

## **EXPLANATORY NOTES**

### **MENTAL HEALTH (CARE AND TREATMENT) (SCOTLAND) ACT 2003**

#### **INTRODUCTION**

#### **THE 2003 ACT – AN OVERVIEW**

#### **COMMENTARY ON SECTIONS**

##### **PART 1 - INTRODUCTORY**

Section 1: principles for discharging certain functions

Section 2: welfare of the child

Section 3: equal opportunities

##### **PART 2 – THE MENTAL WELFARE COMMISSION FOR SCOTLAND**

Sections 4 to 20: the Mental Welfare Commission for Scotland

**Continued existence of the Mental Welfare Commission for Scotland**

**General duties**

**Particular functions**

**Duty to give advice**

**Publishing information, guidance etc.**

**Investigations: further provisions**

**Visits in relation to patients**

**Interviews**

**Medical examination and inspection of records**

**Duties of Scottish Ministers, local authorities and others with respect to the Commission**

**Annual report; statistical information**

**Protection from actions of defamation**

##### **PART 3 – THE MENTAL HEALTH TRIBUNAL FOR SCOTLAND**

Section 21: the Mental Health Tribunal for Scotland

## **PART 4 – LOCAL AUTHORITY AND HEALTH BOARD FUNCTIONS**

### **Chapter 1: Health Board duties**

Sections 22 to 24

#### **Approved medical practitioners**

#### **Provision of services and accommodation: children and young people**

#### **Provision of services and accommodation: mothers with post-natal depression**

### **Chapter 2: local authority functions**

Sections 25 to 35

#### **Provision of services**

#### **Charging for services**

#### **Relationship with general duties**

#### **Co-operation and assistance**

#### **Appointment of mental health officers**

#### **Duty to inquire into individual cases**

## **PART 5 – EMERGENCY DETENTION**

Section 36: emergency detention in hospital

#### **Issuing the emergency detention certificate**

#### **Consent of mental health officer**

#### **Criteria for emergency detention: tests applied by medical practitioner**

#### **Measures authorised by certificate**

#### **Contents of emergency detention certificate**

Sections 37 to 40: actions following emergency detention

#### **Duties of medical practitioner who issued the detention certificate**

#### **Medical examination following admission**

#### **Other duties following admission**

#### **Medical treatment during emergency detention**

Sections 41 and 42: temporary suspension of emergency detention

Section 43: effect of an emergency detention certificate on a compulsory treatment order

## **PART 6 – SHORT-TERM DETENTION**

Sections 44 to 56: short-term detention in hospital

### **Procedure for initiating short-term detention**

### **Criteria for short-term detention**

### **Effect of granting of short-term detention certificate**

### **Hospital managers' duties**

### **Extension of short-term detention**

### **Revocation of detention**

### **Revocation on application of patient**

### **Commission's power to revoke detention certificates**

### **Temporary suspension of detention**

### **Revocation of temporary suspension**

### **Relationship between certificate and other measures under Act authorising detention**

## **PART 7 – COMPULSORY TREATMENT ORDERS**

### **Chapter 1: application for, and making of, orders**

#### **Pre-application procedures**

Sections 57 and 58: medical examinations and mental health reports

Sections 59 to 62: duties and powers of mental health officer on receiving reports

#### **Application for order**

Sections 63, 64 and 67 to 69: consideration by the Tribunal

#### **Outcome of application**

Section 65: interim compulsory treatment order

Section 66: measures which may be authorised by a compulsory treatment order or an interim compulsory treatment order

Sections 67, 70, 71, 75 and 76: actions following the making of a compulsory treatment order

### **Chapter 2: interim compulsory treatment orders: review and revocation**

Sections 72 to 75: revocation of interim compulsory treatment orders

### **Chapter 3: compulsory treatment orders: care plan**

### **Chapter 4: review of orders**

Section 77: first mandatory review of compulsory treatment order

Section 78: further mandatory reviews

#### **First possible outcome: revocation**

#### **Second possible outcome: determination that order be extended for 6 months in same form**

Section 83: further steps to be taken where order not revoked

Sections 84 to 87: determination that order be extended in same form

Sections 101 and 102: review of determination by Tribunal

#### **Third possible outcome: application to tribunal for extension and variation of order**

Sections 83, 88 to 92, 103(1) and 105 to 110: application to Tribunal for extension and variation of order

#### **Variation of order: application by responsible medical officer**

Sections 93, 95, 96, 97, 104 and 106 to 108: recorded matters – reference to Tribunal by responsible medical officer

#### **Reference to Tribunal by Commission**

Sections 98, 104 and 106 to 108: reference to Tribunal by Commission

#### **Applications by patients etc.**

Sections 99, 100, 103(2) to (4) and 106 to 108: applications by patients etc for revocation of determination extending order and for revocation or variation of order

#### **Ancillary powers of Tribunal**

Sections 109 to 111

#### **Interpretative provisions**

### **Chapter 5: breach of orders**

Sections 112 to 123: non-compliance with compulsory treatment order or interim compulsory treatment order

**Detention provisions**

**Notification duties**

**Revocation of detention certificates issued under sections 114(2) and 115(2)**

**Interaction of Chapter and orders**

**Chapter 6: transfers**

Sections 124 to 126: transfers between hospitals

**Chapter 7: suspension**

Sections 127 to 129: suspension of order

**PART 8 – MENTALLY DISORDERED PERSONS: CRIMINAL PROCEEDINGS**

**Chapter 1: pre-sentence orders Assessment orders and treatment orders**

Section 130: mentally disordered persons subject to criminal proceedings: assessment and treatment

**Remit of cases to sheriff court**

**Assessment order: sections 52B to 52J**

**Treatment order: sections 52K – 52S**

**Prevention of delay in trials**

**Effect on pre-existing mental health orders**

**Interim compulsion orders**

Section 131: mentally disordered offenders: interim compulsion orders

**Remand for inquiry into mental condition**

Section 132: remand for inquiry into mental condition: time limit for appeals

**PART 8 CHAPTER 2: DISPOSALS ON CONVICTION AND ACQUITTAL**

**Compulsion orders**

Section 133: mentally disordered offenders: compulsion orders

**Urgent detention of acquitted persons**

Section 134: power of court to detain acquitted persons

**Probation with a requirement for treatment**

Section 135: amendment of 1995 Act: probation for treatment of mental disorder

### **Chapter 3: mentally disordered prisoners**

Section 136: transfer of prisoners for treatment for mental disorder

## **PART 9 - COMPULSION ORDERS**

### **Chapter 1: duties following making of order**

Section 137: Part 9 care plan

Section 138: mental health officer's duty to identify named person

### **Chapter 2: review of compulsion orders**

#### **Mandatory reviews by responsible medical officer**

Section 139: first review of compulsion order

Section 140: further reviews of compulsion order

#### **Revocation of order by responsible medical officer or Commission**

Section 141: responsible medical officer's duty to revoke compulsion order: mandatory reviews

Section 142: revocation of compulsion order: responsible medical officer's duty to keep under review

Section 143: Commission's power to revoke compulsion order

Section 144: revocation of compulsion order: notification

#### **Further steps to be taken where order not revoked**

Section 145: mandatory reviews: further steps to be taken where compulsion order not revoked

#### **Extension of order following first review**

Section 146: first review: responsible medical officer's duty where extension proposed

Section 147: proposed extension on first review: mental health officer's duties

Section 148: first review: responsible medical officer's duty to apply for extension of compulsion order

Section 149: application to Tribunal for extension of order following first review

#### **Extension of order following further review**

Section 150: further review: responsible medical officer's duty where extension proposed

Section 151: proposed extension of order on further review: mental health officer's duties

Section 152: further review: responsible medical officer's duty to extend compulsion order

Section 153: determination extending compulsion order: notification

### **Extension and variation of order**

Section 154: responsible medical officer's duty where extension and variation proposed

Section 155: mental health officer's duties: extension and variation of compulsion order

Section 156: responsible medical officer's duty to apply for extension and variation of compulsion order

Section 157: application for extension and variation of compulsion order: notification

Section 158: application to Tribunal for extension and variation of compulsion order

### **Variation of order**

Section 159: responsible medical officer's duties: variation of compulsion order

Section 160: application for variation of compulsion order: notification

Section 161: application to Tribunal by responsible medical officer

### **Reference to Tribunal by Commission**

Section 162: Commission's power to make reference to Tribunal

### **Applications to Tribunal by patient etc.**

Section 163: application to Tribunal by patient etc for revocation of determination extending compulsion order

Section 164: application to Tribunal by patient etc for revocation or variation of compulsion order

### **Review by Tribunal of determination extending order**

Section 165: Tribunal's duty to review determination under section 152

### **Powers of Tribunal**

Section 166: powers of Tribunal on review under section 165

Section 167: powers of Tribunal on application under section 149, 158, 161, 163 or 164

Section 168: interim extension etc of order: application under section 149

Section 169: interim variation of order following application, reference or review under Chapter

Section 170: limit on power of Tribunal to make interim order

Section 171: powers of Tribunal on reference under section 162

Section 172: Tribunal's order varying compulsion order

Section 173: applications to Tribunal: ancillary powers

Section 174: effect of interim orders: calculation of time periods in Chapter

### **Meaning of “modify”**

Section 175: meaning of “modify”

## **Chapter 3: applications of Chapters 5 to 7 of Part 7**

### **Breach of order**

Section 176: medical treatment: failure to attend

Section 177: non-compliance generally with compulsion order

### **Transfers**

Section 178: transfers

### **Suspension of measures**

Section 179: suspension of measures

## **PART 10 - COMPULSION ORDERS AND RESTRICTION ORDERS**

### **Chapter 1: preliminary**

Section 181: mental health officer's duty to identify named person

### **Chapter 2: review of orders**

#### **Annual review of orders**

Section 182: review of compulsion order and restriction order

#### **Consequences of annual review**

Section 183: responsible medical officer's report and recommendation following review of compulsion order and restriction order

#### **Responsible medical officer's duty to keep orders under review**

Section 184: responsible medical officer's duty to keep compulsion order and restriction order under review



### **Reference to Tribunal by Scottish Ministers**

Section 185: duty of Scottish Ministers on receiving report and recommendation from responsible medical officer

Section 186: Commission's power to require Scottish Ministers to make reference to Tribunal

Section 187: duty of Scottish Ministers to refer to Tribunal if required to do so by Commission

### **Scottish Ministers' duty to keep orders under review**

Section 188: duty of Scottish Ministers to keep compulsion order and restriction order under review

Section 189: reference to Tribunal by Scottish Ministers

Section 190: application by Scottish Ministers: notification

Section 191: application to Tribunal

### **Application by patient etc**

Section 192: application to Tribunal by patient and named person

### **Proceedings before Tribunal**

Section 193: powers of Tribunal on reference under section 185(1), 187(2) or 189(2) or application under section 191 or 192(2)

Section 194: Tribunal's powers etc when varying compulsion order

Section 195: deferral of conditional discharge

### **Effect of modification or revocation of orders**

Section 196: general effect of orders under section 193

Section 197: effect of revocation of compulsion order

Section 198: effect of revocation of restriction order

### **Meaning of "modify"**

Section 199: meaning of "modify"

## **Chapter 3: conditional discharge**

Section 200: variation of conditions imposed on conditional discharge

Section 201: appeal to Tribunal against variation of conditions imposed on conditional discharge

Section 202: recall of patients from conditional discharge

Section 203: effect of recall from conditional discharge

Section 204: appeal to Tribunal against recall from conditional discharge

## **PART 11 – HOSPITAL DIRECTIONS AND TRANSFER FOR TREATMENT DIRECTIONS**

### **Preliminary**

Section 205: mental health officer's duty to identify named person

### **Review of directions**

Section 206: review of hospital direction and transfer for treatment direction

### **Consequences of review**

Section 207: responsible medical officer's report following review of direction

### **Responsible medical officer's duty to keep directions under review**

Section 208: responsible medical officer's duty to keep directions under review

### **Reference to Tribunal by Scottish Ministers**

Section 209: Commission's power to require Scottish Ministers to make reference to Tribunal

Section 210: duty of Scottish Ministers on receiving report from responsible medical officer

Section 211: notice under section 209(2): reference to Tribunal

### **Scottish Ministers' duty to keep directions under review**

Section 212: duty of Scottish Ministers to keep directions under review

Section 213: reference to Tribunal by Scottish Ministers

### **Application by patient etc**

Section 214: application to Tribunal by patient and named person

### **Proceedings before Tribunal**

Section 215: powers of Tribunal on reference under section 210(3), 211(2) or 213(2) or on application under section 214(2)

### **Effect of revocation of direction**

Section 216: effect of revocation of direction

### **Termination of direction on release of patient**

Section 217: termination of hospital direction on release of patient

## **PART 12 - PARTS 10 AND 11: TRANSFERS**

Section 218: transfer of patients between hospitals

Section 219: appeal to Tribunal against transfer under section 218 to hospital other than state hospital

Section 220: appeal to Tribunal against transfer under section 218 to state hospital

## **PART 13 - PARTS 8, 10 AND 11: SUSPENSION**

### **Assessment orders**

Section 221: assessment order: suspension of measure authorising detention

Section 222: certificate under section 221: revocation by responsible medical officer

Section 223: certificate under section 221: revocation by Scottish Ministers

### **Certain other orders and directions**

Section 224: patients subject to certain other orders and directions: suspension of measure authorising detention

Section 225: certificate under section 224: revocation by responsible medical officer

Section 226: certificate under section 224: revocation by Scottish Ministers

## **PART 14 – ASSESSMENT OF NEEDS**

Section 227: assessment of needs for community care services etc

Section 228: request for assessment of needs: duty on local authorities and Health Boards

## **PART 15 – PRELIMINARY DUTIES ON THE MAKING OF ORDERS**

Section 229: designation of mental health officer responsible for patient's case

Section 230: appointment of patient's responsible medical officer

Section 231: mental health officer's duty to prepare social circumstances report

## **PART 16 – MEDICAL TREATMENT**

### **Designated medical practitioners**

Section 233: designated medical practitioners

Sections 234 to 236: safeguards for certain surgical operations etc

### **Patients capable of consenting**

### **Patients incapable of consenting**

Sections 237 to 241: safeguards for other medical treatment

## **Electro-convulsive therapy etc**

### **Patients capable of consenting and not refusing consent**

### **Patients incapable of consenting**

### **Patients refusing consent or incapable of consenting**

Section 242: treatment not mentioned in section 234(2), 237(3) or 240(3)

### **Urgent medical treatment where patient detained in hospital**

Section 243: urgent medical treatment

### **Additional safeguards for certain informal patients**

Section 244: Scottish Ministers' power to make provision in relation to treatment for certain informal patients

Section 245 to 247: certificates

### **Certificates under sections 235, 236, 239, and 241**

### **Certificates under section 238**

### **Scope of consent or certificate under sections 235, 236, 238, 239 and 241**

Section 248: sections 235, 236, 238, 239 and 241: review of treatment etc.

## **PART 17 – PATIENT REPRESENTATION**

### **Chapter 1: named persons**

Sections 250 to 257: named persons

#### **Nomination of named person**

#### **Named person where no person nominated or nominated person declines to act**

#### **Named person in relation to child**

#### **Declaration in relation to named person**

#### **Meaning of “nearest relative”**

Section 255: named person: mental health officer's duties

Section 256: named person: application by patient

Section 257: named person: Tribunal's powers

### **Chapter 2: Advocacy**

#### **Advocacy**

Section 259: advocacy

Section 260: provision of information to the patient

Section 261: provision of assistance to patient with communication difficulties

Sections 262 to 263: access to medical practitioner and inspection of medical records

### **Chapter 3: detention in conditions of excessive security**

Sections 264 to 267: detention in conditions of excessive security: state hospitals

Sections 268 to 271: detention in conditions of excessive security: hospitals other than state hospitals

Section 272: proceedings for specific performance of statutory duty

## **PART 18 – MISCELLANEOUS**

Section 274: Code of Practice

Section 275 to 276: advance statements

### **Advance statements: making and withdrawal**

#### **Advance statements: effect**

Section 277: education of persons who have mental disorder

Section 278: duty to mitigate adverse effects of compulsory measures on parental relations

Section 279: information for research

Section 280: restriction of Scottish Ministers' powers to delegate management of state hospitals

Sections 281 to 283: correspondence

### **Correspondence of certain persons detained in hospital**

#### **Correspondence: supplementary**

#### **Review of decision to withhold postal packet**

Sections 284 and 285: telephone calls

### **Certain patients detained in hospital: use of telephones**

#### **Directions as to implementation of regulations under section 284(1)**

Section 286: safety and security in hospitals

#### **Information**

Section 287: information

### **Payments for expenses**

Section 288: payments to persons in hospital to meet personal expenses

### **Cross-border transfers**

Sections 289 and 290: cross-border transfer of patients

### **Informal patients**

Section 291: application to Tribunal in relation to unlawful detention

## **PART 19 – ENTRY, REMOVAL AND DETENTION POWERS**

Section 292: entry to premises

Sections 293 to 296: removal order

### **Application for a removal order**

### **Recall or variation of a removal order**

### **Appeals against a removal order or an order recalling or varying a removal order**

Sections 297 and 298: removal to a place of safety from a public place

Section 299: nurse's power to detain pending medical examination

## **PART 20 – ABSCONDING**

Section 301: absconding etc. by patients subject to compulsory treatment order

Section 302: absconding etc. by other patients

Section 303: taking into custody and return of absconding patients

Sections 304 to 308: effect of period of unauthorised absence on expiry of compulsory treatment order

Sections 309: patients from other jurisdictions

Section 310: regulations as to absconding by other patients

## **PART 21 – OFFENCES**

Section 311: non-consensual sexual acts

Section 312: offences under section 311: extended sentences

Section 313: persons providing care services: sexual offences

Section 314: notification requirements for offenders under sections 311 and 313

Section 315: ill treatment and wilful neglect of a mentally disordered person

Section 316: inducing and assisting absconding etc

Section 317: obstruction

Section 318: false statements

Section 319: time limit for summary proceedings for offences under sections 311 and 313

## **PART 22 – APPEALS**

Section 320: appeal to the sheriff principal against certain decisions of the Tribunal

Section 321: appeal to the Court of Session against decisions of sheriff principal

Section 322: appeal to Court of Session against certain decisions of the Tribunal

Section 323: suspension of decision of Tribunal pending determination of certain appeals

Section 324: appeals: general provisions

## **PART 23: GENERAL**

SCHEDULE 1 (Introduced by Section 4): THE MENTAL WELFARE COMMISSION FOR SCOTLAND

### **PART 1: MEMBERSHIP, PROCEEDINGS ETC**

### **PART 2: TRANSITIONAL PROVISIONS**

Schedule 2 (Introduced by Section 21): the Mental Health Tribunal for Scotland

### **PART 1: MEMBERS OF THE TRIBUNAL**

### **PART 2: ORGANISATION AND ADMINISTRATION OF THE FUNCTIONS OF THE TRIBUNAL**

### **PART 3: TRIBUNAL PROCEDURE**

### **PART 4: REPORTS, INFORMATION ETC.**

Schedule 3 (Introduced by Section 71) – Application of Chapter 1 of Part 7 to Certain Patients

SCHEDULE 4 (Introduced by section 331(1)) – MINOR AND CONSEQUENTIAL AMENDMENTS

*These notes relate to the Mental Health (Care and Treatment) (Scotland) Act 2003 (asp 13)*

**The Social Work (Scotland) Act 1968 (c.49)**

**The Local Government (Scotland) Act 1973 (c.65)**

**The National Health Service (Scotland) Act 1978 (c.29)**

**The Disabled Persons (Services, Consultation and Representation) Act 1986 (c.33)**

**The Tribunals and Inquiries Act 1992 (c.53)**

**The Prisoners and Criminal Proceedings (Scotland) Act 1993 (c.9)**

**The Children (Scotland) Act 1995 (c.36)**

**The Criminal Procedure (Scotland) Act 1995 (c.46)**

**The Adults with Incapacity (Scotland) Act 2000 (asp 4)**

**The Regulation of Care (Scotland) Act 2001 (asp 8)**

**The Housing (Scotland) Act 2001 (asp 10)**

**The Community Care and Health (Scotland) Act 2002 (asp 5)**

**The Scottish Public Services Ombudsman Act 2002 (asp 11)**

SCHEDULE 5 (Introduced by section 331(2) and (3)) – REPEALS

SCHEDULE 6 (Introduced by section 332(1)) – TRANSITORY AMENDMENTS OF THE MENTAL HEALTH (SCOTLAND) ACT 1984

**PARLIAMENTARY HISTORY OF MENTAL HEALTH (CARE AND TREATMENT) (SCOTLAND) ACT 2003**