

ANNEX II

INFORMATION AND DOCUMENTATION RELATED TO NOTIFICATION

Part 1:

Information to be supplied on, or annexed to, the notification document:

1. Serial number or other accepted identifier of the notification document and intended total number of shipments.
2. Notifier's name, address, telephone number, fax number, e-mail address, registration number and contact person.
3. If the notifier is not the producer: producer's (producers') name, address, telephone number, fax number, e-mail address and contact person.
4. Dealer's (dealers') or broker's (brokers') name, address, telephone number, fax number, e-mail address and contact person, where the notifier has authorised him in accordance with point 15 of Article 2.
5. Recovery or disposal facility's name, address, telephone number, fax number, e-mail address, registration number, contact person, technologies employed and possible status as pre-consented in accordance with Article 14.

If the waste is destined for an interim recovery or disposal operation, similar information regarding all facilities where subsequent interim and non-interim recovery or disposal operations are envisaged shall be indicated.

If the recovery or disposal facility is listed in Annex I, Category 5 of Directive [F12010/75/EU], evidence (e.g. a declaration certifying its existence) of a valid permit issued in accordance with [F2the relevant regulations] shall be provided.

[F3In the third subparagraph, “relevant regulations” means—

- a in relation to England and Wales, the Environmental Permitting (England and Wales) Regulations 2016;
- b in relation to Northern Ireland, the Pollution Prevention and Control (Industrial Emissions) Regulations (Northern Ireland) 2013;
- c in relation to Scotland, the Pollution Prevention and Control (Scotland) Regulations 2012.]

Textual Amendments

- F1** Word in Annex 2 Pt. 1 point 5 substituted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(2)(a)(i)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)
- F2** Words in Annex 2 Pt. 1 point 5 substituted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(2)(a)(i)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)
- F3** Words in Annex 2 Pt. 1 point 5 inserted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(2)(a)(ii)**; 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation: There are currently no known outstanding effects for the Regulation (EC) No 1013/2006 of the European Parliament and of the Council, ANNEX II. (See end of Document for details)

6. Consignee's name, address, telephone number, fax number, e-mail address, registration number and contact person.
7. Intended carrier's (carriers') and/or their agent's (agents') name, address, telephone number, fax number, e-mail address, registration number and contact person.
8. Country of dispatch and relevant competent authority.
9. Countries of transit and relevant competent authorities.
10. Country of destination and relevant competent authority.
11. Single notification or general notification. If general notification, period of validity requested.
12. Date(s) envisaged for start of the shipment(s).
13. Means of transport envisaged.
14. Intended routing (point of exit from and entry into each country concerned, including [^{F4}, where appropriate,] customs offices of entry into and/or exit from and/or export from the Community) and intended route (route between points of exit and entry), including possible alternatives, also in case of unforeseen circumstances.

.....

Textual Amendments

F4 Words in Annex 2 Pt.1 point 14 inserted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(2)(b)**; 2020 c. 1, Sch. 5 para. 1(1)

15. Evidence of registration of the carrier(s) regarding waste transports (e.g. a declaration certifying its existence).
16. Designation of the waste on the appropriate list, the source(s), description, composition and any hazardous characteristics. In the case of waste from various sources, also a detailed inventory of the waste.
17. Estimated maximum and minimum quantities.
18. Type of packaging envisaged.
19. Specification of the recovery or disposal operation(s) as referred to in [^{F5}Annex 1 or 2 of [Directive 2008/98/EC](#)].

.....

Textual Amendments

F5 Words in Annex 2 Pt. 1 point 19 substituted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(2)(c)**; 2020 c. 1, Sch. 5 para. 1(1)

20. If the waste is destined for recovery:
 - (a) the planned method of disposal for the non-recoverable fraction after recovery;
 - (b) the amount of recovered material in relation to non-recoverable waste;

Changes to legislation: There are currently no known outstanding effects for the Regulation (EC) No 1013/2006 of the European Parliament and of the Council, ANNEX II. (See end of Document for details)

- (c) the estimated value of the recovered material;
- (d) the cost of recovery and the cost of disposal of the non-recoverable fraction.
- 21. Evidence of insurance against liability for damage to third parties (e.g. a declaration certifying its existence).
- 22. Evidence of a contract (or a declaration certifying its existence) between the notifier and consignee for the recovery or disposal of the waste that has been concluded and is effective at the time of the notification, as required in the second subparagraph, point 4 of Article 4 and in Article 5.
- 23. A copy of the contract or evidence of the contract (or a declaration certifying its existence) between the producer, new producer or collector and the broker or dealer, in the event that the broker or dealer acts as notifier.
- 24. Evidence of a financial guarantee or equivalent insurance (or a declaration certifying its existence if the competent authority so allows) that has been established and is effective at the time of the notification or, if the competent authority which approves the financial guarantee or equivalent insurance so allows, at the latest when the shipment starts, as required in the second subparagraph, point 5 of Article 4 and in Article 6.
- 25. Certification by the notifier that the information is complete and correct to the best of his/her knowledge.
- 26. When the notifier is not the producer in accordance with point 15(a)(i) of Article 2, the notifier shall ensure that the producer or one of the persons indicated in point 15(a)(ii) or (iii) of Article 2, where practicable, also signs the notification document provided for in Annex IA.

Part 2:

Information to be supplied on, or annexed to, the movement document:

Supply all information listed in Part 1, updated in accordance with the points set out below, and the other additional information specified:

- 1. Serial and total number of shipments.
- 2. Date shipment started.
- 3. Means of transport.
- 4. Carrier's (carriers') name, address, telephone number, fax number and e-mail address.
- 5. Routing (point of exit from and entry into each country concerned, including [^{F6}, where appropriate,] customs offices of entry into and/or exit from and/or export from the Community) and route (route between points of exit and entry), including possible alternatives, also in case of unforeseen circumstances.
- 6. Quantities.
- 7. Type of packaging.
- 8. Any special precautions to be taken by the carrier(s).

Changes to legislation: There are currently no known outstanding effects for the Regulation (EC) No 1013/2006 of the European Parliament and of the Council, ANNEX II. (See end of Document for details)

9. Declaration by the notifier that all necessary consents have been received from the competent authorities of the countries concerned. This declaration must be signed by the notifier.
10. Appropriate signatures for each custody transfer.

Textual Amendments

F6 Words in Annex 2 Pt. 2 point 5 inserted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(3)**; 2020 c. 1, Sch. 5 para. 1(1)

Part 3:

Additional information and documentation that may be requested by the competent authorities:

1. The type and duration of the authorisation pursuant to which the recovery or disposal facility operates.
2. Copy of the permit issued in accordance with [^{F7}the relevant regulations or Articles 4 and 5 of [Directive 2010/75/EU](#) as amended from time to time].

Textual Amendments

F7 Words in Annex 2 point 2 substituted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(4)(a)**; 2020 c. 1, Sch. 5 para. 1(1)

[^{F8}2A. In paragraph 2, "relevant regulations" has the meaning given in paragraph 5 of Part 1.]

Textual Amendments

F8 Annex 2 Pt. 3 point. 2A inserted (31.12.2020) by [The International Waste Shipments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/590\)](#), regs. 2(2), **104(4)(b)**; 2020 c. 1, Sch. 5 para. 1(1)

3. Information concerning the measures to be taken to ensure transport safety.
4. The transport distance(s) between the notifier and the facility, including possible alternative routes, also in case of unforeseen circumstances and, in the event of intermodal transport, the place where the transfer will take place.
5. Information about costs of transport between the notifier and the facility.
6. Copy of the registration of the carrier(s) regarding the waste transport.
7. Chemical analysis of the composition of the waste.
8. Description of the production process of the waste.
9. Description of the treatment process of the facility which receives the waste.
10. The financial guarantee or equivalent insurance or a copy thereof.

Changes to legislation: There are currently no known outstanding effects for the Regulation (EC) No 1013/2006 of the European Parliament and of the Council, ANNEX II. (See end of Document for details)

11. Information concerning the calculation of the financial guarantee or equivalent insurance as required in the second subparagraph, point 5 of Article 4 and in Article 6.
12. Copy of the contracts referred to in Part 1, points 22 and 23.
13. Copy of the policy of insurance against liability for damage to third parties.
14. Any other information which is pertinent to the assessment of the notification in accordance with this Regulation and national legislation.

Changes to legislation:

There are currently no known outstanding effects for the Regulation (EC) No 1013/2006 of the European Parliament and of the Council, ANNEX II.