |
| | | Hoodia spp. (II) #9 | Hoodia |
| | | Pachypodium spp. (II) (Except for the species included in Annex A) #1 | Elephant trunks |
| | Pachypodium ambongense (I) | | |

| | Pachypodium baronii (I) | | |
|--------------|----------------------------|--|-----------------------------|
| | Pachypodium decaryi (I) | | |
| | | Rauvolfia serpentina (II) #2 | Snake-root devil- pepper |
| ARALIACEAE | | | Aralias |
| | | Panax ginseng (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3 | Asian ginseng |
| | | Panax quinquefolius (II) #3 | American ginseng |
| ARAUCARIACEA | 4 <i>E</i> | | Araucarias |
| | Araucaria araucana (I) | | Monkey-puzzle tree |
| BERBERIDACEA | 1 <i>E</i> | | Barberries |
| | | Podophyllum hexandrum (II) #2 | Himalayan may- apple |
| BROMELIACEA | E | | Air plants, bromelias |
| | | Tillandsia harrisii (II) #1 | Harris' tillandsia |
| | | Tillandsia kammii (II) #1 | Kamm's tillandsia |
| | | Tillandsia kautskyi (II) #1 | Kautsky's tillandsia |
| | | Tillandsia mauryana (II) #1 | Maury's tillandsia |
| | | Tillandsia sprengeliana (II) #1 | Sprengel's tillandsia |
| | | Tillandsia sucrei (II) #1 | Sucre tillandsia |

| | | <i>Tillandsia</i> <i>xerographica</i> (II) #1 | Xerographic tillandsia |
|-----------|---|--|---|
| CACTACEAE | | | Cacti |
| | | CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskiopsis</i> spp. and <i>Quiabentia</i> spp.) ^h #4 | Cacti |
| | <i>Ariocarpus</i> spp. (I) | | Living rock cacti |
| | Astrophytum asterias (I) | | Star cactus |
| | <i>Aztekium ritteri</i> (I) | | Aztec cactus |
| | Coryphantha werdermannii (I) | | Jobali pincushion cactus |
| | Discocactus spp. (I) | | Discocacti |
| | Echinocereus ferreirianus ssp. lindsayi (I) | | Lindsay's hedgehog cacti |
| | Echinocereus schmollii (I) | | Lamb's-tail cactus |
| | Escobaria minima (I) | | Nelle's cactus |
| | Escobaria sneedii (I) | | Sneed's pincushion cactus |
| | Mammillaria pectinifera (I) | | Conchilinque |
| | Mammillaria solisioides (I) | | Pitayita |
| | Melocactus conoideus (I) | | Conelike Turk's- cap cactus |
| | Melocactus deinacanthus (I) | | Wonderfully- bristled Turk's cap cactus |

| Melocactus glaucescens (I) | Woolly waxy- stemmed Turk's- cap cactus |
|--|---|
| Melocactus paucispinus (I) | Few-spined Turk's-cap cactus |
| Obregonia denegrii (I) | Artichoke cactus |
| Pachycereus militaris (I) | Grenadier's cap |
| Pediocactus bradyi (I) | Brady's pincushion cactus |
| Pediocactus knowltonii (I) | Knowlton's cactus |
| Pediocactus paradinei (I) | Houserock valley cactus |
| Pediocactus peeblesianus (I) | Peebles's Navajo cactus |
| Pediocactus sileri (I) | Siler's pincushion cactus |
| Pelecyphora spp. (I) | Pine cane cactus |
| Sclerocactus brevihamatus ssp. tobuschii (I) | Tobusch fishhook cactus |
| Sclerocactus erectocentrus (I) | Needle-spined pineapple cactus |
| Sclerocactus glaucus (I) | Uinta Basin hookless cactus |
| Sclerocactus mariposensis (I) | Mariposa cactus |
| Sclerocactus mesae-verdae (I) | Mesa Verde cactus |
| Sclerocactus nyensis (I) | Tonopah fishook cactus |
| Sclerocactus papyracanthus (I) | Grama-grass cactus |
| Sclerocactus pubispinus (I) | Great-Basin fishhook cactus |
| Sclerocactus wrightiae (I) | Wright's fishhook cactus |

| | Strombocactus spp. (I) | | Peyote |
|----------------------------|--|--|---------------------------------|
| | <i>Turbinicarpus</i> spp. (I) | | Turbinicarps |
| | <i>Uebelmannia</i> spp. (I) | | Uebelmann cacti |
| CARYOCARACEA | 4 <i>E</i> | | Ajos |
| | | Caryocar costaricense (II) #1 | Ajillo |
| COMPOSITAE (ASTERACEAE) | | | Asters, daisies, costus |
| | Saussurea costus (I) (also known as S. lappa or Aucklandia costus) | | Costus |
| CRASSULACEAE | | | Dudleyas, crassulas |
| | | Dudleya stolonifera (II) | Laguna beach dudleya |
| | | Dudleya traskiae (II) | Santa Barbara Island dudleya |
| CUPRESSACEAE | | | Cypresses |
| | Fitzroya cupressoides (I) | | Alerce |
| | Pilgerodendron uviferum (I) | | Pilgerodendron |
| CYATHEACEAE | | | Tree ferns |
| | | Cyathea spp. (II) #1 | Tree ferns |
| CYCADACEAE | | | Cycads |
| | | CYCADACEAE spp. (II) (Except for the species included in Annex A) #1 | Cycads |
| | Cycas beddomei (I) | | Beddome's cycad |
| DICKSONIACEA | E | | Tree ferns |
| | | Cibotium barometz (II) #1 | |

| | Dicksonia spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation: includes Dicksonia berteriana, D. externa, D. sellowiana and D. stuebelii) #1 | Tree ferns |
|---------------|--|--------------------------|
| DIDIEREACEAE | | Didiereas |
| | DIDIEREACEAE spp. (II) #1 | Alluaudias, didiereas |
| DIOSCOREACEAE | | Yams |
| | Dioscorea deltoidea (II) #1 | Elephant's foot |
| DROSERACEAE | | Sundews |
| | Dionaea muscipula (II) #1 | Venus fly-trap |
| EUPHORBIACEAE | | Spurges |
| | Euphorbia spp.(II) (Exceptfor the speciesincluded inAnnex A;succulent speciesonly; artificiallypropagatedspecimens ofcultivars ofEuphorbiatrigona,artificiallypropagated,crested, fan-shaped or colourmutants ofEuphorbialactea, whengrafted onartificiallypropagatedroot stock of | Euphorbias |

| | <i>Euphorbia</i> <i>neriifolia</i> and artificially propagated specimens of cultivars of <i>Euphorbia</i> "Milii" when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated specimens, are not subject to the provisions of this Regulation) #1 | |
|---------------------------------------|---|--|
| Euphorbia ambovombensis (I) | | |
| Euphorbia capsaintemariensi (I) | S | |
| Euphorbia cremersii (I) | | |
| Euphorbia cylindrifolia (I) | | |
| Euphorbia decaryi (I) | | |
| Euphorbia francoisii (I) | | |
| Euphorbia handiensis (II) | | |
| Euphorbia lambii (II) | | |
| Euphorbia moratii (I) | | |
| Euphorbia parvicyathophora (I) | | |
| Euphorbia quartziticola (I) | | |
| Euphorbia stygiana (II) | | |

| | Euphorbia tulearensis (I) | | | |
|--------------|-------------------------------|---|---|-----------------------|
| FOUQUIERIACE | AE | | | Ocotillos, boojums |
| | | Fouquieria columnaris (II) #1 | | Boojum tree |
| | Fouquieria fasciculata (I) | | | Arbol del barril |
| | Fouquieria purpusii (I) | | | |
| GNETACEAE | | | | Joint firs |
| | | | Gnetum montanum (III Nepal) #1 | |
| JUGLANDACEA | E | | | Walnuts, gavilan |
| | | <i>Oreomunnea</i> <i>pterocarpa</i> (II) #1 | | Gavilàn |
| LEGUMINOSAE | | | | Legumes |
| (FABACEAE) | | Caesalpinia echinata (II) #10 | | Brazil wood |
| | Dalbergia nigra (I) | | | Brazilian rosewood |
| | | | Dalbergia retusa (III population of Guatemala) #5 | Black rosewood |
| | | | Dalbergia stevensonii (III population of Guatemala) #5 | Honduras rosewood |
| | | | Dipteryx panamensis (III Costa Rica/ Nicaragua) | Almendro |
| | | <i>Pericopsis elata</i> (II) #5 | | Afrormosia |
| | | Platymiscium pleiostachyum (II) #1 | | Quira macawood |
| | | Pterocarpus santalinus (II) #7 | | Red sandalwood |

| <i>LILIACEAE</i> | | | Lilies |
|------------------|------------------------------|---|--------|
| | | <i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i> , also known as <i>Aloe</i> <i>barbadensis</i> , which is not included in the Annexes to this Regulation) #1 | Aloes |
| | Aloe albida (I) | | |
| | Aloe albiflora (I) | | |
| | Aloe alfredii (I) | | |
| | Aloe bakeri (I) | | |
| | Aloe bellatula (I) | | |
| | Aloe calcairophila (I) | | |
| | Aloe compressa (I) | | |
| | Aloe delphinensis (I) | | |
| | Aloe descoingsii (I) | | |
| | Aloe fragilis (I) | | |
| | Aloe haworthioides (I) | | |
| | Aloe helenae (I) | | |
| | Aloe laeta (I) | | |
| | Aloe parallelifolia (I) | | |
| | Aloe parvula (I) | | |
| | Aloe pillansii (I) | | |
| | Aloe polyphylla (I) | | |
| | Aloe rauhii (I) | | |
| | Aloe suzannae (I) | | |

| | Aloe versicolor (I) | | | |
|--------------|---------------------------|---|---|-------------------------------|
| | Aloe vossii (I) | | | |
| MAGNOLIACEAL | E | | | Magnolias |
| | | | Magnolia liliifera var. obovata (III Nepal) #1 | Safan |
| MELIACEAE | | | | Mahoganies |
| | | | <i>Cedrela odorata</i> (III Population of Colombia, Population of Guatemala, Population of Peru) #5 | Spanish cedar |
| | | Swietenia humilis (II) #1 | | Honduras mahogany |
| | | Swietenia macrophylla (II) (Population of the Neotropics — includes Central and South America and the Caribbean) #6 | | Big-leaf mahogany |
| | | Swietenia mahagoni (II) #5 | | Caribbean mahogany |
| NEPENTHACEAI | E | | | Pitcher plants (old-world) |
| | | Nepenthes spp. (II) (Except for the species included in Annex A) #1 | | Tropical pitcher plants |
| | Nepenthes khasiana (I) | | | Indian pitcher plant |
| | Nepenthes rajah (I) | | | Giant tropical pitcher plant |
| ORCHIDACEAE | | | | Orchids |
| | | ORCHIDACEAE spp. (II) (Except for the species | | Orchids |

| | included in Annex A) ⁱ #1 | |
|---|---|--------------------------------|
| For all of the following Annex A species, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation. | | |
| Aerangis ellisii (I) | | |
| Cephalanthera cucullata (II) | | Hooded helleborine |
| Cypripedium calceolus (II) | | Lady's slipper orchid |
| Dendrobium cruentum (I) | | |
| Goodyera macrophylla (II) | | Madeiran lady's- tresses |
| Laelia jongheana (I) | | |
| Laelia lobata (I) | | |
| <i>Liparis loeselii</i> (II) | | Fen orchid |
| <i>Ophrys argolica</i> (II) | | Eyed bee orchid |
| <i>Ophrys lunulata</i> (II) | | Crescent ophrys |
| Orchis scopulorum (II) | | Madeiran orchid |
| <i>Paphiopedilum</i> spp. (I) | | Asian slipper orchids |
| Peristeria elata (I) | | Holy ghost orchid |
| <i>Phragmipedium</i> spp. (I) | | South American slipper orchids |
| Renanthera imschootiana (I) | | Red vanda |

| | Spiranthes aestivalis (II) | | | Summer lady's- tresses |
|--------------|-----------------------------------|---|---|---------------------------|
| OROBANCHACE | AE | | | Broomrapes |
| | | <i>Cistanche</i> <i>deserticola</i> (II) #1 | | Desert cistanche |
| PALMAE | | | | Palms |
| (ARECACEAE) | | Beccariophoenix madagascariensis (II) #1 | | Manarano |
| | Chrysalidocarpus decipiens (I) | | | Butterfly palm |
| | | Lemurophoenix halleuxii (II) | | Hovitra varimena |
| | | Marojejya darianii (II) | | Ravimbe |
| | | Neodypsis decaryi (II) #1 | | Triangle palm |
| | | Ravenea louvelii (II) | | Lakamarefo |
| | | Ravenea rivularis (II) | | Gora |
| | | Satranala decussilvae (II) | | Satranabe |
| | | Voanioala gerardii (II) | | Voanioala |
| PAPAVERACEAE | | | | Poppies |
| | | | <i>Meconopsis</i> <i>regia</i> (III Nepal) #1 | Himalayan poppy |
| PINACEAE | | | | Pine family |
| | Abies guatemalensis (I) | | | Guatemalan fir |
| PODOCARPACE | 4 <i>E</i> | | | Podocarps |
| | | | Podocarpus neriifolius (III Nepal) #1 | Yellow wood |
| | Podocarpus parlatorei (I) | | | Parlatore's podocarp |

| PORTULACACEA | 4 <i>E</i> | | Portulacas, purslanes |
|---------------|---|--|---------------------------------------|
| | | Anacampseros spp. (II) #1 | Purslanes |
| | | Avonia spp. #1 | |
| | | Lewisia serrata (II) #1 | Saw-toothed lewisia |
| PRIMULACEAE | | | Primulas, cyclamens |
| | | <i>Cyclamen</i> spp. (II) ^j #1 | Cyclamens |
| PROTEACEAE | | | Proteas |
| | | Orothamnus zeyheri (II) #1 | Marsh-rose |
| | | Protea odorata (II) #1 | Ground-rose |
| RANUNCULACE | AE | | Buttercups |
| | | Adonis vernalis (II) #2 | Yellow adonis |
| | | Hydrastis canadensis (II) #8 | Golden seal |
| ROSACEAE | | | Roses, cherries |
| | | Prunus africana (II) #1 | African cherry |
| RUBIACEAE | | | Ayugue |
| | Balmea stormiae (I) | | Ayugue |
| SARRACENIACE. | AE | | Pitcher plants (new world) |
| | | Sarracenia spp. (II) (Except for the species included in Annex A) #1 | Pitcher plants |
| | Sarracenia oreophila (I) | | Green pitcher plant |
| | Sarracenia rubra ssp. alabamensis (I) | | Alabama canebrake pitcher plant |

| | Sarracenia rubra ssp. jonesii (I) | | | Mountain sweet pitcher plant |
|-----------------------------|---|---|---|------------------------------|
| SCROPHULARL | ACEAE | | | Figworts |
| | | Picrorhiza kurrooa (II) (excludes Picrorhiza scrophulariiflora) #2 | | Indian gentian |
| STANGERIACEA | 1E | | | Stangerias (cycads) |
| | | Bowenia spp. (II) #1 | | Cycads |
| | Stangeria eriopus (I) | | | Stangeria |
| TAXACEAE | | | | Yews |
| | | Taxus chinensis (II) #2 | | Chinese yew |
| | | Taxus cuspidata (II) ^k #2 | | Japanese yew |
| | | Taxus fuana (II) #2 | | Tibetan yew |
| | | Taxus sumatrana (II) #2 | | Sumatran yew |
| | | Taxus wallichiana (II) #2 | | Himalayan yew |
| THYMELEACEA (AQUILARIACE | | | | Agarwood, ramin |
| | | Aquilaria spp. (II) #1 | | Agarwood |
| | | Gonystylus spp. (II) #1 | | Ramin |
| | | <i>Gyrinops</i> spp. (II) #1 | | Agarwood |
| TROCHODEND | | | | Tetracentrons |
| (TETRACENTRA | ICEAE) | | Tetracentron sinense (III Nepal) #1 | |
| VALERIANACEA | 1E | | | Valerians |

| | | Nardostachys grandiflora #2 | | |
|--------------|--------------------------------|---|--|------------------------------|
| WELWITSCHIA | CEAE | | | Welwitschias |
| | | Welwitschia mirabilis (II) #1 | | Welwitschia |
| ZAMIACEAE | | | | Cycads |
| | | ZAMIACEAE spp. (II) (Except for the species included in Annex A) #1 | | Cycads |
| | <i>Ceratozamia</i> spp. (I) | | | Horncones |
| | Chigua spp. (I) | | | |
| | <i>Encephalartos</i> spp. (I) | | | Bread palms |
| | Microcycas calocoma (I) | | | Palm corcho |
| ZINGIBERACEA | 1 <i>E</i> | | | Ginger lilies |
| | | Hedychium philippinense (II) #1 | | Philippine garland-flower |
| ZYGOPHYLLAC | CEAE | | | Lignum-vitae |
| | | <i>Guaiacum</i> spp. (II) #2 | | Lignum-vitae |
| | | | Bulnesia sarmientoi (III Argentina) #11 | Guaiacwood |

a Population of Argentina (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words "VICUÑA-ARGENTINA". Other products must bear a label including the logotype and the designation "VICUÑA-ARGENTINA-ARTESANÍA". All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

 b Population of Bolivia (listed in Annex B): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words "VICUÑA-BOLIVIA". Other products must bear a label including the logotype and the designation "VICUÑA-BOLIVIA-ARTESANÍA". All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

c Population of Chile (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words "VICUÑA-CHILE". Other products must bear a label

including the logotype and the designation "VICUÑA-CHILE-ARTESANÍA". All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

d Population of Peru (listed in Annex B): For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words "VICUÑA-PERU". Other products must bear a label including the logotype and the designation "VICUÑA-PERU-ARTESANÍA". All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

All species are listed in Appendix II except Balaena mysticetus, Eubalaena spp., Balaenoptera acutorostrata (except е population of West Greenland), Balaenoptera bonaerensis, Balaenoptera borealis, Balaenoptera edeni, Balaenoptera musculus, Balaenoptera physalus, Megaptera novaeangliae, Orcaella brevirostris, Sotalia spp, Sousa spp, Eschrichtius robustus, Lipotes vexillifer, Caperea marginata, Neophocaena phocaenoides, Phocoena sinus, Physeter catodon, Platanista spp., Berardius spp., Hyperoodon spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of Tursiops truncatus removed from the wild and traded for primarily commercial purposes.

f Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B): For the exclusive purpose of allowing: a) trade in hunting trophies for non-commercial purposes; b) trade in live animals to appropriate and acceptable destinations as defined in Res. Conf. 11.20 for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa; c) trade in hides; d) trade in hair; e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non commercial purposes for Zimbabwe; g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade; iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; iv) Raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at COP12 which are 20 000 kg (Botswana), 10 000 kg (Namibia), 30 000 kg (South Africa); v) In addition to the quantities agreed at COP12, government-owned ivory from Botswana, Zimbabwe, Namibia and South Africa registered by the 31st of January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in g) iv) in a single sale per destination under strict supervision of the Secretariat; vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and vii) The additional quantities specified in g) v) shall be traded only after the Standing Committee has agreed that the above conditions have been met; h) No further proposals to allow trade in elephant ivory from populations already on Annex B shall be submitted to the Conference of the Parties for the period from COP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraph g) i), g) ii), g) iii), g) vi) and g) vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78. On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

The following are not subject to the provisions of this Regulation: g

Fossils

Coral sand, that is to say material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae

Coral fragments (including gravel and rubble), that is to say unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm in diameter.

h Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation: Hatiora x graeseri Schlumbergera x buckleyi Schlumbergera russelliana x Schlumbergera truncata Schlumbergera orssichiana x Schlumbergera truncata Schlumbergera opuntioides x Schlumbergera truncata Schlumbergera truncata (cultivars) Cactaceae spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: Harrisia"Jusbertii", Hylocereus trigonus or Hylocereus undatus Opuntia microdasys (cultivars)

i Artificially propagated hybrids of the following genera are not subject to the provisions of this Regulation, if conditions, as indicated in paragraphs a) and b) below, are met: Cymbidium, Dendrobium, Phalaenopsis and Vanda:

- a) Specimens are readily recognisable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
- b) i) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
 - when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification. Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

j Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

k Artificially propagated hybrids and cultivars of Taxus cuspidata, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text "artificially propagated", are not subject to the provisions of this Regulation

| | Annex D | Common name |
|-----------------|---|----------------------------------|
| FAUNA | · | · |
| CHORDATA (CHORI | DATES) | T |
| MAMMALIA | | Mammals |
| CARNIVORA | | · · |
| Canidae | | Dogs, foxes, wolves |
| | Vulpes vulpes griffithi (III India) §1 | Red fox |
| | Vulpes vulpes montana (III India) §1 | Red fox |
| | Vulpes vulpes pusilla (III India) §1 | Red fox |
| Mustelidae | | Badgers, martens, weasels etc. |
| | Mustela altaica (III India) §1 | Mountain weasel |
| | Mustela erminea ferghanae (III India) §1 | Stoat |
| | Mustela kathiah (III India) §1 | Yellow-bellied weasel |
| | Mustela sibirica (III India) §1 | Siberian weasel |
| DIPROTODONTIA | | L |
| Macropodidae | | Kangaroos, wallabies |
| | Dendrolagus dorianus | Doria's tree-kangaroo |
| | Dendrolagus goodfellowi | Goodfellow's tree-kangaroo |
| | Dendrolagus matschiei | Huon tree-kangaroo |
| | Dendrolagus pulcherrimus | Golden-mantled tree- kangaroo |

| | Dendrolagus stellarum | Seri's tree-kangaroo |
|---------------|--------------------------|--|
| AVES | | Birds |
| ANSERIFORMES | | |
| Anatidae | | Ducks, geese, swans |
| | Anas melleri | Meller's duck |
| COLUMBIFORMES | | |
| Columbidae | | Doves, pigeons |
| | Columba oenops | Peruvian pigeon |
| | Didunculus strigirostris | Tooth-billed pigeon |
| | Ducula pickeringii | Grey imperial-pigeon |
| | Gallicolumba crinigera | Mindanao bleeding-heart |
| | Ptilinopus marchei | Flame-breasted fruit-dove |
| | Turacoena modesta | Black cuckoo-dove |
| GALLIFORMES | | |
| Cracidae | | Chachalacas, currassows, guans |
| | Crax alector | Black curassow |
| | Pauxi unicornis | Horned curassow |
| | Penelope pileata | White-crested guan |
| Megapodiidae | | Megapodes, scrubfowl |
| | Eulipoa wallacei | Moluccan scrubfowl |
| Phasianidae | | Grouse, guineafowl, partridges, pheasants, tragopans |
| | Arborophila gingica | White-necklaced partridge |
| | Lophura bulweri | Bulwer's pheasant |
| | Lophura diardi | Siamese fireback |
| | Lophura inornata | Salvadori's pheasant |
| | Lophura leucomelanos | Kalij pheasant |
| | Syrmaticus reevesii §2 | Reeves's pheasant |
| PASSERIFORMES | I | |
| Bombycillidae | | Waxwings |
| | Bombycilla japonica | Japanese waxwing |
| Corvidae | | Crows, magpies, jays |
| | Cyanocorax caeruleus | Azure jay |
| | Cyanocorax dickeyi | Tufted jay |

| Cotingidae | | Cotingas |
|--------------|---|------------------------------------|
| | Procnias nudicollis | Bare-throated bellbird |
| Emberizidae | | Cardinals, seedeaters, tanagers |
| | Dacnis nigripes | Black-legged dacnis |
| | Sporophila falcirostris | Temminck's seedeater |
| | Sporophila frontalis | Buffy-throated seedeater |
| | Sporophila hypochroma | Grey-and-chestnut seedeater |
| | Sporophila palustris | Marsh seedeater |
| Estrildidae | | Mannikins, waxbills |
| | Amandava amandava | Red avadavat |
| | Cryptospiza reichenovii | Red-faced crimson-wing |
| | Erythrura coloria | Red-eared parrotfinch |
| | Erythrura viridifacies | Green-faced parrotfinch |
| | <i>Estrilda quartinia</i> (Frequently traded as <i>Estrilda</i> <i>melanotis</i>) | Yellow-bellied waxbill |
| | Hypargos niveoguttatus | Peters's twinspot |
| | Lonchura griseicapilla | Grey-headed silverbill |
| | Lonchura punctulata | Scaly-breasted munia |
| | Lonchura stygia | Black munia |
| Fringillidae | | Finches |
| | Carduelis ambigua | Black-headed greenfinch |
| | Carduelis atrata | Black siskin |
| | Kozlowia roborowskii | Tibetan rosefinch |
| | Pyrrhula erythaca | Grey-headed bullfinch |
| | Serinus canicollis | Cape canary |
| | Serinus citrinelloides hypostictus (Frequently traded as Serinus citrinelloides) | East African citril |
| Icteridae | | New-world blackbirds |
| | Sturnella militaris | Pampas meadowlark |
| Muscicapidae | | Old-world flycatchers, thrushes |
| | Cochoa azurea | Javan cochoa |
| | Cochoa purpurea | Purple cochoa |

| | Garrulax formosus | Red-winged laughingthrush |
|-------------|--|-----------------------------------|
| | | |
| | Garrulax galbanus | Yellow-throated laughingthrush |
| | Garrulax milnei | Red-tailed laughing thrush |
| | Niltava davidi | Fujian niltava |
| | Stachyris whiteheadi | Chestnut-faced babbler |
| | Swynnertonia swynnertoni (Also referenced as Pogonicichla swynnertoni) | Swynnerton's robin |
| | Turdus dissimilis | Black-breasted thrush |
| Pittidae | | Pittas |
| | Pitta nipalensis | Blue-naped pitta |
| | Pitta steerii | Azure-breasted pitta |
| Sittidae | | Nuthatches |
| | Sitta magna | Giant nuthatch |
| | Sitta yunnanensis | Yunnan nuthatch |
| Sturnidae | | Mynas, starlings |
| | Cosmopsarus regius | Golden-breasted starling |
| | Mino dumontii | Yellow-faced myna |
| | Sturnus erythropygius | White-headed starling |
| REPTILIA | | Reptiles |
| TESTUDINES | | |
| Geoemydidae | | Freshwater turtles |
| | Melanochelys trijuga | Indian black turtle |
| SAURIA | | |
| Cordylidae | | Spiny-tailed lizards |
| | Zonosaurus karsteni | Karsten's girdled lizard |
| | Zonosaurus quadrilineatus | Four-lined girdled lizard |
| Gekkonidae | | Geckos |
| | Rhacodactylus auriculatus | New Caledonia bumpy gecko |
| | Rhacodactylus ciliatus | Guichenot's giant gecko |
| | Rhacodactylus leachianus | New Caledonia giant gecko |
| | Teratoscincus microlepis | Small-scaled wonder gecko |
| | Teratoscincus scincus | Common wonder gecko |
| Scincidae | | Skinks |
| | Tribolonotus gracilis | Crocodile skink |
| | L | |

| | Tribolonotus novaeguineae | New Guinea helmet skink |
|-----------------|--|--|
| SERPENTES | | |
| Colubridae | | Typical snakes, water snakes, whip snakes |
| | Elaphe carinata §1 | Taiwan stink snake |
| | Elaphe radiata §1 | Radiated rat snake |
| | Elaphe taeniura §1 | Taiwan beauty snake |
| | Enhydris bocourti §1 | Bocourt's water snake |
| | Homalopsis buccata §1 | Masked water snake |
| | Langaha nasuta | Northern leafnose snake |
| | Leioheterodon madagascariensis | Madagascar menarana snake |
| | Ptyas korros §1 | Indochinese rat snake |
| | Rhabdophis subminiatus §1 | Redneck keelback |
| Hydrophiidae | | Sea snakes |
| | Lapemis curtus (Includes Lapemis hardwickii) §1 | Shaw's sea snake |
| Viperidae | | Vipers |
| | Calloselasma rhodostoma §1 | Malayan pit viper |
| AMPHIBIA | | |
| ANURA | | Frogs and toads |
| Hylidae | | Tree frogs |
| | Phyllomedusa sauvagii | Waxy monkey tree frog |
| Leptodactylidae | | Neotropical frogs |
| | Leptodactylus laticeps | Red spotted burrow frog |
| Ranidae | | True frogs |
| | Limnonectes macrodon | |
| | Rana shqiperica | Albanian pool frog |
| CAUDATA | | |
| Hynobiidae | | Asiatic salamanders |
| | Ranodon sibiricus | Semirechensk salamander / Central Asian salamander / Siberian salamander |
| Plethodontidae | | Lungless salamanders |
| | Bolitoglossa dofleini | Giant palm salamander |
| Salamandridae | | Newts and salamanders |
| | Cynops ensicauda | Sword-tailed newt |

| | Echinotriton andersoni | Anderson's salamander |
|-----------------------------|--------------------------------------|--|
| | Pachytriton labiatus | Paddletail newt |
| | Paramesotriton spp. | Warty newt |
| | Salamandra algira | North African fire salamander |
| | Tylototriton spp. | Crocodile newts |
| ACTINOPTERYGII | | Fish |
| PERCIFORMES | | |
| Apogonidae | D(| Densesisentinalfal |
| | Pterapogon kauderni | Banggai cardinalfish |
| ARTHROPODA (ARTI INSECTA | | Insects |
| LEPIDOPTERA | | Butterflies |
| Papilionidae | | Birdwing and swallow-tail butterflies |
| | Baronia brevicornis | Short-horned baronia |
| | Papilio grosesmithi | |
| | Papilio maraho | Broad-tailed swallowtail |
| FLORA | | |
| AGAVACEAE | | Agaves |
| | Calibanus hookeri | |
| | Dasylirion longissimum | Beargrass |
| ARACEAE | | Arums |
| | Arisaema dracontium | Green dragon |
| | Arisaema erubescens | |
| | Arisaema galeatum | |
| | Arisaema nepenthoides | |
| | Arisaema sikokianum | |
| | Arisaema thunbergii var. urashima | |
| | Arisaema tortuosum | |
| | Biarum davisii ssp. marmarisense | |
| | Biarum ditschianum | |
| COMPOSITAE (ASTERACEAE) | | Asters, daisies, costus |
| | Arnica montana §3 | Mountain tobacco |
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|---------------------------|--|-------------------------|
| | Othonna clavifolia | |
| | Othonna hallii | |
| | Othonna herrei | |
| | Othonna lepidocaulis | |
| | Othonna retrorsa | |
| ERICACEAE | | Heathers, rhododendrons |
| | Arctostaphylos uva-ursi §3 | Bearberry |
| GENTIANACEAE | | Gentians |
| | Gentiana lutea §3 | Great yellow gentian |
| LEGUMINOSAE (FABACEAE) | | Legumes |
| | Dalbergia granadillo §4 | Black rosewood |
| | Dalbergia retusa (Except for the populations which are included in Annex C) §4 | Black rosewood |
| | Dalbergia stevensonii (Except for the populations which are included in Annex C) §4 | Honduras rosewood |
| LYCOPODIACEAE | | Clubmosses |
| | Lycopodium clavatum §3 | Stagshorn clubmoss |
| MELIACEAE | | Mahoganies |
| | Cedrela fissilis §4 | |
| | Cedrela lilloi (C. angustifolia) §4 | |
| | Cedrela montana §4 | |
| | Cedrela oaxacensis §4 | |
| | <i>Cedrela odorata</i> (Except for the populations which are included in Annex C) §4 | Spanish cedar |
| | Cedrela salvadorensis §4 | |
| | Cedrela tonduzii §4 | |
| MENYANTHACEAE | | Bogbeans |
| | Menyanthes trifoliata §3 | Bogbean |
| PARMELIACEAE | | Parmelioid lichens |
| | Cetraria islandica §3 | Icelandic moss |
| | Cellulu isiunuleu gs | |
| PASSIFLORACEAE | | Desert roses |

| | Adenia pechuelli | Desert rose |
|-----------------|--------------------------|--------------------------------------|
| PORTULACACEAE | | Portulas, purslanes |
| | Ceraria carrissoana | |
| | Ceraria fruticulosa | |
| LILIACEAE | | Lilies |
| | Trillium pusillum | Dwarf wakerobin |
| | Trillium rugelii | Illscented wakerobin |
| | Trillium sessile | Sessile-flowered wakerobin wood-lily |
| PEDALIACEAE | | Sesame, devil's claw |
| | Harpagophytum spp. §3 | Devil's claw |
| SELAGINELLACEAE | | Clubmosses, spikemosses |
| | Selaginella lepidophylla | Rose of Jericho |

Editorial Information

X1 Deleted by Corrigendum to Commission Regulation (EC) No 407/2009 of 14 May 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (Official Journal of the European Union L 123 of 19 May 2009).

X2 Inserted by Corrigendum to Commission Regulation (EC) No 407/2009 of 14 May 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (Official Journal of the European Union L 123 of 19 May 2009).

(**1**) OJ L 61, 3.3.1997, p. 1.

Changes to legislation:

There are currently no known outstanding effects for the Commission Regulation (EC) No 407/2009.