

# Apprenticeships, Skills, Children and Learning Act 2009

### **2009 CHAPTER 22**

#### PART 1

APPRENTICESHIPS, STUDY AND TRAINING

## [F1CHAPTER ZA1

THE INSTITUTE FOR APPRENTICESHIPS  $[^{F2}$ AND TECHNICAL EDUCATION]

### **Textual Amendments**

- F1 Pt. 1 Ch. ZA1 inserted (1.4.2017) by Enterprise Act 2016 (c. 12), s. 44(5), Sch. 4 para. 2; S.I. 2017/346, reg. 2(a)
- **F2** Words in Pt. 1 inserted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), **ss. 1(2)(a)**, 47(2); S.I. 2019/61, reg. 2(a)

#### Establishment

### ZA1 The Institute for Apprenticeships [F2 and Technical Education]

- (1) A body corporate known as the Institute for Apprenticeships [F2 and Technical Education] is established.
- (2) In this Act that body is referred to as "[F3the Institute]".
- (3) Schedule A1 makes further provision about the [F4Institute].

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#### **Textual Amendments**

- F3 Words in s. ZA1(2) substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), ss. 1(2)(b), 47(2); S.I. 2019/61, reg. 2(a)
- **F4** Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), ss. 1(2)(d), 47(2); S.I. 2019/61, reg. 2(a)

#### General duties and functions

#### ZA2 General duties

- (1) So far as relevant, and subject to any notice given by the Secretary of State under subsection (2), in performing its functions the [F4Institute] must have regard to—
  - (a) the reasonable requirements of industry, commerce, finance, the professions and other employers regarding education and training within the [FSInstitute's] remit;
  - (b) the reasonable requirements of persons who may wish to undertake education and training within the [F5Institute's] remit;
  - (c) the need to ensure that education and training within the [F5Institute's] remit is of an appropriate quality;
  - (d) the need to ensure that education and training within the [F5Institute's] remit represents good value in relation to financial resources provided out of public funds;
  - (e) any information provided to it by any person designated by the Secretary of State for the purposes of this paragraph.
- (2) The Secretary of State may give a notice in writing to the [F4Institute] setting out other matters to which the [F4Institute] must have regard when performing its functions.

$^{F6}(3)$																
F6(4)																

- (5) The [F4Institute] must perform its functions efficiently and effectively.
- (6) For the purposes of this [F7Chapter], education or training is within the [F5Institute's] remit if the education or training is or may be [F8provided—
  - (a) in the course of an approved English apprenticeship,
  - (b) for the purposes of an approved technical education qualification, F9...
  - (c) for the purposes of approved steps towards occupational competence, ||F10 or
  - (d) to enable a person to enter work within a published occupation (whether in the course of training or otherwise).]
- (7) Subsection (1) and any notice under subsection (2) do not apply in relation to functions that are—
  - (a) delegated by directions under section ZA4, or
  - (b) conferred by regulations under section ZA5,
  - unless the directions or regulations provide for them to apply in relation to the functions.
- (8) Where directions or regulations so provide, the directions or regulations—

Part 1 – Apprenticeships, study and training

CHAPTER ZA1 - The Institute for Apprenticeships and Technical Education

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- may provide for any education or training to which the functions relate to be treated as within the [F5Institute's] remit for the purposes of this section;
- may provide for subsection (1) and any notice under subsection (2) to apply in relation to the functions with such modifications as the Secretary of State thinks fit.
- (9) The Secretary of State must
  - publish in such manner as the Secretary of State thinks fit any notice under subsection (2), and
  - lay a copy of it before Parliament.

#### **Textual Amendments**

- Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), ss. 1(2)(d), 47(2); S.I. 2019/61, reg. 2(a)
- **F5** Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), ss. 1(2)(c), 47(2); S.I. 2019/61, reg. 2(a)
- F6 S. ZA2(3)(4) omitted (8.11.2017) by virtue of Technical and Further Education Act 2017 (c. 19), s. 47(2), Sch. 1 para. 2(2); S.I. 2017/1055, reg. 2(b)(iii)
- F7 Word in s. ZA2(6) substituted (30.9.2022) by Skills and Post-16 Education Act 2022 (c. 21), ss. 6(2) (a), 36(3); S.I. 2022/965, reg. 3(1) (with regs. 4, 5)
- F8 Words in s. ZA2(6) substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), s. 47(2), Sch. 1 para. 2(3); S.I. 2019/61, reg. 2(b)
- F9 Word in s. ZA2(6)(b) omitted (30.9.2022) by virtue of Skills and Post-16 Education Act 2022 (c. 21), ss. 6(2)(b), 36(3); S.I. 2022/965, reg. 3(1) (with regs. 4, 5)
- F10 S. ZA2(6)(d) and word inserted (30.9.2022) by Skills and Post-16 Education Act 2022 (c. 21), ss. 6(2) (c), 36(3); S.I. 2022/965, reg. 3(1) (with regs. 4, 5)

# **Oversight**

FIIZA2A

(1) The Institute must keep under review—

- education and training within the Institute's remit, and
- the effect that the exercise of the Institute's functions has had, or might have, on the range and availability of that education and training.
- (2) The Institute may provide a report to the Secretary of State on anything arising from the exercise of the Institute's functions under subsection (1).
- (3) Subsection (2) does not affect the Institute's functions under section ZA3.

### **Textual Amendments**

S. ZA2A inserted (30.9.2022) by Skills and Post-16 Education Act 2022 (c. 21), ss. 6(3), 36(3); S.I. 2022/965, reg. 3(1) (with regs. 4, 5)

#### ZA3 Provision of advice and assistance to the Secretary of State etc

- (1) The [F4Institute] may, if requested to do so by the Secretary of State, provide the Secretary of State with advice and assistance in connection with the Secretary of State's functions [F12 in relation to England relating to—
  - (a) apprenticeships,

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- [F13(aa) technical education qualifications,] or
  - (b) other education or training.]
- (2) The Secretary of State's functions mentioned in subsection (1) include those under section [F14100(1A) or (1B) or otherwise relating to the funding, in relation to England, of the things mentioned in paragraphs (a) [F15 to (b)] of that subsection].

#### **Textual Amendments**

- **F4** Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), **ss. 1(2)(d)**, 47(2); S.I. 2019/61, reg. 2(a)
- F12 Words in s. ZA3(1) substituted (9.2.2018) by Technical and Further Education Act 2017 (c. 19), s. 47(2), Sch. 1 para. 3(2); S.I. 2018/140, reg. 2(b)(iii)
- **F13** S. ZA3(1)(aa) inserted (30.9.2022) by Skills and Post-16 Education Act 2022 (c. 21), **ss. 6(4)(a)**, 36(3); S.I. 2022/965, reg. 3(1) (with regs. 4, 5)
- **F14** Words in s. ZA3(2) substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), s. 47(2), **Sch. 1 para. 3(3)**; S.I. 2019/61, reg. 2(b)
- F15 Words in s. ZA3(2) substituted (30.9.2022) by Skills and Post-16 Education Act 2022 (c. 21), ss. 6(4) (b), 36(3); S.I. 2022/965, reg. 3(1) (with regs. 4, 5)

## ZA4 Delegation of functions to the [F4Institute] by Secretary of State

- (1) The Secretary of State may by direction delegate to the [F4Institute] any of the Secretary of State's functions [F16in relation to England relating to—
  - (a) apprenticeships,
  - (b) technical education qualifications, or
  - (c) steps that people may take towards becoming competent to work in occupations.]
- (2) The functions may be delegated—
  - (a) to any extent that the Secretary of State specifies in the direction, and
  - (b) subject to any conditions that the Secretary of State specifies in the direction.
- (3) The Secretary of State's functions mentioned in subsection (1) include those under section [F17100(1A) or (1B) or otherwise relating to the funding, in relation to England, of the things mentioned in paragraphs (a) to (c) of that subsection].

#### **Textual Amendments**

- **F4** Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), **ss. 1(2)(d)**, 47(2); S.I. 2019/61, reg. 2(a)
- **F16** Words in s. ZA4(1) substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), s. 47(2), **Sch. 1 para. 4(2)**; S.I. 2019/61, reg. 2(b)
- **F17** Words in s. ZA4(3) substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), s. 47(2), **Sch. 1 para. 4(3)**; S.I. 2019/61, reg. 2(b)

### ZA5 Conferral of further functions on the [F4Institute] by regulations

(1) The Secretary of State may by regulations confer on the [F4Institute] such functions [F18] in relation to England as the Secretary of State considers appropriate, relating to—

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- (a) apprenticeships,
- (b) technical education qualifications, or
- (c) steps that may be taken by persons towards becoming competent to work in occupations.]
- (2) A function conferred by regulations under subsection (1) may involve the exercise of a discretion.

#### **Textual Amendments**

- **F4** Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), **ss. 1(2)(d)**, 47(2); S.I. 2019/61, reg. 2(a)
- **F18** Words in s. ZA5(1) substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), s. 47(2), **Sch. 1 para. 5**; S.I. 2019/61, reg. 2(b)

### ZA6 Annual and other reports

- (1) As soon as reasonably practicable after the end of each financial year, the [F4Institute] must prepare an annual report.
- (2) An annual report is a report which includes—
  - (a) a description of what the [F4Institute] has done during the year, including a description of what the [F4Institute] has done as a result of any notice given by the Secretary of State under section ZA2(2),
  - (b) the statement of accounts prepared for that year under paragraph 11 of Schedule A1, and
  - (c) such other provision as the Secretary of State may direct.
- (3) The [F4Institute] must send the report to the Secretary of State as soon as reasonably practicable after it has been prepared.
- (4) The Secretary of State must lay a copy of the report before Parliament.
- (5) The Secretary of State may direct the [F4Institute] to prepare, and send to the Secretary of State, as soon as reasonably practicable a report on any matter relating to its functions.
- (6) In this section "financial year" means—
  - (a) the period beginning with the day on which this section comes into force and ending with the following 31 March, and
  - (b) each successive period of 12 months.

### **Textual Amendments**

**F4** Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), ss. 1(2)(d), 47(2); S.I. 2019/61, reg. 2(a)

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#### Compliance

## ZA7 Secretary of State directions where the [F4Institute] fails to discharge duties etc

If the Secretary of State is satisfied that the [F4Institute]—

- (a) has failed to discharge a duty imposed on it by or under this Act, or
- (b) has acted or is proposing to act in an unreasonable way in exercising any function,

the Secretary of State may give the [F4Institute] such directions as the Secretary of State considers appropriate.

#### **Textual Amendments**

**F4** Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), **ss. 1(2)(d)**, 47(2); S.I. 2019/61, reg. 2(a)

#### **Directions**

### ZA8 General provision about directions under Chapters ZA1 and A1

- (1) This section applies to a direction given to the [F4Institute] by the Secretary of State under this Chapter or Chapter A1.
- (2) The [F4Institute] must comply with the direction.
- (3) The direction must be in writing.

#### **Textual Amendments**

Word in Pt. 1 substituted (31.1.2019) by Technical and Further Education Act 2017 (c. 19), ss. 1(2)(d), 47(2); S.I. 2019/61, reg. 2(a)

### [F19ZA8AInterpretation of Chapter ZA1

In this Chapter, the following terms have the same meanings as in Chapter A1—technical education qualification (see section A12(1)); approved technical education qualification (see section A12(1)); approved steps towards occupational competence (see section A2D4(5)); published occupation (see section A12(1)).]

#### **Textual Amendments**

**F19** S. ZA8A inserted (30.9.2022) by Skills and Post-16 Education Act 2022 (c. 21), **ss. 12(2)**, 36(3); S.I. 2022/965, reg. 3(7) (with regs. 4, 5)

#### **Changes to legislation:**

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# Commencement Orders yet to be applied to the Apprenticeships, Skills, Children and Learning Act 2009

Commencement Orders bringing provisions within this Act into force:

- S.I. 2009/3341 art. 2 commences (2009 c. 22)
- S.I. 2010/2413 art. 2 commences (2009 c. 22)
- S.I. 2011/829 art. 2 commences (2009 c. 22)
- S.I. 2011/882 art. 2 amendment to earlier commencing SI 2010/303 art. 7 Sch. 6