

# Tribunals (Scotland) Act 2014 2014 asp 10

# PART 2

ORGANISATIONAL ARRANGEMENTS

# **CHAPTER 1**

# MEMBERSHIP TYPES

Overview and main types

# 13 Overview of membership

- (1) Each of the First-tier Tribunal and the Upper Tribunal is to consist of its ordinary, legal and judicial members.
- (2) Any type of member of the First-tier Tribunal or the Upper Tribunal is not, merely by reason of having that type of membership of the Tribunal, precluded from having any type of membership of the other Tribunal.
- (3) In this Act, the references to the members of the Scottish Tribunals are to-
  - (a) the ordinary and legal members of either or both of the Tribunals by virtue of sections 15 and 16, and
  - (b) the judicial members of either or both of the Tribunals by virtue of section 17 as read with section 19.

#### Modifications etc. (not altering text)

C1 S. 13(3) applied by 2008 asp 6 sch. 1 para. 16A(8) (as inserted (1.4.2015) by Tribunals (Scotland) Act 2014 (asp 10), s. 83(2), Sch. 9 para. 12(5) (with Sch. 9 para. 8); S.S.I. 2015/116, art. 2)

#### **Commencement Information**

II S. 13 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# 14 Capacity of members

- (1) Membership of the Scottish Tribunals as an ordinary or legal member of the Tribunals has the effect of granting such a member judicial status and capacity for the purpose for which this section makes provision.
- (2) For avoidance of doubt—
  - (a) a judicial member of the Scottish Tribunals has judicial status and capacity for the purpose for which this section makes provision by reason of holding judicial office,
  - (b) an extra judge derives judicial status and capacity in relation to [<sup>F1</sup>the Firsttier Tribunal or the Upper Tribunal (as the case may be)] for the purpose for which this section makes provision from authorisation to act as mentioned in section 18(4).
- (3) This section makes provision—
  - (a) in the case of an ordinary, legal or judicial member of the Scottish Tribunals, for the purpose of holding the position of and acting as such a member,
  - (b) in the case of an extra judge of [<sup>F2</sup>the First-tier Tribunal or] the Upper Tribunal, for the purpose of holding that position and acting as mentioned in section 18(4).

#### **Textual Amendments**

- **F1** Words in s. 14(2)(b) substituted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 16(2)(a), 18(2)(3); S.S.I. 2022/146, reg. 2
- F2 Words in s. 14(3)(b) inserted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 16(2)(b), 18(2)(3); S.S.I. 2022/146, reg. 2

#### **Commencement Information**

I2 S. 14 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# 15 First-tier members

- (1) A person is an ordinary member of the First-tier Tribunal if the person is that type of member of the First-tier Tribunal through—
  - (a) transfer-in as such by virtue of section 29(b), or
  - (b) appointment as such by virtue of section 32(1).
- (2) A person is a legal member of the First-tier Tribunal if the person is—
  - (a) that type of member of the First-tier Tribunal through—
    - (i) transfer-in as such by virtue of section 29(b), or
    - (ii) appointment as such by virtue of section 32(1), or
  - (b) however holding the position, a Chamber President or Deputy Chamber President in the First-tier Tribunal.
- (3) Despite subsection (2)(b), a person assigned as a Temporary Chamber President in the First-tier Tribunal, if a judicial member of the Tribunal, remains such a member of the Tribunal.

#### **Commencement Information**

I3 S. 15 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# 16 Upper members

- (1) A person is an ordinary member of the Upper Tribunal if the person is that type of member of the Upper Tribunal through—
  - (a) transfer-in as such by virtue of section 29(b), or
  - (b) appointment as such by virtue of section 32(3).
- (2) A person is a legal member of the Upper Tribunal if the person is—
  - (a) that type of member of the Upper Tribunal through—
    - (i) transfer-in as such by virtue of section 29(b), or
    - (ii) appointment as such by virtue of section 32(3),
  - (b) however holding the position, a Chamber President in the First-tier Tribunal except a Temporary Chamber President, or
  - (c) however holding the position, a Vice-President of the Upper Tribunal.

# (3) Despite subsection (2)(c)—

- (a) a person assigned as a Vice-President of the Upper Tribunal under section 25(1) or (2) remains a judicial member of the Tribunal,
- (b) a person assigned as a Temporary Vice-President of the Upper Tribunal, if a judicial member of the Tribunal, remains such a member of the Tribunal.

#### **Commencement Information**

I4 S. 16 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

#### Judiciary eligible to sit

#### 17 Sheriffs and judges

- (1) By reason of holding judicial office, a person is eligible to act as a member of the First-tier Tribunal if the person is a sheriff (including a part-time sheriff).
- (2) By reason of holding judicial office, a person is eligible to act as a member of the Upper Tribunal if the person is—
  - (a) apart from the Lord President and the President of Tribunals, a judge of the Court of Session (including a temporary judge),
  - (b) the Chairman of the Scottish Land Court, or
  - (c) a sheriff (except a part-time sheriff).

(3) A sheriff may act as a member of—

- (a) the First-tier Tribunal, or
- (b) the Upper Tribunal,

only if authorised to do so by the President of Tribunals.

- (4) A judge of the Court of Session or the Chairman of the Scottish Land Court may act as a member of the Upper Tribunal only if authorised to do so by the President of Tribunals (but see next instead for the Lord President and the President of Tribunals).
- (5) By reason of holding office within the Scottish Tribunals, each of the Lord President and the President of Tribunals is a member of the Upper Tribunal and needs no further authorisation to act as such.
- (6) An authorisation for the purpose of subsection (3)(a) or (b) or (4)—
  - (a) requires—
    - (i) the Lord President's approval (including as to the person to be authorised), and
    - (ii) the agreement of the person concerned,
  - (b) in the case of a sheriff (apart from a sheriff principal), also requires the concurrence of the relevant sheriff principal.
- (7) An authorisation for the purpose of subsection (3)(a) or (b) or (4) remains in effect until such time as the President of Tribunals may determine (with the same approval, agreement and concurrence as is referred to in subsection (6)).

#### **Commencement Information**

I5 S. 17 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

#### 18 Authorisation of others

- [<sup>F3</sup>(1) If requested to do so by the President of Tribunals, the Scottish Ministers may issue a temporary authorisation—
  - (a) for a person falling within subsection (1A) to assist in the disposal of the business of the First-tier Tribunal,
  - (b) for a person falling within subsection (2) to assist in the disposal of the business of the Upper Tribunal.]

 $[^{F4}(1A)$  A person falls within this subsection if the person is—

- (a) a judge of a relevant UK court or tribunal,
- (b) a former judge of a relevant UK court or tribunal,
- (c) a judge of an overseas court or tribunal, or
- (d) a judge of an international court or tribunal.]
- (2) A person falls within this subsection if the person is—
  - (a) a former—
    - (i) judge of the Court of Session (including temporary judge),
    - (ii) Chairman of the Scottish Land Court, or
    - (iii) sheriff (except part-time sheriff), <sup>F5</sup>...
  - $[^{F6}(b)$  a judge of a relevant UK court or tribunal,
    - (c) a former judge of a relevant UK court or tribunal,
    - (d) a judge of an overseas court or tribunal, or
    - (e) a judge of an international court or tribunal.]
- (3) Any request for the purpose of subsection (1) may not be made without—

- (a) the Lord President's approval, and
- (b) the agreement of the person concerned.
- (4) An authorisation under subsection (1) is for the person concerned to act as if a judicial member of [<sup>F7</sup>the Tribunal concerned] during the period for which it is issued.
- (5) The period mentioned in subsection (4)—
  - (a) requires the same approval and agreement as is referred to in subsection (3), and
  - (b) may be extended by the Scottish Ministers (with such approval and agreement).
- (6) The Scottish Ministers may make payments of sums with respect to any time spent by a person while acting as mentioned in subsection (4) by virtue of authorisation under subsection (1).
- (7) An authorisation under subsection (1) may not be issued if the person concerned—
  - (a) is aged 75 years or over, or
  - (b) has been removed from judicial office because of unfitness by reason of inability, neglect of duty or misbehaviour (or is for the time being suspended from such office in connection with an investigation into the question of such unfitness).
- [<sup>F8</sup>(8) In the case of a person mentioned in subsection (1A)(a), (c) or (d) or (2)(b), (d) or (e), subsections (1) and (5) are subject to such further arrangements as the Scottish Ministers may make with a governmental or other body responsible for the administration of the court or tribunal concerned, or its judiciary, for the purposes of those subsections.
- (8A) In the case of a person mentioned in subsection (1A) or (2)(b), (c), (d) or (e), if the person has not previously taken the required oaths, the person must take them in the presence of the President of Tribunals before acting as mentioned in subsection (4).]
  - (9) In addition—
    - (a) the previous taking by a person of the required oaths counts (so far as necessary) as if it were the taking of them in connection with acting as mentioned in subsection (4),
    - (b) section 3 applies in relation to a person who is authorised to act as mentioned in subsection (4)—
      - (i) as it does in relation to the members of the Scottish Tribunals, and
      - (ii) during the period for which the relevant authorisation is issued.
- [<sup>F9</sup>(10) In this section—

"an international court or tribunal" means a court or tribunal which exercises jurisdiction, or performs functions of a judicial nature, in pursuance of—

- (a) an agreement to which the United Kingdom or Her Majesty's Government in the United Kingdom is a party, or
- (b) a resolution of the Security Council or General Assembly of the United Nations,

"an overseas court or tribunal" means a court or tribunal established under the law of a country or territory outwith the United Kingdom,

"a relevant UK court or tribunal" means a court or tribunal established under the law of one or more parts of the United Kingdom, excluding a court or tribunal established under the law of Scotland only,

"the required oaths" means the oaths of allegiance and the judicial oath as set out in the Promissory Oaths Act 1868.]

#### **Textual Amendments**

- F3 S. 18(1) substituted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 15(2), 18(2)(3); S.S.I. 2022/146, reg. 2
- F4 S. 18(1A) inserted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 15(3), 18(2)(3); S.S.I. 2022/146, reg. 2
- **F5** Word in s. 18(2)(a)(iii) repealed (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 15(4)(a), 18(2)(3); S.S.I. 2022/146, reg. 2
- F6 S. 18(2)(b)-(e) substituted for s. 18(2)(b) (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 15(4)(b), 18(2)(3); S.S.I. 2022/146, reg. 2
- Words in s. 18(4) substituted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 15(5), 18(2)(3); S.S.I. 2022/146, reg. 2
- F8 S. 18(8)(8A) substituted for s. 18(8) (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 15(6), 18(2)(3); S.S.I. 2022/146, reg. 2
- **F9** S. 18(10) substituted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 15(7), 18(2)(3); S.S.I. 2022/146, reg. 2

#### **Commencement Information**

I6 S. 18 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

#### **19** Judicial membership

- (1) In this Act, a reference to a judicial member of the First-tier Tribunal is to a sheriff who is authorised for the purpose of section 17(3)(a).
- (2) In this Act, a reference to a judicial member of the Upper Tribunal is to—
  - (a) the Lord President or the President of Tribunals, or
  - (b) a person who is authorised for the purpose of section 17(3)(b) or (4).
- (3) A reference in this Act to a judicial member [<sup>F10</sup>of the First-tier Tribunal or] of the Upper Tribunal does not include an extra judge even where authorised to act as mentioned in section 18(4).
- [<sup>F11</sup>(4) In this Act—
  - (a) a reference to an extra judge in relation to the First-tier Tribunal is to a person falling within section 18(1A) (as read with section 18(4)),
  - (b) a reference to an extra judge in relation to the Upper Tribunal is to a person falling within section 18(2) (as read with section 18(4)).]

#### **Textual Amendments**

- F10 Words in s. 19(3) inserted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 16(3)(a), 18(2)(3); S.S.I. 2022/146, reg. 2
- F11 S. 19(4) substituted (13.5.2022) by Social Security Administration and Tribunal Membership (Scotland) Act 2020 (asp 18), ss. 16(3)(b), 18(2)(3); S.S.I. 2022/146, reg. 2

Commencement Information I7 S. 19 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# CHAPTER 2

#### INTERNAL STRUCTURE

#### Structure of First-tier Tribunal

#### 20 Chambers in the Tribunal

- (1) The First-tier Tribunal is to be organised into a number of chambers, having regard to—
  - (a) the different subject-matters falling within the Tribunal's jurisdiction, and
  - (b) any other factors relevant in relation to the exercise of the Tribunal's functions.
- (2) Accordingly, the Scottish Ministers may by regulations make provision for and in connection with—
  - (a) the organisation of the Tribunal as required by subsection (1),
  - (b) the allocation of the Tribunal's functions between the chambers.

#### **Commencement Information**

**I8** S. 20 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# 21 Chamber Presidents

(1) Each chamber of the First-tier Tribunal is to have-

- (a) a single Chamber President to preside over the chamber, or
- (b) two Chamber Presidents to preside over the chamber.
- (2) A Chamber President may not preside over more than one chamber of the Tribunal at the same time.
- (3) In this Act—
  - (a) a reference to a Chamber President in the First-tier Tribunal is to a Chamber President of a chamber of the Tribunal,
  - (b) where a chamber of the Tribunal has two Chamber Presidents, a reference to a Chamber President of such a chamber is to either or both of them (as the context requires).

#### Modifications etc. (not altering text)

C2 S. 21(1) excluded (12.1.2018) by The First-tier Tribunal for Scotland (Transfer of Functions of the Scottish Charity Appeals Panel) Regulations 2018 (S.S.I. 2018/1), regs. 1(1), 4

#### **Commencement Information**

**I9** S. 21 in force at 1.4.2015 by S.S.I. 2015/116, **art. 2** 

# 22 Appointment to post

- (1) It is for the Scottish Ministers to make an appointment of a Chamber President to that position.
- (2) Before making an appointment under subsection (1), the Scottish Ministers must consult the Lord President (including as to the person to be appointed).
- (3) A person is eligible for appointment under subsection (1) only if the person is—
  - (a) a legal member of the Upper Tribunal, or
  - (b) if not falling within paragraph (a), eligible to be appointed as such a member of the Tribunal (whether or not already any type of member of the First-tier or Upper Tribunal).
- (4) An appointment made under subsection (1) is for the Chamber President to preside over a particular chamber of the Tribunal.

Commencement InformationI10S. 22 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

#### Structure of Upper Tribunal

#### **23** Divisions of the Tribunal

(1) The Upper Tribunal is to be organised into a number of divisions, having regard to-

- (a) the different subject-matters falling within the Tribunal's jurisdiction, and
- (b) any other factors relevant in relation to the exercise of the Tribunal's functions.
- (2) Accordingly, the Scottish Ministers may by regulations make provision for and in connection with—
  - (a) the organisation of the Tribunal as required by subsection (1),
  - (b) the allocation of the Tribunal's functions between the divisions.

#### **Commencement Information**

II1 S. 23 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# 24 Vice-Presidents

- (1) Each division of the Upper Tribunal is to have—
  - (a) a single Vice-President to preside over the division, or
  - (b) two Vice-Presidents to preside over the division.
- (2) A Vice-President may not preside over more than one division of the Tribunal at the same time.
- (3) Subsections (1) and (2) are subject to section 25(1)(b).
- (4) In this Act—

- (a) a reference to a Vice-President of the Upper Tribunal is to a Vice-President of a division of the Tribunal,
- (b) where a division of the Tribunal has two Vice-Presidents, a reference to a Vice-President of such a division is to either or both of them (as the context requires).

#### **Commencement Information**

I12 S. 24 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

#### 25 Assignment to post

- (1) The President of Tribunals may assign himself or herself—
  - (a) as a Vice-President of the Upper Tribunal,
  - (b) to preside over one or more than one division of the Tribunal.
- (2) Apart from the Lord President, any other judicial member of the Upper Tribunal may be assigned by the President of Tribunals—
  - (a) as a Vice-President of the Tribunal,
  - (b) to preside over a particular division of the Tribunal.
- (3) Assignment under subsection (1)—
  - (a) remains in effect until such time as the President of Tribunals may determine,
  - (b) does not affect the exercise by the President of Tribunals of the functions arising in that capacity.

#### (4) Assignment under subsection (2)—

- (a) requires—
  - (i) the Lord President's approval (including as to the judicial member to be assigned),
  - (ii) the assignee's agreement,
- (b) remains in effect until such time as the President of Tribunals may determine (with such approval and agreement),
- (c) does not affect the exercise by the assignee of any other functions as respects the Scottish Tribunals.

#### **Commencement Information**

I13 S. 25 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# 26 Appointment to post

- (1) If requested to do so by the President of Tribunals, the Scottish Ministers may appoint a person as a Vice-President of the Upper Tribunal.
- (2) Before making an appointment under subsection (1), the Scottish Ministers must consult the Lord President (including as to the person to be appointed).
- (3) A person is eligible for appointment as a Vice-President only if the person is—
  - (a) a legal member of the Upper Tribunal, or

- (b) if not falling within paragraph (a), eligible to be appointed as such a member of the Tribunal (whether or not already any type of member of the First-tier or Upper Tribunal).
- (4) An appointment made under subsection (1) is for the Vice-President to preside over a particular division of the Tribunal.

#### **Commencement Information**

I14 S. 26 in force at 1.4.2015 by S.S.I. 2015/116, art. 2

# Status:

Point in time view as at 13/05/2022.

### Changes to legislation:

There are currently no known outstanding effects for the Tribunals (Scotland) Act 2014, PART 2.