
SCOTTISH STATUTORY INSTRUMENTS

2002 No. 143

POLICE

**The Police Act 1997 (Criminal
Records) (Scotland) Regulations 2002**

Made - - - - 22nd March 2002
*Laid before the Scottish
Parliament* - - - - 22nd March 2002
Coming into force in accordance with regulation 1(2)

The Scottish Ministers, in exercise of the powers conferred by the provisions of the Police Act 1997⁽¹⁾ which are specified in Schedule 1 to these Regulations and of all other powers enabling them in that behalf, hereby make the following Regulations:

Citation, commencement and extent

1.—(1) These Regulations may be cited as the Police Act 1997 (Criminal Records) (Scotland) Regulations 2002 and shall come into force in accordance with paragraph (2).

(2) These Regulations shall come into force –

- (a) in so far as they make provision for the purposes of section 112 of the Police Act 1997, on 29th July 2002; and
- (b) for all other purposes, on 28th April 2002.

(3) These Regulations extend to Scotland and, in so far as regulations 7 and 8 extend beyond Scotland, they do so only as a matter of Scots law.

Interpretation

2. In these Regulations “the Act” means the Police Act 1997.

Application form

3. The form set out in Schedule 2 to these Regulations, or a form to the like effect, is the prescribed form for the purposes of an application under sections 112 (criminal conviction certificate), 113 (criminal record certificate), 114 (criminal record certificate: Crown employment), 115 (enhanced

(1) [1997 c. 50](#). The powers conferred by the provisions in Schedule 1 transferred to the Scottish Ministers by virtue of section 53 of the Scotland Act [1998 \(c. 46\)](#).

criminal record certificate) and 116 (enhanced criminal record certificate: judicial appointment and Crown employment) of the Act.

Fees for criminal conviction certificates, criminal record certificates and enhanced criminal record certificates

4. The fee payable in relation to an application for the issue of a criminal conviction certificate, a criminal record certificate or an enhanced criminal record certificate is £13.60.

Criminal conviction certificates: prescribed details

5. The following details of a conviction for the purposes of section 112(2)(a) of the Act are hereby prescribed:—

- (a) the date of the conviction;
- (b) the convicting court;
- (c) the offence; and
- (d) the method of disposal for the offence.

Relevant matters: prescribed details

6. The following details of a relevant matter for the purposes of sections 113(3)(a) and 115(6)(a)(i) of the Act (including those provisions as applied by sections 114(3) and 116(3), respectively) are hereby prescribed:—

- (a) in the case of a conviction within the meaning of the Rehabilitation of Offenders Act 1974(2), including a spent conviction—
 - (i) the date of the conviction;
 - (ii) the convicting court;
 - (iii) the offence; and
 - (iv) the method of disposal for the offence;
- (b) in the case of a caution—
 - (i) the date of the caution;
 - (ii) the place where the caution was given; and
 - (iii) the offence which the person given the caution had admitted.

Central records: prescribed details

7.—(1) Information in any form relating to convictions—

- (a) held in the criminal history database of the Scottish Criminal Record Office for the use of police forces generally; and
- (b) on a names index held by the Police Information Technology Organisation for the use of police forces generally,

is hereby prescribed as “central records” for the purposes of section 112(3) of the Act.

(2) Information in any form relating to—

- (a) convictions held in the criminal history database of the Scottish Criminal Record Office for the use of police forces generally; and

(b) convictions and cautions on a names index held by the Police Information Technology Organisation for the use of police forces generally, is hereby prescribed as “central records” for the purposes of section 113(5) of the Act (including that provision as applied by sections 114(3), 115(6) and 116(3)).

Enhanced criminal record certificates: relevant police forces

8. For the purposes of an application for an enhanced criminal record certificate, “relevant police force” means—

- (a) the police force maintained for any police area in Great Britain—
 - (i) within which the applicant resides or has resided within the period of 10 years preceding the date of the application;
 - (ii) in which the applicant was born; or
 - (iii) in which the applicant was convicted at any time;
- (b) the Police Service of Northern Ireland if—
 - (i) the applicant resides or has resided within the period of 10 years preceding the date of the application within Northern Ireland;
 - (ii) the applicant was born in Northern Ireland; and
 - (iii) the applicant was convicted in Northern Ireland at any time;
- (c) such other police force as the chief officer of police of a police force identified as a relevant police force by virtue of paragraph (a) or (b) determines;
- (d) any police force that the Scottish Ministers determine to be relevant to the application.

Evidence of identity: fingerprinting

9.—(1) Where the Scottish Ministers require an application under Part V of the Act to be supported by evidence of identity in the form of fingerprints they shall notify the applicant of this requirement and of the procedures set out in paragraphs (2) to (8) of this regulation.

(2) An applicant in receipt of notification in accordance with paragraph (1) who wishes to proceed with the application shall notify in writing the Scottish Ministers within the period specified in paragraph (3)—

- (a) that the applicant consents to the taking of his or her fingerprints; and
- (b) of the police station in Scotland (“the nominated police station”) that the applicant proposes to attend at for the purpose of having the fingerprints taken.

(3) Where notice in accordance with paragraph (2) is not received by the Scottish Ministers within the period of 28 days from the date of the notification under paragraph (1) the application shall be considered to have been withdrawn.

(4) The Scottish Ministers may require the police officer in charge of the nominated police station, or such other police station as they may reasonably specify (“the specified police station”), to take the applicant’s fingerprints at the nominated police station on such reasonable date and at such reasonable time as that officer shall direct and notify to the applicant.

(5) Fingerprints taken in connection with an application under Part V of the Act must be destroyed by the police officer in charge of the nominated police station or, as the case may be, specified police station as soon as is practicable after the identity of the applicant is established to the satisfaction of the Scottish Ministers.

- (6) If fingerprints are destroyed—
 - (a) any copies of the fingerprints shall also be destroyed; and

(b) any chief officer of police controlling access to computer data relating to the fingerprints shall make access to the data impossible, as soon as it is practicable to do so.

(7) Any applicant whose fingerprints have been taken in connection with an application under Part V of the Act shall, on request made in writing to the police officer in charge of the nominated police station or, as the case may be, specified police station at any time prior to destruction of the fingerprints or any copies of them, be allowed to witness the destruction of those fingerprints and any copies.

(8) If—

- (a) paragraph (6)(b) above falls to be complied with; and
- (b) the applicant to whose fingerprints the data relates asks for a certificate that it has been complied with,

such a certificate shall be issued to the applicant, not later than the end of the period of three months beginning with the day on which the certificate is asked for, by the responsible chief officer of police or by a person authorised by the chief officer or on behalf of the chief officer for the purposes of this regulation.

(9) In the case of an applicant under the age of 18 years the consent of the applicant’s parent or guardian to the taking of the applicant’s fingerprints is also required.

(10) In this regulation—

- (a) “responsible chief officer of police” means the chief officer of police in whose area the computer data was put on to the computer; and
- (b) a reference to notifying in writing or making a request in writing includes a reference to an electronic communication (within the meaning of the Electronic Communications Act 2000(3)) which has been recorded and is subsequently capable of being produced.

Fees payable to police authorities for information provided to the Scottish Ministers

10. The Scottish Ministers shall pay to the appropriate police authority a fee of £31.42 in respect of each request they make to the chief officer of a police force for the provision of information under section 115 or 116 of the Act.

St Andrew’s House,
Edinburgh
22nd March 2002

IAIN GRAY
A member of the Scottish Executive

SCHEDULE 1

Recital

Enabling Powers

These Regulations are made under the following provisions of the Police Act 1997–

- (a) sections 112(1)(b), 113(1)(b), 114(1)(b), 115(1)(b) and (10), 116(1)(b), 118(3) and 125(5);
and
- (b) having regard to the meaning of “prescribed” in section 125(1), in sections 112(1)(a), (2)(a) and (3), 113(1)(a), (3)(a) and (5), 114(1)(a), 115(1)(a) and (6)(a)(i), 116(1)(a), 118(2)(a) and 119(3).

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SCHEDULE 2

Regulation 3



SCHEDULE 2
Disclosure Application



201717

IMPORTANT PLEASE USE BLACK INK ONLY Please complete this form using **BLACK INK ONLY**, using **CAPITAL LETTERS**. The information you provide is scanned electronically so please ensure you only write inside the boxed areas and do not mark any other areas of the form.
WRITE IN BLOCK CAPITALS The accompanying Guide has step by step instructions to help you fill out the application form. Please use these notes or call the help line with any questions on 0870 609 6005 (tax 0870 609 6995). Alternatively, see our web site www.disclosurescotland.co.uk or email info@disclosurescotland.co.uk.
 Information contained on this form and on the Disclosure record to which this relates may be passed to other Government organisations and law enforcement agencies for the purpose of checking your application.

Part A Type of Application (Read Note A)

A.1 Basic Disclosure Standard Disclosure Enhanced Disclosure Circle (X) one box only

Part B Personal Details (Read Note B)

Present Name (This is the name you are currently known as)

B.1 Title Mr Mrs Ms Miss Dr Other

B.2 Surname

B.3 Forenames

B.4 (Use both lines if required)

Name at Birth if different to the above

B.6 Surname

B.7 Forenames

B.8 (Use both lines if required)

If you are known by or have been known by any other name then enter it here.

B.9 Surname

B.10 Forenames

B.11 (Use both lines if required)

B.11 If you are known by or have been known by any other names that you have not detailed on this form then cross (X) this box Note: if any other names you are known by or have been known by should be detailed on a separate piece of paper and attached to this form.

Birth Details

B.12 Date of Birth / / / / / / / Gender at Birth Male Female Circle (X) one box only

B.14 Town of Birth

B.15 Mother's Family Name

Birth Certificate

B.16 Certificate Number

B.17 Issue Date / / / / / / /

B.18 Registration District

B.19 Registration Sub-District

Additional Information

B.20 National Insurance No.

B.21 Full U.K. Passport No. Issue Date / / / / / / /

B.22 Nationality U.K. Other Circle (X) one box only

B.24 U.K. Driving Licence No.

B.26 Licence Valid From / / / / / / /

B.26 Previous Disclosure Certificate No. If you have any previous disclosure certificates issued by Disclosure Scotland then include them in this box.

B.27 If you have any unspent criminal convictions then cross (X) this box **IMPORTANT:** Only answer this question if you are applying for a Standard or Enhanced Disclosure

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SCHEDULE 2 Disclosure Application



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Contact Information

B.20 Telephone No. (inc. STD code)

B.28 Fax No. (inc. STD code)

B.30 Email Address

B.21 (Use both lines if required)

Current Home Address

B.32 Address (Number, Street)
(Use both lines if required)

B.34 Post Town

B.35 County

B.36, B.37 Post Code

Resident From DD / MM / YYYY

B.38 Country (blank if U.K.)

Home Address History

If you have notified of your former addresses for the last 10 years, then please provide your previous addresses listed in the order of most recent first.

B.39 Address (Number, Street)
(Use both lines if required)

B.41 Post Town

B.42 County

B.43, B.44 Post Code

Resident From DD / MM / YYYY

B.45 Country (blank if U.K.)

B.46 Address (Number, Street)
(Use both lines if required)

B.48 Post Town

B.49 County

B.50, B.51 Post Code

Resident From DD / MM / YYYY

B.52 Country (blank if U.K.)

B.53 Address (Number, Street)
(Use both lines if required)

B.55 Post Town

B.56 County

B.57, B.58 Post Code

Resident From DD / MM / YYYY

B.59 Country (blank if U.K.)

B.60 If you have lived at any other addresses in the last 10 years that you have not detailed on this form then cross (X) this box. Details of any other addresses you have lived at in the last 10 years should be included on a separate page of evidence attached to this form.

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SCHEDULE 2 Disclosure Application



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Part C Counter Signature (Read Note C)

Part C is for Standard and Enhanced Disclosures only. The applicant must complete questions C.1 and C.2. All other questions must be completed by the Counter Signatory.

C.1	Employers Name																								
C.2	Position Applied For																								
C.3	Registered Body Name																								
C.4	Registered Body Code																								
C.5	Counter Signatory Name																								
C.6	Counter Signatory Code																								
C.7	Position In Organisation																								

I confirm that the following items of identification for the applicant have been seen and that they match the details entered in Part B.

Birth Certificate
 Passport
 Driving Licence (with photograph)
 Driving Licence (without photograph)
 Previous Disclosure Certificate
 Other

If other then please state the other form of identification seen.

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C.10 If you are signing this application as an "Umbrella body" on behalf of another organisation then cross (X) this box. Details of the organisation you are counter signing this application for must be completed below.

C.11	Organisation Name																								
C.12	(Use both lines if required)																								
C.13, C.14	Purpose Code for Organisation	See guidance notes for list of codes. If "other" then enter a description here.																							
C.15	Statutory Registration No.																								
C.16	Contact Name																								
C.17	Address (Number, Street)																								
C.18	(Use both lines if required)																								
C.19	Post Town																								
C.20	County																								
C.21	Post Code																								
C.22	Telephone No. (inc. STD code)																								
C.23	Fax No. (inc. STD code)																								
C.24	Email Address																								
C.25	(Use both lines if required)																								

I declare that to the best of my knowledge and belief all of the information that I have given is correct and that I am either a full and named or solely responsible partner in the organisation. Information may be required by Disclosure Scotland to verify the particulars given and also to inform Disclosure Scotland immediately of any alterations in these particulars.

WARNING 1: It is a criminal offence to make an untrue statement to help obtain a Disclosure certificate. The work of Disclosure Scotland includes checking that your details are genuine. *The signature you supply here will be checked against the sample you supplied on the Counter Signatory application. It is essential to keep the signature within the border.*

C.26, C.27	Signature													Signature Date	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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SCHEDULE 2 Disclosure Application



Part D Payment (Read Note D)

The cost of a Disclosure is £XX.XX

D.1 If this Disclosure is to be paid for by a Registered Body (Standard and Enhanced Disclosures Only) then cross (X) this box. If payment is to be made by a Registered Body then the Counterparty must complete this section.

D.2 Method of Payment: Cheque VISA MasterCard Debit Card Voucher Postal Order Registered Body Direct Debit / Invoice Cross (X) one box only.

Please make cheques or postal orders payable to "Disclosure Scotland"

Credit / Debit Card Payments

D.3 Card Number This is the large number written on the back of your card.

D.4 Expiry Date / / /

D.5 Issue Number (Switch Only)

D.6 Name on Card

D.7, D.8 Signature Signature Date / / /

Voucher Payments

D.9 Voucher Number

Part E Declaration (Read Note E)

Information you have supplied on this form and on the Disclosure record to which this relates may be passed to other Government organisations and law enforcement agencies for the purpose of checking your application and completing the details required on the Disclosure Certificate.

E.1 If you confirm that you give your consent for this to be done then cross (X) this box.

I declare that, to the best of my knowledge and belief, all of the information that I have given in connection with this application is full and correct in every respect, undertake to supply any additional information that may be required by Disclosure Scotland to verify the particulars given and also to inform Disclosure Scotland immediately of any alterations in these particulars.

WARNING It is a criminal offence to make an untrue statement to help obtain a Disclosure certificate. The work of Disclosure Scotland includes checking that your details are genuine.

L2, F3 Signature Signature Date / / /

Completed application forms are to be sent to:
Disclosure Scotland
PO BOX No. 250
GLASGOW G51 1YU

For Disclosure Scotland use only. Do not write below this line.

Correct Payment Amount Sort Code

Account Number Cheque number

Other

Initials

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EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations make detailed provisions in relation to applications for criminal conviction certificates, criminal record certificates and enhanced criminal record certificates under Part V of the Police Act 1997.

Regulation 3 requires an application for the issue of a criminal conviction certificate, criminal record certificate or an enhanced criminal record certificate to be made on the form, or one to like effect, set out in Schedule 2 to the Regulations.

Regulation 4 specifies the fee for such an application as £13.60.

Regulation 5 prescribes the details of convictions which appear on criminal conviction certificates.

Regulation 6 prescribes the details of convictions and cautions which appear on criminal record certificates and enhanced criminal record certificates.

Regulation 7 prescribes the sources of information comprising central records for the purposes of certificates under Part V.

Regulation 8 defines “relevant police force” for the purposes of enhanced criminal record certificates.

Regulation 9 makes provisions in relation to the taking of fingerprints from applicants where the Scottish Ministers require them for the purposes of ascertaining the identity of an applicant.

Regulation 10 prescribes the fees to be paid to police authorities who maintain police forces that have been requested to supply information in relation to an application for an enhanced criminal record certificate.