Council Decision (EU) 2018/156 of 22 January 2018 on the position to be taken on behalf of the European Union within the Association Council established by the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards supplementing Annex I-A to Chapter 1 of Title IV of that Agreement, and within the Association Committee in Trade configuration, as regards recalculating the schedule of export duty elimination set out in Annexes I-C and I-D to Chapter 1 of Title IV of that Agreement

# COUNCIL DECISION (EU) 2018/156

# of 22 January 2018

on the position to be taken on behalf of the European Union within the Association Council established by the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards supplementing Annex I-A to Chapter 1 of Title IV of that Agreement, and within the Association Committee in Trade configuration, as regards recalculating the schedule of export duty elimination set out in Annexes I-C and I-D to Chapter 1 of Title IV of that Agreement

# THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular the first subparagraph of Article 207(4) and Article 218(9) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) The Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part<sup>(1)</sup> (the 'Agreement') entered into force on 1 September 2017. Article 486(3) and (4) thereof provides for the provisional application of the Agreement in part, as specified by the Union.
- (2) Article 4 of Council Decision 2014/668/EU<sup>(2)</sup> specifies the provisions of the Agreement to be applied provisionally, including those related to the elimination of customs duties and those related to Annexes I-A to I-D to Chapter 1 of Title IV of the Agreement. The provisional application has been effective since 1 January 2016.
- (3) Having unilaterally anticipated the implementation of the Schedule of concessions set out in Annex I-A to Chapter 1 of Title IV of the Agreement by means of the autonomous trade preferences provided for under Regulation (EU) No 374/2014 of the European Parliament and the Council<sup>(3)</sup>, the Union has already applied the specific modalities of implementation of the schedule ('staging categories') which were agreed by the Parties.
- (4) A clarification on the modalities of tariff dismantlement was adopted by means of Regulation (EU) No 1150/2014 of the European Parliament and the Council<sup>(4)</sup>, in the context of the amendment to the autonomous trade preferences, with a view to

specifying the reduction to be applied to the base rate of customs duties for each staging category referred to in Annex I to Regulation (EU) No 374/2014.

- (5) An equivalent clarification is required to ensure that the same modalities, reflecting the joint understanding reached by the Parties during negotiations, are clearly set out for the optimal implementation of the Schedule of concessions. Such modalities are to be applied by both parties to the Agreement.
- (6) Annex I-C to Chapter 1 of Title IV of the Agreement, setting out the schedules of export duty elimination of Ukraine, provides that the recalculation of the table is needed in order to maintain the relative preference, that is, same proportion, compared to the WTO-bound export duty rates applicable for each period in case the trade-related provisions of the Agreement enter into force after 15 May 2014.
- (7) Annex I-D to Chapter 1 of Title IV of the Agreement, setting out safeguard measures in the form of a surcharge to be applied to the export duty for specific goods, also provides that the recalculation of the table is needed in order to maintain the relative preference, that is, same proportion, compared to the WTO-bound export duty rates applicable for each period in case the trade-related provisions of the Agreement enter into force after 15 May 2014.
- (8) A technical amendment in Annex I-C to Chapter 1 of Title IV of the Agreement is required to tariff code 1207 9997 00 to reflect the correct description as per United Commodities Classifier (UKTZED) of Ukraine.
- (9) By Decision No 3/2014<sup>(5)</sup>, the EU-Ukraine Association Council empowered the Association Committee in Trade configuration (the 'Trade Committee') to update or amend certain trade-related annexes, including Annexes I-C and I-D to Chapter 1 of Title IV of the Agreement.
- (10) The position of the Union within the Association Council and within the Trade Committee configuration should therefore be based on the attached draft Decisions,

HAS ADOPTED THIS DECISION:

# Article 1

The position to be taken on behalf of the Union within the Association Council as regards supplementing Annex I-A to Chapter 1 of Title IV of the Agreement and within the Trade Committee as regards recalculating the schedule of export duty elimination set out in Annexes I-C and I-D to Chapter 1 of Title IV of the Agreement, shall be based on the draft Decisions attached to this Decision.

# Article 2

This Decision shall enter into force on the date of its adoption.

Article 3

This Decision is addressed to the Commission.

Done at Brussels, 22 January 2018.

For the Council The President F. MOGHERINI

# DRAFT

# DECISION No .../2018 OF THE EU-UKRAINE ASSOCIATION COUNCIL

# of ... 2018

supplementing Annex I-A to Chapter 1 of Title IV of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part

# THE EU-UKRAINE ASSOCIATION COUNCIL,

Having regard to the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part<sup>(6)</sup>, signed in Brussels on 27 June 2014,

Whereas:

- (1) In accordance with Article 486 of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part ('the Agreement'), parts of the Agreement, including provisions on the elimination of customs duties, and related Annex I-A to Chapter 1 of Title IV of the Agreement is applied provisionally as of 1 January 2016.
- (2) Regulation (EU) No 374/2014 of the European Parliament and of the Council<sup>(7)</sup> unilaterally established a preferential arrangement which allowed for the reduction or the elimination of customs duties on goods originating in Ukraine in accordance with Annex I to that Regulation.
- (3) Such preferential arrangement corresponded to the tariff concessions which would be applied in the course of the first year of implementation of the Agreement in accordance with Annex I-A to Chapter 1 of Title IV of the Agreement.
- (4) Regulation (EU) No 1150/2014 of the European Parliament and of the Council<sup>(8)</sup>, inter alia introduced a clarification on the specific reduction to be applied to the base rate of customs duties for each 'staging category' referred to in Annex I to that Regulation.
- (5) In the interest of clarity of the Agreement an equivalent clarification is required to specify the reduction to be applied to the base rate of customs duties for all subsequent years for each 'staging category' referred to in Annex I-A to Chapter 1 of Title IV of the Agreement. Such modalities of tariff dismantlement correspond to the mutual understanding reached with Ukraine during the negotiation, and will be applied by both parties to the Agreement.
- (6) Article 463(2) of the Agreement provides that the Association Council is a forum for exchange of information on implementation and enforcement measures.
- (7) Article 463(3) of the Agreement provides that the Association Council may update or amend the Annexes to the Agreement.
- (8) It is therefore appropriate for the EU-Ukraine Association Council to adopt a decision supplementing Annex I-A to Chapter 1 of Title IV of the Agreement,

### HAS ADOPTED THIS DECISION:

# Article 1

A new Appendix C is added to Annex I-A to Chapter 1 of Title IV of the Agreement, as set out in the Annex to this Decision to clarify the implementation of the reduction to the base rate of customs duties to be applied for all subsequent years for each 'staging category' referred to in Annex I-A to Chapter 1 of Title IV of the Agreement.

#### Article 2

This Decision shall enter into force on the date of its adoption.

Done at ...,

### For the Association Council

#### The Chair

### ANNEX

### APPENDIX C TO ANNEX I-A TO CHAPTER 1 OF TITLE IV OF THE AGREEMENT ELIMINATION OF CUSTOMS DUTIES TARIFF ELIMINATION SCHEDULES OF THE PARTIES FOR GOODS ORIGINATING IN OTHER PARTY

This Appendix clarifies the reduction to the base rate of customs duties to be applied for each 'staging category'.

- 1. Except as otherwise provided in the Tariff Elimination Schedules of Parties included in Annex I-A to Chapter 1 of Title IV of the Agreement (hereinafter referred to as the 'Schedules'), the following clarifications apply to the elimination of customs duties by Parties pursuant to Article 29 (Elimination of Customs Duties on Imports) of Title IV (Trade and Trade-related Matters) of the Agreement:
- (a) customs duties on goods originating in Ukraine or EU (hereinafter referred to as 'originating goods') provided for in the tariff lines in staging category '0' in the Schedules shall be eliminated entirely and such goods shall be free of any customs duty on the date this Agreement enters into force;
- (b) customs duties on originating goods provided for in the tariff lines in staging category '1' in the Schedules shall be removed in two equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be free of any customs duty;
- (c) customs duties on originating goods provided for in the tariff lines in staging category
  '2' in the Schedules shall be removed in three equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be free of any customs duty;
- (d) customs duties on originating goods provided for in the tariff lines in staging category '3' in the Schedules shall be removed in four equal stages beginning on the date this

Agreement enters into force, and such goods shall thereafter be free of any customs duty;

- (e) customs duties on originating goods provided for in the tariff lines in staging category '5' in the Schedules shall be removed in six equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be free of any customs duty;
- (f) customs duties on originating goods provided for in the tariff lines in staging category
  '7' in the Schedules shall be removed in eight equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be free of any customs duty;
- (g) customs duties on originating goods provided for in the tariff lines in staging category '10' in the Schedules shall be removed in eleven equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be free of any customs duty;
- (h) customs duties on originating goods provided for in tariff lines marked with '20 % in 5 years' in the Schedules shall be reduced by 20 % in six equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be subject to a customs duty equivalent to the base rate reduced by 20 %;
- (i) customs duties on originating goods provided for in tariff lines marked with '20 % in 10 years' in the Schedules shall be reduced by 20 % in eleven equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be subject to a customs duty equivalent to the base rate reduced by 20 %;
- (j) customs duties on originating goods provided for in tariff lines marked with '30 % in 5 years' in the Schedules shall be reduced by 30 % in six equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be subject to a customs duty equivalent to the base rate reduced by 30 %;
- (k) customs duties on originating goods provided for in tariff lines marked with '50 % in 5 years' in the Schedules shall be reduced by 50 % in six equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be subject to a customs duty equivalent to the base rate reduced by 50 %;
- (l) customs duties on originating goods provided for in tariff lines marked with '50 % in 7 years' in the Schedules shall be reduced by 50 % in eight equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be subject to a customs duty equivalent to the base rate reduced by 50 %;
- (m) customs duties on originating goods provided for in tariff lines marked with '50 % in 10 years' in the Schedules shall be reduced by 50 % in eleven equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be subject to a customs duty equivalent to the base rate reduced by 50 %;
- (n) customs duties on originating goods provided for in tariff lines marked with '60 % in 5 years' in the Schedules shall be reduced by 60 % in six equal stages beginning on the date this Agreement enters into force, and such goods shall thereafter be subject to a customs duty equivalent to the base rate reduced by 60 %;
- (o) customs duties on originating goods provided for in the tariff lines in staging category 'Ad valorem free (Entry Price<sup>(9)</sup>)' in the Schedules, shall be eliminated on the date this Agreement enters into force; the liberalisation concerns the ad valorem duty only; the

specific duty linked to the entry price system applicable for these originating goods shall be maintained.

- 2. The base rate and staging category to determine the rate of customs duty applicable at each stage of reduction for a tariff line are indicated in the corresponding tariff line in the Schedule.
- 3. For the purposes of the elimination of customs duties, the rate of customs duties applied in each stage shall be rounded down at least to the nearest tenth of a percentage point or, if the rate of customs duty is expressed in monetary units, at least to the nearest tenth of the official monetary unit of the Party.
- 4. For the purposes of this Appendix, the first reduction shall take place on the entry into force of this Agreement, and each successive reduction shall take effect on 1 January of the relevant year.
- 5. If the entry into force of this Agreement corresponds to a date after 1 January and before 31 December of the same year, the in-quota quantity will be pro-rated on a proportional basis for the remainder of the calendar year.

# DRAFT

# DECISION No $\dots$ /2018 OF THE EU-UKRAINE ASSOCIATION COMMITTEE IN TRADE CONFIGURATION

# of ... 2018

on recalculating the schedule of export duty elimination set out in Annexes I-C and I-D to Chapter 1 of Title IV of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part

# THE ASSOCIATION COMMITTEE IN TRADE CONFIGURATION,

Having regard to the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part<sup>(10)</sup>, signed in Brussels on 27 June 2014,

Whereas:

- (1) In accordance with Article 486 of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part ('the Agreement'), parts of the Agreement, including provisions on the elimination of customs duties, and related Annexes I-C to I-D to Chapter 1 of Title IV of the Agreement are applied provisionally as of 1 January 2016.
- (2) Annex I-C to Chapter 1 of Title IV of the Agreement, setting out the schedules of export duty elimination of Ukraine, establishes that a recalculation of the table is needed in order to maintain the relative preference, that is, same proportion, compared to the WTO-bound export duty rates applicable for each period in case the trade-related provisions of the Agreement enter into force after 15 May 2014.

- (3) Annex I-D to Chapter 1 of Title IV of the Agreement, setting out safeguard measures in the form of a surcharge to be applied to the export duty for specific goods, also establishes that the recalculation of the table is needed in order to maintain the relative preference, that is, same proportion, compared to the WTO-bound export duty rates applicable for each period in case the trade-related provisions of the Agreement enter into force after 15 May 2014.
- (4) A technical amendment in Annex I-C to Chapter 1 of Title IV of the Agreement is required to tariff code 1207 99 97 00 to reflect the correct description as per United Commodities Classifier (UKTZED) of Ukraine.
- (5) Article 463(3) of the Agreement provides that the Association Council may update or amend the Annexes to the Agreement.
- (6) Article 465(2) of the Agreement specifies that the Association Council may delegate any of its powers, including the power to take binding decisions, to the Association Committee. Under Article 465(4) of the Agreement, that Committee is to meet in a specific configuration to address all issues related to the Title IV (Trade and Trade– Related matters) of the Agreement.
- (7) The EU-Ukraine Association Council empowered the Association Committee in Trade configuration (the 'Trade Committee') by Decision No 3/2014<sup>(11)</sup> to update or amend certain trade-related annexes, including Annexes I-C and I-D to Chapter 1 of Title IV of the Agreement.
- (8) It is therefore appropriate for the Trade Committee to adopt a decision recalculating the schedule of export duty elimination set out in Annexes I-C and I-D to Chapter 1 of Title IV of the Agreement,

HAS ADOPTED THIS DECISION:

# Article 1

Annex I-C to Chapter 1 of Title IV of the Agreement between the European Union and the European Atomic Energy Community and their Member States of the one part, and Ukraine, of the other part, is hereby replaced by the text set out in Annex I to this Decision.

# Article 2

Annex I-D to Chapter 1 of Title IV of the Agreement between the European Union and the European Atomic Energy Community and their Member States of the one part, and Ukraine, of the other part, is hereby replaced by the text set out in Annex II to this Decision.

# Article 3

This Decision shall enter into force on the date of its adoption.

Done at ...,

### For the Association Committee in Trade configuration

# The Chair

# ANNEX I

# ANNEX I-C TO CHAPTER 1 OF TITLE IV OF THE AGREEMENT SCHEDULES OF EXPORT DUTY ELIMINATION

Duties expressed in % unless otherwise specified. Livestock and hide raw materials

HS	Desc	ri <b>þfi</b> ðn		EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	Safeguard
code		(2016	5 <sup>a</sup> )+1	+2	+3	+4	+5	+6	+7	+8	+9	+10	measures
			(201	/)(2018	s)(2015	9)(2020	)(202)	1)(2022	2)(2023	5)(202 <sup>2</sup>	+)(202:	5)(2026	)
	Live bovind anima of domes specie excep pure- bred breedi anima	ls stic s, t ng											
0102 90 05 00	Dome specie of a weigh not exceed 80 kg	rs t	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 21 00	Dome specie of a weigh exceed 80 kg but not	rs t	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	

**a** Hereinafter, 2016 is shown for informative purpose and exclusively to indicate the moment of entry into force of the Agreement and conformity of the data in the table with agreed level of export duties.

	exceeding 160 kg for slaughter											
0102 90 29 00	Domes <b>\$</b> i0 species of a weight exceeding 80 kg but not exceeding 160 kg not slaughter	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 41 00	Domes <b>\$i0</b> species of a weight exceeding 160 kg but not exceeding 300 kg for slaughter	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 49 00	Domes <b>\$i0</b> species of a weight exceeding 160 kg but not exceeding 300 kg not slaughter	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 a He	Heifer <b>\$</b> ,0 (female ereinafter, 2016 is	7,2 shown fo	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	

**a** Hereinafter, 2016 is shown for informative purpose and exclusively to indicate the moment of entry into force of the Agreement and conformity of the data in the table with agreed level of export duties.

51 00	bovines that have never calved) of a weight exceeding 300 kg for slaughter											
0102 90 59 00	Heifer \$,0 (female bovines that have never calved) of a weight exceeding 300 kg not slaughter	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 61 00	Cows 8,0 of a weight exceeding 300 kg for slaughter	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 69 00	Cows 8,0 of a weight exceeding 300 kg not slaughter	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 71 00	Domes <b>&amp;iO</b> species except heifers and cows of a weight exceeding ereinafter, 2016 is	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	the

Agreement and conformity of the data in the table with agreed level of export duties.

	300 kg for slaughter											
0102 90 79 00	Domes <b>\$i0</b> species except heifers and cows of a weight exceeding 300 kg not slaughter	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0102 90 90 00	Not 8,0 domestic bovines	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
	Live sheep:											
0104 10 10 00	Pure- 8,0 bred breeding animals	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0104 10 30 00	Lambs8,0 (up to a year old)	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	
0104 10 80 00	Other 8,0 live sheep except pure- bred breeding animals and lambs (up to a year old)	7,2	6,4	5,6	4,8	4,0	3,2	2,4	1,6	0,8	0,0	

**a** Hereinafter, 2016 is shown for informative purpose and exclusively to indicate the moment of entry into force of the Agreement and conformity of the data in the table with agreed level of export duties.

4101	Raw hides and skins of bovin (inclu buffal or equind anima (fresh or salted dried, limed pickle or otherv preser but not tanned parchi dresse or furthe prepai wheth or not dehain	ding o) e lls , d vise ved, d , ment- cd r red), er	9,84	8,70	7,95	7,14	6,25	5,0	3,75	2,5	1,25	0,0	see Annex I-D
	or split												
4102 a He	Raw skins of sheep or lambs (fresh or salted dried, limed pickle or otherv preser but	, d vise ved,	9,84	8,70	7,95	7,14	6,25	5,0	3,75	2,5	1,25	0,0	see Annex I-D

	not tanned, parchment- dressed or further prepared), whether or not with wool on or split, other than those excluded by note 1(c) to this chapter											
4103 90	Other 11 raw hides and skins (fresh, or salted, dried, limed, pickled or otherwise preserved, but not tanned, parchment- dressed or further prepared), whether or not dehaired ereinafter, 2016 is s	9,84	8,70	7,95	7,14	6,25	5,0	3,75	2,5	1,25	0,0	see Annex I-D

or split, other than those excluded by note 1(b) or 1(c) to this chapter except of reptiles and swine

a Hereinafter, 2016 is shown for informative purpose and exclusively to indicate the moment of entry into force of the Agreement and conformity of the data in the table with agreed level of export duties.

HS	Desc	ri <b>Eti</b> Bn	EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	Safeguard
code		(2010	,	+2	+3	+4	+5	+6	+7	+8	+9	+10	measures
			(2017	7)(2018	8)(2019	)(2020	))(2021	1)(2022	2)(2023	8)(2024	1)(2025	5)(2020	5)
1204 00	Linsee wheth or not broke	er	8,2	7,3	6,4	5,5	4,5	3,6	2,7	1,8	0,9	0,0	
1206 00	Sunflo seeds, wheth or not broke	er	8,2	7,3	6,4	5,5	4,5	3,6	2,7	1,8	0,9	0,0	see Annex I-D
1207 99 97 00	False flax seeds ( <i>Came</i> spp.)		8,2	7,3	6,4	5,5	4,5	3,6	2,7	1,8	0,9	0,0	

Seeds of some types of oil-yielding crops

Alloyed ferrous metal scrap, nonferrous metal scrap and semi-manufactured goods of them

HS	Desc	ri <b>Bli</b> bn	EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	EIF	Safeguard
code		(2010	5)+1	+2	+3	+4	+5	+6	+7	+8	+9	+10	measures
			(2017	7)(2018	8)(2019	)(2020	)(2021	1)(2022	2)(2023	3)(2024	4)(202	5)(2020	5)

7202 99 80 00	Ferroclino64 nickel and other ferroalloys	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	
7204 21	Waste 10,0 and scrap of stainless steel	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7204 29 00 00	Waste 10,0 and scrap of alloyed steel, other	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7204 50 00 00	Waste 10,0 in ingots (charge ingots) for remelt, of alloyed steel	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7218 10 00 00	Stainless,0 steel in form of ingots and in other primary forms	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7401 00 00 00	Copperl 0,0 mattes; cement copper (precipitated copper)	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7402 00 00 00	Unrefi <b>ned</b> 0 copper; copper anodes	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D

	for electrolytic refining											
7403 12 00 00	Cast 10,0 bars for manufacture of wire (wire bars) of refined copper	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7403 13 00 00	Refine <b>d</b> 0,0 copper billets	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7403 19 00 00	Refine <b>d</b> 0,0 copper, other	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7403 21 00 00	CopperI-0,0 zinc base alloys (brass)	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7403 22 00 00	Copperl-0,0 tin base alloys (bronze)	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7403 29 00 00	Other 10,0 copper alloys (other than master alloys of heading 7405); copper and nickel alloys (cupronickel or copper, nickel	9,0 s),	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D

	and zinc alloys (nickel silver)											
7404 00	Copperl 0,0 waste and scrap	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7405 00 00 00	Master10,0 alloys of copper	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7406	Coppet 0,0 powders and flakes	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7419 99 10 00	Copperl 3,64 wire grates and meshes	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	
7415 29 00 00	Other 13,64 copper goods without threads, except for washers (including spring washers)	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	
7415 39 00 00	Other 13,64 copper goods with threads (except for screws, for wood, other screws, bolts and nuts)	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	

7418 19	Table, 10,0 kitchen	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex
90 00	or other											I-D
	household											
	articles											
	and parts											
	thereof,											
	of											
	copper (except											
	for											
	pot											
	scourers											
	and scouring											
	or											
	polishing											
	pads,											
	gloves and											
	the											
	like											
	and											
	cooking or											
	heating											
	apparatus											
	of a											
	kind used											
	for											
	domestic											
	purposes,											
	non- electric,											
	and											
	parts											
	thereof)									_	_	
7419	Other 10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see
	articles of											Annex I-D
	copper											
7503	Nickel10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see
00	waste	,0	0,0	,,0	0,0	0,0	1,0	5,0	2,0	1,0	0,0	Annex
	and											I-D
	scrap	ļ						_		_	_	ļ
7602	Aluminiquon	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see
00	waste						1					Annex I-D

	and scrap											
7802 00 00 00	Lead 10,0 waste and scrap	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
7902 00 00 00	Zinc 10,0 waste and scrap	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
8002 00 00 00	Tin waste and scrap	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	
8101 97 00 00	Tungst <b>&amp;i</b> ,64 waste and scrap	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	
8105 30 00 00	Waste 13,64 and scrap of cobalt and of articles thereof	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	
8108 30 00 00	Waste 10,0 and scrap of titanium and of articles thereof	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	1,0	0,0	see Annex I-D
8113 00 40 00	Waste 13,64 and scrap of cermets and of articles thereof	10,0	9,0	8,0	7,0	6,0	5,0	4,0	3,0	2,0	0,0	

Waste products and scrap of ferrous metals

HS code	Desc	ri <b>þíi</b> bn (2010		EIF +2	EIF +3	EIF +4	EIF +5	EIF +6	EIF +7	EIF +8	EIF +9	EIF +10	Safeguard measures
coue		(2010										5)(2020	
7204 10 00 00	Waste and scrap of cast iron	9,5 euro per tonne	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per	0,0	0,0	0,0	<u>,                                     </u>
7204 30 00 00	Waste and scrap of tinned iron or steel	euro per tonne	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	
7204 41 10 00	Turnin shavir chips, millin waste, sawdu and filings	gestro ger gtonne	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	
7204 41 91 00	Trimn and stamp in bundle	euro i <b>pgs</b> tonne	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	
7204 41 99 00	Trimn and stamp in not bundle	euro i <b>pgs</b> tonne	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	
7204 49 10 00	metals	euro per stonne s, entised		7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	
7204 49 30 00	Waste and scrap ferrou metals	euro per stonne	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	

	in bundl	es											
7204 49 90 00	Waste and scrap ferrou metals sorted and non- sorted	euro per stonne	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	
7204 50 00 00	Waste in bars (charg bars) for meltir ferrou metals excep alloye steel	euro per teonne g s s t	9,5 euro per tonne	7,5 euro per tonne	7,5 euro per tonne	5 euro per tonne	5 euro per tonne	3 euro per tonne	3 euro per tonne	0,0	0,0	0,0	

# ANNEX II

# ANNEX I-D TO CHAPTER 1 OF TITLE IV OF THE AGREEMENT SAFEGUARD MEASURES FOR EXPORT DUTIES

- 1. During the 15 years following the EIF of the Agreement, Ukraine may apply a safeguard measure in the form of a surcharge to the export duty on the goods listed in Annex I-D to Chapter 1 of Title IV of the Agreement, consistent with paragraphs 1 to 11, if during any 1-year period following the EIF the cumulative volume of exports from Ukraine to EU under each listed Ukrainian customs code exceeds a trigger level, as set out in its Schedule included in Annex I-D to Chapter 1 of Title IV of the Agreement.
- 2. The surcharge Ukraine may apply under paragraph 1 shall be set according to its Schedule included in Annex I-D to Chapter 1 of Title IV of the Agreement and can only be applied for the remainder of the period as defined in paragraph 1.
- 3. Ukraine shall apply any safeguard measure in a transparent manner. For this purpose, Ukraine shall as soon as possible provide written notification to the EU of its intention to apply such a measure and provide all the pertinent information including the volume (in tonnes) of domestic production or collection of materials, and the volume of exports to the Union and to the world. Ukraine shall invite the Union for consultations as far in advance of taking such measure as practicable in order to discuss this information. No measure shall be taken within 30 working days after the invitation for consultations.

- 4. Ukraine shall ensure that the statistics that are used as evidence for such measures are reliable, adequate and publicly accessible in a timely manner. Ukraine shall provide without delay quarterly statistics on volumes (in tonnes) of exports to the Union and to the world.
- 5. The implementation and operation of Article 31 of this Agreement and related Annexes may be the subject of discussion and review in the Trade Committee referred to in Article 465 of this Agreement.
- 6. Any supplies of the goods in question which were en route on the basis of a contract made before the surcharge is imposed under paragraphs 1, 2 and 3, shall be exempted from any such surcharge.
- 7. This Annex sets out: those originating goods that may be subject to safeguard measures under Article 31 of this Agreement, the trigger levels for applying such measures defined for each of the Ukrainian customs code quoted, and the maximum surcharge to export duty that may be applied each 1-year period for each such good in addition to export duty. All duties are expressed in % unless otherwise specified; EIF refers to the 12-month period following the date of entry into force of the Agreement; EIF+1 refers to the 12-month period beginning on the first anniversary of entry into force of the Agreement; and so on until EIF+15.
- 8. For the hide raw materials as covered below:

Coverage: the hide raw materials falling within the following Ukrainian customs codes: 4101, 4102, 4103 90.

Year (WTO)	<b>2016</b> <sup>a</sup>	2017	2018	2019	2020	2021
Ukraine WTO commitment	22,0	21,0	20,0	20,0	20,0	20,0
Year (Agreement)	EIF	EIF+1	EIF+2	EIF+3	EIF+4	EIF+5
Ukraine export duty to EU	11,00	9,84	8,70	7,95	7,14	6,25
Trigger Level (tonne)	300,0	315,0	330,0	345,0	360,0	375,0
Maximum surcharge	0,00	0,66	1,30	2,05	2,86	3,75

**a** Hereinafter, 2016 is shown for informative purpose and exclusively to indicate the moment of entry into force of the Agreement and conformity of the data in the table with agreed level of export duties.

Year (WTO)	2022	2023	2024	2025	2026
Ukraine WTO commitment	20,0	20,0	20,0	20,0	20,0

Year (Agreement)	EIF+6	EIF+7	EIF+8	EIF+9	EIF+10
Ukraine export duty to EU	5,0	3,75	2,50	1,25	0,0
Trigger Level (tonne)	390,0	405,0	420,0	435,0	450,0
Maximum surcharge	5,0	6,25	7,5	8,75	10,0
Year (WTO)	2027	2028	2029	2030	2031
Ukraine WTO commitment	20,0	20,0	20,0	20,0	20,0
Year (Agreement)	EIF+11	EIF+12	EIF+13	EIF+14	EIF+15
Ukraine export duty to EU	0,0	0,0	0,0	0,0	0,0
Trigger Level (tonne)	450,0	450,0	450,0	450,0	450,0
Maximum surcharge	8,0	6,0	4,0	2,0	0,0

9. For the sunflower seeds, whether or not broken as covered below:

Coverage: the sunflower seeds, whether or not broken falling within the following Ukrainian customs codes: 1206 00.

Year (WTO)	2016	2017	2018	2019	2020	2021
Ukraine WTO commitment	11,0	10,0	10,0	10,0	10,0	10,0
Year (Agreement)	EIF	EIF+1	EIF+2	EIF+3	EIF+4	EIF+5
Ukraine export duty to EU	9,1	8,2	7,3	6,4	5,5	4,5
Trigger Level (tonne)	100 000,0	100 000,0	100 000,0	100 000,0	100 000,0	100 000,0
Maximum surcharge	0,9	1,8	2,7	3,6	4,5	5,5

Year (WTO)	2022	2023	2024	2025	2026
Ukraine WTO commitment	10,0	10,0	10,0	10,0	10,0
Year (Agreement)	EIF+6	EIF+7	EIF+8	EIF+9	EIF+10
Ukraine export duty to EU	3,6	2,7	1,8	0,9	0,0
Trigger Level (tonne)	100 000,0	100 000,0	100 000,0	100 000,0	100 000,0
Maximum surcharge	6,4	7,3	8,2	9,1	10,0
Year (WTO)	2027	2028	2029	2030	2031
Ukraine WTO commitment	10,0	10,0	10,0	10,0	10,0
Year (Agreement)	EIF+11	EIF+12	EIF+13	EIF+14	EIF+15
Ukraine export duty to EU	0,0	0,0	0,0	0,0	0,0
Trigger Level (tonne)	100 000,0	100 000,0	100 000,0	100 000,0	100 000,0
Maximum surcharge	8,0	6,0	4,0	2,0	0,0

10. For the alloyed ferrous metal scrap, nonferrous metal scrap and semi-manufactured goods of them as covered below:

Coverage: the waste and scrap of alloyed steel falling within the following Ukrainian customs codes: 7204 21, 7204 29 00 00, 7204 50 00 00.

Year (WTO)	2016	2017	2018	2019	2020	2021
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF	EIF+1	EIF+2	EIF+3	EIF+4	EIF+5

Ukraine export duty to EU	10,0	9,0		8,0		7,0		6,0	5,0
Trigger Level (tonne)	4 000,0	4 2	00,0	4 400	),0	4 600,0	)	4 800,0	5 000,0
Maximum surcharge	0,0	1,0		2,0		3,0		4,0	5,0
Year (WTO)	2022		2023		2024	ļ	20	25	2026
Ukraine WTO commitment	15,0		15,0		15,0		15,	0	15,0
Year (Agreement)	EIF+6		EIF+7		EIF+	8	EIF	5+9	EIF+10
Ukraine export duty to EU	0 4,0		3,0		2,0		1,0		0,0
Trigger Leve (tonne)	1 5 200,0		5 400,0		5 600	,0	5 8	00,0	6 000,0
Maximum surcharge	6,0		7,0		8,0		9,0		10,0
Year (WTO)	2027		2028		2029	)	20	30	2031
Ukraine WTO commitment	15,0		15,0		15,0		15,	0	15,0
Year (Agreement)	EIF+11		EIF+12		EIF+	13	EIF	5+14	EIF+15
Ukraine export duty to EU	0,0		0,0		0,0		0,0		0,0
Trigger Leve (tonne)	1 6 000,0		6 000,0		6 000	,0	6 0	00,0	6 000,0
Maximum surcharge	8,0		6,0		4,0		2,0		0,0

Coverage: the stainless steel in form of ingots and in other primary forms falling within the following Ukrainian customs codes: 7218 10 00 00.

Year	2016	2017	2018	2019	2020	2021
(WTO)						

Ukraine WTO commitment	15,0	15,	0	15,0		15,0		15,0	15,0
Year (Agreement)	EIF	EIF	8+1	EIF+2	2	EIF+3		EIF+4	EIF+5
Ukraine export duty to EU	10,0	9,0		8,0		7,0		6,0	5,0
Trigger Level (tonne)	2 000,0	2 1	00,0	2 200	,0	2 300,0		2 400,0	2 500,0
Maximum surcharge	0,0	1,0		2,0		3,0		4,0	5,0
Year (WTO)	2022		2023		2024	ļ	20	25	2026
Ukraine WTO commitment	15,0		15,0		15,0		15,	0	15,0
Year (Agreement)	EIF+6		EIF+7		EIF+	8	EIF	5+9	EIF+10
Ukraine export duty to EU	0 4,0		3,0		2,0		1,0		0,0
Trigger Leve (tonne)	1 2 600,0		2 700,0		2 800	,0	29	00,0	3 000,0
Maximum surcharge	6,0		7,0		8,0		9,0		10,0
								• •	
Year (WTO)	2027		2028		2029		20	30	2031
Ukraine WTO commitment	15,0		15,0		15,0		15,	0	15,0
Year (Agreement)	EIF+11		EIF+12		EIF+	13	EIF	5+14	EIF+15
Ukraine export duty to EU	0,0		0,0		0,0		0,0		0,0
Trigger Leve (tonne)	1 3 000,0		3 000,0		3 000	,0	30	00,0	3 000,0
Maximum surcharge	8,0		6,0		4,0		2,0		0,0

Coverage: the copper falling within the following Ukrainian customs codes: 7401 00 00 00, 7402 00 00 00, 7403 12 00 00, 7403 13 00 00, 7403 19 00 00.

Year (WTO)	2016	2017	2018	2019	2020	2021
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF	EIF+1	EIF+2	EIF+3	EIF+4	EIF+5
Ukraine export duty to EU	10,0	9,0	8,0	7,0	6,0	5,0
Trigger Level (tonne)	200,0	210,0	220,0	230,0	240,0	250,0
Maximum surcharge	0,0	1,0	2,0	3,0	4,0	5,0

Year (WTO)	2022	2023	2024	2025	2026
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF+6	EIF+7	EIF+8	EIF+9	EIF+10
Ukraine export duty to EU	4,0	3,0	2,0	1,0	0,0
Trigger Level (tonne)	260,0	270,0	280,0	290,0	300,0
Maximum surcharge	6,0	7,0	8,0	9,0	10,0
Year	2027	2028	2029	2030	2031
(WTO) Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF+11	EIF+12	EIF+13	EIF+14	EIF+15
Ukraine	0,0	0,0	0,0	0,0	0,0

Ukraine export duty to

ΕÛ

Trigger Level (tonne)	300,0	300,0	300,0	300,0	300,0
Maximum surcharge	8,0	6,0	4,0	2,0	0,0

Coverage: the copper falling within the following Ukrainian customs codes: 7403 21 00 00, 7403 22 00 00, 7403 29 00 00.

Year (WTO)	2016	2017	2018	2019	2020	2021
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0	15,0
Year (FTA)	EIF	EIF+1	EIF+2	EIF+3	EIF+4	EIF+5
Ukraine export duty to EU	10,0	9,0	8,0	7,0	6,0	5,0
Trigger Level (tonne)	4 000,0	4 200,0	4 400,0	4 600,0	4 800,0	5 000,0
Maximum surcharge	0,0	1,0	2,0	3,0	4,0	5,0

Year (WTO)	2022	2023	2024	2025	2026
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF+6	EIF+7	EIF+8	EIF+9	EIF+10
Ukraine export duty to EU	4,0	3,0	2,0	1,0	0,0
Trigger Level (tonne)	5 200,0	5 400,0	5 600,0	5 800,0	6 000,0
Maximum surcharge	6,0	7,0	8,0	9,0	10,0
Voor	2027	2028	2020	2030	2031

Year (WTO)	2027	2028	2029	2030	2031
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF+11	EIF+12	EIF+13	EIF+14	EIF+15

Ukraine export duty to EU	0,0	0,0	0,0	0,0	0,0
Trigger Level (tonne)	6 000,0	6 000,0	6 000,0	6 000,0	6 000,0
Maximum surcharge	8,0	6,0	4,0	2,0	0,0

Coverage: the alloyed ferrous metal scrap, nonferrous metal scrap and semi-manufactured goods of them falling within the following Ukrainian customs codes: 7404 00, 7405 00 00 00, 7406, 7418 19 90 00, 7419, 7503 00, 7602 00, 7802 00 00 00, 7902 00 00 00, 8108 30 00 00.

Year (WTO)	2016	2017	2018	2019	2020	2021
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF	EIF+1	EIF+2	EIF+3	EIF+4	EIF+5
Ukraine export duty to EU	10,0	9,0	8,0	7,0	6,0	5,0
Trigger Level (tonne)	200,0	210,0	220,0	230,0	240,0	250,0
Maximum surcharge	0,0	1,0	2,0	3,0	4,0	5,0

Year (WTO)	2022	2023	2024	2025	2026
Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF+6	EIF+7	EIF+8	EIF+9	EIF+10
Ukraine export duty to EU	4,0	3,0	2,0	1,0	0,0
Trigger Level (tonne)	260,0	270,0	280,0	290,0	300,0
Maximum surcharge	6,0	7,0	8,0	9,0	10,0
Year (WTO)	2027	2028	2029	2030	2031

Ukraine WTO commitment	15,0	15,0	15,0	15,0	15,0
Year (Agreement)	EIF+11	EIF+12	EIF+13	EIF+14	EIF+15
Ukraine export duty to EU	0,0	0,0	0,0	0,0	0,0
Trigger Level (tonne)	300,0	300,0	300,0	300,0	300,0
Maximum surcharge	8,0	6,0	4,0	2,0	0,0

11. For the 5 years following the end of the transitional period, i.e. between EIF+10 and EIF+15, the safeguard mechanism will continue to be available. The maximum surcharge value will decrease linearly from its value specified at EIF +10 to 0 at EIF +15.

- (1) OJ L 161, 29.5.2014, p. 3.
- (2) Council Decision 2014/668/EU of 23 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related Annexes and Protocols (OJ L 278, 20.9.2014, p. 1).
- (3) Regulation (EU) No 374/2014 of the European Parliament and of the Council of 16 April 2014 on the reduction or elimination of customs duties on goods originating in Ukraine (OJ L 118, 22.4.2014, p. 1).
- (4) Regulation (EU) No 1150/2014 of the European Parliament and the Council of 29 October 2014 amending Regulation (EU) No 374/2014 on the reduction or elimination of customs duties on goods originating in Ukraine (OJ L 313, 31.10.2014, p. 1).
- (5) Decision No 3/2014 of the EU-Ukraine Association Council of 15 December 2014 on the delegation of certain powers by the Association Council to the Association Committee in Trade configuration (OJ L 158, 24.6.2015, p. 4).
- (6) OJ L 161, 29.5.2014, p. 3.
- (7) Regulation (EU) No 374/2014 of the European Parliament and of the Council of 16 April 2014 on the reduction or elimination of customs duties on goods originating in Ukraine (OJ L 118, 22.4.2014, p. 1).
- (8) Regulation (EU) No 1150/2014 of the European Parliament and the Council of 29 October 2014 amending Regulation (EU) No 374/2014 on the reduction or elimination of customs duties on goods originating in Ukraine (OJ L 313, 31.10.2014, p. 1).
- (9) See Annex 2 to Annex I to Council Regulation (EEC) No 2658/87 of 23 July 1987 on the tariff and statistical nomenclature and on the Common Customs Tariff (OJ L 256, 7.9.1987, p. 1).
- (10) OJ L 161, 29.5.2014, p. 3.
- (11) Decision No 3/2014 of the EU-Ukraine Association Council of 15 December 2014 on the delegation of certain powers by the Association Council to the Association Committee in Trade configuration (OJ L 158, 24.6.2015, p. 4).

# Status:

Point in time view as at 22/01/2018.

### Changes to legislation:

There are currently no known outstanding effects for the Council Decision (EU) 2018/156.