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STATUTORY INSTRUMENTS

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**2018 No. 154**

**FOOD, ENGLAND**

**The Novel Foods (England) Regulations 2018**

*Made* - - - - *6th February 2018*  
*Laid before Parliament* *8th February 2018*  
*Coming into force* - - *8th March 2018*

The Secretary of State makes the following Regulations in exercise of the powers conferred on him by section 2(2) of the European Communities Act 1972 <sup>M1</sup>.

The Secretary of State has been designated for the purposes of section 2(2) of the European Communities Act 1972 in relation to measures relating to food (including drink) including the primary production of food <sup>M2</sup> and measures in the veterinary and phytosanitary fields for the protection of public health <sup>M3</sup>.

As required by Article 9 of Regulation (EC) No. 178/2002 of the European Parliament and of the Council laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety <sup>M4</sup>, there has been open and transparent public consultation during the preparation and evaluation of these Regulations.

**Marginal Citations**

**M1** 1972 c.68.

**M2** S.I. 2003/2901.

**M3** S.I. 1999/2027.

**M4** OJ No. L31, 1.2.2002, p.1, last amended by Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 (OJ No. L189, 27.6.2014, p.1).

**Citation, commencement and application**

1. These Regulations may be cited as the Novel Foods (England) Regulations 2018, come into force on 8th March 2018 and apply in relation to England only.

**Interpretation**

2.—(1) In these Regulations—

“the Act” means the Food Safety Act 1990 <sup>M5</sup>;

“Regulation (EU) 2015/2283” means Regulation (EU) 2015/2283 of the European Parliament and of the Council of 25 November 2015 on novel foods, amending Regulation (EU) No 1169/2011 of the European Parliament and of the Council and repealing Regulation (EC) No 258/97 of the European Parliament and of the Council and Commission Regulation (EC) No 1852/2001<sup>M6</sup>;

“authorised officer”, means any person (whether or not an officer of the authority) who is authorised by a food authority in writing, either generally or specially, to act in matters arising under the Act;

“food authority” has the same meaning as set out in section 5(1) and (3) of the Act<sup>M7</sup> except that it does not include the appropriate Treasurer referred to in section 5(1)(c) of the Act (which deals with the Inner Temple and Middle Temple); and

“specified Union provision” means a provision of Regulation (EU) 2015/2283 specified in column 1, and described in column 2, of the table in Schedule 1.

(2) Unless the contrary intention appears, any expression used both in these Regulations and Regulation (EU) 2015/2283 has the meaning that it bears in Regulation (EU) 2015/2283.

#### Marginal Citations

**M5** 1990 c.16.

**M6** OJ L 327, 11.12.2015, p.1.

**M7** Section 5 of the Act was amended by paragraph 16(1) of Schedule 9 to the [Local Government \(Wales\) Act 1994 \(c. 19\)](#), [paragraph 163\(2\)](#) of Schedule 13 to the [Local Government etc \(Scotland\) Act 1994 \(c. 39\)](#), [paragraphs 7, 8 and 9](#) of Schedule 5 to the [Food Standards Act 1999 \(c. 28\)](#) and Part 1 of Schedule 3 to the [Public Health etc. \(Scotland\) Act 2008 \(asp 5\)](#).

#### Enforcement

**3.** It is the duty of a food authority within its area to enforce these Regulations and Regulation (EU) 2015/2283.

#### Offence and penalty

**4.** A person who contravenes or fails to comply with any of the specified Union provisions as read with Article 35(2) of Regulation (EU) 2015/2283 is guilty of an offence and liable on summary conviction to a fine.

#### Civil sanctions and notices

**5.—(1)** Schedule 2 makes provision for fixed monetary penalties.

(2) Schedule 3 makes provision for compliance notices and stop notices.

#### Appeals of civil sanctions and notices

**6.—(1)** Any person upon whom a fixed monetary penalty is imposed or upon whom a compliance notice or a stop notice is served may appeal to a magistrates' court.

(2) The procedure on appeal to a magistrates' court is by way of complaint for an order, and the Magistrates' Courts Act 1980 applies to the proceedings.

(3) The period within which an appeal may be brought is one month from the date on which the fixed monetary penalty was imposed or the compliance notice or stop notice was served on the

person wishing to appeal and the making of a complaint for an order shall be deemed for the purposes of this paragraph to be the making of the appeal.

- (4) A compliance notice is suspended pending an appeal.
- (5) The court may, in relation to the imposition of a fixed monetary penalty or service of a notice—
  - (a) confirm the penalty or the notice or any requirement contained in the notice;
  - (b) vary the penalty or the notice or any requirement contained in the notice; or
  - (c) revoke the penalty or the notice or any requirement contained in the notice.
- (6) The court may, in relation to compensation payable in respect of stop notices and completion certificates—
  - (a) award compensation;
  - (b) vary the amount of compensation, by increasing or decreasing it; or
  - (c) order the repayment of compensation (in whole or part) to the food authority.

#### **Application of various provisions of the Act**

7.—(1) The following provisions of the Act apply for the purpose of these Regulations with the modification that any reference in those provisions to the Act or Part of it is to be construed as a reference to these Regulations and any reference to an enforcement authority is to be construed as a reference to a food authority—

- (a) section 2 (extended meaning of sale etc);
  - (b) section 20 (offences due to the fault of another person);
  - (c) section 21 (defence of due diligence);
  - (d) section 22 (defence of publication in the course of business);
  - (e) section 32 (powers of entry);
  - (f) section 36 (offences by bodies corporate);
  - (g) section 36A (offences by Scottish partnerships);
  - (h) section 44 (protection of officers acting in good faith);
  - (i) section 49 (form and authentication of documents); and
  - (j) section 50 (service of documents).
- (2) Section 9 of the Act (inspection and seizure of suspected food) applies for the purposes of these Regulations as if it read as follows—

“9.—(1) An authorised officer of a food authority may at all reasonable times inspect any novel food which has been placed on the market.

(2) Where, on inspection, it appears to the authorised officer that a specified Union provision is being, or has been, contravened in relation to any such novel food, the authorised officer may either—

- (a) give notice to the person in charge of the novel food that, until the notice is withdrawn, the novel food—
  - (i) is not to be used for human consumption; and
  - (ii) either is not to be removed or is not to be removed except to some place specified in the notice; or
- (b) seize the novel food and remove it in order to have it dealt with by a justice of the peace;

and any person who knowingly contravenes the requirements of a notice under paragraph (a) above is guilty of an offence.

(3) Where the authorised officer exercises the powers conferred by subsection (2)(a) above, the authorised officer shall, as soon as is reasonably practicable and in any event within 21 days, determine whether or not they are satisfied that the food complies with the specified Union provision and—

- (a) if so satisfied, immediately withdraw the notice;
- (b) if not so satisfied, seize the novel food and remove it in order to have it dealt with by a justice of the peace.

(4) Where an authorised officer exercises the powers conferred by subsection (2)(b) or (3) (b) above, the authorised officer shall inform the person in charge of the novel food that it is to be dealt with by a justice of the peace and—

- (a) any person who might be liable to a prosecution in respect of the novel food shall, if attending before the justice of the peace by whom the food falls to be dealt with, be entitled to be heard and to call witnesses; and
- (b) that justice of the peace may, but need not, be a member of the court before which any person is charged with an offence in relation to that novel food.

(5) If it appears to a justice of the peace, on the basis of such evidence as the justice of the peace considers appropriate in the circumstances, that any novel food falling to be dealt with under this section fails to comply with the specified Union provision, the justice of the peace shall condemn the novel food and order—

- (a) the novel food to be destroyed or to be so disposed of as to prevent it from being used for human consumption; and
- (b) any expenses reasonably incurred in connection with the destruction or disposal to be defrayed by the owner of the novel food.

(6) If a notice under subsection (2)(a) above is withdrawn, or the justice of the peace by whom any novel food falls to be dealt with under this section refuses to condemn it, the food authority shall compensate the owner of the novel food for any depreciation in its value resulting from the action taken by the authorised officer.

(7) Any disputed question as to the right to or the amount of any compensation payable under subsection (6) above shall be determined by arbitration.”

## Revocation

**8.** The Novel Foods and Novel Food Ingredients Regulations 1997 <sup>M8</sup> and the Novel Foods and Novel Food Ingredients (Fees) Regulations 1997 <sup>M9</sup> are revoked in relation to England and Northern Ireland.

### Marginal Citations

**M8** S.I. 1997/1335.

**M9** S.I. 1997/1336.

## Review

**9.—**(1) The Food Standards Agency must from time to time—

- (a) carry out a review of the operation and effect of these Regulations;
- (b) set out the conclusions of the review in a report; and

- (c) publish the report.
- (2) The report must in particular—
  - (a) set out the objectives intended to be achieved by the regulatory provisions made by these Regulations;
  - (b) assess the extent to which those objectives are achieved; and
  - (c) assess whether those objectives remain appropriate and, if so, the extent to which they could be achieved with a system that imposes less regulation.
- (3) The first report under this regulation must be published before the end of the period of five years beginning with the day on which these Regulations come into force.
- (4) Reports under this regulation are afterwards to be published at intervals not exceeding five years.

Signed by authority of the Secretary of State for Health and Social Care.

Department of Health and Social Care

*Stephen Brine*  
Parliamentary Under-Secretary of State,

We consent

*Mark Spencer*  
*David Rutley*  
Two of the Lords Commissioners of Her  
Majesty's Treasury

## SCHEDULES

### SCHEDULE 1

Regulation 2(1)

#### Specified Union Provisions

<i>1. Specified Union Provision</i>	<i>2. Subject matter</i>
1. Article 6.2 as read with Article 24.	Requirement that only novel foods authorised and included in the F1 ... list may be placed on the market as such, or used in or on foods, in accordance with the conditions of use and labelling requirements specified therein, and with any post-market monitoring requirements.
2. Article 25.	Requirement that any food business operator which has placed a novel food on the market shall immediately inform the [F2Food Safety Authority] of any information of which it becomes aware concerning (a) any new scientific or technical information which might influence the evaluation of the safety of use of the novel food; (b) any prohibition or restriction imposed by a third country in which the novel food is placed on the market.

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| <b>F1</b> | Word in Sch. 1 omitted (31.12.2020) by virtue of <a href="#">The Novel Food (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/702)</a> , regs. 1, <b>4(a)</b> ; 2020 c. 1, Sch. 5 para. 1(1) |
| <b>F2</b> | Words in Sch. 1 substituted (31.12.2020) by <a href="#">The Novel Food (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/702)</a> , regs. 1, <b>4(b)</b> ; 2020 c. 1, Sch. 5 para. 1(1)      |

## SCHEDULE 2

Regulation 5(1)

### Fixed monetary penalties

#### **Power to impose a fixed monetary penalty**

1.—(1) An authorised officer may by notice impose a fixed monetary penalty on a person in relation to an offence under these Regulations.

(2) Before doing so, the authorised officer must be satisfied beyond reasonable doubt that the person has committed the offence.

(3) “Fixed monetary penalty” means a requirement to pay to the food authority a penalty of £200 for an individual or £200 for a body corporate.

#### **Contents of notice**

2. The notice imposing the fixed monetary penalty must include information as to—

- (a) the amount of the fixed monetary penalty;
- (b) the grounds for imposing the penalty;
- (c) how payment may be made;
- (d) the period of 56 days within which payment must be made;
- (e) details of the early payment discounts and late payment penalties;
- (f) rights of appeal; and
- (g) the consequences of non-payment.

#### **Discount for early payment**

3. A person who was served with a notice imposing a fixed monetary penalty may discharge the penalty by paying 50% of the penalty within 28 days beginning with the day on which the notice was received.

#### **Appeals**

4.—(1) A person receiving a notice imposing a fixed monetary penalty may appeal against it.

(2) The grounds of appeal are—

- (a) that the decision was based on an error of fact;
- (b) that the decision was wrong in law;
- (c) that the decision was unreasonable.

#### **Non-payment after 56 days**

5.—(1) The fixed monetary penalty must be paid within 56 days of receipt of the notice.

(2) If the penalty is not paid within 56 days the amount payable is increased by 50%.

(3) In the case of an appeal it is payable within 28 days of the determination of the appeal (if the appeal is unsuccessful), and if it is not paid within 28 days the amount of the penalty is increased by 50%.

## **Criminal proceedings**

6. If a fixed monetary penalty is imposed on any person, that person may not at any time be convicted of the offence in respect of the act or omission giving rise to the penalty.

## SCHEDULE 3

Regulation 5(2)

### Compliance notices and stop notices

## **PART 1**

### Compliance notices

#### **Imposition of a compliance notice**

1.—(1) A food authority may by notice impose a requirement to take such steps as the food authority may specify, within such period as it may specify, to secure that an offence under these Regulations does not continue or recur (a “compliance notice”).

(2) Before doing so the food authority must be satisfied beyond reasonable doubt that the person has committed the offence.

(3) A compliance notice may not be imposed on a person on more than one occasion in relation to the same act or omission.

#### **Contents of compliance notice**

2. The compliance notice must include information as to—

- (a) the grounds for imposing the notice;
- (b) what compliance is required and the period within which it must be completed;
- (c) the rights of appeal; and
- (d) the consequences of failing to comply with the notice.

#### **Appeals**

3.—(1) A person receiving a compliance notice may appeal against it.

(2) The grounds of appeal are—

- (a) that the decision was based on an error of fact;
- (b) that the decision was wrong in law;
- (c) that the nature of the requirement is unreasonable;
- (d) that the decision was unreasonable for any other reason.

#### **Criminal proceedings**

4.—(1) If a compliance notice is imposed on any person, that person may not at any time be convicted of the offence in respect of the act or omission giving rise to the compliance notice except where that person fails to comply with the compliance notice.



(2) Criminal proceedings for offences to which a compliance notice relates may be instituted at any time up to six months from the date when the food authority notifies the person that such person has failed to comply with that notice.

## **PART 2**

### **Stop notices**

#### **Stop notices**

**5.**—(1) The food authority may serve a stop notice on any person in accordance with this Part in relation to an offence under these Regulations.

(2) A “stop notice” is a notice prohibiting a person from carrying on an activity specified in the notice until the person has taken the steps specified in the notice.

(3) A stop notice may only be served in a case where—

- (a) the person is carrying on the activity; and
- (b) the food authority reasonably believes that the activity as carried on by that person involves or is likely to involve the commission of an offence under these Regulations.

#### **Contents of a stop notice**

**6.** A stop notice must include information as to—

- (a) the grounds for serving the stop notice;
- (b) the steps the person must take to comply with the stop notice;
- (c) rights of appeal; and
- (d) the consequences of non-compliance.

#### **Appeals**

**7.**—(1) The person on whom a stop notice is served may appeal against the decision to serve it.

(2) The grounds of appeal are—

- (a) that the decision was based on an error of fact;
- (b) the decision was wrong in law;
- (c) that the decision was unreasonable;
- (d) that any step specified in the notice is unreasonable;
- (e) that the person has not committed the offence and would not have committed it had the stop notice not been served;
- (f) that the person would not, by reason of any defence, have been liable to be convicted of the offence had the stop notice not been served.

#### **Completion certificates**

**8.**—(1) Where, after service of a stop notice, the food authority is satisfied that the person has taken the steps specified in the notice, the food authority must issue a certificate to that effect (a “completion certificate”).

(2) The stop notice ceases to have effect on the issue of a completion certificate.

**Changes to legislation:** There are currently no known outstanding effects for the *The Novel Foods (England) Regulations 2018*. (See end of Document for details)

(3) The person on whom the stop notice is served may at any time apply for a completion certificate.

(4) The food authority must make a decision as to whether to issue a completion certificate within 14 days of such an application.

(5) The person on whom the stop notice was served may appeal against a decision not to issue a completion certificate on the grounds that—

- (a) the decision was based on an error of fact;
- (b) the decision was wrong in law;
- (c) the decision was unfair or unreasonable.

### **Compensation**

**9.—(1)** A food authority must compensate a person for loss suffered as the result of the service of the stop notice or the refusal of a completion certificate if that person has suffered loss as a result of the notice or refusal and—

- (a) a stop notice is subsequently withdrawn or amended by the food authority because the decision to serve it was unreasonable or any step specified in the notice was unreasonable;
- (b) the person successfully appeals against the stop notice and the court finds that the service of the notice was unreasonable; or
- (c) the person successfully appeals against the refusal of a completion certificate and the court finds that the refusal was unreasonable.

(2) A person may appeal against a decision not to award compensation or the amount of compensation—

- (a) on the grounds that the food authority's decision was unreasonable;
- (b) on the grounds that the amount offered was based on incorrect facts;
- (c) for any other substantial reason.

### **Offences**

**10.** Where a person on whom a stop notice is served does not comply with it within the time limit specified in the notice, the person is guilty of an offence and liable to a fine.

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## **EXPLANATORY NOTE**

*(This note is not part of the Order)*

These Regulations provide for the enforcement and execution of certain specified provisions of Regulation (EU) 2015/2283 of the European Parliament and of the Council amending Regulation (EU) No. 1169/2011 of the European Parliament and of the Council and repealing Regulation (EC) No. 258/97 of the European Parliament and of the Council and Commission Regulation (EC) No. 1852/2001.

Regulation (EU) 2015/2283 revises the requirements for placing novel foods on the market. The requirements have been updated in accordance with technical and scientific progress and include

an updated definition of what constitutes a “novel food” and the European Commission will establish a European Union list of approved novel foods.

Regulation 3 makes food authorities responsible for the enforcement of these Regulations.

Regulation 4 provides for an offence and penalty.

Regulation 5 applies Schedules 2 and 3 of the Regulations. These Schedules give power to food authorities to issue various civil sanctions and notices upon food businesses to enforce compliance with Regulation (EU) 2015/2283.

Regulation 6 provides for appeals of civil sanctions and notices.

Regulation 7 applies specified provisions of the Food Safety Act 1990 (1990 c.16) (with modifications) to these Regulations.

Regulation 8 revokes the Novel Foods and Novel Food Ingredients Regulations 1997 (S.I. 1997/1335) and the Novel Foods and Novel Food Ingredients (Fees) Regulations 1997 (S.I. 1997/1336) in relation to England.

Regulation 9 provides for periodic review of the operation and effectiveness of the Regulations, and for publication of a report setting out the conclusions of each review.

A full impact assessment of the effect that these Regulations will have on the costs of business and food authorities is available from the Food Standards Agency, 125 Kingsway, London WC2B 6NH, and is also available together with these Regulations at [www.legislation.gov.uk](http://www.legislation.gov.uk).

**Changes to legislation:**

There are currently no known outstanding effects for the The Novel Foods (England) Regulations 2018.