SCHEDULE 1

Offences and penalties

Other offences and penalties

2. The penalty for the offences described in the following table is as follows—

(a) on summary conviction, imprisonment for a maximum term of three months or a fine (not exceeding the statutory maximum in Scotland or Northern Ireland, as the case may be) or both;

(b) on conviction on indictment, imprisonment for a maximum term of two years or a fine or both.

Column 1 *Provision of the Principal Regulation or these Regulations*	*Column 2* *Subject matter*
Article 9 of the Principal Regulation	Without reasonable excuse, causing any movement within the European Union of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with the Principal Regulation, contrary to the provisions of Article 9 of the Principal Regulation, or the provisions of the Subsidiary Regulation
Article 16(1)(b) of the Principal Regulation	Knowingly contravening the stipulations specified on a permit or certificate issued in accordance with the Principal Regulation or the Subsidiary Regulation
Article 16(1)(c) of the Principal Regulation	Knowingly or recklessly making a false declaration or providing false information in order to obtain a permit or certificate
Article 16(1)(d) of the Principal Regulation	Knowingly or recklessly using a false or invalid permit or certificate or one altered without authorisation as a basis for obtaining a permit or certificate or for any other official purpose in connection with the Principal Regulation or the Subsidiary Regulation
Article 16(1)(e) of the Principal Regulation	Knowingly or recklessly making a false import notification
Article 16(1)(f) of the Principal Regulation	Without reasonable excuse, causing the shipment of live specimens not properly prepared so as to minimise the risk of injury, damage to health or cruel treatment (as required by Article 9(5) of the Principal Regulation)
Article 16(1)(g) of the Principal Regulation	Knowingly using specimens listed in Annex A to the Principal Regulation other than in accordance with the authorisation given at the time of issuance of the permit or subsequently
Article 16(1)(k) of the Principal Regulation	Knowingly using a permit or certificate for any specimen other than the one for which it was issued

Column 1 *Provision of the Principal Regulation or these Regulations*	*Column 2* *Subject matter*
Article 16(1)(l) of the Principal Regulation	Knowingly falsifying or altering any permit or certificate issued in accordance with the Principal Regulation or the Subsidiary Regulation
Article 16(1)(m) of the Principal Regulation	Without reasonable excuse failing to disclose the rejection of an application for an import, export or re-export permit or certificate, in accordance with Article 6(3) of the Principal Regulation
Regulation 4(6) of these Regulations	Knowingly or recklessly providing a false statement relating to whether a specimen was imported unlawfully or acquired lawfully
Regulation 8(6) of these Regulations	Intentionally obstructing entry or, with intent to deceive, pretending to be an authorised person