

## II

*(Acts whose publication is not obligatory)*

## COMMISSION

## COMMISSION DECISION

of 24 November 1994

concerning the standard consignment note referred to in Council Regulation (EEC) No 259/93 on the supervision and control of shipments of waste within, into and out of the European Community

(94/774/EC)

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EEC) No 259/93 of 1 February 1993 on the supervision and control of shipments of waste within, into and out of the European Community<sup>(1)</sup>, and in particular Article 42 (1) thereof,

Whereas the standard consignment note set out in Regulation (EEC) No 259/93 has been drawn up with due regard to the relevant Articles of the Regulation and the relevant international conventions and agreements and, in particular, the work of the Organization for Economic Cooperation and Development (OECD);

Whereas the consignment note, consisting of a notification form and of a movement/tracking form, should be used for the notification and tracking of shipments of waste and should serve as a certificate of disposal and recovery;

Whereas the consignment note will enable the competent authorities designated by the Member States to carry out the supervisory and control tasks assigned to them under Regulation (EEC) No 259/93;

Whereas the Commission has submitted to the Committee designated in Article 18 of Council Directive

75/442/EEC<sup>(2)</sup>, as last amended by Directive 91/692/EEC<sup>(3)</sup>, a draft of the measures to be taken;

Whereas the Committee has delivered a favourable opinion on the draft measures submitted to it by the Commission,

HAS ADOPTED THIS DECISION:

*Article 1*

The standard consignment note annexed to this Decision and consisting of a notification form and a movement/tracking form shall be used for the notification and tracking of shipments of waste, as provided for in Council Regulation (EEC) No 259/93, and shall serve as a certificate of disposal and recovery.

*Article 2*

The document referred to in Article 1 shall be printed on unforgeable, white, sized paper suitable for writing and weighing at least 40 gsm. The paper must be sufficiently opaque for the information on one side not to affect the legibility of the information on the other side and its strength should be such that in normal use it does not easily tear or crease.

The boxes are based on a unit of measurement of one tenth of an inch horizontally and on sixth of an inch vertically.

<sup>(1)</sup> OJ No L 30, 6. 2. 1993, p. 1.

<sup>(2)</sup> OJ No L 194, 25. 7. 1975, p. 39.

<sup>(3)</sup> OJ No L 377, 31. 12. 1991, p. 48.

The forms shall measure 210 × 297 mm with a maximum tolerance as to length of 5 mm less and 8 mm more.

These provisions shall not preclude the printing by means of official or private-sector dataprocessing systems, under the conditions laid down by the Member States and if necessary on plain paper, of the standard consignment note referred to in Article 1.

*Article 3*

The waste shipment serial number to be entered in box 3 of the consignment note shall consist of the code of the country of despatch, followed by a six-digit number.

*Article 4*

The model consignment note shall be reviewed and, if necessary, revised in the light of practical experience.

*Article 5*

This Decision shall apply as from the sixtieth day following that of its notification.

*Article 6*

This Decision is addressed to the Member States.

Done at Brussels, 24 November 1994.

*For the Commission*

Yannis PALEOKRASSAS

*Member of the Commission*

*ANNEX***MODEL OF THE STANDARD CONSIGNMENT NOTE**

**drawn up pursuant to Article 42 of Council Regulation (EEC) No 259/93 on the supervision and control of shipments of waste within, into and out of the European Community**

**INTRODUCTION**

This standard consignment note was drawn up to apply the provisions of Council Regulation (EEC) No 259/93 on the supervision and control of shipments of waste within, into and out of the European Community.

It will be made available to the competent authorities designated by the Member States pursuant to Article 38 of the abovementioned Regulation so that they can implement the appropriate procedure for the supervision and control of waste shipments.

The information contained in this document will enable the competent authorities to be aware of the nature of the waste shipments made and their destination (disposal or recovery). Hence they will be able to adopt the necessary measures to protect human health and the environment.

**TRANSFRONTIER MOVEMENT OF WASTE  
Notification Form**

**EUROPEAN COMMUNITY(a)**

**COPY FOR:**

<p><b>1. Notifier/exporter (name, address) and registration N° where applicable:</b>  <input type="checkbox"/>                  Tel.: _____ Fax: _____                  Contact person: _____</p>	<p><b>3. Notification concerning (1):</b> N° <b>000000</b></p> <p>A (i) Single movement <input type="checkbox"/>                  (ii) General notification (multiple movements) <input type="checkbox"/>                  B (i) Disposal (no recovery) <input type="checkbox"/>                  (ii) Recovery operation <input type="checkbox"/>                  C* Pre-authorized recovery facility <input type="checkbox"/> yes  <input type="checkbox"/> no                  *(Only to be completed if B (ii) applies)</p>						
<p><b>2. Consignee (name, address) and registration N° where applicable:</b>                  Tel.: _____ Fax: _____                  Contact person: _____</p>	<p><b>4. Total intended number of shipments</b> _____</p> <p><b>5. Total intended quantity(b)</b> _____                  Kg                  liters</p>						
<p><b>7. Intended carrier(s)* (name, address) and registration N° where applicable:</b>                  Tel.: _____ Fax: _____                  Contact person: _____ * (attach list if more than one)</p>	<p><b>6. First shipment not before:</b> _____</p> <p><b>8. Disposal/recovery facility (name, location, address):</b>                  Tel.: _____ Fax: _____                  Registration N° where applicable: _____                  and limit of validity: _____                  Contact person: _____</p>						
<p><b>10. Waste generator/producer (name and address):</b>                  Tel.: _____ Fax: _____                  Contact person: _____                  Process and location of generation: *                  * (attach details if necessary)</p>	<p><b>9. Code N° of disposal/recovery operation (2):</b> _____                  and technology employed: *                  * (attach details if necessary)</p>						
<p><b>13. Name and chemical composition of the waste:</b> _____</p>	<p><b>12. Packaging type(s) (2):</b> _____</p>						
<p><b>15. Waste identification code</b>                  - in country of export/dispatch:                  - in country of import/destination:                  International Waste Identification Code (IWIC):                  European Waste Catalogue (EWC):                  Other (specify): _____</p>	<p><b>14. Physical characteristics (2):</b> _____</p> <p><b>17. Y number:</b> _____</p> <p><b>18. H number (2):</b> _____</p>						
<p><b>16. OECD classification (1):</b> amber <input type="checkbox"/> red <input type="checkbox"/> and number: _____                  other* <input type="checkbox"/> * (attach details)</p>	<p><b>19. UN identification number:</b> _____ UN class (2): _____                  and proper shipping name: _____</p>						
<p><b>20. Concerned countries (2), code numbers of competent authorities (where applicable), and specific points of entry and exit:</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:25%;">Country of export/dispatch</th> <th style="width:50%;">Transit countries</th> <th style="width:25%;">Country of import/destination</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>		Country of export/dispatch	Transit countries	Country of import/destination			
Country of export/dispatch	Transit countries	Country of import/destination					
<p><b>21. Customs offices of entry and/or departure (European-Community):</b>                  Entry: _____                  Departure: _____</p>	<p><b>22. Number of annexes attached</b> _____</p> <p><b>23. Notifier/exporter's declaration:</b> I certify that the above information is complete and correct to the best of my knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantees are or shall be in force covering the transfrontier movement.                  Name: _____ Signature: _____                  Date: _____</p>						
FOR USE BY COMPETENT AUTHORITIES							
<p><b>24. TO BE COMPLETED BY COMPETENT AUTHORITY OF COUNTRY OF IMPORT/DESTINATION</b></p> <p>Notification received _____ Acknowledgment sent _____                  on: _____ on: _____                  Name of competent authority, stamp and/or signature _____</p>	<p><b>25. CONSENT* TO THE MOVEMENT PROVIDED BY COMPETENT AUTHORITY</b></p> <p>of: (name of country) _____ on: _____                  Name of competent authority, stamp and/or signature _____</p> <p>Consent expires on: _____                  Specific conditions (1) <input type="checkbox"/> no <input type="checkbox"/> yes, see block 26 overleaf                  *(not required for amber list wastes under OECD Decision)</p>						

(1) Enter X in appropriate box(es). (2) See codes on the reverse.  
 (a) Forms also used by OECD  
 (b) Indicate one of the two. Competent authorities are allowed to ask for the quantity in kg only.

**List of abbreviations used in the notification form**

DISPOSAL / RECOVERY OPERATIONS (Block 9)

**DISPOSAL (NO RECOVERY)**

- D1 Deposit into or onto land, (e. g., landfill, etc.)
- D2 Land treatment, (e. g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection, (e. g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment, (e. g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill, (e. g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations numbered D1 to D12
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations numbered D1 to D12 (e. g., evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage, (e. g., emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations numbered D1 to D12
- D14 Repackaging prior to submission to any of the operations numbered D1 to D12
- D15 Storage pending any of the operations numbered D1 to D12

**RECOVERY OPERATIONS**

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1 to R10
- R12 Exchange of wastes for submission to any of the operations numbered R1 to R11
- R13 Accumulation of material intended for any operation numbered R1 to R12

**NOTE:** Disposal ("D") operations are not relevant to the OECD Control System

MODES OF TRANSPORT (Block 11)

- R = Road
- T = Train/Rail
- S = Sea
- A = Air
- W = Inland Waterways

PACKAGING TYPES (Block 12)

- 1. Drum
- 2. Wooden barrel
- 3. Jerrican
- 4. Box
- 5. Bag
- 6. Composite packaging
- 7. Pressure receptacle
- 8. Bulk
- 9. Other (specify)

H NUMBER AND UN CLASS (Blocks 18 and 19)

UN Class	H number	Designation
1	H1	Explosive
3	H3	Inflammable liquids
4.1	H4.1	Inflammable solids
4.2	H4.2	Substances or wastes liable to spontaneous combustion
4.3	H4.3	Substances or wastes which, in contact with water, emit inflammable gases
5.1	H5.1	Oxidizing
5.2	H5.2	Organic peroxides
6.1	H6.1	Poisonous (acute)
6.2	H6.2	Infectious substances
8	H8	Corrosives
9	H10	Liberation of toxic gases in contact with air or water
9	H11	Toxic (delayed or chronic)
9	H12	Ecotoxic
9	H13	Capable, by any means, after disposal, of yielding another material, e. g., leachate, which possesses any of the characteristics listed above

PHYSICAL CHARACTERISTICS (Block 14)

- 1. Powdery/powder
- 2. Solid
- 3. Viscous/paste
- 4. Sludgy
- 5. Liquid
- 6. Gaseous
- 7. Other (specify)

OECD COUNTRY CODES (Block 20)

Australia:	AU	Finland:	FI	Ireland:	IE	Netherlands:	NL	Sweden:	SE
Austria:	AT	France:	FR	Italy:	IT	New Zealand:	NZ	Switzerland:	CH
Belgium:	BE	Germany:	DE	Japan:	JP	Norway:	NO	Turkey:	TR
Canada:	CA	Greece:	GR	Luxemburg:	LU	Portugal:	PT	United Kingdom:	GB
Denmark:	DK	Iceland:	IS	Mexico:	MX	Spain:	ES	United States:	US

For other countries ISO Standard 3166 abbreviations shall be used.

**26. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT**

THE INTERNATIONAL WASTE IDENTIFICATION CODE (IWIC - BLOCK 15), THE OECD CLASSIFICATION LISTS OF WASTES DESTINED FOR RECOVERY OPERATIONS (AMBER, RED - BLOCK 16) AND THE CATEGORIES OF WASTES SUBJECT TO CONTROL (TABLE Y - BLOCK 17), AS WELL AS MORE DETAILED INSTRUCTIONS CAN BE FOUND IN A GUIDANCE MANUAL AVAILABLE FROM OECD.

COPY FOR:	1. Notifier/exporter (name, address) and registration N° where applicable: <input type="checkbox"/>		3. Corresponding to Notification N° <b>000000</b>		4. Serial number of shipment:	
	Tel.: _____ Fax: _____ Contact person: _____		8. Disposal/recovery facility (name, location, address):  Tel.: _____ Fax: _____		Registration N° where applicable: and limit of validity: Contact person: _____	
	2. Consignee (name, address) and registration N° where applicable:  Tel.: _____ Fax: _____ Contact person: _____		9. Code N° of disposal/recovery operation (2): and technology employed:			
	5. 1st Carrier (name, address):  Registration N° (where applicable): _____ Tel.: _____ Fax: _____		6. 2nd Carrier (3) (name, address):  Registration N° (where applicable): _____ Tel.: _____ Fax: _____		7. Last Carrier (name, address):  Registration N° (where applicable): _____ Tel.: _____ Fax: _____	
10. Identity of means of transport:  Date of transfer: _____ Signature of Carrier's Representative: _____		11. Identity of means of transport:  Date of transfer: _____ Signature of Carrier's Representative: _____		12. Identity of means of transport:  Date of transfer: _____ Signature of Carrier's Representative: _____		
13. Name and chemical composition of the waste:				14. Physical characteristics (2):		
15. Waste identification code - in country of export/dispatch: - in country of import/destination: International Waste Identification Code (IWIC): European Waste Catalogue (EWC): Other (specify): _____				17. Actual quantity (b) _____ Kg _____ liters		
16. OECD classification (1): amber <input type="checkbox"/> red <input type="checkbox"/> and number: other* <input type="checkbox"/> * (attach details)				19. UN identification number: and proper shipping name:		
18. Number of packages:				UN class (2):		
20. Special handling instructions:		22. Notifier/exporter's declaration: I certify that the information in blocks 1 to 9 and 13 to 21 above is complete and correct to the best of my knowledge. I also certify that legally-enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantees are in force covering the transfrontier movement, and that*  (i) all necessary consents have been received; or (ii) the shipment is directed at a recovery facility within the OECD area and no objection has been received from any of the concerned countries within the 30 day tacit consent period; or (iii) the shipment is directed at a recovery facility pre-authorized for that type of waste within the OECD area; such an authorization has not been revoked, and no objection has been received from any of the concerned countries.  Name: _____ Signature: _____ Date: _____ * (delete sentences not applicable)				
21. Actual date of shipment:						
TO BE COMPLETED BY CONSIGNEE / DISPOSAL / RECOVERY FACILITY						
23. Shipment received by consignee on: (if not disposal/recovery facility)  Quantity received (b): _____ Kg _____ liters Date: _____ Name: _____ Signature: _____ * (immediately contact competent authorities)				accepted <input type="checkbox"/> (1) rejected* <input type="checkbox"/>		
24. Shipment received at disposal/recovery facility on:  Quantity received (b): _____ Kg _____ liters Date: _____ Name: _____ Signature: _____  Disposal/recovery to be completed by: Method of disposal/recovery: * (immediately contact competent authorities)				accepted <input type="checkbox"/> (1) rejected* <input type="checkbox"/>		
				25. I certify that the disposal/recovery of the waste described above has been completed*  Date: _____ Name: _____ Signature: _____  * (not required by OECD control system)		

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(1) Enter X in appropriate box(es). (2) See codes on the reverse. (3) If more than three carriers, attach information as required by blocks 6 and 11.  
(a) Forms also used by OECD  
(b) Indicate one of the two. Competent authorities are allowed to ask for the quantity in kg only.

## List of abbreviations used in the movement/tracking form

### DISPOSAL / RECOVERY OPERATIONS (Block 9)

#### DISPOSAL (NO RECOVERY)

- D1 Deposit into or onto land, (e. g., landfill, etc.)
- D2 Land treatment, (e. g., biodegradation of liquid or sludgy discards in soils, etc.)
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#### RECOVERY OPERATIONS

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- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1 to R10
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#### PHYSICAL CHARACTERISTICS (Block 14)

- |                   |                    |
|-------------------|--------------------|
| 1. Powdery/powder | 5. Liquid          |
| 2. Solid          | 6. Gaseous         |
| 3. Viscous/paste  | 7. Other (specify) |
| 4. Sludgy         |                    |

**NOTE:** Disposal ("D") operations are not relevant to the OECD Control System

### OECD COUNTRY CODES (Blocks 26-27-28)

Australia:	AU	Finland:	FI	Ireland:	IE	Netherlands:	NL	Sweden:	SE
Austria:	AT	France:	FR	Italy:	IT	New Zealand:	NZ	Switzerland:	CH
Belgium:	BE	Germany:	DE	Japan:	JP	Norway:	NO	Turkey:	TR
Canada:	CA	Greece:	GR	Luxemburg:	LU	Portugal:	PT	United Kingdom:	GB
Denmark:	DK	Iceland:	IS	Mexico:	MX	Spain:	ES	United States:	US

For other countries ISO Standard 3166 abbreviations shall be used.

FOR USE OF CUSTOMS OFFICES*							
<b>26. COUNTRY OF EXPORT/DISPATCH OR (FOR EC) CUSTOMS OFFICE OF EXIT</b>  The waste described overleaf has left the country/Community on: Stamp:   Signature:	<b>27. STAMPS OF CUSTOM OFFICES OF TRANSIT COUNTRIES</b>  Name of country (2):  <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center; border-right: 1px solid black;">Entry</td> <td style="width: 50%; text-align: center;">Departure</td> <td style="width: 50%; text-align: center; border-right: 1px solid black;">Entry</td> <td style="width: 50%; text-align: center;">Departure</td> </tr> </table>			Entry	Departure	Entry	Departure
Entry	Departure	Entry	Departure				
<b>28. COUNTRY OF IMPORT/DESTINATION</b>  The waste described overleaf has entered the country on: Stamp:   Signature:	Name of country (2):  <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center; border-right: 1px solid black;">Entry</td> <td style="width: 50%; text-align: center;">Departure</td> <td style="width: 50%; text-align: center; border-right: 1px solid black;">Entry</td> <td style="width: 50%; text-align: center;">Departure</td> </tr> </table>			Entry	Departure	Entry	Departure
Entry	Departure	Entry	Departure				

(2) See country codes above. \* Not required by OECD control system

**THE INTERNATIONAL WASTE IDENTIFICATION CODE (IWIC - BLOCK 15), THE OECD CLASSIFICATION LISTS OF WASTES DESTINED FOR RECOVERY OPERATIONS (AMBER, RED - BLOCK 16) AND THE CATEGORIES OF WASTES SUBJECT TO CONTROL, AS WELL AS MORE DETAILED INSTRUCTIONS CAN BE FOUND IN A GUIDANCE MANUAL AVAILABLE FROM OECD.**